

Report No.: FG250203002B

WINNF-TS-0122 Test Report

FCC ID : AS57705SARHMC-3

Equipment : 7705 SAR-Hmc

Brand Name : 7705 SAR-Hm/Hmc

Model Name : 3HE12478AA

Applicant : Nokia

600 March Rd, Kanata ON, K2K 2E6

Manufacturer : Nokia

600 March Rd, Kanata ON, K2K 2E6

Standard : WINNF-TS-0122 Version V1.0.2

RF Interface : LTE B48

The product was received on Feb. 18, 2025 and testing was performed from Mar. 11, 2025 to Mar. 26, 2025. We, Sporton International (USA) Inc., would like to declare that the tested sample has been evaluated in accordance with the test procedures given in WINNF-TS-0122 Version V1.0.2 and has been in compliance with the applicable technical standards.

The test results in this report apply exclusively to the tested model / sample. Without written approval of Sporton International (USA) Inc., the test report shall not be reproduced except in full.

Approved by: Neil Kao

Mil Kao

Sporton International (USA) Inc.

1175 Montague Expressway, Milpitas, CA 95035

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History of this test report

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Conformity Assessment Condition:

The test results (PASS/FAIL) with all measurement uncertainty excluded are presented against the regulation limits or in accordance with the requirements stipulated by the applicant/manufacturer who shall bear all the risks of noncompliance that may potentially occur if measurement uncertainty is taken into account.

Disclaimer:

The product specifications of the EUT presented in the test report that may affect the test assessments are declared by the manufacturer who shall take full responsibility for the authenticity.

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1. Administration Data

1.1 Testing Laboratory

Test Site	Sporton International (USA) Inc.
Test Site Location	1175 Montague Expressway, Milpitas, CA 95035 TEL: (408) 904-3300
Test Site No.	Sporton Site No.
Test Site No.	TH01-CA
Test Engineer	Thomas Chen
Temperature	21 ~ 25 °C
Relative Humidity	50 ~ 56 %

FCC Designation No.: US1250

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2. General Information

2.1 Description of Unit Under Test (UUT)

Product Feature & Specification				
UUT Type	7705 SAR-HMC			
Brand Name	7705 SAR-HM/HMC			
Model Name	3HE12478AA			
FCC ID	AS57705SARHMC-3			
Professional Installation	■ Yes □ No			
Unit Under Test Type	 □ BTS-CBSD product (Base Station) ■ CPE-CBSD product (Customer Premises Equipment) 			
UUT Category	☐ Category A ■ Category B			
Domain Proxy support	☐ UUT with Domain Proxy ■ UUT without Domain Proxy			
UUT Antenna Gain	24.2 dBi			
UUT HW Version	3HE12478AA			
UUT FW Version	SWIX12C_02.02.03.00			
UUT SW Version	24.10.R3			
UUT Serial Number	NS000002029			

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2.2 Protocol Test Summary

Section	Test Case ID	Test Case Title	Test Result
6.1.4.1.1	WINNF.FT.C.REG.1	Multi-Step registration	PASS
6.1.4.1.7	WINNF.FT.C.REG.7	Registration due to change of an installation parameter	PASS
6.1.4.2.1	WINNF.FT.C.REG.8	Missing Required parameters (responseCode 102)	PASS
6.1.4.2.3	WINNF.FT.C.REG.10	Pending registration (responseCode 200)	PASS
6.1.4.2.5	WINNF.FT.C.REG.12	Invalid parameter (responseCode 103)	PASS
6.1.4.2.7	WINNF.FT.C.REG.14	Blacklisted CBSD (responseCode 101)	PASS
6.1.4.2.9	WINNF.FT.C.REG.16	Unsupported SAS protocol version (responseCode 100)	PASS
6.1.4.2.11	WINNF.FT.C.REG.18	Group Error (responseCode 201)	PASS
6.3.4.2.1	WINNF.FT.C.GRA.1	Unsuccessful Grant responseCode=400 (INTERFERENCE)	PASS
6.3.4.2.2	WINNF.FT.C.GRA.2	Unsuccessful Grant responseCode=401 (GRANT_CONFLICT)	PASS
6.4.4.1.1	WINNF.FT.C.HBT.1	Heartbeat Success Case (first Heartbeat Response)	PASS
6.4.4.2.1	WINNF.FT.C.HBT.3	Heartbeat responseCode=105 (DEREGISTER)	PASS
6.4.4.2.2	WINNF.FT.C.HBT.4	Heartbeat responseCode=500 (TERMINATED_GRANT)	PASS
6.4.4.2.3	WINNF.FT.C.HBT.5	Heartbeat responseCode=501 (SUSPENDED_GRANT) in First Heartbeat Response	PASS
6.4.4.2.4	WINNF.FT.C.HBT.6	Heartbeat responseCode=501 (SUSPENDED_GRANT) in Subsequent Heartbeat Response	PASS
6.4.4.2.5	WINNF.FT.C.HBT.7	Heartbeat responseCode=502 (UNSYNC_OP_PARAM)	PASS
6.4.4.3.1	WINNF.FT.C.HBT.9	Heartbeat Response Absent (First Heartbeat)	PASS
6.4.4.3.2	WINNF.FT.C.HBT.10	Heartbeat Response Absent (Subsequent Heartbeat)	PASS
6.6.4.1.1	WINNF.FT.C.RLQ.1	Successful Relinquishment	PASS
6.7.4.1.1	WINNF.FT.C.DRG.1	Successful Deregistration	PASS
6.8.4.1.1	WINNF.FT.C.SCS.1	Successful TLS connection between UUT and SAS Test Harness	PASS
6.8.4.2.1	WINNF.FT.C.SCS.2	TLS failure due to revoked certificate	PASS
6.8.4.2.2	WINNF.FT.C.SCS.3	TLS failure due to expired server certificate	PASS
6.8.4.2.3	WINNF.FT.C.SCS.4	TLS failure when SAS Test Harness certificate is issue by unknown CA	PASS
6.8.4.2.4	WINNF.FT.C.SCS.5	TLS failure when certificate at the SAS Test Harness is corrupted	PASS

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2.3 Time test for getting Grant Summary

Trail	Time limit	Monitoring time	Measured result	Verdict
1	1 second	10 seconds	66.699ms	PASS
2	10 seconds	300 seconds	935.991ms	PASS
3	20 seconds	3600 seconds	2.736s	PASS

2.4 Support Equipment

Name	Brand Name	Type/Model	Serial Number	FCC ID
LTE B48 Base Station	Ruckus	Q710	281915000881	S9GQ710US02

2.5 Measuring Equipment List

Name	Drand Name	Type/Model	Carial Number	Calibration	
Name	Brand Name		Serial Number	Last Cal.	Due Date
Signal Analyzer	R&S	FSV3044	101128	Oct. 22, 2024	Oct. 21, 2025
Oscilloscope	NI	USB-5133	1CA35B2	Oct. 21, 2024	Oct. 20, 2025
RF Detector	Mini-Circuits	ZX47-64LN	R2190802125	Oct. 22, 2024	Oct. 21, 2025

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3. Measurement Environment

Measurement Environment Information			
SAS Test Harness version	1.0.0.3		
Operating System	Windows 10		
TLS version	V 1.2		
Python version	V 2.7.18		

	Conditional Test Case				
Support (Yes / No)	Condition	Definition			
Yes	C1	Mandatory for UUT which supports multi-step registration message			
No	C2	Mandatory for UUT which supports single-step registration with no CPI-signed data in the registration message. By definition, this is a subset of Category A devices which determine all registration information, including location, without CPI intervention.			
No	C3	Mandatory for UUT which supports single-step registration containing CPIsigned data in the registration message.			
No	C4	Mandatory for UUT which supports RECEIVED_POWER_WITHOUT_GRANT measurement report type			
No	C5	Mandatory for UUT which supports RECEIVED_POWER_WITH_GRANT measurement report type.			
Yes	C6	Mandatory for UUT which supports parameter change being made at the UUT and prior to sending a deregistration.			

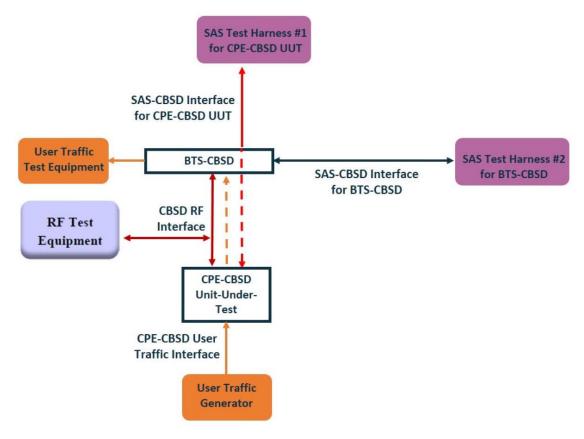
Note: FCC 96.39(d) related test cases are not performed based on declaration by manufacturer that CPI who is installing the EUT inputs signal data for the installation directly into the SAS without protocol interaction. Per WINN-TS-016, this is in accordance with the *measCapability* parameter being REG-Conditional where it states - "REG-Conditional Registration Request Parameters: This parameter is required by the SAS to complete the CBSD registration process, but may be omitted in the *RegistrationRequest* object. If not included in the *RegistrationRequest* object, the parameter, to the extent that it is needed by the SAS to satisfy the Part 96 Rules, shall be provided to the SAS by other means outside the protocol specified in this document, e.g., it may be provided by a CPI as required by Part 96 Rules for category B CBSDs."

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3.1 Test configuration without Domain Proxy



CPE-CBSD as UUT, BTS-CBSD direct communication.

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3.2 Standards

[n.1]. FCC KDB 940660 D02 CPE-CBSD Handshake Procedures v02, 22 October 2019

[n.2]. WINNF-TS-0122 Version 1.0.2, "Conformance and Performance Test Technical Specification; CBSD/DP as Unit Under Test (UUT)", 25 November 2020

[n.3]. WINNF-TS-0016 Version 1.2.7, "SAS to CBSD Technical Specification", 21 March 2022

3.3 Protocol test procedure

The test cases for SAS<->CBSD protocol in [n.2] apply for CPE-CBSD device type. Following the [n.1], when running the test cases in [n.2] for CPE-CBSD device type, verify that:

- CPE-CBSD can begin transmitting its RF only after receiving radio signal from its compatible BTS-CBSD.
- 2. For all CPE-CBSD RF transmissions, the CPE-CBSD UUT radio frequency range and bandwidth are less or equal to the frequency range and bandwidth of its compatible BTS-CBSD.
- 3. Judging the last execution step appearing in [n.2] with "User data traffics" instead of "RF transmission."

3.4 Time test for getting Grant Procedure

Use the WInnForum SAS Harness run test case WINNF.FT.C.GRA.1. Without answering the last question in WINNF.FT.C.GRA.1 will keep UUT's grant request being rejected, then measure the time.

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4. Protocol Test Results

4.1 [WINNF.FT.C.REG.1] Multi-Step registration

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
1	UUT has successfully completed SAS Discovery and	
'	Authentication with the SAS Test Harness	
	UUT is in the Unregistered state	
	CBSD sends correct Registration request information, as specified in [n.5], to the	
	SAS Test Harness:	
	The required userId, fccId and cbsdSerialNumber registration parameters	
	shall be sent from the CBSD and conform to proper format and acceptable	
2	ranges.	PASS
	Any REG-conditional or optional registration parameters that may be	17.00
	included in the message shall be verified that they conform to proper	
	format and are within acceptable ranges.	
	Note: It is outside the scope of this document to test the Registration information that	
	is supplied via another means.	
	 SAS Test Harness sends a CBSD Registration Response as follows: 	
3	- cbsdld = C	
	measReportConfig shall not be included	
	– responseCode = 0	
4	After completion of step 3, SAS Test Harness will not provide any	
7	positive response (responseCode=0) to further request messages from the UUT.	
	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is	
5	complete. This is the end of the test. Verify:	PASS
	UUT shall not transmit RF	

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4.2 [WINNF.FT.C.REG.5] Single-Step registration for CBSD with CPI signed data

#	Test Execution Steps	Results
1	 Ensure the following conditions are met for test entry: UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness UUT is in the Unregistered state All of the required and REG-Conditional parameters shall be configured and CPI signature provided 	
2	CBSD sends Registration request to the SAS Test Harness: The required userId, fccId and cbsdSerialNumber and REG- Conditional cbsdCategory, airInterface, measCapability and cpiSignatureData registration parameters shall be sent from the CBSD and conform to proper format and acceptable ranges.	PASS
	 Any optional registration parameters that may be included in the message shall be verified that they conform to proper format and are within acceptable ranges. 	
3	 SAS Test Harness sends a CBSD Registration Response as follows: - cbsdld = C - measReportConfig shall not be included. - responseCode = 0 	-
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: • UUT shall not transmit RF	PASS

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4.3 [WINNF.FT.C.REG.7] Registration due to change of an installation parameter

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
1	UUT has successfully completed SAS Discovery and	
	Authentication with SAS Test Harness	
2	UUT has successfully registered with SAS Test Harness	
	Change an installation parameters at the UUT (time T)	
3	Tester needs to record the current time at which the parameter change is	
	executed.	
	Monitor the SAS-CBSD interface.	
4	UUT sends a deregistrationRequest to the SAS Test Harness	PASS
	The deregistration request shall be sent within (T + 60 seconds) from step 3.	

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4.4 [WINNF.FT.C.REG.8] Missing Required parameters (responseCode 102)

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
1	UUT has successfully completed SAS Discovery and	
'	Authentication with SAS Test Harness	
	UUT is in the Unregistered state	
2	CBSD sends a Registration request to SAS Test Harness.	
	SAS Test Harness rejects the request by sending a CBSD Registration Response as	
3	follows:	
3	 SAS response does not include cbsdld 	
	– responseCode = R	
	After completion of step 3, SAS Test Harness will not provide any positive	
4	response (responseCode=0) to further request messages from the UUT.	
	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is	
5	complete. This is the end of the test. Verify:	PASS
	UUT shall not transmit RF	

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4.5 [WINNF.FT.C.REG.10] Pending registration (responseCode 200)

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
1	UUT has successfully completed SAS Discovery and	
'	Authentication with SAS Test Harness	
	UUT is in the Unregistered state	
2	CBSD sends a Registration request to SAS Test Harness.	
	SAS Test Harness rejects the request by sending a CBSD Registration Response as	
3	follows:	
	 SAS response does not include cbsdld 	
	– responseCode = R	
	After completion of step 3, SAS Test Harness will not provide any positive	
4	response (responseCode=200) to further request messages from the UUT.	
	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is	
5	complete. This is the end of the test. Verify:	PASS
	UUT shall not transmit RF	

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4.6 [WINNF.FT.C.REG.12] Invalid parameter (responseCode 103)

#	Test Execution Steps	Results
1	 Ensure the following conditions are met for test entry: UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness UUT is in the Unregistered state 	
2	CBSD sends a Registration request to SAS Test Harness.	
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: - SAS response does not include <i>cbsdld</i> - <i>responseCode</i> = R	
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =103) to further request messages from the UUT.	
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: • UUT shall not transmit RF	PASS

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4.7 [WINNF.FT.C.REG.14] Blacklisted CBSD (responseCode 101)

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
1	UUT has successfully completed SAS Discovery and	
'	Authentication with SAS Test Harness	
	UUT is in the Unregistered state	
2	CBSD sends a Registration request to SAS Test Harness.	
	SAS Test Harness rejects the request by sending a CBSD Registration Response as	
3	follows:	
3	 SAS response does not include cbsdld 	
	– responseCode = R	
	After completion of step 3, SAS Test Harness will not provide any positive	
4	response (responseCode=101) to further request messages from the UUT.	
	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is	
5	complete. This is the end of the test. Verify:	PASS
	UUT shall not transmit RF	

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4.8 [WINNF.FT.C.REG.16] Unsupported SAS protocol version (responseCode 100)

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
1	UUT has successfully completed SAS Discovery and	
'	Authentication with SAS Test Harness	
	UUT is in the Unregistered state	
2	CBSD sends a Registration request to SAS Test Harness.	
	SAS Test Harness rejects the request by sending a CBSD Registration Response as	
3	follows:	
3	 SAS response does not include cbsdld 	
	– responseCode = R	
	After completion of step 3, SAS Test Harness will not provide any positive	
4	response (responseCode=100) to further request messages from the UUT.	
	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is	
5	complete. This is the end of the test. Verify:	PASS
	UUT shall not transmit RF	

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4.9 [WINNF.FT.C.REG.18] Group Error (responseCode 201)

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
1	UUT has successfully completed SAS Discovery and	
'	Authentication with SAS Test Harness	
	UUT is in the Unregistered state	
2	CBSD sends a Registration request to SAS Test Harness.	
	SAS Test Harness rejects the request by sending a CBSD Registration Response as	
3	follows:	
3	SAS response does not include <i>cbsdld</i>	
	– responseCode = R	
	After completion of step 3, SAS Test Harness will not provide any positive	
4	response (responseCode=201) to further request messages from the UUT.	
	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is	
5	complete. This is the end of the test. Verify:	PASS
	UUT shall not transmit RF	

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4.10 [WINNF.FT.C.GRA.1] Unsuccessful Grant responseCode=400 (INTERFERENCE)

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
1	UUT has registered successfully with SAS Test Harness, with	
	cbsdld = C	
2	UUT sends valid Grant Request.	
	SAS Test Harness sends a Grant Response message, including	
3	• cbsdld=C	
	• responseCode = R	
4	After completion of step 3, SAS Test Harness will not provide any positive	
4	response (responseCode=0) to further request messages from the UUT.	
	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is	
5	complete. This is the end of the test. Verify:	PASS
	UUT shall not transmit RF	

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4.11 [WINNF.FT.C.GRA.2] Unsuccessful Grant responseCode=401 (GRANT_CONFLICT)

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
1	UUT has registered successfully with SAS Test Harness, with	
	cbsdld = C	
2	UUT sends valid Grant Request.	
	SAS Test Harness sends a Grant Response message, including	
3	• cbsdld=C	
	• responseCode = R	
4	After completion of step 3, SAS Test Harness will not provide any positive	
4	response (responseCode=401) to further request messages from the UUT.	
	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is	
5	complete. This is the end of the test. Verify:	PASS
	UUT shall not transmit RF	

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4.12 [WINNF.FT.C.HBT.1] Heartbeat Success Case (first Heartbeat Response)

#	Test Execution Steps	Results
1	 Ensure the following conditions are met for test entry: UUT has registered successfully with SAS Test Harness, with cbsdld = C 	
2	 UUT sends a message: If message is type Spectrum Inquiry Request, go to step 3, or If message is type Grant Request, go to step 5 	
3	UUT sends Spectrum Inquiry Request. Validate: • cbsdld = C • List of frequencyRange objects sent by UUT are within the CBRS frequency range	PASS
4	SAS Test Harness sends a Spectrum Inquiry Response message, including the following parameters: • cbsdld = C • availableChannel is an array of availableChannel objects • responseCode = 0	
5	 UUT sends Grant Request message. Validate: cbsdld = C maxEIRP is at or below the limit appropriate for CBSD category as defined by Part 96 operationFrequencyRange, F, sent by UUT is a valid range within the CBRS band 	PASS
6	SAS Test Harness sends a Grant Response message, including the parameters: • cbsdld = C • grantld = G = a valid grant ID • grantExpireTime = UTC time greater than duration of the test • responseCode = 0	
7	UUT sends a first Heartbeat Request message. Verify Heartbeat Request message is formatted correctly, including: • cbsdld = C • grantld = G • operationState = "GRANTED"	PASS

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	8	SAS Test Harness sends a Heartbeat Response message, with the following parameters: • cbsdld = C • grantld = G • transmitExpireTime = current UTC time + 200 seconds	
ŀ		• responseCode = 0	
	9	For further Heartbeat Request messages sent from UUT after completion of step 8, validate message is sent within latest specified heartbeatInterval, and: • cbsdld = C • grantld = G • operationState = "AUTHORIZED" and SAS Test Harness responds with a Heartbeat Response message including the following parameters: • cbsdld = C • grantld = G • transmitExpireTime = current UTC time + 200 seconds • responseCode = 0	PASS
	10	Monitor the RF output of the UUT from start of test until UUT transmission commences. Verify: UUT does not transmit at any time prior to completion of the first heartbeat response UUT transmits after step 8 is complete, and its transmission is limited to within the bandwidth range F.	PASS

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4.13 [WINNF.FT.C.HBT.3] Heartbeat responseCode=105 (DEREGISTER)

#	Test Execution Steps	Results
1	 Ensure the following conditions are met for test entry: UUT has registered successfully with SAS Test Harness UUT has a valid single grant as follows: valid cbsdld = C valid grantld = G grant is for frequency range F, power P grantExpireTime = UTC time greater than duration of the test UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within Heartbeat Interval specified in the latest Heartbeat Response, and formatted correctly, including: • cbsdld = C • grantld = G • operationState = "AUTHORIZED"	PASS
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: • cbsdld = C • grantld = G • transmitExpireTime = T = Current UTC time • responseCode = 105 (DEREGISTER)	
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	
5	Monitor the RF output of the UUT. Verify: • UUT shall stop transmission within (T + 60 seconds) of completion of step 3	PASS

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4.14 [WINNF.FT.C.HBT.4] Heartbeat responseCode=500 (TERMINATED_GRANT)

#	Test Execution Steps	Results
1	 Ensure the following conditions are met for test entry: UUT has registered successfully with SAS Test Harness UUT has a valid single grant as follows:	
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: • cbsdld = C • grantId = G • operationState = "AUTHORIZED"	PASS
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: • cbsdld = C • grantld = G • transmitExpireTime = T = current UTC time • responseCode = 500 (TERMINATED_GRANT)	
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	
5	Monitor the RF output of the UUT. Verify: • UUT shall stop transmission within (T + 60 seconds) of completion of step 3	PASS

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4.15 [WINNF.FT.C.HBT.5] Heartbeat responseCode=501 (SUSPENDED_GRANT) in First Heartbeat Response

#	Test Execution Steps	Results
1	 Ensure the following conditions are met for test entry: UUT has registered successfully with SAS Test Harness UUT has a valid single grant as follows: valid cbsdld = C valid grantld = G grant is for frequency range F, power P grantExpireTime = UTC time greater than duration of the test UUT is in GRANTED, but not AUTHORIZED state (i.e. has not performed its first Heartbeat Request) 	
2	UUT sends a Heartbeat Request message. Verify Heartbeat Request message is formatted correctly, including: • cbsdld = C • grantld = G • operationState = "GRANTED"	PASS
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: • cbsdld = C • grantld = G • transmitExpireTime = T = current UTC time • responseCode = 501 (SUSPENDED_GRANT)	
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	
5	Monitor the SAS-CBSD interface. Verify either A OR B occurs: A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters: • cbsdld = C • grantld = G • operationState = "GRANTED" B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters: • cbdsld = C • grantld = G Monitor the RF output of the UUT. Verify: • UUT does not transmit at any time	PASS

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4.16 [WINNF.FT.C.HBT.6] Heartbeat responseCode=501 (SUSPENDED_GRANT) in Subsequent Heartbeat Response

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
	 UUT has registered successfully with SAS Test Harness 	
	 UUT has a valid single grant as follows: 	
	○ valid <i>cbsdld</i> = C	
1	○ valid <i>grantId</i> = G	
	 grant is for frequency range F, power P 	
	 grantExpireTime = UTC time greater than duration of the test 	
	 UUT is in AUTHORIZED state and is transmitting within the grant 	
	bandwidth F on RF interface	
	UUT sends a Heartbeat Request message.	
	Verify Heartbeat Request message is sent within latest specified	PASS
2	heartbeatInterval, and is formatted correctly, including:	
-	• cbsdld = C	
	• grantId = G	
	operationState = "AUTHORIZED"	
	SAS Test Harness sends a Heartbeat Response message, including the following	
	parameters:	
3	• cbsdld = C	
3	• grantId = G	
	 transmitExpireTime = T = current UTC time 	
	responseCode = 501 (SUSPENDED_GRANT)	
4	After completion of step 3, SAS Test Harness shall not allow any further	
	grants to the UUT.	

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	Monitor the SAS-CBSD interface. Verify either A OR B occurs:	
	A. UUT sends a Heartbeat Request message. Ensure message is sent within	
	latest specified heartbeatInterval, and is correctly formatted with	
	parameters:	
	• cbsdld = C	
	• grantId = G	PASS
5	• operationState = "GRANTED"	
3	B. UUT sends a Relinquishment Request message. Ensure message	
	is correctly formatted with parameters:	
	• cbdsld = C	
	• grantId = G	
	Monitor the RF output of the UUT. Verify:	
	 UUT shall stop transmission within (T + 60 seconds) of completion 	
	of step 3	

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4.17 [WINNF.FT.C.HBT.7] Heartbeat responseCode=502 (UNSYNC_OP_PARAM)

#	Test Execution Steps	Results
1	 Ensure the following conditions are met for test entry: UUT has registered successfully with SAS Test Harness UUT has a valid single grant as follows: valid cbsdld = C valid grantld = G grant is for frequency range F, power P grantExpireTime = UTC time greater than duration of the test UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	
2	UUT sends a Heartbeat Request message. Verify Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: • cbsdld = C • grantId = G • operationState = "AUTHORIZED"	PASS
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: • cbsdld = C • grantld = G • transmitExpireTime = T = Current UTC Time • responseCode = 502 (UNSYNC_OP_PARAM)	
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	
5	Monitor the SAS-CBSD interface. Verify: • UUT sends a Grant Relinquishment Request message. Verify message is correctly formatted with parameters: • cbdsld = C • grantld = G Monitor the RF output of the UUT. Verify: • UUT shall stop transmission within (T+60) seconds of completion of step 3.	PASS

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4.18 [WINNF.FT.C.HBT.9] Heartbeat Response Absent (First Heartbeat)

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
	UUT has registered successfully with SAS Test Harness	
	UUT has a valid single grant as follows:	
	○ valid <i>cbsdId</i> = C	
1	○ valid <i>grantId</i> = G	
	 grant is for frequency range F, power P 	
	 grantExpireTime = UTC time greater than duration of the test 	
	UUT is in GRANTED, but not AUTHORIZED state (i.e. has not performed)	
	its first Heartbeat Request)	
	UUT sends a Heartbeat Request message.	
	Ensure Heartbeat Request message is sent within latest specified	PASS
2	heartbeatInterval, and is formatted correctly, including:	
	• cbsdld = C	FASS
	• grantId = G	
	operationState = "GRANTED"	
3	After completion of Step 2, SAS Test Harness does not respond to any further	
	messages from UUT to simulate loss of network connection	
	Monitor the RF output of the UUT from start of test to 60 seconds after step 3.	
4	Verify:	PASS
	At any time during the test, UUT shall not transmit on RF interface	

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4.19 [WINNF.FT.C.HBT.10] Heartbeat Response Absent (Subsequent Heartbeat)

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
	UUT has registered successfully with SAS Test Harness	
	UUT has a valid single grant as follows:	
	○ valid <i>cbsdld</i> = C	
1	○ valid <i>grantId</i> = G	
	 grant is for frequency range F, power P 	
	 grantExpireTime = UTC time greater than duration of the test 	
	UUT is in AUTHORIZED state and is transmitting within the grant	
	bandwidth F on RF interface	
	UUT sends a Heartbeat Request message.	
	Verify Heartbeat Request message issent within the latest specified	
2	heartbeatInterval, and is formatted correctly, including:	PASS
	• cbsdld = C	17.00
	• grantld = G	
	operationState = "AUTHORIZED"	
	SAS Test Harness sends a Heartbeat Response message, with the following	
	parameters:	
3	• cbsdld = C	
	• grantId = G	
	 transmitExpireTime = current UTC time + 200 seconds 	
	• responseCode = 0	
4	After completion of Step 3, SAS Test Harness does not respond to any further	
•	messages from UUT	
	Monitor the RF output of the UUT. Verify:	
5	UUT shall stop all transmission on RF interface within	PASS
3	(transmitExpireTime + 60 seconds), using the transmitExpireTime	
	sent in Step 3.	

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4.20 [WINNF.FT.C.RLQ.1] Successful Relinquishment

#	Test Execution Steps	Results
1	 Ensure the following conditions are met for test entry: UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness UUT has successfully registered with SAS Test Harness, with cbsdld=C UUT has received a valid grant with grantld = G UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant. Invoke trigger to relinquish UUT Grant from the SAS Test Harness 	
2	UUT sends a Relinquishment Request message. Verify message contains all required parameters properly formatted, and specifically: • cbsdld = C • grantld = G	PASS
3	SAS Test Harness shall approve the request with a Relinquishment Response message with parameters: - cbsdld = C - grantld = G - responseCode = 0	
4	After completion of step 3, SAS Test Harness will not provide any additional positive response (<i>responseCode</i> =0) to further request messages from the UUT.	
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: • UUT shall stop RF transmission at any time between triggering the relinquishment and UUT sending the relinquishment request	PASS

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4.21 [WINNF.FT.C.DRG.1] Successful Deregistration

#	Test Execution Steps	Results
1	UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness UUT has successfully registered with SAS Test Harness, with cbsdld=C	
	 UUT has received a valid grant with grantId = G UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant. Invoke trigger to deregister UUT from the SAS Test Harness 	
2	UUT sends a Relinquishment request and receives Relinquishment response with responseCode=0	
3	UUT sends Deregistration Request to SAS Test Harness with <i>cbsdld</i> = C.	PASS
4	SAS Test Harness shall approve the request with a Deregistration Response message with parameters: • cbsdld = C • responseCode = 0	
5	After completion of step 3, SAS Test Harness will not provide any additional positive response (<i>responseCode</i> =0) to further request messages from the UUT.	
6	 Monitor the RF output of the UUT from start of test until 60 seconds after Step 4 is complete. This is the end of the test. Verify: UUT stopped RF transmission at any time between triggering the deregistration and either A OR B occurs: A. UUT sending a Registration Request message, as this is not mandatory B. UUT sending a Deregistration Request message 	PASS

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4.22 [WINNF.FT.C.SCS.1] Successful TLS connection between UUT and SAS Test Harness

#	Test Execution Steps	Results
1	 UUT shall start CBSD-SAS communication with the security procedure The UUT shall establish a TLS handshake with the SAS Test Harness using configured certificate. Configure the SAS Test Harness to accept the security procedure and establish the connection 	PASS
2	 Make sure that Mutual authentication happens between UUT and the SAS Test Harness. Make sure that UUT uses TLS v1.2 Make sure that cipher suites from one of the following is selected, TLS_RSA_WITH_AES_128_GCM_SHA256 TLS_RSA_WITH_AES_256_GCM_SHA384 TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA2 56 TLS_ECDHE_ECDSA_WITH_AES_256_GCM_SHA3 84 TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256 	PASS
3	A successful registration is accomplished using one of the test cases described in section 6.1.4.1, depending on CBSD capability. • UUT sends a registration request to the SAS Test Harness and the SAS Test Harness sends a Registration Response with responseCode = 0 and cbsdld.	PASS
4	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: • UUT shall not transmit RF	PASS

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4.23 [WINNF.FT.C.SCS.2] TLS failure due to revoked certificate

#	Test Execution Steps	Results
1	UUT shall start CBSD-SAS communication with the security procedures	PASS
	Make sure that UUT uses TLS v1.2 for security establishment.	
	Make sure UUT selects the correct cipher suite.	
2	UUT shall use CRL or OCSP to verify the validity of the server certificate.	PASS
	Make sure that Mutual authentication does not happen between UUT and	
	the SAS Test Harness.	
3	UUT may retry for the security procedure which shall fail	PASS
4	SAS Test-Harness shall not receive any Registration request or any application	
4	data.	
	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is	
5	complete. This is the end of the test. Verify:	PASS
	UUT shall not transmit RF	FASS

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4.24 [WINNF.FT.C.SCS.3] TLS failure due to expired server certificate

#	Test Execution Steps	Results
1	UUT shall start CBSD-SAS communication with the security procedures	PASS
	Make sure that UUT uses TLS v1.2 for security establishment.	
	Make sure UUT selects the correct cipher suite.	
2	UUT shall use CRL or OCSP to verify the validity of the server certificate.	PASS
	Make sure that Mutual authentication does not happen between UUT and	
	the SAS Test Harness.	
3	UUT may retry for the security procedure which shall fail.	PASS
4	SAS Test-Harness shall not receive any Registration request or any application	
4	data.	
	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is	
5	complete. This is the end of the test. Verify:	PASS
	UUT shall not transmit RF	

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4.25 [WINNF.FT.C.SCS.4] TLS failure when SAS Test Harness certificate is issued by an unknown CA

#	Test Execution Steps	Results
1	UUT shall start CBSD-SAS communication with the security procedures	PASS
2	 Make sure that UUT uses TLS v1.2 for security establishment. Make sure UUT selects the correct cipher suite. 	PASS
	 UUT shall use CRL or OCSP to verify the validity of the server certificate Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	PASS
3	UUT may retry for the security procedure which shall fail.	PASS
4	SAS Test-Harness shall not receive any Registration request or any application data.	
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:	PASS
	UUT shall not transmit RF	

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4.26 [WINNF.FT.C.SCS.5] TLS failure when certificate at the SAS Test Harness is corrupted

#	Test Execution Steps	Results
1	UUT shall start CBSD-SAS communication with the security procedures	PASS
	 Make sure that UUT uses TLS v1.2 for security establishment. Make sure UUT selects the correct cipher suite. 	
2	 UUT shall use CRL or OCSP to verify the validity of the server certificate. Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	PASS
3	UUT may retry for the security procedure which shall fail.	PASS
4	SAS Test-Harness shall not receive any Registration request or any application data.	
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: • UUT shall not transmit RF	PASS

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4.27 [WINNF.PT.C.HBT] UUT RF Transmit Power Measurement

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
	UUT has successfully completed SAS Discovery and	
	Authentication with the SAS Test Harness	
	 UUT has registered with the SAS, with CBSD ID = C 	
	UUT has a single valid grant G with parameters {lowFrequency	
	= FL, highFrequency = FH, maxEirp = Pi}, with grant in AUTHORIZED	
	state, and grantExpireTime set to a value far past the duration of this test	
1	case	
	Note: in order for the UUT to request a grant with the parameters	
	{lowFrequency, highFrequency, maxEirp), the SAS Test Harness may need to	
	provide appropriate guidance in the availableChannel object of the spectrumInquiry	
	response message, and the operationParam object of the grant response message.	
	Alternately, the UUT vendor may provide the ability to set those parameters on the	
	UUT so that the UUT will request a grant with those parameters.	
	UUT and SAS Test Harness perform a series of Heartbeat Request/Response cycles,	
	which continues until the other test steps are complete. Messaging for each cycle is	
	as follows:	
	UUT sends Heartbeat Request, including:	
	○ cbsdld = C	
2	○ grantId = G	
	SAS Test Harness responds with Heartbeat Response, including:	
	○ cbsdld = C	
	○ grantId = G	
	 transmitExpireTime = current UTC time + 200 seconds 	
	o responseCode = 0	

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	Tester performs power measurement on RF interface(s) of UUT, and verifies it complies with the maxEirp setting, Pi. The RF measurement method is out of scope of	
	this document, but may include additional configuration of the UUT, as required, to	
	fulfil the requirements of the power measurement method.	
3		PASS
	Note: it may be required for the vendor to provide a method or configuration to	
	bring the UUT to a mode which is required by the measurement methodology.	
	Any such mode is vendor-specific and depends upon UUT behavior and the	
	measurement methodology.	

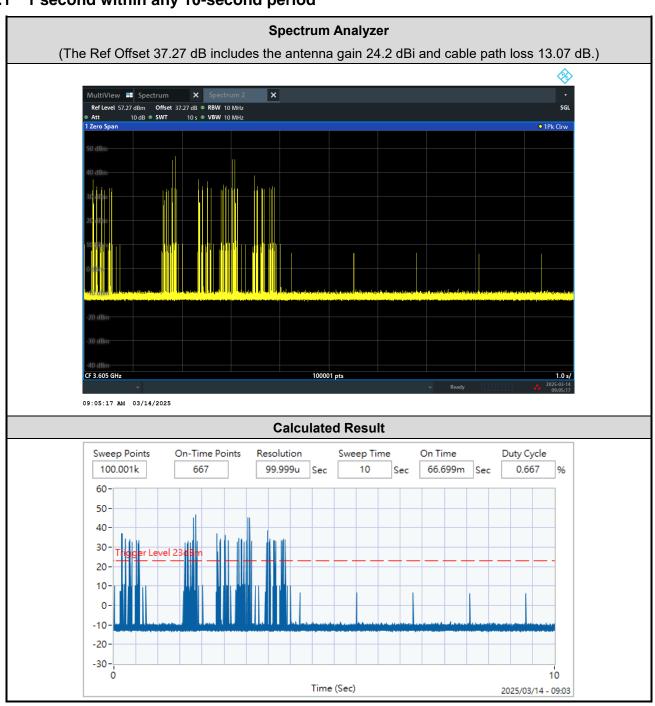
Note: For test 4.27, please find the Appendix B for RF measurement plots.

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5. Result of Time test for getting Grant

5.1 1 second within any 10-second period



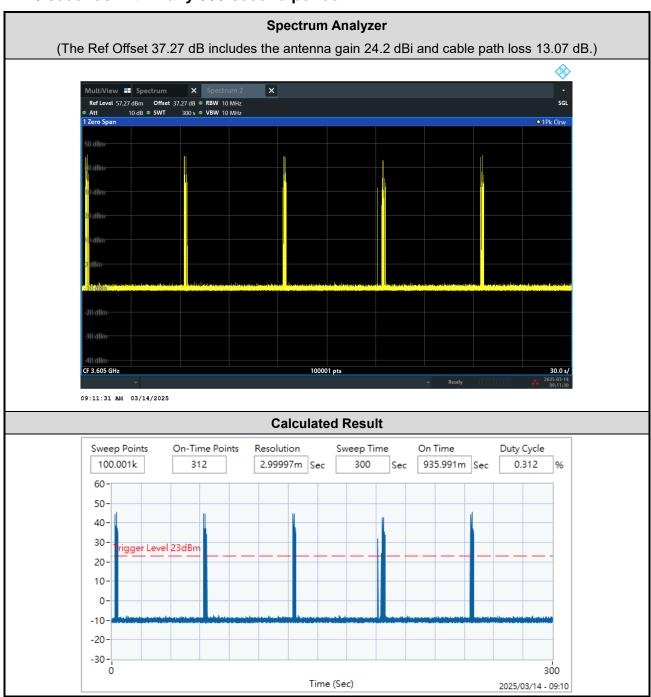
The sum of On Time: 66.699ms < 1s, Pass.

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5.2 10 seconds within any 300-second period



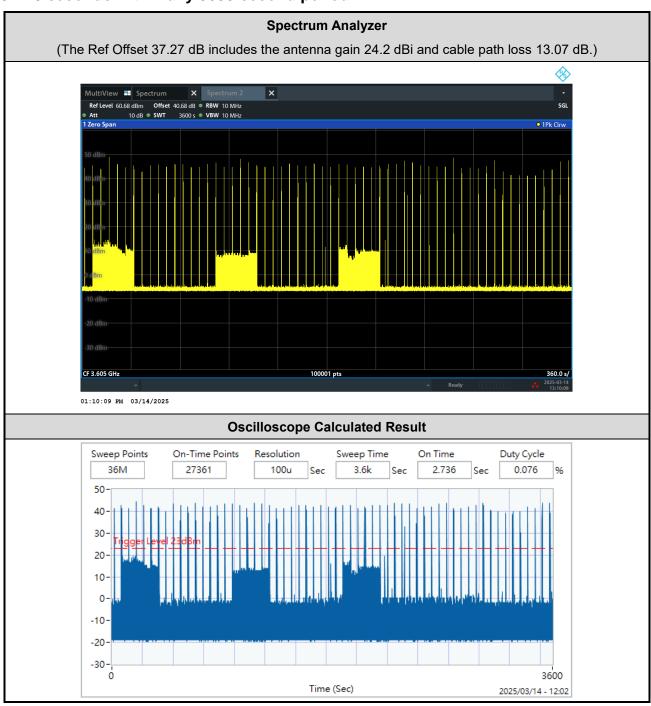
The sum of On Time: 935.991ms < 10s, Pass.

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5.3 20 seconds within any 3600-second period



The sum of On Time: 2.736s < 20s, Pass.

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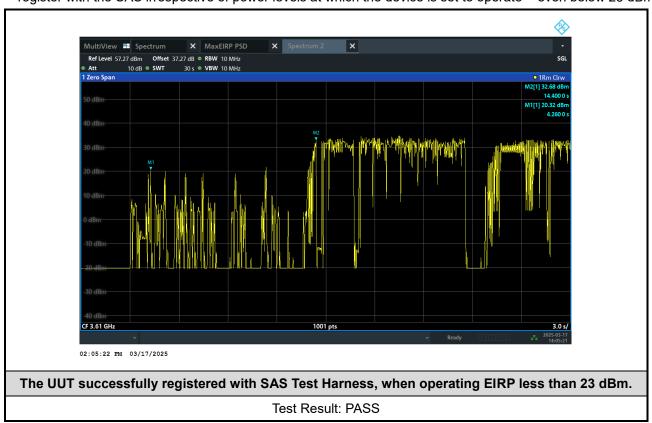
6. UUT register with the SAS irrespective of power levels

6.1 Test Procedure

- 1. Set the SAS test harness to grant UUT with the highest EIRP higher than 23 dBm.
- Check if UUT has successfully registered with SAS Test Harness, when operating EIRP less than 23 dBm.
- 3. After the UUT granted/authorized by the SAS, it can transmit with power less than the maxEIRP granted from SAS.

6.2 Result

The UUT can register with SAS under above operating conditions to meet the FCC criteria that the UUT will register with the SAS irrespective of power levels at which the device is set to operate – even below 23 dBm.



Note: The total offset 37.27 dB includes the antenna gain 24.2 dBi and cable path loss 13.07 dB.

Marker 1: The UUT successfully registered with SAS Test Harness, when operating 20.32 dBm EIRP.

Marker 2: After the UUT granted/authorized by the SAS, it can transmit with power less than the maxEIRP granted from SAS.

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Appendix A. RF measurement plots

Appendix A.1 [WINNF.PT.C.HBT] UUT RF Transmit Power Measurement

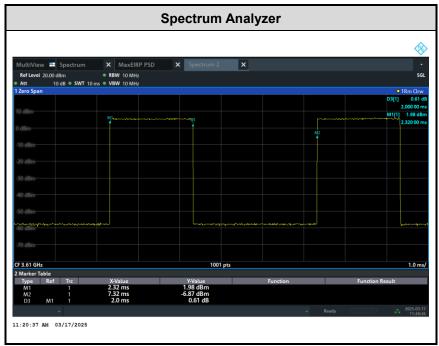
Report Clause 4.27 [WINNF.PT.C.HBT] UUT RF Transmit Power Measurement

Center Frequency [MHz]	Bandwidth [MHz]	SAS Granted MaxEIRP [dBm/MHz]	Conducted PSD [dBm/MHz]	Duty Cycle Factor [dB]	Antenna Gain [dBi]	UUT total MaxEIRP [dBm/MHz]
3610	20	37	2.14	2.00	04.0	30.32
3605	10	37	2.48	3.98	24.2	30.66

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Note: The total path loss is offset with 13.27 dB.

Duty Cycle factor:



Note: The duty cycle value is 40%, add 10log(1/duty cycle) to the measured power level to compute the average power during continuous transmission.

Appendix A.1.1 Test Procedure

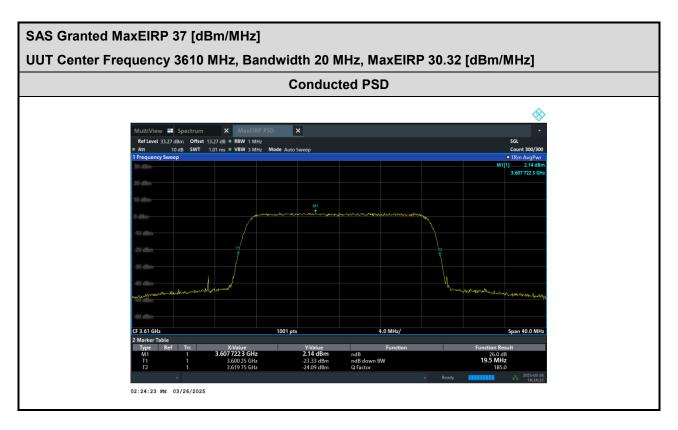
[WINNF.PT.C.HBT] UUT RF Transmit Power Measurement defined in clause 4.27 of this test report.

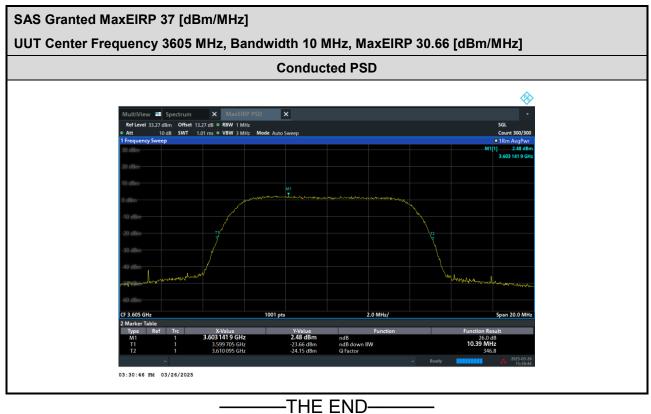
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Appendix A.1.2 Test Result





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