



# WINNF-TS-0122 Test Report

**FCC ID** : CKENTG1014  
**Equipment** : 5G Radio Unit  
**Brand Name** : Japan Radio Co., Ltd.  
**Model Name** : NTG-1014  
**Applicant** : Japan Radio Co., Ltd.  
1-12, Fukuoka 2-chome, Fujimino-shi Saitama  
356-8580  
**Manufacturer** : Japan Radio Co., Ltd.  
1-12, Fukuoka 2-chome, Fujimino-shi Saitama  
356-8580  
**Standard** : WINNF-TS-0122 Version V1.0.2

The product was received on Aug. 30, 2022 and testing was performed from Aug. 30, 2022 to Aug. 31, 2022. We, Sporton International Inc. Wensan Laboratory, would like to declare that the tested sample has been evaluated in accordance with the test procedures given in WINNF-TS-0122 Version V1.0.2 and has been in compliance with the applicable technical standards.

The test results in this report apply exclusively to the tested model / sample. Without written approval of Sporton International Inc. Wensan Laboratory, the test report shall not be reproduced except in full.



Approved by: Jones Tsai

**Sporton International Inc. Wensan Laboratory**

No.58, Aly. 75, Ln. 564, Wenhua 3rd, Rd., Guishan Dist., Taoyuan City 333010, Taiwan (R.O.C.)



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## History of this test report

Report No.	Version	Description	Issued Date
FG2D0904B	01	Initial issue of report	Dec. 29, 2022

**Declaration of Conformity:**

The test results (PASS/FAIL) with all measurement uncertainty excluded are presented in accordance with the regulation limits or requirements declared by manufacturers.

It's means measurement values may risk exceeding the limit of regulation standards, if measurement uncertainty is include in test results.

**Comments and Explanations:**

The product specifications of the EUT presented in the report are declared by the manufacturer who shall take full responsibility for the authenticity.

**Reviewed by: William Chen**

**Report Producer: Lucy Wu**

## 1 Administration Data

### 1.1 Testing Laboratory

Test Site	Sporton International Inc. Wensan Laboratory
Test Site Location	No.58, Aly. 75, Ln. 564, Wenhua 3rd, Rd., Guishan Dist., Taoyuan City 333010, Taiwan (R.O.C.) TEL: +886-3-327-0868 FAX: +886-3-327-0855
Test Site No.	<b>Sporton Site No.</b> TH05-HY
Test Engineer	Thomas Chen
Temperature	22 ~ 25 °C
Relative Humidity	51 ~ 56 %

FCC designation No.: TW3786

## 2 General Information

### 2.1 Description of Equipment Under Test (EUT)

Product Feature & Specification	
EUT Type	5G Radio Unit
Brand Name	Japan Radio Co., Ltd.
Model Name	NTG-1014
FCC ID	CKENTG1014
Professional Installation	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Unit Under Test Category	<input type="checkbox"/> Category A <input checked="" type="checkbox"/> Category B
Domain Proxy support	<input checked="" type="checkbox"/> UUT with Domain Proxy <input type="checkbox"/> UUT without Domain Proxy
UUT Antenna Gain	18 dBi
UUT Serial Number	QTW2RE22120002, QTW2RE22120003
Domain Proxy SW Version	1.2.4 (DP Software)

**Note:** Since the test result is not affected by changing ID, the FG2D0904B report reuses test data from the FG272811B report.

## 2.2 Protocol Test Summary

Section	Test Case ID	Test Case Title	Test Result
6.1.4.1.2	WINNF.FT.D.REG.2	Domain Proxy Multi-Step registration	PASS
6.1.4.1.6	WINNF.FT.D.REG.6	Domain Proxy Single-Step registration for CBSD with CPI signed data	PASS
6.1.4.1.7	WINNF.FT.C.REG.7	Registration due to change of an installation parameter	PASS
6.1.4.2.2	WINNF.FT.D.REG.9	Domain Proxy Missing Required parameters (responseCode 102)	PASS
6.1.4.2.4	WINNF.FT.D.REG.11	Domain Proxy Pending registration (responseCode 200)	PASS
6.1.4.2.6	WINNF.FT.D.REG.13	Domain Proxy Invalid parameters (responseCode 103)	PASS
6.1.4.2.8	WINNF.FT.D.REG.15	Domain Proxy Blacklisted CBSD (responseCode 101)	PASS
6.1.4.2.10	WINNF.FT.D.REG.17	Domain Proxy Unsupported SAS protocol version (responseCode 100)	PASS
6.1.4.2.12	WINNF.FT.D.REG.19	Domain Proxy Group Error (responseCode 201)	PASS
6.3.4.2.1	WINNF.FT.C.GRA.1	Unsuccessful Grant responseCode=400 (INTERFERENCE)	PASS
6.3.4.2.2	WINNF.FT.C.GRA.2	Unsuccessful Grant responseCode=401 (GRANT_CONFLICT)	PASS
6.4.4.1.2	WINNF.FT.D.HBT.2	Domain Proxy Heartbeat Success Case (first Heartbeat Response)	PASS
6.4.4.2.1	WINNF.FT.C.HBT.3	Heartbeat responseCode=105 (DEREGISTER)	PASS
6.4.4.2.3	WINNF.FT.C.HBT.5	Heartbeat responseCode=501 (SUSPENDED_GRANT) in First Heartbeat Response	PASS
6.4.4.2.4	WINNF.FT.C.HBT.6	Heartbeat responseCode=501 (SUSPENDED_GRANT) in Subsequent Heartbeat Response	PASS
6.4.4.2.5	WINNF.FT.C.HBT.7	Heartbeat responseCode=502 (UNSYNC_OP_PARAM)	PASS
6.4.4.2.6	WINNF.FT.D.HBT.8	Domain Proxy Heartbeat responseCode=500 (TERMINATED_GRANT)	PASS
6.4.4.3.1	WINNF.FT.C.HBT.9	Heartbeat Response Absent (First Heartbeat)	PASS
6.4.4.3.2	WINNF.FT.C.HBT.10	Heartbeat Response Absent (Subsequent Heartbeat)	PASS
6.4.4.4.1	WINNF.FT.C.HBT.11	Successful Grant Renewal in Heartbeat Test Case	PASS
6.6.4.1.2	WINNF.FT.D.RLQ.2	Domain Proxy Successful Relinquishment	PASS
6.6.4.2.2	WINNF.FT.D.RLQ.4	Domain Proxy Unsuccessful Relinquishment, responseCode=102	PASS
6.6.4.3.2	WINNF.FT.D.RLQ.6	Domain Proxy Unsuccessful Relinquishment, responseCode=103	PASS

Section	Test Case ID	Test Case Title	Test Result
6.7.4.1.2	WINNF.FT.D.DRG.2	Domain Proxy Successful Deregistration	PASS
6.7.4.2.2	WINNF.FT.D.DRG.4	Domain Proxy Deregistration responseCode=102	PASS
6.7.4.3.1	WINNF.FT.C.DRG.5	Deregistration responseCode=103	PASS
6.8.4.1.1	WINNF.FT.C.SCS.1	Successful TLS connection between UUT and SAS Test Harness	PASS
6.8.4.2.1	WINNF.FT.C.SCS.2	TLS failure due to revoked certificate	PASS
6.8.4.2.2	WINNF.FT.C.SCS.3	TLS failure due to expired server certificate	PASS
6.8.4.2.3	WINNF.FT.C.SCS.4	TLS failure when SAS Test Harness certificate is issue by unknown CA	PASS
6.8.4.2.4	WINNF.FT.C.SCS.5	TLS failure when certificate at the SAS Test Harness is corrupted	PASS
7.1.4.1.1	WINNF.PT.C.HBT	UUT RF Transmit Power Measurement	PASS

## 2.3 Test Equipment List

Name	Brand Name	Type/Model	Serial Number	Calibration	
				Last Cal.	Due Date
GPS Simulator	Spectracom	GSG-5	200580	N/A	N/A
Spectrum Analyzer	Rohde & Schwarz	FSP40	100055	Dec. 30, 2021	Dec. 29, 2022

## 2.4 Support Equipment

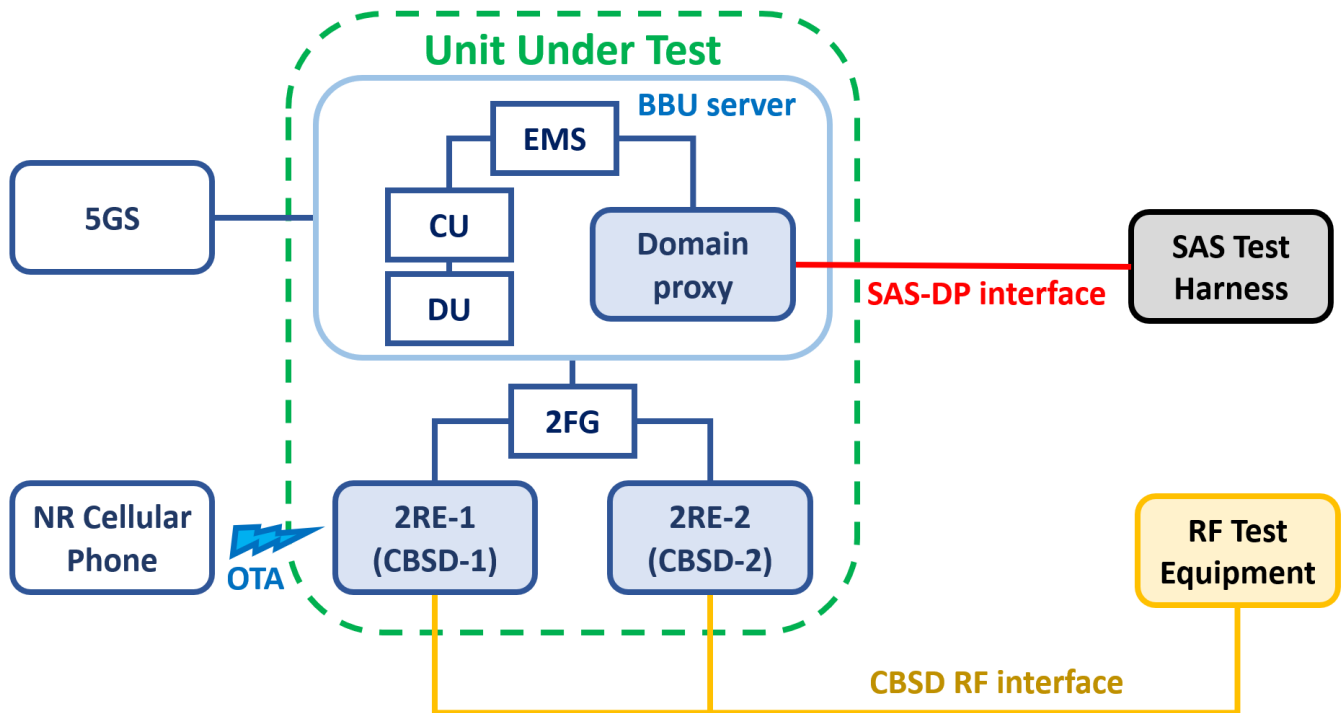
Name	Brand Name	Model Number	HW Version	SW Version	Serial Number
BBU	Quanta	S5Y	NA	NA	NA
5GC	Quanta	S5BQ	NA	NA	NA
FHGW	Quanta	2FG	NA	NA	NA

### 3 Measurement Environment

Measurement Environment Information	
<b>SAS Test Harness version</b>	1.0.0.3
<b>Operating System</b>	Windows 10
<b>TLS version</b>	V 1.2
<b>Python version</b>	V 2.7.16

Conditional Test Case		
Support (Yes / No)	Condition	Definition
Yes	C1	Mandatory for UUT which supports multi-step registration message
No	C2	Mandatory for UUT which supports single-step registration with no CPI-signed data in the registration message. By definition, this is a subset of Category A devices which determine all registration information, including location, without CPI intervention.
Yes	C3	Mandatory for UUT which supports single-step registration containing CPIsigned data in the registration message.
No	C4	Mandatory for UUT which supports RECEIVED_POWER_WITHOUT_GRANT measurement report type
No	C5	Mandatory for UUT which supports RECEIVED_POWER_WITH_GRANT measurement report type.
Yes	C6	Mandatory for UUT which supports parameter change being made at the UUT and prior to sending a deregistration.

### 3.1 Test configuration with Domain Proxy



### 3.2 Standards

[n.1]. WINNF-TS-0122 Version 1.0.2, "Conformance and Performance Test Technical Specification; CBSD/DP as Unit Under Test (UUT)", 25 November 2020

[n.2]. WINNF-TS-0016 Version 1.2.6, "SAS to CBSD Technical Specification", 25 November 2020



## 4 Protocol Test Results

### 4.1 [WINNF.FT.D.REG.2] Domain Proxy Multi-Step registration

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>• UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>• UUT is in the Unregistered state</li> </ul>	--
2	<p>DP with two CBSD sends correct Registration request information, as specified in [n.5], in the form of one 2-element Array or as individual messages to the SAS Test Harness:</p> <ul style="list-style-type: none"> <li>• The required <i>userId</i>, <i>fcid</i> and <i>cbsdSerialNumber</i> registration parameters shall be sent for each CBSD and conform to proper format and acceptable ranges.</li> <li>• Any REG-conditional or optional registration parameters that may be included in the message shall be verified that they conform to proper format and are within acceptable ranges.</li> </ul> <p>Note: It is outside the scope of this document to test the Registration information that is supplied via another means.</p>	PASS
3	<ul style="list-style-type: none"> <li>• SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or individual messages as follows: <ul style="list-style-type: none"> <li>– <i>cbsdId</i> = Ci</li> <li>– <i>measReportConfig</i> shall not be included</li> <li>– <i>responseCode</i> = 0 for each CBSD</li> </ul> </li> </ul>	--
4	<p>After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i>=0) to further request messages from the UUT.</p>	--
5	<p>Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> <li>• UUT shall not transmit RF</li> </ul>	PASS

## 4.2 [WINNF.FT.D.REG.6] Domain Proxy Single-Step registration for CBSD with CPI signed data

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>• UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>• UUT is in the Unregistered state</li> <li>• All of the required and REG-Conditional parameters shall be configured and CPI signature provided</li> </ul>	--
2	<p>The DP with two CBSDs sends Registration requests in the form of one 2-element Array or as individual messages to the SAS Test Harness:</p> <ul style="list-style-type: none"> <li>• The required <i>userId</i>, <i>fcid</i> and <i>cbsdSerialNumber</i> and REG-Conditional <i>cbsdCategory</i>, <i>airInterface</i>, <i>measCapability</i> and <i>cpiSignatureData</i> registration parameters shall be sent from the CBSD and conform to proper format and acceptable ranges.</li> <li>• Any optional registration parameters that may be included in the message shall be verified that they conform to proper format and are within acceptable ranges.</li> </ul>	PASS
3	<ul style="list-style-type: none"> <li>• SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows: <ul style="list-style-type: none"> <li>– <i>cbsdId</i> = <i>Ci</i></li> <li>– <i>measReportConfig</i> for each CBSD shall not be included.</li> <li>– <i>responseCode</i> = 0 for each CBSD</li> </ul> </li> </ul>	--
4	<p>After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i>=0) to further request messages from the UUT.</p>	--
5	<p>Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> <li>• UUT shall not transmit RF</li> </ul>	PASS

#### 4.3 [WINNF.FT.C.REG.7] Registration due to change of an installation parameter

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"><li>• UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li></ul>	--
2	UUT has successfully registered with SAS Test Harness	--
3	Change an installation parameters at the UUT (time T) Tester needs to record the current time at which the parameter change is executed.	--
4	Monitor the SAS-CBSD interface. UUT sends a deregistrationRequest to the SAS Test Harness The deregistration request shall be sent within (T + 60 seconds) from step 3.	PASS

#### 4.4 [WINNF.FT.D.REG.9] Domain Proxy Missing Required parameters (responseCode 102)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"><li>• UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li><li>• UUT is in the Unregistered state</li></ul>	--
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.	--
3	SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows: <ul style="list-style-type: none"><li>– SAS response does not include a <i>cbsdId</i>.</li><li>– <i>responseCode</i> = Ri for CBSD1 and CBSD2</li></ul>	--
4	After completion of step 3, SAS Test Harness will not provide any positive response ( <i>responseCode</i> =0) to further request messages from the UUT.	--
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"><li>• UUT shall not transmit RF</li></ul>	PASS

#### 4.5 [WINNF.FT.D.REG.11] Domain Proxy Pending registration (responseCode 200)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> <li>• UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>• UUT is in the Unregistered state</li> </ul>	--
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.	--
3	SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows: <ul style="list-style-type: none"> <li>– SAS response does not include a <i>cbsdId</i>.</li> <li>– <i>responseCode</i> = Ri for CBSD1 and CBSD2</li> </ul>	--
4	After completion of step 3, SAS Test Harness will not provide any positive response ( <i>responseCode</i> =200) to further request messages from the UUT.	--
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> <li>• UUT shall not transmit RF</li> </ul>	PASS

#### 4.6 [WINNF.FT.D.REG.13] Domain Proxy Invalid parameters (responseCode 103)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> <li>• UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>• UUT is in the Unregistered state</li> </ul>	--
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.	--
3	SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows: <ul style="list-style-type: none"> <li>– SAS response does not include a <i>cbsdId</i>.</li> <li>– <i>responseCode</i> = Ri for CBSD1 and CBSD2</li> </ul>	--
4	After completion of step 3, SAS Test Harness will not provide any positive response ( <i>responseCode</i> R1 = 0 for CBSD1 and R2 = 103 for CBSD2) to further request messages from the UUT.	--
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> <li>• UUT shall not transmit RF</li> </ul>	PASS

#### 4.7 [WINNF.FT.D.REG.15] Domain Proxy Blacklisted CBSD (responseCode 101)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"><li>• UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li><li>• UUT is in the Unregistered state</li></ul>	--
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.	--
3	SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows: <ul style="list-style-type: none"><li>– SAS response does not include a <i>cbsdId</i>.</li><li>– <i>responseCode</i> = Ri for CBSD1 and CBSD2</li></ul>	--
4	After completion of step 3, SAS Test Harness will not provide any positive response ( <i>responseCode</i> R1 = 0 for CBSD1 and R2 = 101 for CBSD2) to further request messages from the UUT.	--
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"><li>• UUT shall not transmit RF</li></ul>	PASS

#### 4.8 [WINNF.FT.D.REG.17] Domain Proxy Unsupported SAS protocol version (responseCode 100)

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>• UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>• UUT is in the Unregistered state</li> </ul>	--
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.	--
3	<p>SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows:</p> <ul style="list-style-type: none"> <li>– SAS response does not include a <i>cbsdId</i>.</li> <li>– <i>responseCode</i> = <i>Ri</i> for CBSD1 and CBSD2</li> </ul>	--
4	After completion of step 3, SAS Test Harness will not provide any positive response ( <i>responseCode</i> ( <i>Ri</i> ) = 100 for each CBSD) to further request messages from the UUT.	--
5	<p>Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> <li>• UUT shall not transmit RF</li> </ul>	PASS



#### 4.9 [WINNF.FT.D.REG.19] Domain Proxy Group Error (responseCode 201)

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>• UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>• UUT is in the Unregistered state</li> </ul>	--
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.	--
3	<p>SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows:</p> <ul style="list-style-type: none"> <li>– SAS response does not include a <i>cbsdId</i>.</li> <li>– <i>responseCode</i> = Ri for CBSD1 and CBSD2</li> </ul>	--
4	After completion of step 3, SAS Test Harness will not provide any positive response ( <i>responseCode</i> R1 = 0 for CBSD1 and R2 = 201 for CBSD2) to further request messages from the UUT.	--
5	<p>Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> <li>• UUT shall not transmit RF</li> </ul>	PASS

#### 4.10[WINNF.FT.C.GRA.1] Unsuccessful Grant responseCode=400 (INTERFERENCE)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"><li>• UUT has registered successfully with SAS Test Harness, with <i>cbsdId</i> = C</li></ul>	--
2	UUT sends valid Grant Request.	--
3	SAS Test Harness sends a Grant Response message, including <ul style="list-style-type: none"><li>• <i>cbsdId</i>=C</li><li>• <i>responseCode</i> = R</li></ul>	--
4	After completion of step 3, SAS Test Harness will not provide any positive response ( <i>responseCode</i> =0) to further request messages from the UUT.	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"><li>• UUT shall not transmit RF</li></ul>	PASS

#### 4.11 [WINNF.FT.C.GRA.2] Unsuccessful Grant responseCode=401 (GRANT\_CONFLICT)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> <li>UUT has registered successfully with SAS Test Harness, with <i>cbsdId</i> = C</li> </ul>	--
2	UUT sends valid Grant Request.	--
3	SAS Test Harness sends a Grant Response message, including <ul style="list-style-type: none"> <li><i>cbsdId</i>=C</li> <li><i>responseCode</i> = R</li> </ul>	--
4	After completion of step 3, SAS Test Harness will not provide any positive response ( <i>responseCode</i> =401) to further request messages from the UUT.	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> <li>UUT shall not transmit RF</li> </ul>	PASS

## 4.12[WINNF.FT.D.HBT.2] Domain Proxy Heartbeat Success Case (first Heartbeat Response)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> <li>DP has two CBSD registered successfully with SAS Test Harness, with <i>cbsdId</i> = <i>Ci</i>, <i>i</i>={1,2}</li> </ul>	--
2	DP sends a message: <ul style="list-style-type: none"> <li>If message is a Spectrum Inquiry Request, go to step 3</li> <li>If message is a Grant Request, go to step 5</li> </ul>	--
3	DP sends a Spectrum Inquiry Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Spectrum Inquiry Request message is formatted correctly for each CBSD, including for CBSD <sub><i>i</i></sub> , <i>i</i> ={1,2}: <ul style="list-style-type: none"> <li><i>cbsdId</i> = <i>Ci</i></li> <li>List of frequencyRange objects sent by DP are within the CBRs frequency range</li> </ul>	PASS
4	If a separate Spectrum Inquiry Request message was sent for each CBSD, the SAS Test Harness shall respond to each Spectrum Inquiry Request message with a separate Spectrum Inquiry Response message.  If a single Spectrum Inquiry Request message was sent containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Spectrum Inquiry Response message containing a 2-object array.  Verify parameters for each CBSD within the Spectrum Inquiry Response message are as follows, for CBSD <sub><i>i</i></sub> , <i>i</i> ={1,2}: <ul style="list-style-type: none"> <li><i>cbsdId</i> = <i>Ci</i></li> <li><i>availableChannel</i> is an array of availableChannel objects</li> <li><i>responseCode</i> = 0</li> </ul>	--

#	Test Execution Steps	Results
5	<p>DP sends a Grant Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Grant Request message is formatted correctly for each CBSD, including for CBSDi, i={1,2}:</p> <ul style="list-style-type: none"> <li>• <i>cbsdId</i> = C</li> <li>• <i>maxEIRP</i> is at or below the limit appropriate for CBSD category as defined by Part 96</li> <li>• <i>operationFrequencyRange</i>, <i>Fi</i>, sent by UUT is a valid range within the CBRS band</li> </ul>	PASS
6	<p>If a separate Grant Request message was sent for each CBSD, the SAS Test Harness shall respond to each Grant Request message with a separate Grant Response message.</p> <p>If a single Grant Request message was sent containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Grant Response message containing a 2-object array.</p> <p>Verify parameters for each CBSD within the Grant Response message are as follows, for CBSDi, i={1,2}:</p> <ul style="list-style-type: none"> <li>• <i>cbsdId</i> = Ci</li> <li>• <i>grantId</i> = Gi = a valid grant ID</li> <li>• <i>grantExpireTime</i> = UTC time greater than duration of the test</li> </ul> <p><i>responseCode</i> = 0</p>	--
7	<p>Ensure DP sends first Heartbeat Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Heartbeat Request message is formatted correctly for each CBSD, including, for CBSDi i={1,2}:</p> <ul style="list-style-type: none"> <li>• <i>cbsdId</i> = Ci, i={1,2}</li> <li>• <i>grantId</i> = Gi, i={1,2}</li> <li>• <i>operationState</i> = "GRANTED"</li> </ul>	PASS

#	Test Execution Steps	Results
8	<p>If a separate Heartbeat Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each Heartbeat Request message with a separate Heartbeat Response message.</p> <p>If a single Heartbeat Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Heartbeat Response message containing a 2-object array.</p> <p>Verify parameters for each CBSD within the Heartbeat Response message are as follows, for CBSDi:</p> <ul style="list-style-type: none"> <li>• <i>cbsdId</i> = Ci</li> <li>• <i>grantId</i> = Gi</li> <li>• <i>transmitExpireTime</i> = current UTC time + 200 seconds</li> <li>• <i>responseCode</i> = 0</li> </ul>	--
9	<p>For further Heartbeat Request messages sent from DP after completion of step 8, validate message is sent within latest specified heartbeatInterval for CBSDi:</p> <ul style="list-style-type: none"> <li>• <i>cbsdId</i> = Ci</li> <li>• <i>grantId</i> = Gi</li> <li>• <i>operationState</i> = "AUTHORIZED"</li> </ul> <p>and SAS Test Harness responds with a Heartbeat Response message including the following parameters, for CBSDi</p> <ul style="list-style-type: none"> <li>• <i>cbsdId</i> = Ci</li> <li>• <i>grantId</i> = Gi</li> <li>• <i>transmitExpireTime</i> = current UTC time + 200 seconds</li> <li>• <i>responseCode</i> = 0</li> </ul>	PASS
10	<p>Monitor the RF output of the UUT from start of test until UUT transmission commences. Monitor the RF output of the UUT from start of test until RF transmission commences. Verify:</p> <ul style="list-style-type: none"> <li>• UUT does not transmit at any time prior to completion of the first heartbeat response</li> <li>• UUT transmits after step 8 is complete, and its transmission is limited to within the bandwidth range Fi.</li> </ul>	PASS

#### 4.13[WINNF.FT.C.HBT.3] Heartbeat responseCode=105 (DEREGISTER)

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>• UUT has registered successfully with SAS Test Harness</li> <li>• UUT has a valid single grant as follows: <ul style="list-style-type: none"> <li>○ valid <i>cbsdId</i> = C</li> <li>○ valid <i>grantId</i> = G</li> <li>○ grant is for frequency range F, power P</li> <li>○ <i>grantExpireTime</i> = UTC time greater than duration of the test</li> </ul> </li> <li>• UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface</li> </ul>	--
2	<p>UUT sends a Heartbeat Request message.</p> <p>Ensure Heartbeat Request message is sent within Heartbeat Interval specified in the latest Heartbeat Response, and formatted correctly, including:</p> <ul style="list-style-type: none"> <li>• <i>cbsdId</i> = C</li> <li>• <i>grantId</i> = G</li> <li>• <i>operationState</i> = "AUTHORIZED"</li> </ul>	PASS
3	<p>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</p> <ul style="list-style-type: none"> <li>• <i>cbsdId</i> = C</li> <li>• <i>grantId</i> = G</li> <li>• <i>transmitExpireTime</i> = T = Current UTC time</li> <li>• <i>responseCode</i> = 105 (DEREGISTER)</li> </ul>	--
4	<p>After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.</p>	--
5	<p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> <li>• UUT shall stop transmission within (T + 60 seconds) of completion of step 3</li> </ul>	PASS

#### 4.14[WINNF.FT.C.HBT.5] Heartbeat responseCode=501 (SUSPENDED\_GRANT) in First Heartbeat Response

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>• UUT has registered successfully with SAS Test Harness</li> <li>• UUT has a valid single grant as follows: <ul style="list-style-type: none"> <li>○ valid <i>cbsdId</i> = C</li> <li>○ valid <i>grantId</i> = G</li> <li>○ grant is for frequency range F, power P</li> <li>○ <i>grantExpireTime</i> = UTC time greater than duration of the test</li> </ul> </li> <li>• UUT is in GRANTED, but not AUTHORIZED state (i.e. has not performed its first Heartbeat Request)</li> </ul>	--
2	<p>UUT sends a Heartbeat Request message. Verify Heartbeat Request message is formatted correctly, including:</p> <ul style="list-style-type: none"> <li>• <i>cbsdId</i> = C</li> <li>• <i>grantId</i> = G</li> <li>• <i>operationState</i> = "GRANTED"</li> </ul>	PASS
3	<p>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</p> <ul style="list-style-type: none"> <li>• <i>cbsdId</i> = C</li> <li>• <i>grantId</i> = G</li> <li>• <i>transmitExpireTime</i> = T = current UTC time</li> <li>• <i>responseCode</i> = 501 (SUSPENDED_GRANT)</li> </ul>	--
4	<p>After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.</p>	--
5	<p>Monitor the SAS-CBSD interface. Verify either A OR B occurs:</p> <p>A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters:</p> <ul style="list-style-type: none"> <li>• <i>cbsdId</i> = C</li> <li>• <i>grantId</i> = G</li> <li>• <i>operationState</i> = "GRANTED"</li> </ul> <p>B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters:</p> <ul style="list-style-type: none"> <li>• <i>cbsdId</i> = C</li> <li>• <i>grantId</i> = G</li> </ul> <p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> <li>• UUT does not transmit at any time</li> </ul>	PASS



#### 4.15[WINNF.FT.C.HBT.6] Heartbeat responseCode=501 (SUSPENDED\_GRANT) in Subsequent Heartbeat Response

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>• UUT has registered successfully with SAS Test Harness</li> <li>• UUT has a valid single grant as follows: <ul style="list-style-type: none"> <li>○ valid <i>cbsdId</i> = C</li> <li>○ valid <i>grantId</i> = G</li> <li>○ grant is for frequency range F, power P</li> <li>○ <i>grantExpireTime</i> = UTC time greater than duration of the test</li> </ul> </li> <li>• UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface</li> </ul>	--
2	<p>UUT sends a Heartbeat Request message.</p> <p>Verify Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including:</p> <ul style="list-style-type: none"> <li>• <i>cbsdId</i> = C</li> <li>• <i>grantId</i> = G</li> <li>• <i>operationState</i> = "AUTHORIZED"</li> </ul>	PASS
3	<p>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</p> <ul style="list-style-type: none"> <li>• <i>cbsdId</i> = C</li> <li>• <i>grantId</i> = G</li> <li>• <i>transmitExpireTime</i> = T = current UTC time</li> <li>• <i>responseCode</i> = 501 (SUSPENDED_GRANT)</li> </ul>	--
4	<p>After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.</p>	--

#	Test Execution Steps	Results
5	<p>Monitor the SAS-CBSD interface. Verify either A OR B occurs:</p> <p>A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters:</p> <ul style="list-style-type: none"><li>• <i>cbsdId</i> = C</li><li>• <i>grantId</i> = G</li><li>• <i>operationState</i> = "GRANTED"</li></ul> <p>B. UUT sends a Relinquishment Request message. Ensure message is correctly formatted with parameters:</p> <ul style="list-style-type: none"><li>• <i>cbsdId</i> = C</li><li>• <i>grantId</i> = G</li></ul> <p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"><li>• UUT shall stop transmission within (<i>T</i> + 60 seconds) of completion of step 3</li></ul>	PASS

## 4.16[WINNF.FT.C.HBT.7] Heartbeat responseCode=502 (UNSYNC\_OP\_PARAM)

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>• UUT has registered successfully with SAS Test Harness</li> <li>• UUT has a valid single grant as follows: <ul style="list-style-type: none"> <li>○ valid <i>cbsdId</i> = C</li> <li>○ valid <i>grantId</i> = G</li> <li>○ grant is for frequency range F, power P</li> <li>○ <i>grantExpireTime</i> = UTC time greater than duration of the test</li> </ul> </li> <li>• UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface</li> </ul>	--
2	<p>UUT sends a Heartbeat Request message. Verify Heartbeat Request message is sent within latest specified <i>heartbeatInterval</i>, and is formatted correctly, including:</p> <ul style="list-style-type: none"> <li>• <i>cbsdId</i> = C</li> <li>• <i>grantId</i> = G</li> <li>• <i>operationState</i> = "AUTHORIZED"</li> </ul>	PASS
3	<p>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</p> <ul style="list-style-type: none"> <li>• <i>cbsdId</i> = C</li> <li>• <i>grantId</i> = G</li> <li>• <i>transmitExpireTime</i> = T = Current UTC Time</li> <li>• <i>responseCode</i> = 502 (UNSYNC_OP_PARAM)</li> </ul>	--
4	<p>After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.</p>	--
5	<p>Monitor the SAS-CBSD interface. Verify:</p> <ul style="list-style-type: none"> <li>• UUT sends a Grant Relinquishment Request message. Verify message is correctly formatted with parameters: <ul style="list-style-type: none"> <li>○ <i>cbsdId</i> = C</li> <li>○ <i>grantId</i> = G</li> </ul> </li> </ul> <p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> <li>• UUT shall stop transmission within (T+60) seconds of completion of step 3.</li> </ul>	PASS

#### 4.17[WINNF.FT.D.HBT.8] Domain Proxy Heartbeat responseCode=500 (TERMINATED\_GRANT)

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>DP has two CBSD registered successfully with SAS Test Harness</li> <li>Each CBSD {1,2} has a valid single grant as follows: <ul style="list-style-type: none"> <li>valid <i>cbsdId</i> = Ci, i={1,2}</li> <li>valid <i>grantId</i> = Gi, i={1,2}</li> <li>grant is for frequency range Fi, power Pi</li> <li><i>grantExpireTime</i> = UTC time greater than duration of the test</li> </ul> </li> <li>Both CBSD are in AUTHORIZED state and transmitting within their granted bandwidth on RF interface</li> </ul>	--
2	<p>DP sends a Heartbeat Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of size 2.</p> <p>Verify Heartbeat Request message is sent within latest specified <i>heartbeatInterval</i>, and is formatted correctly for each CBSD, including, for CBSDi i={1,2}:</p> <ul style="list-style-type: none"> <li><i>cbsdId</i> = Ci, i = {1,2}</li> <li><i>grantId</i> = Gi, i = {1,2}</li> <li><i>operationState</i> = "AUTHORIZED"</li> </ul>	PASS

#	Test Execution Steps	Results
3	<p>If separate Heartbeat Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each Heartbeat Request message with a separate Heartbeat Response message.</p> <p>If a single Heartbeat Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Heartbeat Response message containing a 2-object array.</p> <p>Parameters for each CBSD within the Heartbeat Response message should be as follows, for CBSDi:</p> <ul style="list-style-type: none"> <li>• <i>cbsdId</i> = Ci</li> <li>• <i>grantId</i> = Gi</li> <li>• For CBSD1: <ul style="list-style-type: none"> <li>○ <i>transmitExpireTime</i> = current UTC time + 200 seconds</li> <li>○ <i>responseCode</i> = 0</li> </ul> </li> <li>• For CBSD2: <ul style="list-style-type: none"> <li>○ <i>transmitExpireTime</i> = T = current UTC time</li> <li>○ <i>responseCode</i> = 500 (TERMINATED_GRANT)</li> </ul> </li> </ul>	--
4	<p>After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.</p> <p>If CBSD sends further Heartbeat Request messages for CBSD1, SAS Test Harness shall respond with a Heartbeat Response message with parameters:</p> <ul style="list-style-type: none"> <li>• <i>cbsdId</i> = C1</li> <li>• <i>grantId</i> = G1</li> <li>• <i>transmitExpireTime</i> = current UTC time + 200 seconds</li> <li>• <i>responseCode</i> = 0</li> <li>• Heartbeat Request message is within <i>heartbeatInterval</i> of previous Heartbeat Request message</li> </ul>	--
5	<p>Monitor the RF output of CBSD2. Verify:</p> <ul style="list-style-type: none"> <li>• CBSD2 shall stop transmission within bandwidth F2 within (T + 60 seconds) of completion of step 3</li> </ul>	PASS

## 4.18[WINNF.FT.C.HBT.9] Heartbeat Response Absent (First Heartbeat)

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>• UUT has registered successfully with SAS Test Harness</li> <li>• UUT has a valid single grant as follows: <ul style="list-style-type: none"> <li>○ valid <i>cbsdId</i> = C</li> <li>○ valid <i>grantId</i> = G</li> <li>○ grant is for frequency range F, power P</li> <li>○ <i>grantExpireTime</i> = UTC time greater than duration of the test</li> </ul> </li> <li>• UUT is in GRANTED, but not AUTHORIZED state (i.e. has not performed its first Heartbeat Request)</li> </ul>	--
2	<p>UUT sends a Heartbeat Request message.</p> <p>Ensure Heartbeat Request message is sent within latest specified <i>heartbeatInterval</i>, and is formatted correctly, including:</p> <ul style="list-style-type: none"> <li>• <i>cbsdId</i> = C</li> <li>• <i>grantId</i> = G</li> <li>• <i>operationState</i> = "GRANTED"</li> </ul>	PASS
3	<p>After completion of Step 2, SAS Test Harness does not respond to any further messages from UUT to simulate loss of network connection</p>	--
4	<p>Monitor the RF output of the UUT from start of test to 60 seconds after step 3.</p> <p>Verify:</p> <ul style="list-style-type: none"> <li>• At any time during the test, UUT shall not transmit on RF interface</li> </ul>	PASS

## 4.19[WINNF.FT.C.HBT.10] Heartbeat Response Absent (Subsequent Heartbeat)

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>• UUT has registered successfully with SAS Test Harness</li> <li>• UUT has a valid single grant as follows: <ul style="list-style-type: none"> <li>○ valid <i>cbsdId</i> = C</li> <li>○ valid <i>grantId</i> = G</li> <li>○ grant is for frequency range F, power P</li> <li>○ <i>grantExpireTime</i> = UTC time greater than duration of the test</li> </ul> </li> <li>• UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface</li> </ul>	--
2	<p>UUT sends a Heartbeat Request message.</p> <p>Verify Heartbeat Request message issent within the latest specified <i>heartbeatInterval</i>, and is formatted correctly, including:</p> <ul style="list-style-type: none"> <li>• <i>cbsdId</i> = C</li> <li>• <i>grantId</i> = G</li> <li>• <i>operationState</i> = "AUTHORIZED"</li> </ul>	PASS
3	<p>SAS Test Harness sends a Heartbeat Response message, with the following parameters:</p> <ul style="list-style-type: none"> <li>• <i>cbsdId</i> = C</li> <li>• <i>grantId</i> = G</li> <li>• <i>transmitExpireTime</i> = current UTC time + 200 seconds</li> <li>• <i>responseCode</i> = 0</li> </ul>	--
4	<p>After completion of Step 3, SAS Test Harness does not respond to any further messages from UUT</p>	--
5	<p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> <li>• UUT shall stop all transmission on RF interface within (<i>transmitExpireTime</i> + 60 seconds), using the <i>transmitExpireTime</i> sent in Step 3.</li> </ul>	PASS

## 4.20[WINNF.FT.C.HBT.11] Successful Grant Renewal in Heartbeat Test Case

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>• UUT has registered successfully with SAS Test Harness</li> <li>• UUT has a valid single grant as follows: <ul style="list-style-type: none"> <li>○ valid <i>cbsdId</i> = C</li> <li>○ valid <i>grantId</i> = G</li> <li>○ grant is for frequency range F, power P</li> </ul> </li> <li>• UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface.</li> <li>• Grant has the following parameters at the start of the test: <ul style="list-style-type: none"> <li>○ <i>grantExpireTime</i> =UTC time equal to time at start of test + 300 seconds = Tgrant_expire</li> <li>○ <i>transmitExpireTime</i> = UTC time equal to time at start of test + 200 seconds</li> <li>○ <i>heartbeatInterval</i> = 60 seconds</li> </ul> </li> </ul>	--
2	<p>UUT sends a Heartbeat Request message.</p> <p>If Heartbeat Request message contains grantRenew = TRUE, go to Step 6, else go to Step 3.</p>	--
3	<p>Verify Heartbeat Request message is sent within the latest specified <i>heartbeatInterval</i>, and is formatted correctly, including:</p> <ul style="list-style-type: none"> <li>• <i>cbsdId</i> = C</li> <li>• <i>grantId</i> = G</li> <li>• <i>operationState</i> = "AUTHORIZED"</li> </ul>	PASS
4	<p>SAS Test Harness sends a Heartbeat Response message, with the following parameters:</p> <ul style="list-style-type: none"> <li>• <i>cbsdId</i> = C</li> <li>• <i>grantId</i> = G</li> <li>• <i>transmitExpireTime</i> = current UTC + 200 seconds</li> <li>• <i>grantExpireTime</i> = same as Step 1</li> <li>• <i>responseCode</i> = 0</li> </ul>	--
5	Go to Step 2	--



#	Test Execution Steps	Results
6	<p>Verify Heartbeat Request message is sent within the latest specified heartbeatInterval, and is formatted correctly, including:</p> <ul style="list-style-type: none"> <li>• <i>cbsdId</i> = C</li> <li>• <i>grantId</i> = G</li> <li>• <i>operationState</i> = "AUTHORIZED"</li> <li>• <i>grantRenew</i> = TRUE</li> </ul>	PASS
7	<p>SAS Test Harness sends a Heartbeat Response message, with the following parameters:</p> <ul style="list-style-type: none"> <li>• <i>cbsdId</i> = C</li> <li>• <i>grantId</i> = G</li> <li>• <i>grantExpireTime</i> = UTC time set far in the future</li> <li>• <i>transmitExpireTime</i> = current UTC time + 200 seconds</li> <li>• <i>responseCode</i> = 0</li> </ul>	--
8	<p>Continue to respond to any subsequentHeartbeat Request from CBSD with Heartbeat Response with the following parameters:</p> <ul style="list-style-type: none"> <li>• <i>cbsdId</i> = C</li> <li>• <i>grantId</i> = G</li> <li>• <i>transmitExpireTime</i> = same as Step 7</li> <li>• <i>responseCode</i> = 0</li> </ul>	--
9	<p>Monitor RF transmission of UUT from start of test until Tgrant_expire + 60 seconds and ensure UUT continues to transmit throughout the time period.</p>	PASS

## 4.21 [WINNF.FT.D.RLQ.2] Domain Proxy Successful Relinquishment

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>DP has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>DP has successfully registered 2 CBSD with SAS Test Harness, each with <i>cbsdId</i>=Ci, i={1,2}</li> <li>DP has received a valid grant with <i>grantId</i> = Gi, i={1,2} for each CBSD</li> <li>Both CBSD are in Grant State AUTHORIZED and actively transmitting within the bounds of their grants.</li> </ul> <p>Invoke trigger to relinquish each UUT Grant from the SAS Test Harness</p>	--
2	<p>Verify DP sends a Relinquishment Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2.</p> <p>Verify Relinquishment Request message contains all required parameters properly formatted for each CBSD, specifically, for CBSDi:</p> <ul style="list-style-type: none"> <li><i>cbsdId</i> = Ci</li> <li><i>grantId</i> = Gi</li> </ul>	PASS
3	<p>If a separate Relinquishment Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each request message with a separate response message.</p> <p>If a single Relinquishment Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Response message containing a 2-object array. Parameters for each CBSD within the Relinquishment Response shall be as follows:</p> <ul style="list-style-type: none"> <li><i>cbsdId</i> = Ci</li> <li><i>grantId</i> = Gi</li> <li><i>responseCode</i> = 0</li> </ul>	--

#	Test Execution Steps	Results
4	After completion of step 3, SAS Test Harness will not provide any additional positive response ( <i>responseCode=0</i> ) to further request messages from the UUT.	--
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"><li>• UUT shall stop RF transmission at any time between triggering the relinquishments and UUT sending the relinquishment requests for each CBSD.</li></ul>	PASS

## 4.22[WINNF.FT.D.RLQ.4] Domain Proxy Unsuccessful Relinquishment, responseCode=102

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>DP has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>DP has successfully registered 2 CBSD with SAS Test Harness, each with <i>cbsdId</i>=Ci, i={1,2}</li> <li>DP has received a valid grant with <i>grantId</i> = Gi, i={1,2} for each CBSD</li> <li>Both CBSD are in Grant State AUTHORIZED and actively transmitting within the bounds of their grants.</li> </ul> <p>Invoke trigger on UUT to Relinquish Grant from the SAS Test Harness</p>	--
2	<p>DP with two CBSDs sends Relinquishment Request with two objects to the SAS Test Harness.</p> <p>This may occur in a separate message per CBSD, or together in a single message with array of 2.</p> <p>Verify DP sends a Relinquishment Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2.</p> <p>Verify Relinquishment Request message contains all required parameters properly formatted for each CBSD, specifically, for CBSDi:</p> <ul style="list-style-type: none"> <li><i>cbsdId</i> = Ci</li> <li><i>grantId</i> = Gi</li> </ul>	--

#	Test Execution Steps	Results
3	<p>If a separate Relinquishment Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each request message with a separate response message.</p> <p>If a single Relinquishment Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Response message containing a 2-object array.</p> <p>Parameters for each CBSD within the Relinquishment Response Message shall be as follows:</p> <ul style="list-style-type: none"> <li>• <i>cbsdId</i> = <i>Ci</i></li> <li>• No <i>grantId</i></li> </ul> <p><i>responseCode</i> = <i>Ri</i></p>	--
4	After completion of step 3, SAS Test Harness will not provide any positive response ( <i>responseCode</i> =0) to further request messages from the UUT.	--
5	<p>Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <p>A. UUT stopped RF transmission at any time between triggering the relinquishment and UUT sending the relinquishment request</p>	PASS

## 4.23[WINNF.FT.D.RLQ.6] Domain Proxy Unsuccessful Relinquishment, responseCode=103

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>DP has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>DP has successfully registered 2 CBSD with SAS Test Harness, each with <i>cbsdId</i>=Ci, i={1,2}</li> <li>DP has received a valid grant with <i>grantId</i> = Gi, i={1,2} for each CBSD</li> <li>Both CBSD are in Grant State AUTHORIZED and actively transmitting within the bounds of their grants.</li> </ul> <p>Invoke trigger on UUT to Relinquish Grant from the SAS Test Harness</p>	--
2	<p>DP with two CBSDs sends Relinquishment Request with two objects to the SAS Test Harness.</p> <p>This may occur in a separate message per CBSD, or together in a single message with array of 2.</p> <p>Verify DP sends a Relinquishment Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2.</p> <p>Verify Relinquishment Request message contains all required parameters properly formatted for each CBSD, specifically, for CBSDi:</p> <ul style="list-style-type: none"> <li><i>cbsdId</i> = Ci</li> <li><i>grantId</i> = Gi</li> </ul>	--

#	Test Execution Steps	Results
3	<p>If a separate Relinquishment Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each request message with a separate response message.</p> <p>If a single Relinquishment Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Response message containing a 2-object array.</p> <p>Parameters for each CBSD within the Relinquishment Response Message shall be as follows:</p> <ul style="list-style-type: none"> <li>• <i>cbsdId</i> = <i>Ci</i></li> <li>• No <i>grantId</i></li> </ul> <p><i>responseCode</i> = <i>Ri</i></p>	--
4	After completion of step 3, SAS Test Harness will not provide any positive response ( <i>responseCode</i> =103) to further request messages from the UUT.	--
5	<p>Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <p>A. UUT stopped RF transmission at any time between triggering the relinquishment and UUT sending the relinquishment request</p>	PASS

## 4.24[WINNF.FT.D.DRG.2] Domain Proxy Successful Deregistration

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>Each UUT has successfully registered with SAS Test Harness</li> <li>Each UUT is in the authorized state</li> <li>DP has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>DP has successfully registered 2 CBSD with SAS Test Harness, each with <i>cbsdId</i>=Ci, i={1,2}</li> <li>DP has received a valid grant with <i>grantId</i> = Gi, i={1,2} for each CBSD</li> <li>Both CBSD are in Grant State AUTHORIZED and actively transmitting within the bounds of their grants.</li> </ul> <p>Invoke trigger to deregister each UUT from the SAS Test Harness</p>	--
2	UUT sends a Relinquishment request and receives Relinquishment response with <i>responseCode</i> =0	--
3	<p>Verify DP sends a Deregistration Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2.</p> <p>Verify Deregistration Request message contains all required parameters properly formatted for each CBSD, specifically, for CBSDi:</p> <ul style="list-style-type: none"> <li><i>cbsdId</i> = Ci</li> </ul>	PASS
4	<p>If a separate Deregistration Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each request message with a separate response message.</p> <p>If a single Deregistration Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Response message containing a 2-object array.</p> <p>Parameters for each CBSD within the Deregistration Response shall be as follows:</p> <ul style="list-style-type: none"> <li><i>cbsdId</i> = Ci</li> <li><i>responseCode</i> = 0</li> </ul>	--
5	After completion of step 4, SAS Test Harness will not provide any positive response ( <i>responseCode</i> =0) to further request messages from the UUT.	--





#	Test Execution Steps	Results
6	<p>Monitor the RF output of each UUT from start of test until 60 seconds after Step 4 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"><li>• UUT stopped RF transmission at any time between triggering the deregistration and either A OR B occurs:</li></ul> <p>A. UUT sending a Registration Request message, as this is not mandatory</p> <p>B. UUT sending a Deregistration Request message</p>	PASS

## 4.25[WINNF.FT.D.DRG.4] Domain Proxy Deregistration responseCode=102

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>DP has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>DP has successfully registered 2 CBSD with SAS Test Harness, each with <i>cbsdId</i>=Ci, i={1,2}</li> <li>DP has received a valid grant with <i>grantId</i> = Gi, i={1,2} for each CBSD</li> <li>Both CBSD are in Grant State AUTHORIZED and actively transmitting within the bounds of their grants.</li> </ul> <p>Invoke trigger to deregister each UUT from the SAS Test Harness</p>	--
2	UUT sends a Relinquishment request and receives Relinquishment response with <i>responseCode</i> =0 for each CBSD	--
3	<p>Verify DP sends a Deregistration Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2.</p> <p>Verify Deregistration Request message contains all required parameters properly formatted for each CBSD, specifically, for CBSDi:</p> <ul style="list-style-type: none"> <li><i>cbsdId</i> = Ci</li> </ul>	--
4	<p>If a separate Deregistration Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each request message with a separate response message.</p> <p>If a single Deregistration Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Response message containing a 2-object array.</p> <p>Parameters for each CBSD within the Deregistration Response Message shall be as follows:</p> <ul style="list-style-type: none"> <li>No <i>cbsdId</i> in either response</li> <li><i>responseCode</i> = Ri</li> </ul>	--
5	After completion of step 3, SAS Test Harness will not provide any positive response ( <i>responseCode</i> =0) to further request messages from the UUT.	--

#	Test Execution Steps	Results
6	<p>Monitor the RF output of each UUT from start of test until 60 seconds after Step 4 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"><li>• UUT stopped RF transmission at any time between triggering the deregistration and either A OR B occurs:</li></ul> <p>A. UUT sending a Registration Request message, as this is not mandatory</p> <p>B. UUT sending a Deregistration Request message</p>	PASS

## 4.26[WINNF.FT.C.DRG.5] Deregistration responseCode=103

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>• UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>• UUT has successfully registered with SAS Test Harness, with <i>cbsdId</i>=C</li> <li>• UUT has received a valid grant with <i>grantId</i> = G</li> <li>• UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant.</li> </ul> <p>Invoke trigger to deregister UUT from the SAS Test Harness</p>	--
2	UUT sends a Relinquishment request and receives Relinquishment response with <i>responseCode</i> =0	--
3	UUT sends Deregistration Request to SAS Test Harness with <i>cbsdId</i> = C	--
4	<p>The SAS Test Harness sends the Deregistration Response Message to UUT with:</p> <ul style="list-style-type: none"> <li>• No <i>cbsdId</i></li> <li>• <i>responseCode</i> = 103</li> </ul>	--
5	After completion of step 3, SAS Test Harness will not provide any positive response ( <i>responseCode</i> =0) to further request messages from the UUT.	--
6	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 4 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> <li>• UUT stopped RF transmission at any time between triggering the deregistration and either A OR B occurs:</li> </ul> <p>A. UUT sending a Registration Request message, as this is not mandatory</p> <p>B. UUT sending a Deregistration Request message</p>	PASS

## 4.27[WINNF.FT.C.SCS.1] Successful TLS connection between UUT and SAS Test Harness

#	Test Execution Steps	Results
1	<ul style="list-style-type: none"> <li>UUT shall start CBSD-SAS communication with the security procedure</li> <li>The UUT shall establish a TLS handshake with the SAS Test Harness using configured certificate.</li> <li>Configure the SAS Test Harness to accept the security procedure and establish the connection</li> </ul>	PASS
2	<ul style="list-style-type: none"> <li>Make sure that Mutual authentication happens between UUT and the SAS Test Harness.</li> <li>Make sure that UUT uses TLS v1.2</li> <li>Make sure that cipher suites from one of the following is selected, <ul style="list-style-type: none"> <li>TLS_RSA_WITH_AES_128_GCM_SHA256</li> <li>TLS_RSA_WITH_AES_256_GCM_SHA384</li> <li>TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA256</li> <li>TLS_ECDHE_ECDSA_WITH_AES_256_GCM_SHA384</li> <li>TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256</li> </ul> </li> </ul>	PASS
3	<p>A successful registration is accomplished using one of the test cases described in section 6.1.4.1, depending on CBSD capability.</p> <ul style="list-style-type: none"> <li>UUT sends a registration request to the SAS Test Harness and the SAS Test Harness sends a Registration Response with <i>responseCode</i> = 0 and <i>cbsdId</i>.</li> </ul>	PASS
4	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> <li>UUT shall not transmit RF</li> </ul>	PASS

## 4.28[WINNF.FT.C.SCS.2] TLS failure due to revoked certificate

#	Test Execution Steps	Results
1	<ul style="list-style-type: none"> <li>UUT shall start CBSD-SAS communication with the security procedures</li> </ul>	PASS
2	<ul style="list-style-type: none"> <li>Make sure that UUT uses TLS v1.2 for security establishment.</li> <li>Make sure UUT selects the correct cipher suite.</li> <li>UUT shall use CRL or OCSP to verify the validity of the server certificate.</li> <li>Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.</li> </ul>	PASS
3	UUT may retry for the security procedure which shall fail	PASS
4	SAS Test-Harness shall not receive any Registration request or any application data.	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> <li>UUT shall not transmit RF</li> </ul>	PASS

#### 4.29[WINNF.FT.C.SCS.3] TLS failure due to expired server certificate

#	Test Execution Steps	Results
1	<ul style="list-style-type: none"> <li>UUT shall start CBSD-SAS communication with the security procedures</li> </ul>	PASS
2	<ul style="list-style-type: none"> <li>Make sure that UUT uses TLS v1.2 for security establishment.</li> <li>Make sure UUT selects the correct cipher suite.</li> <li>UUT shall use CRL or OCSP to verify the validity of the server certificate.</li> <li>Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.</li> </ul>	PASS
3	UUT may retry for the security procedure which shall fail.	PASS
4	SAS Test-Harness shall not receive any Registration request or any application data.	--
5	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> <li>UUT shall not transmit RF</li> </ul>	PASS

#### 4.30[WINNF.FT.C.SCS.4] TLS failure when SAS Test Harness certificate is issued by an unknown CA

#	Test Execution Steps	Results
1	<ul style="list-style-type: none"><li>• UUT shall start CBSD-SAS communication with the security procedures</li></ul>	PASS
2	<ul style="list-style-type: none"><li>• Make sure that UUT uses TLS v1.2 for security establishment.</li><li>• Make sure UUT selects the correct cipher suite.</li><li>• UUT shall use CRL or OCSP to verify the validity of the server certificate</li><li>• Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.</li></ul>	PASS
3	UUT may retry for the security procedure which shall fail.	PASS
4	SAS Test-Harness shall not receive any Registration request or any application data.	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"><li>• UUT shall not transmit RF</li></ul>	PASS



#### 4.31 [WINNF.FT.C.SCS.5] TLS failure when certificate at the SAS Test Harness is corrupted

#	Test Execution Steps	Results
1	<ul style="list-style-type: none"><li>• UUT shall start CBSD-SAS communication with the security procedures</li></ul>	PASS
2	<ul style="list-style-type: none"><li>• Make sure that UUT uses TLS v1.2 for security establishment.</li><li>• Make sure UUT selects the correct cipher suite.</li><li>• UUT shall use CRL or OCSP to verify the validity of the server certificate.</li><li>• Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.</li></ul>	PASS
3	UUT may retry for the security procedure which shall fail.	PASS
4	SAS Test-Harness shall not receive any Registration request or any application data.	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"><li>• UUT shall not transmit RF</li></ul>	PASS

## 4.32[WINNF.PT.C.HBT] UUT RF Transmit Power Measurement

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>• UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness</li> <li>• UUT has registered with the SAS, with CBSD ID = C</li> <li>• UUT has a single valid grant G with parameters {lowFrequency = FL, highFrequency = FH, maxEirp = Pi}, with grant in AUTHORIZED state, and grantExpireTime set to a value far past the duration of this test case</li> </ul> <p><i>Note: in order for the UUT to request a grant with the parameters {lowFrequency, highFrequency, maxEirp}, the SAS Test Harness may need to provide appropriate guidance in the availableChannel object of the spectrumInquiry response message, and the operationParam object of the grant response message. Alternately, the UUT vendor may provide the ability to set those parameters on the UUT so that the UUT will request a grant with those parameters.</i></p>	--
2	<p>UUT and SAS Test Harness perform a series of Heartbeat Request/Response cycles, which continues until the other test steps are complete. Messaging for each cycle is as follows:</p> <ul style="list-style-type: none"> <li>• UUT sends Heartbeat Request, including: <ul style="list-style-type: none"> <li>○ cbsdId = C</li> <li>○ grantId = G</li> </ul> </li> <li>• SAS Test Harness responds with Heartbeat Response, including: <ul style="list-style-type: none"> <li>○ cbsdId = C</li> <li>○ grantId = G</li> <li>○ transmitExpireTime = current UTC time + 200 seconds</li> <li>○ responseCode = 0</li> </ul> </li> </ul>	--

#	Test Execution Steps	Results
3	<p>Tester performs power measurement on RF interface(s) of UUT, and verifies it complies with the maxEirp setting, <math>P_i</math>. The RF measurement method is out of scope of this document, but may include additional configuration of the UUT, as required, to fulfil the requirements of the power measurement method.</p> <p><i>Note: it may be required for the vendor to provide a method or configuration to bring the UUT to a mode which is required by the measurement methodology. Any such mode is vendor-specific and depends upon UUT behavior and the measurement methodology.</i></p>	PASS

## Appendix B. RF measurement plots

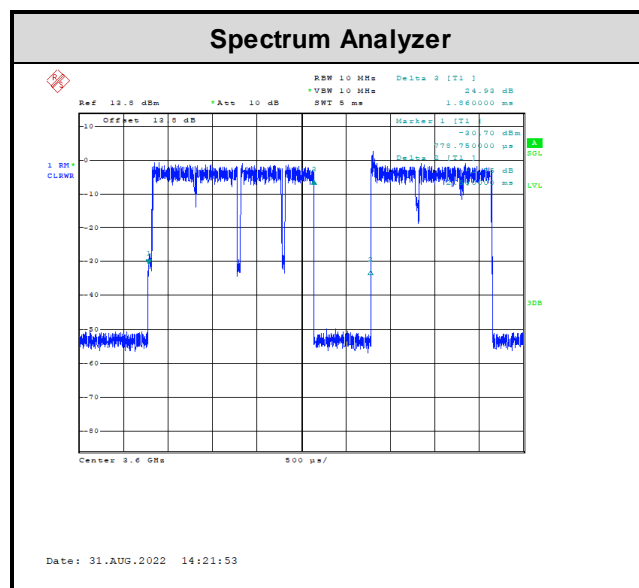
### Appendix B.1 [WINNF.PT.C.HBT] UUT RF Transmit Power Measurement

Report Clause 4.32 [WINNF.PT.C.HBT] UUT RF Transmit Power Measurement

Center Frequency [MHz]	Bandwidth [MHz]	Granted MaxEIRP [dBm/MHz]	Conducted PSD / 4TX MIMO Gain [dBm/MHz]		Duty Cycle Factor [dB]	Antenna Gain [dBi]	UUT total MaxEIRP [dBm/MHz]
3695	10	15	-13.51	6.02	1.28	18	11.79
		20	-7.72	6.02			17.58
		25	-3.2	6.02			22.10
		30	1.99	6.02			27.29
		37	9.01	6.02			34.31
3600	100	15	-12.52	6.02			12.78
		20	-7.34	6.02			17.96
		25	-2.9	6.02			22.40
		30	1.77	6.02			27.07
		37	9.07	6.02			34.37

Note: The total path loss for 3695MHz is offset with 12.6 dB, for 3600MHz is offset with 12.4 dB.

Duty Cycle factor:



Note: The duty cycle value is 74.4%, add 10log(1/duty cycle) to the measured power level to compute the average power during continuous transmission.

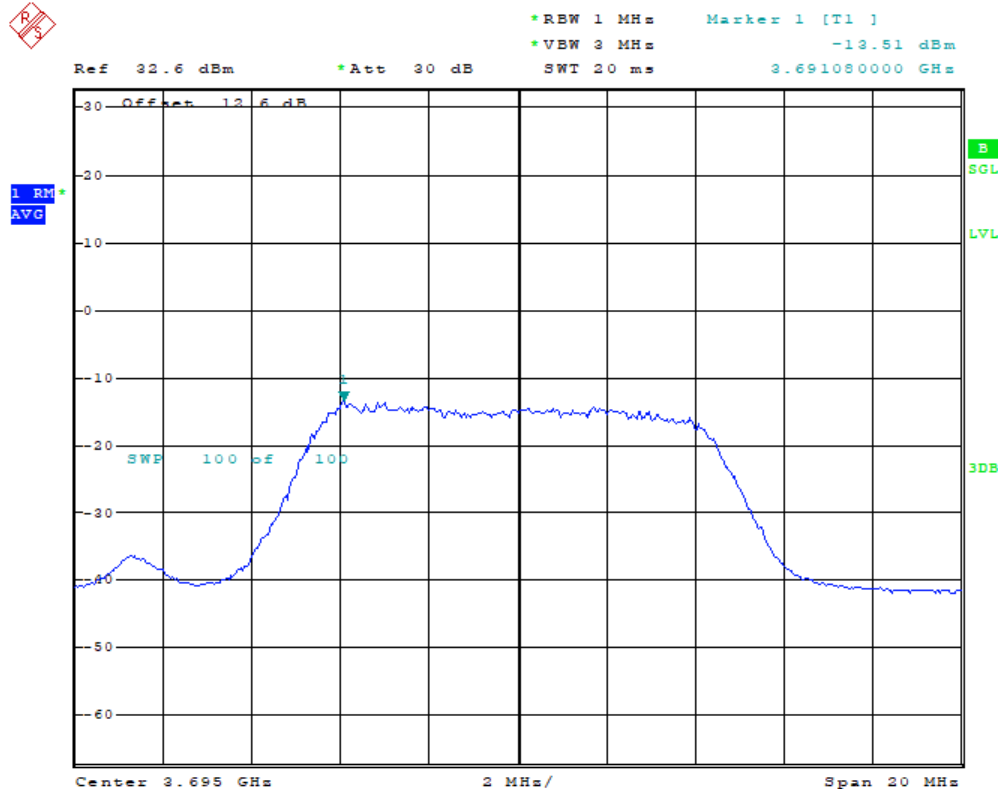
## Appendix B.1.1 Test Procedure

[WINNF.PT.C.HBT] UUT RF Transmit Power Measurement defined in clause 4.32 of this test report.

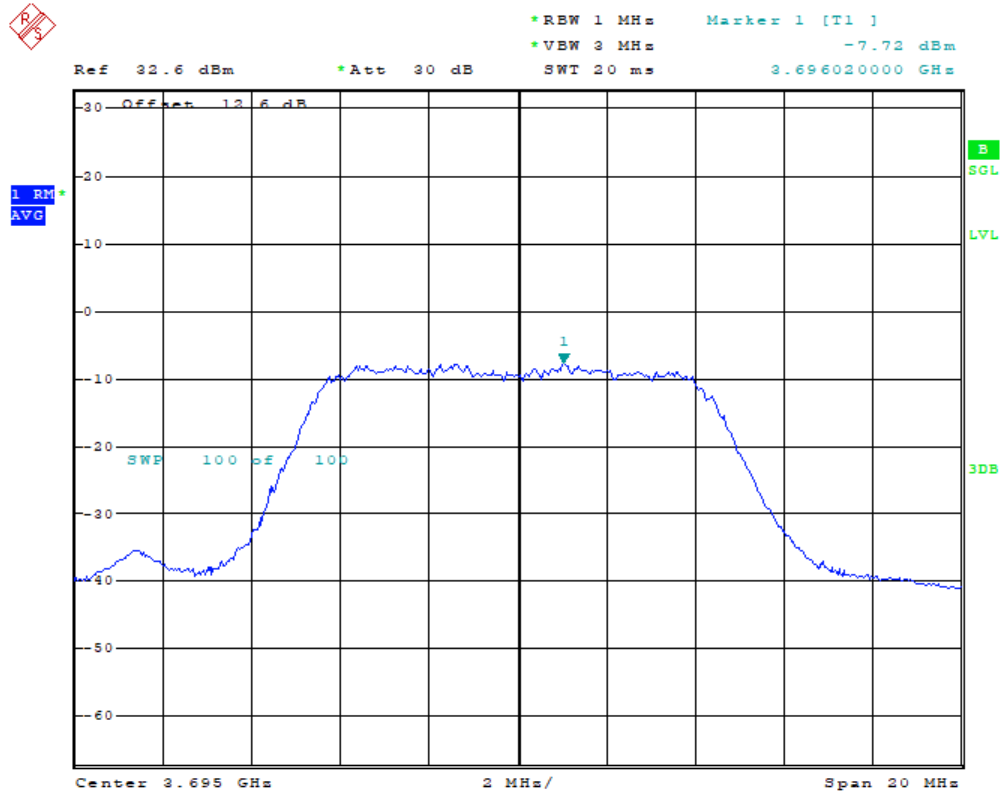
## Appendix B.1.2 Test Result

**SAS Granted MaxEIRP 15 [dBm/MHz]**

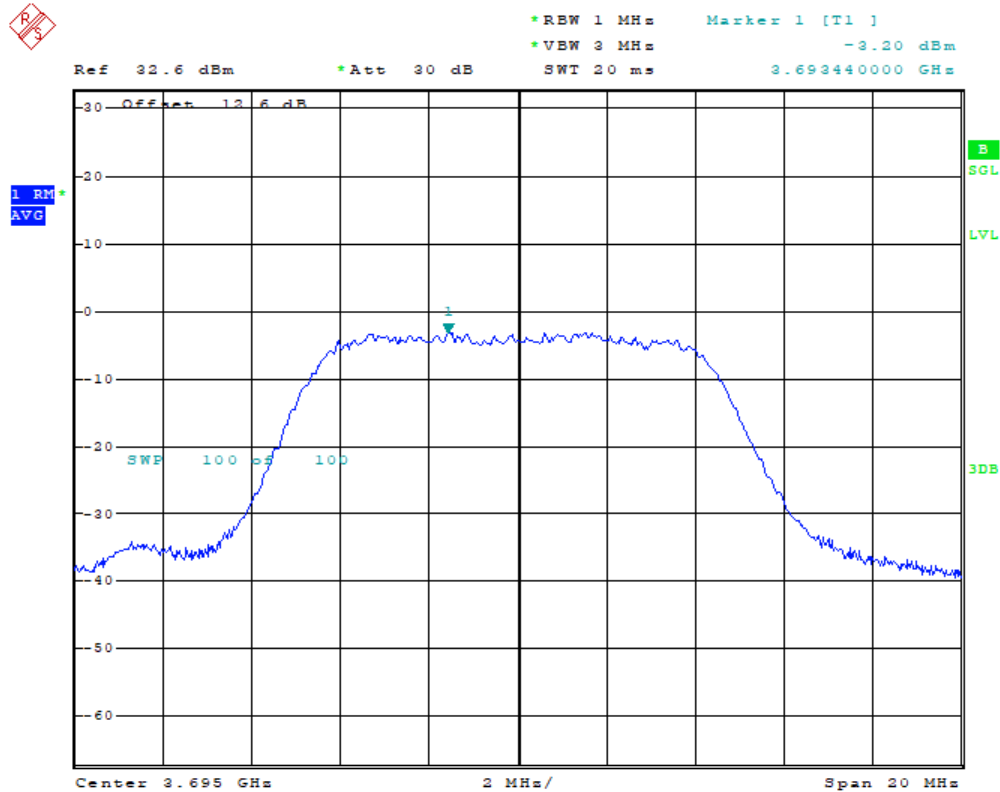
**UUT Center Frequency 3695 MHz, Bandwidth 10 MHz, MaxEIRP 13.79 [dBm/MHz]**



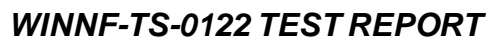
Date: 31.AUG.2022 15:27:02

**SAS Granted MaxEIRP 20 [dBm/MHz]**
**UUT Center Frequency 3695 MHz, Bandwidth 10 MHz, MaxEIRP 19.58 [dBm/MHz]**


Date: 31.AUG.2022 15:32:25

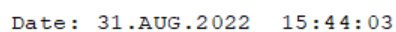
**SAS Granted MaxEIRP 25 [dBm/MHz]**
**UUT Center Frequency 3695 MHz, Bandwidth 10 MHz, MaxEIRP 24.10 [dBm/MHz]**


Date: 31.AUG.2022 15:36:37

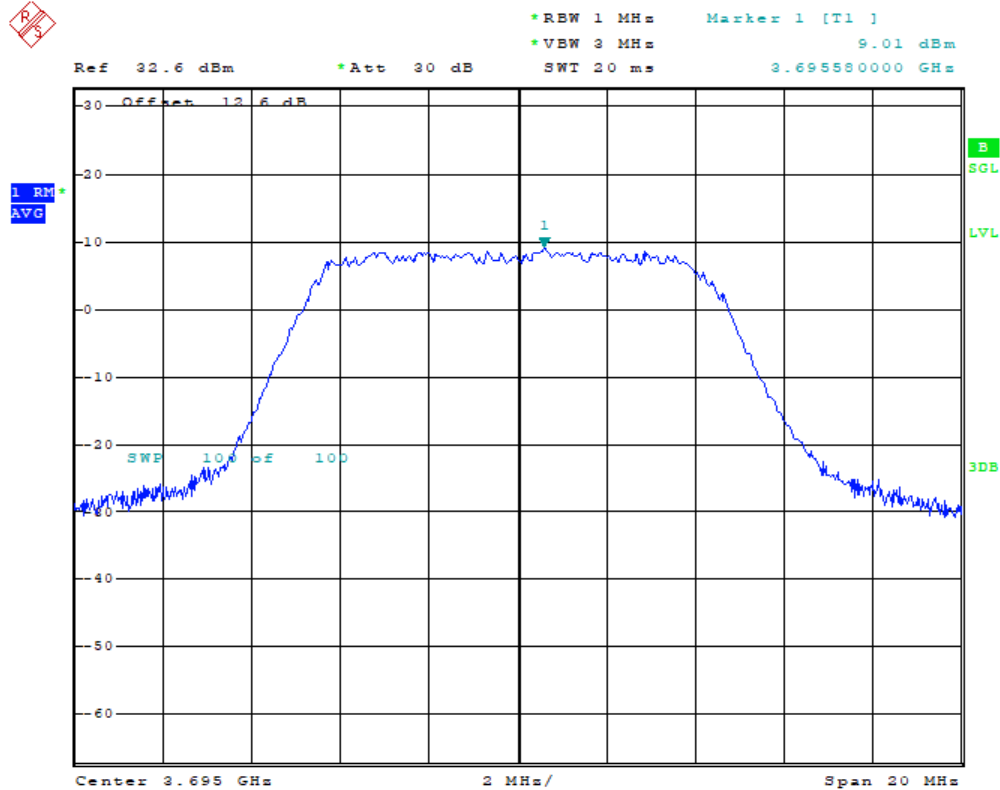


**SAS Granted MaxEIRP 30 [dBm/MHz]**

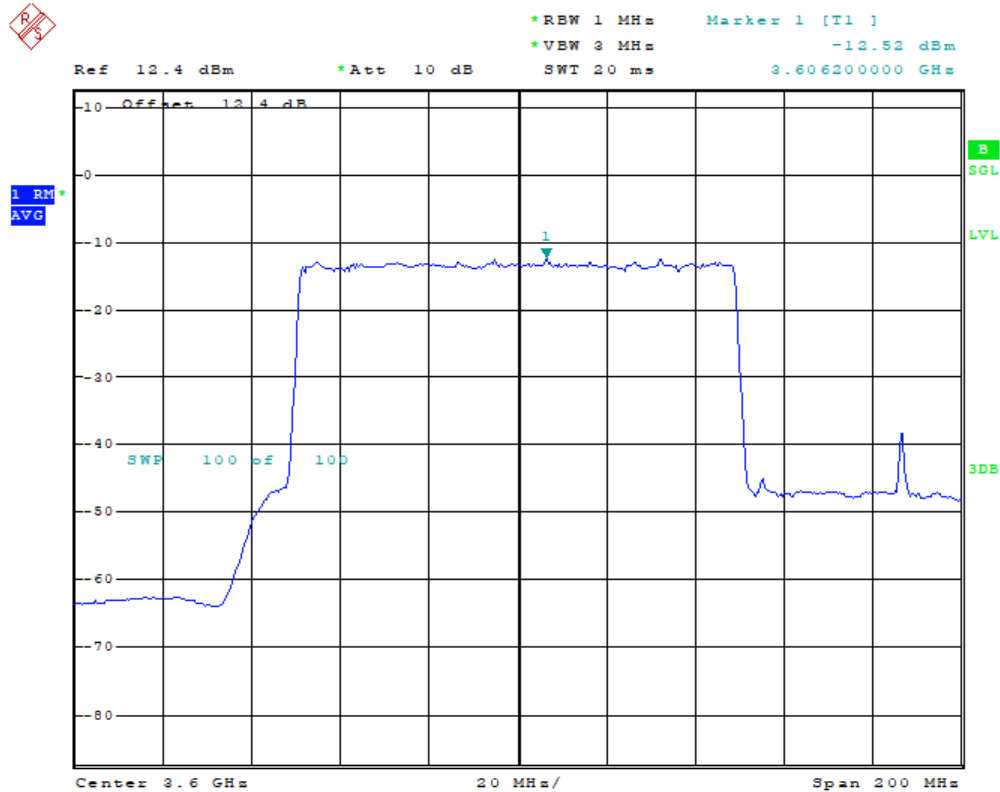
**UUT Center Frequency 3695 MHz, Bandwidth 10 MHz, MaxEIRP 29.29 [dBm/MHz]**



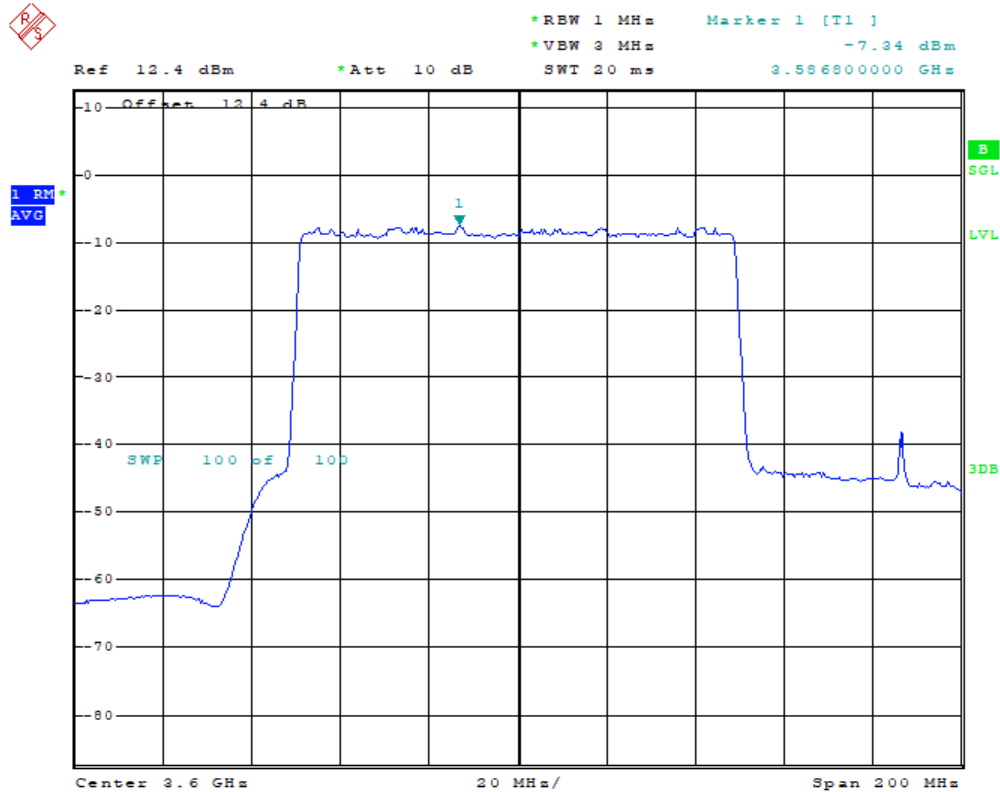


**SAS Granted MaxEIRP 37 [dBm/MHz]**
**UUT Center Frequency 3695 MHz, Bandwidth 10 MHz, MaxEIRP 36.31 [dBm/MHz]**


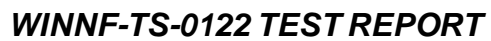
Date: 31.AUG.2022 15:48:27

**SAS Granted MaxEIRP 15 [dBm/MHz]**
**UUT Center Frequency 3600 MHz, Bandwidth 100 MHz, MaxEIRP 14.78 [dBm/MHz]**


Date: 31.AUG.2022 14:39:47

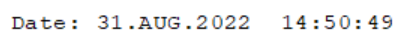
**SAS Granted MaxEIRP 20 [dBm/MHz]**
**UUT Center Frequency 3600 MHz, Bandwidth 100 MHz, MaxEIRP 19.96 [dBm/MHz]**


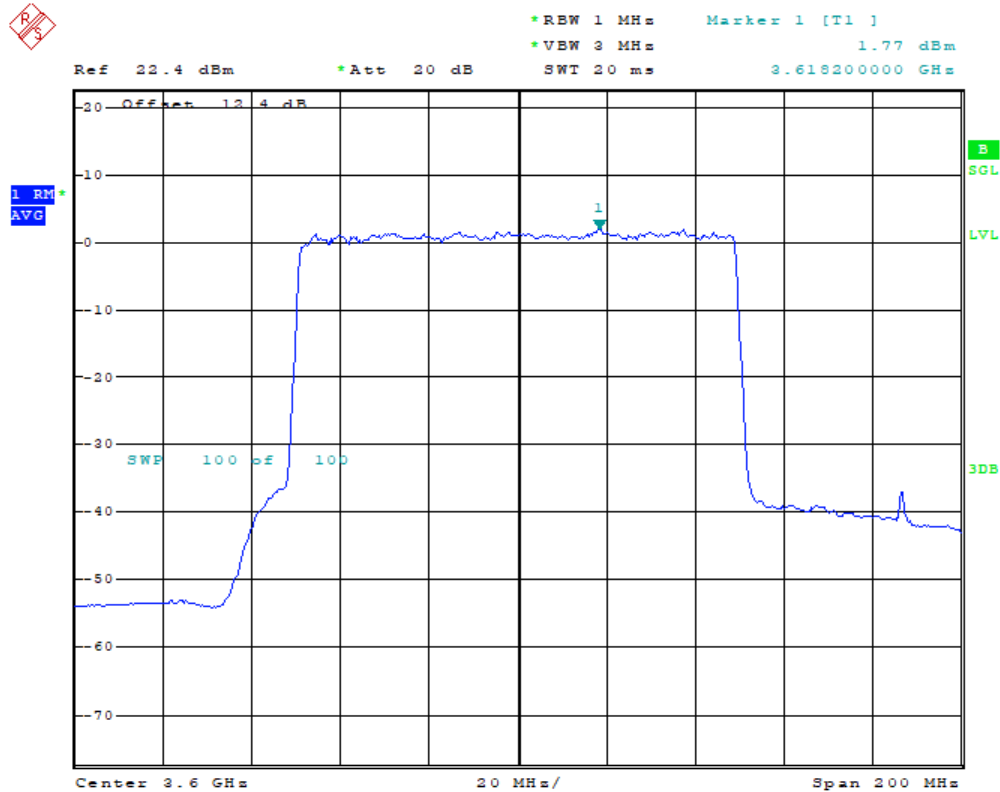
Date: 31.AUG.2022 14:43:37



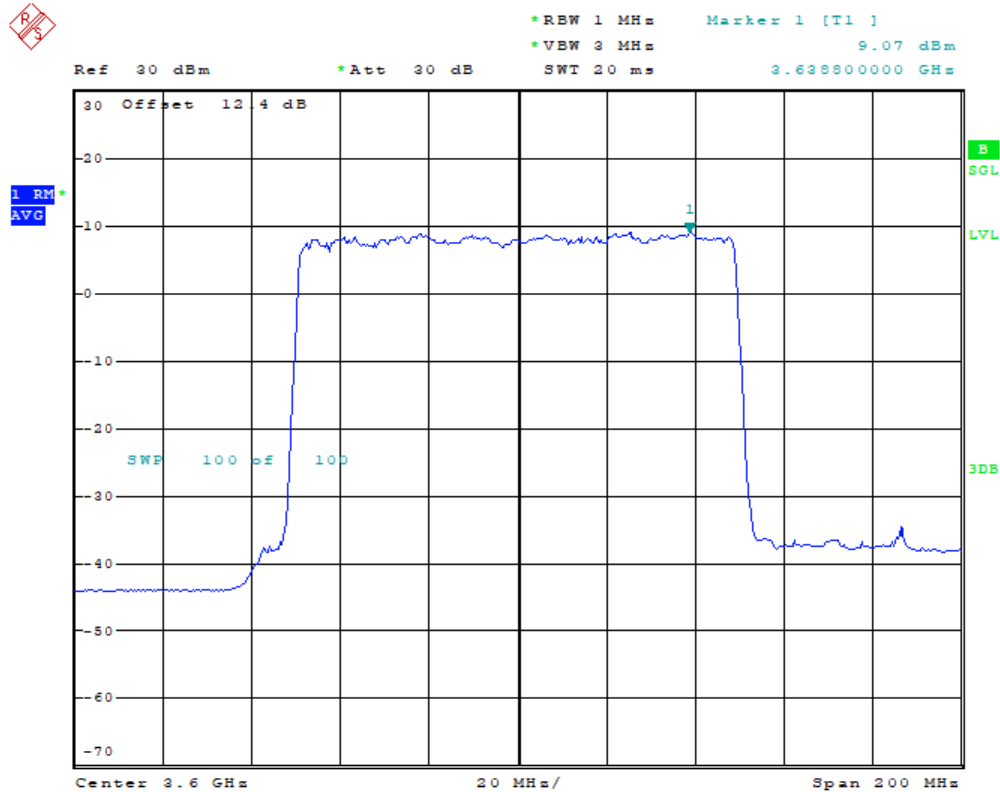
**SAS Granted MaxEIRP 25 [dBm/MHz]**

**UUT Center Frequency 3600 MHz, Bandwidth 100 MHz, MaxEIRP 24.40 [dBm/MHz]**



**SAS Granted MaxEIRP 30 [dBm/MHz]**
**UUT Center Frequency 3600 MHz, Bandwidth 100 MHz, MaxEIRP 29.07 [dBm/MHz]**


Date: 31.AUG.2022 15:11:26

**SAS Granted MaxEIRP 37 [dBm/MHz]**
**UUT Center Frequency 3600 MHz, Bandwidth 100 MHz, MaxEIRP 36.37 [dBm/MHz]**


Date: 31.AUG.2022 15:17:34

———THE END———