

**APPENDIX E – DIPOLE CALIBRATION** 

#### Calibration Laboratory of Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland



S Schweizerischer Kalibrierdienst

Service suisse d'étalonnage

C Servizio svizzero di taratura

S Swiss Calibration Service

Accreditation No.: SCS 0108

Accredited by the Swiss Accreditation Service (SAS) The Swiss Accreditation Service is one of the signatories to the EA Multilateral Agreement for the recognition of calibration certificates

#### Client Celltech

Certificate No: D450V3-1068\_Apr15

## CALIBRATION CERTIFICATE

Object	D450V3 - SN:106	8	
Calibration procedure(s)	QA CAL-15.v8		
	Calibration proce	dure for dipole validation kits be	low 700 MHz
Calibration date:	April 21 2015		
Calibration date.	April 21, 2015		
		onal standards, which realize the physical u	
The measurements and the uncer	tainties with confidence p	robability are given on the following pages a	and are part of the certificate.
All calibrations have been conduct	ed in the closed laborator	y facility: environment temperature (22 $\pm$ 3)	°C and humidity < 70%.
Calibration Equipment used (M&T	E critical for calibration)		
Primary Standards	ID #	Cal Date (Certificate No.)	Scheduled Calibration
Power meter E4419B	GB41293874	01-Apr-15 (No. 217-02128)	Mar-16
Power sensor E4412A	MY41498087	01-Apr-15 (No. 217-02128)	Mar-16
Reference 3 dB Attenuator	SN: S5054 (3c)	01-Apr-15 (No. 217-02129)	Mar-16
Reference 20 dB Attenuator	SN: S5058 (20k)	01-Apr-15 (No. 217-02131)	Mar-16
Type-N mismatch combination	SN: 5047.2 / 06327	01-Apr-15 (No. 217-02134)	Mar-16
Reference Probe ET3DV6	SN: 1507	30-Dec-14 (No. ET3-1507_Dec14)	Dec-15
DAE4	SN: 654	30-Jun-14 (No. DAE4-654_Jun14)	Jun-15
Secondary Standards	ID #	Check Date (in house)	Scheduled Check
RF generator HP 8648C	US3642U01700	04-Aug-99 (in house check Apr-13)	In house check: Apr-16
Network Analyzer HP 8753E	US37390585 S4206	18-Oct-01 (in house check Oct-14)	In house check: Oct-15
	Name	Function	Signature
Calibrated by:	Israe Elnaouq	Laboratory Technician	Day Ma
			Sten Chang
Approved by:	Katja Pokovic	Technical Manager	all '
an and a second difference facility on the			peting
			Issued: April 21, 2015
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This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

#### Calibration Laboratory of

Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland



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S

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#### Glossary:

TSL	tissue simulating liquid
ConvF	sensitivity in TSL / NORM x,y,z
N/A	not applicable or not measured

#### Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005
- c) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

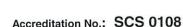
#### Additional Documentation:

d) DASY4/5 System Handbook

#### Methods Applied and Interpretation of Parameters:

- *Measurement Conditions:* Further details are available from the Validation Report at the end of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- Antenna Parameters with TSL: The dipole is mounted with the spacer to position its feed point exactly below the center marking of the flat phantom section, with the arms oriented parallel to the body axis.
- Feed Point Impedance and Return Loss: These parameters are measured with the dipole positioned under the liquid filled phantom. The impedance stated is transformed from the measurement at the SMA connector to the feed point. The Return Loss ensures low reflected power. No uncertainty required.
- *Electrical Delay:* One-way delay between the SMA connector and the antenna feed point. No uncertainty required.
- SAR measured: SAR measured at the stated antenna input power.
- SAR normalized: SAR as measured, normalized to an input power of 1 W at the antenna connector.
- SAR for nominal TSL parameters: The measured TSL parameters are used to calculate the nominal SAR result.

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.



#### **Measurement Conditions**

DASY system configuration, as far as not given on page 1.

DASY Version	DASY5	V52.8.8
Extrapolation	Advanced Extrapolation	
Phantom	ELI4 Flat Phantom	Shell thickness: 2 ± 0.2 mm
Distance Dipole Center - TSL	15 mm	with Spacer
Zoom Scan Resolution	dx, dy, dz = 5 mm	
Frequency	450 MHz ± 1 MHz	

#### **Head TSL parameters**

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Head TSL parameters	22.0 °C	43.5	0.87 mho/m
Measured Head TSL parameters	(22.0 ± 0.2) °C	43.9 ± 6 %	0.91 mho/m ± 6 %
Head TSL temperature change during test	< 0.5 °C		

#### SAR result with Head TSL

SAR averaged over 1 cm <sup>3</sup> (1 g) of Head TSL	Condition	
SAR measured	250 W input power	1.16 W/kg
SAR for nominal Head TSL parameters	normalized to 1W	4.49 W/kg ± 18.1 % (k=2)

SAR averaged over 10 cm <sup>3</sup> (10 g) of Head TSL	condition	
SAR measured	250 W input power	0.778 W/kg
SAR for nominal Head TSL parameters	normalized to 1W	3.02 W/kg ± 17.6 % (k=2)

#### **Body TSL parameters**

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Body TSL parameters	22.0 °C	56.7	0.94 mho/m
Measured Body TSL parameters	(22.0 ± 0.2) °C	55.1 ± 6 %	0.95 mho/m ± 6 %
Body TSL temperature change during test	< 0.5 °C		

#### SAR result with Body TSL

SAR averaged over 1 cm <sup>3</sup> (1 g) of Body TSL	Condition	
SAR measured	250 W input power	1.12 W/kg
SAR for nominal Body TSL parameters	normalized to 1W	4.42 W/kg ± 18.1 % (k=2)

SAR averaged over 10 cm <sup>3</sup> (10 g) of Body TSL	condition	
SAR measured	250 W input power	0.738 W/kg
SAR for nominal Body TSL parameters	normalized to 1W	2.92 W/kg ± 17.6 % (k=2)

#### Appendix (Additional assessments outside the scope of SCS 0108)

#### Antenna Parameters with Head TSL

Impedance, transformed to feed point	56.6 Ω - 3.5 jΩ
Return Loss	- 23.1 dB

#### Antenna Parameters with Body TSL

Impedance, transformed to feed point	55.8 Ω - 5.5 jΩ
Return Loss	- 22.5 dB

#### General Antenna Parameters and Design

Electrical Delay (one direction)	1.348 ns
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After long term use with 100W radiated power, only a slight warming of the dipole near the feedpoint can be measured.

The dipole is made of standard semirigid coaxial cable. The center conductor of the feeding line is directly connected to the second arm of the dipole. The antenna is therefore short-circuited for DC-signals. On some of the dipoles, small end caps are added to the dipole arms in order to improve matching when loaded according to the position as explained in the "Measurement Conditions" paragraph. The SAR data are not affected by this change. The overall dipole length is still according to the Standard.

No excessive force must be applied to the dipole arms, because they might bend or the soldered connections near the feedpoint may be damaged.

#### Additional EUT Data

Manufactured by	SPEAG
Manufactured on	July 16, 2009

#### **DASY5 Validation Report for Head TSL**

Date: 21.04.2015

Test Laboratory: SPEAG, Zurich, Switzerland

#### DUT: Dipole 450 MHz; Type: D450V3; Serial: D450V3 - SN:1068

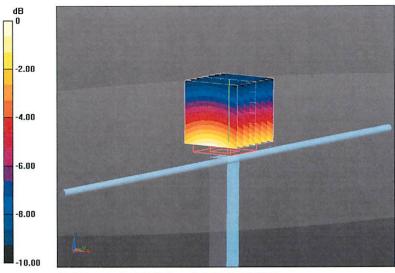
Communication System: UID 0 - CW; Frequency: 450 MHz Medium parameters used: f = 450 MHz;  $\sigma$  = 0.91 S/m;  $\epsilon_r$  = 43.9;  $\rho$  = 1000 kg/m<sup>3</sup> Phantom section: Flat Section Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2011)

DASY52 Configuration:

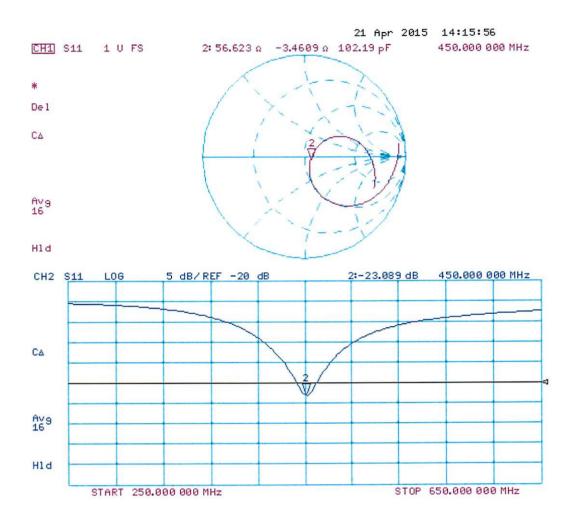
- Probe: ET3DV6 SN1507; ConvF(6.58, 6.58, 6.58); Calibrated: 30.12.2014;
- Sensor-Surface: 4mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn654; Calibrated: 30.06.2014
- Phantom: ELI v4.0; Type: QDOVA001BB; Serial: TP:1003
- DASY52 52.8.8(1222); SEMCAD X 14.6.10(7331)

#### Dipole Calibration for Head Tissue/d=15mm, Pin=250mW/Zoom Scan (7x7x7)/Cube 0:

Measurement grid: dx=5mm, dy=5mm, dz=5mmReference Value = 39.01 V/m; Power Drift = -0.02 dB Peak SAR (extrapolated) = 1.67 W/kg SAR(1 g) = 1.16 W/kg; SAR(10 g) = 0.778 W/kg Maximum value of SAR (measured) = 1.25 W/kg



0 dB = 1.25 W/kg = 0.97 dBW/kg



#### **DASY5 Validation Report for Body TSL**

Date: 21.04.2015

Test Laboratory: SPEAG, Zurich, Switzerland

#### DUT: Dipole 450 MHz; Type: D450V3; Serial: D450V3 - SN:1068

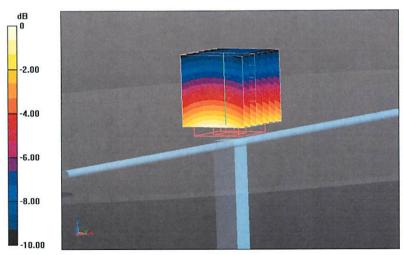
Communication System: UID 0 - CW; Frequency: 450 MHz Medium parameters used: f = 450 MHz;  $\sigma = 0.95$  S/m;  $\epsilon_r = 55.1$ ;  $\rho = 1000$  kg/m<sup>3</sup> Phantom section: Flat Section Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2011)

#### DASY52 Configuration:

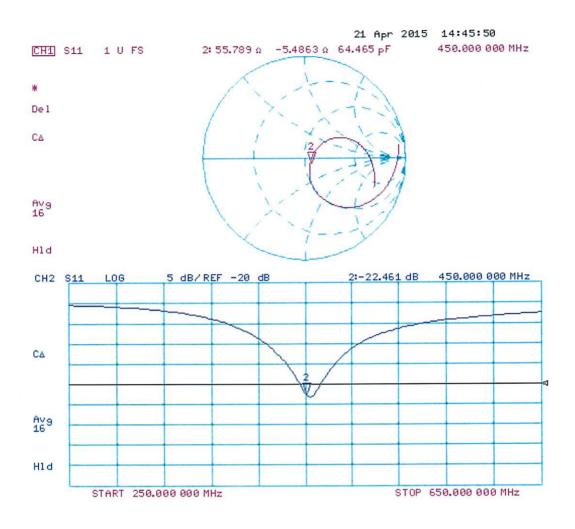
- Probe: ET3DV6 SN1507; ConvF(7.05, 7.05, 7.05); Calibrated: 30.12.2014;
- Sensor-Surface: 4mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn654; Calibrated: 30.06.2014
- Phantom: ELI v4.0; Type: QDOVA001BB; Serial: TP:1003
- DASY52 52.8.8(1222); SEMCAD X 14.6.10(7331)

#### Dipole Calibration for Body Tissue/d=15mm, Pin=250mW/Zoom Scan (7x7x7)/Cube 0:

Measurement grid: dx=5mm, dy=5mm, dz=5mmReference Value = 36.48 V/m; Power Drift = -0.01 dB Peak SAR (extrapolated) = 1.82 W/kg SAR(1 g) = 1.12 W/kg; SAR(10 g) = 0.738 W/kg Maximum value of SAR (measured) = 1.20 W/kg



0 dB = 1.20 W/kg = 0.79 dBW/kg



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## Certificate No: D2450V2-825\_Apr15

#### **ALIBRATION CERTIFICATE** C

Object	D2450V2 - SN: 82	25	
Calibration procedure(s)	QA CAL-05.v9 Calibration procee	dure for dipole validation kits abov	e 700 MHz
Calibration date:	April 22, 2015		
		onal standards, which realize the physical units	
The measurements and the uncert	ainties with confidence p	robability are given on the following pages and	are part of the certificate.
All calibrations have been conduct	ed in the closed laborator	y facility: environment temperature (22 $\pm$ 3)°C	and humidity < 70%.
Calibration Equipment used (M&TE	E critical for calibration)		
Primary Standards	ID #	Cal Date (Certificate No.)	Scheduled Calibration
Power meter EPM-442A	GB37480704	07-Oct-14 (No. 217-02020)	Oct-15
Power sensor HP 8481A	US37292783	07-Oct-14 (No. 217-02020)	Oct-15
Power sensor HP 8481A	MY41092317	07-Oct-14 (No. 217-02021)	Oct-15
Reference 20 dB Attenuator	SN: 5058 (20k)	01-Apr-15 (No. 217-02131)	Mar-16
Type-N mismatch combination	SN: 5047.2 / 06327	01-Apr-15 (No. 217-02134)	Mar-16
Reference Probe ES3DV3	SN: 3205	30-Dec-14 (No. ES3-3205_Dec14)	Dec-15
DAE4	SN: 601	18-Aug-14 (No. DAE4-601_Aug14)	Aug-15
Secondary Standards	ID #	Check Date (in house)	Scheduled Check
RF generator R&S SMT-06	100005	04-Aug-99 (in house check Oct-13)	In house check: Oct-16
Network Analyzer HP 8753E	US37390585 S4206	18-Oct-01 (in house check Oct-14)	In house check: Oct-15
	Name	Function	Signature
Calibrated by:	Michael Weber	Laboratory Technician	M. Mebes
			MIII
Approved by:	Katja Pokovic	Technical Manager	fillet
			Issued: April 23, 2015
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#### Glossary:

TSL	tissue simulating liquid
ConvF	sensitivity in TSL / NORM x,y,z
N/A	not applicable or not measured

#### Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005
- c) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

#### Additional Documentation:

d) DASY4/5 System Handbook

#### Methods Applied and Interpretation of Parameters:

- *Measurement Conditions:* Further details are available from the Validation Report at the end of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- Antenna Parameters with TSL: The dipole is mounted with the spacer to position its feed point exactly below the center marking of the flat phantom section, with the arms oriented parallel to the body axis.
- Feed Point Impedance and Return Loss: These parameters are measured with the dipole positioned under the liquid filled phantom. The impedance stated is transformed from the measurement at the SMA connector to the feed point. The Return Loss ensures low reflected power. No uncertainty required.
- *Electrical Delay:* One-way delay between the SMA connector and the antenna feed point. No uncertainty required.
- SAR measured: SAR measured at the stated antenna input power.
- SAR normalized: SAR as measured, normalized to an input power of 1 W at the antenna connector.
- SAR for nominal TSL parameters: The measured TSL parameters are used to calculate the nominal SAR result.

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

#### **Measurement Conditions**

DASY system configuration, as far as not given on page 1.

DASY Version	DASY5	V52.8.8
Extrapolation	Advanced Extrapolation	
Phantom	Modular Flat Phantom	
Distance Dipole Center - TSL	10 mm	with Spacer
Zoom Scan Resolution	dx, dy, dz = 5 mm	
Frequency	2450 MHz ± 1 MHz	

#### Head TSL parameters

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Head TSL parameters	22.0 °C	39.2	1.80 mho/m
Measured Head TSL parameters	(22.0 ± 0.2) °C	37.6 ± 6 %	1.82 mho/m ± 6 %
Head TSL temperature change during test	< 0.5 °C		

#### SAR result with Head TSL

SAR averaged over 1 cm <sup>3</sup> (1 g) of Head TSL	Condition	
SAR measured	250 mW input power	13.1 W/kg
SAR for nominal Head TSL parameters	normalized to 1W	51.6 W/kg ± 17.0 % (k=2)
SAR averaged over 10 cm <sup>3</sup> (10 g) of Head TSL	condition	
SAR averaged over 10 cm <sup>3</sup> (10 g) of Head TSL SAR measured	condition 250 mW input power	6.06 W/kg

#### **Body TSL parameters**

The following parameters and calculations were applied.

	Temperature	Permittivity	Conductivity
Nominal Body TSL parameters	22.0 °C	52.7	1.95 mho/m
Measured Body TSL parameters	(22.0 ± 0.2) °C	50.6 ± 6 %	2.02 mho/m ± 6 %
Body TSL temperature change during test	< 0.5 °C		

#### SAR result with Body TSL

SAR averaged over 1 cm <sup>3</sup> (1 g) of Body TSL	Condition	
SAR measured	250 mW input power	13.0 W/kg
SAR for nominal Body TSL parameters	normalized to 1W	50.7 W/kg ± 17.0 % (k=2)

SAR averaged over 10 cm <sup>3</sup> (10 g) of Body TSL	condition	
SAR measured	250 mW input power	6.05 W/kg
SAR for nominal Body TSL parameters	normalized to 1W	23.8 W/kg ± 16.5 % (k=2)

#### Appendix (Additional assessments outside the scope of SCS 0108)

#### Antenna Parameters with Head TSL

Impedance, transformed to feed point	55.3 Ω + 5.1 jΩ
Return Loss	- 23.1 dB

#### Antenna Parameters with Body TSL

Impedance, transformed to feed point	50.7 Ω + 6.4 jΩ
Return Loss	- 23.9 dB

#### General Antenna Parameters and Design

Electrical Delay (one direction)	1.158 ns
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After long term use with 100W radiated power, only a slight warming of the dipole near the feedpoint can be measured.

The dipole is made of standard semirigid coaxial cable. The center conductor of the feeding line is directly connected to the second arm of the dipole. The antenna is therefore short-circuited for DC-signals. On some of the dipoles, small end caps are added to the dipole arms in order to improve matching when loaded according to the position as explained in the "Measurement Conditions" paragraph. The SAR data are not affected by this change. The overall dipole length is still according to the Standard.

No excessive force must be applied to the dipole arms, because they might bend or the soldered connections near the feedpoint may be damaged.

#### Additional EUT Data

Manufactured by	SPEAG
Manufactured on	December 11, 2008

#### **DASY5 Validation Report for Head TSL**

Date: 22.04.2015

Test Laboratory: SPEAG, Zurich, Switzerland

#### DUT: Dipole 2450 MHz; Type: D2450V2; Serial: D2450V2 - SN: 825

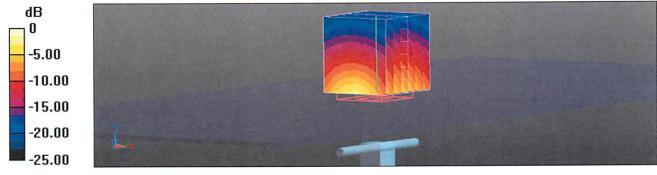
Communication System: UID 0 - CW; Frequency: 2450 MHz Medium parameters used: f = 2450 MHz;  $\sigma$  = 1.82 S/m;  $\epsilon_r$  = 37.6;  $\rho$  = 1000 kg/m<sup>3</sup> Phantom section: Flat Section Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2011)

#### DASY52 Configuration:

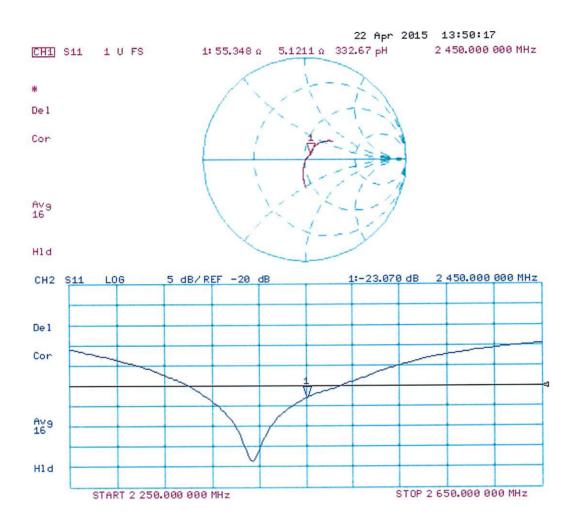
- Probe: ES3DV3 SN3205; ConvF(4.54, 4.54, 4.54); Calibrated: 30.12.2014;
- Sensor-Surface: 3mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn601; Calibrated: 18.08.2014
- Phantom: Flat Phantom 5.0 (front); Type: QD000P50AA; Serial: 1001
- DASY52 52.8.8(1222); SEMCAD X 14.6.10(7331)

#### **Dipole Calibration for Head Tissue/Pin=250 mW, d=10mm/Zoom Scan (7x7x7)/Cube 0:** Measurement grid: dx=5mm, dy=5mm, dz=5mm

Reference Value = 101.4 V/m; Power Drift = 0.02 dBPeak SAR (extrapolated) = 27.4 W/kgSAR(1 g) = 13.1 W/kg; SAR(10 g) = 6.06 W/kgMaximum value of SAR (measured) = 17.3 W/kg



0 dB = 17.3 W/kg = 12.38 dBW/kg



#### **DASY5 Validation Report for Body TSL**

Date: 22.04.2015

Test Laboratory: SPEAG, Zurich, Switzerland

#### DUT: Dipole 2450 MHz; Type: D2450V2; Serial: D2450V2 - SN: 825

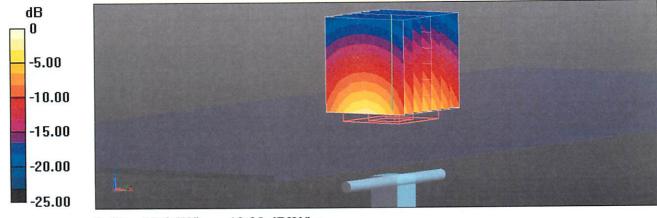
Communication System: UID 0 - CW; Frequency: 2450 MHz Medium parameters used: f = 2450 MHz;  $\sigma$  = 2.02 S/m;  $\epsilon_r$  = 50.6;  $\rho$  = 1000 kg/m<sup>3</sup> Phantom section: Flat Section Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2011)

#### DASY52 Configuration:

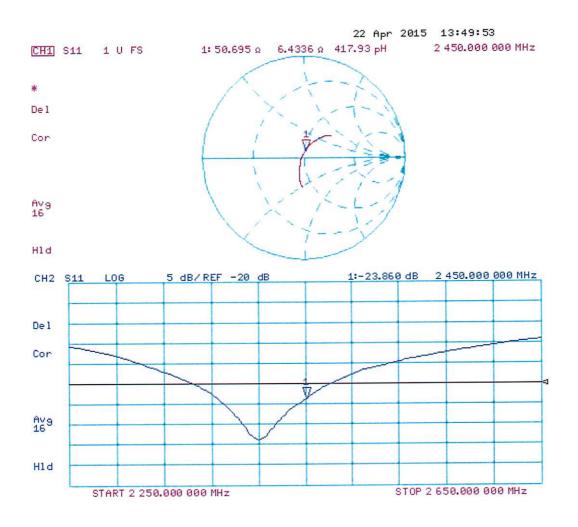
- Probe: ES3DV3 SN3205; ConvF(4.32, 4.32, 4.32); Calibrated: 30.12.2014;
- Sensor-Surface: 3mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn601; Calibrated: 18.08.2014
- Phantom: Flat Phantom 5.0 (back); Type: QD000P50AA; Serial: 1002
- DASY52 52.8.8(1222); SEMCAD X 14.6.10(7331)

## Dipole Calibration for Body Tissue/Pin=250 mW, d=10mm/Zoom Scan (7x7x7)/Cube 0:

Measurement grid: dx=5mm, dy=5mm, dz=5mmReference Value = 95.23 V/m; Power Drift = 0.00 dB Peak SAR (extrapolated) = 27.1 W/kg SAR(1 g) = 13 W/kg; SAR(10 g) = 6.05 W/kg Maximum value of SAR (measured) = 17.3 W/kg



0 dB = 17.3 W/kg = 12.38 dBW/kg





**APPENDIX F – PROBE CALIBRATION** 

## Calibration Laboratory of

Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland





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Swiss Calibration Service

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Client Celltech

Certificate No: EX3-3600\_Apr15

## CALIBRATION CERTIFICATE

Object	EX3DV4 - SN:3600
Calibration procedure(s)	QA CAL-01.v9, QA CAL-12.v9, QA CAL-14.v4, QA CAL-23.v5, QA CAL-25.v6 Calibration procedure for dosimetric E-field probes
Calibration date:	April 23, 2015
	ts the traceability to national standards, which realize the physical units of measurements (SI). inties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID	Cal Date (Certificate No.)	Scheduled Calibration
Power meter E4419B	GB41293874	01-Apr-15 (No. 217-02128)	Mar-16
Power sensor E4412A	MY41498087	01-Apr-15 (No. 217-02128)	Mar-16
Reference 3 dB Attenuator	SN: S5054 (3c)	01-Apr-15 (No. 217-02129)	Mar-16
Reference 20 dB Attenuator	SN: S5277 (20x)	01-Apr-15 (No. 217-02132)	Mar-16
Reference 30 dB Attenuator	SN: S5129 (30b)	01-Apr-15 (No. 217-02133)	Mar-16
Reference Probe ES3DV2	SN: 3013	30-Dec-14 (No. ES3-3013_Dec14)	Dec-15
DAE4	SN: 660	14-Jan-15 (No. DAE4-660_Jan15)	Jan-16
Secondary Standards	ID	Check Date (in house)	Scheduled Check
RF generator HP 8648C	US3642U01700	4-Aug-99 (in house check Apr-13)	In house check: Apr-16
Network Analyzer HP 8753E	US37390585	18-Oct-01 (in house check Oct-14)	In house check: Oct-15

	Name	Function	Signature
Calibrated by:	Israe Elnaouq	Laboratory Technician	Istan Chang
Approved by:	Katja Pokovic	Technical Manager	Solly.
This solibustion contification	abell act be considered accent is ful		Issued: April 25, 2015
This calibration certificate	shall not be reproduced except in ful	I without written approval of the laboratory	/.

## Calibration Laboratory of Schmid & Partner

**Engineering AG** Zeughausstrasse 43, 8004 Zurich, Switzerland



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- Servizio svizzero di taratura S
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Accreditation No.: SCS 0108

Accredited by the Swiss Accreditation Service (SAS)

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#### Glossary: TSL tissue simulating liquid NORMx,y,z sensitivity in free space sensitivity in TSL / NORMx,y,z ConvF DCP diode compression point crest factor (1/duty\_cycle) of the RF signal CF modulation dependent linearization parameters A, B, C, D Polarization $\phi$ φ rotation around probe axis 9 rotation around an axis that is in the plane normal to probe axis (at measurement center), Polarization 9 i.e., $\vartheta = 0$ is normal to probe axis information used in DASY system to align probe sensor X to the robot coordinate system Connector Angle

#### Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005

#### Methods Applied and Interpretation of Parameters:

- NORMx.v.z: Assessed for E-field polarization  $\vartheta = 0$  (f  $\leq 900$  MHz in TEM-cell; f > 1800 MHz: R22 waveguide). NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not affect the E<sup>2</sup>-field uncertainty inside TSL (see below ConvF).
- NORM(f)x,y,z = NORMx,y,z \* frequency\_response (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- DCPx,y,z: DCP are numerical linearization parameters assessed based on the data of power sweep with CW . signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- Ax,y,z; Bx,y,z; Cx,y,z; Dx,y,z; VRx,y,z: A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f ≤ 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx, y, z \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

# Probe EX3DV4

## SN:3600

Manufactured: Calibrated:

January 10, 2007 April 23, 2015

Calibrated for DASY/EASY Systems (Note: non-compatible with DASY2 system!)

#### **Basic Calibration Parameters**

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm $(\mu V/(V/m)^2)^A$	0.49	0.49	0.41	± 10.1 %
DCP (mV) <sup>B</sup>	98.1	100.9	98.6	

#### **Modulation Calibration Parameters**

UID	Communication System Name		A dB	B dBõV	С	D dB	VR mV	Unc <sup>±</sup> (k=2)
0	CW	X	0.0	0.0	1.0	0.00	151.4	±2.7 %
		Y	0.0	0.0	1.0	ľ	158.5	
		Z	0.0	0.0	1.0		152.6	

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of NormX,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

<sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

<sup>&</sup>lt;sup>B</sup> Numerical linearization parameter: uncertainty not required.

f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unct. (k=2)
150	52.3	0.76	9.42	9.42	9.42	0.00	1.00	± 13.3 %
300	45.3	0.87	9.10	9.10	9.10	0.10	1. <u>70</u>	<u>± 13.3 %</u>
450	43.5	0.87	9.03	9.03	9.03	0.18	1.70	± 13.3 %
835	41.5	0.90	7.94	7.94	7.94	0.33	1.15	± 12.0 %
1900	40.0	1.40	6.70	6.70	6.70	0.30	0.80	± 12.0 %
2450	39.2	1.80	6.06	6.06	6.06	0.40	0.81	± 12.0 %
5250	35.9	4.71	4.55	4.55	4.55	0.35	1.80	± 13.1 %
5600	35.5	5.07	4.10	4.10	4.10	0.40	1.80	± 13.1 %
5750	35.4	5.22	4.21	4.21	4.21	0.40	1.80	± 13.1 %

#### **Calibration Parameter Determined in Head Tissue Simulating Media**

<sup>c</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity validity can be extended to ± 110 MHz.

validity can be extended to  $\pm$  110 MHz. <sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters. <sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

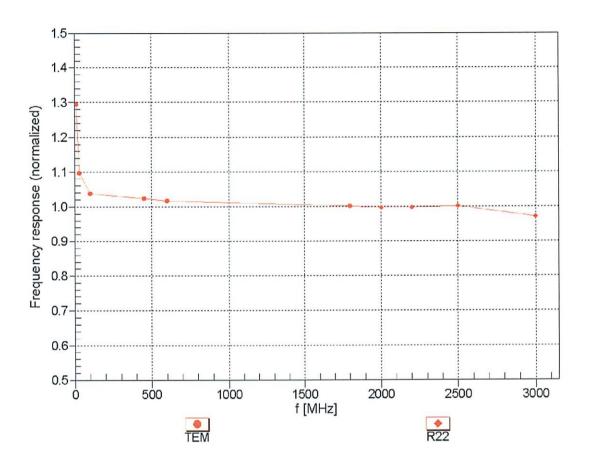
f (MHz) <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unct. (k=2)
150	61.9	0.80	8.94	8.94	8.94	0.00	1.00	± 13.3 %
300	58.2	0.92	8.89	8.89	8.89	0.08	1.20	<u>± 13.3 %</u>
450	56.7	0.94	8.80	8.80	8.80	0.09	1.10	± 13.3 %
835	55.2	0.97	7.73	7.73	7.73	0.21	1.50	± 12.0 %
1900	53.3	1.52	6.49	6.49	6.49	0.31	0.94	± 12.0 %
2450	52.7	1.95	6.19	6.19	6.19	0.33	0.80	± 12.0 %
5250	48.9	5.36	3.87	3.87	3.87	0.50	1.90	± 13.1 %
5600	48.5	5.77	3.25	3.25	3.25	0.55	1.90	± 13.1 %
5750	48.3	5.94	3.60	3.60	3.60	0.55	1.90	± 13.1 %

#### Calibration Parameter Determined in Body Tissue Simulating Media

<sup>c</sup> Frequency validity above 300 MHz of  $\pm$  100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm$  50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is  $\pm$  10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to  $\pm$  110 MHz.

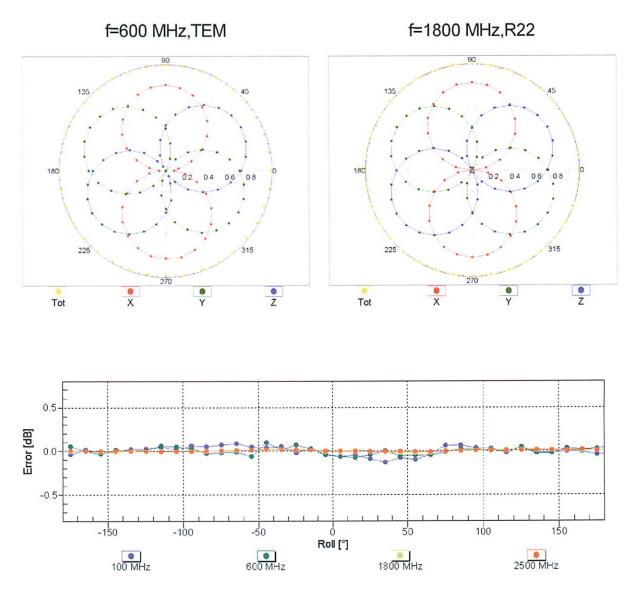
validity can be extended to  $\pm$  110 MHz. <sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to  $\pm$  10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to  $\pm$  5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>6</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



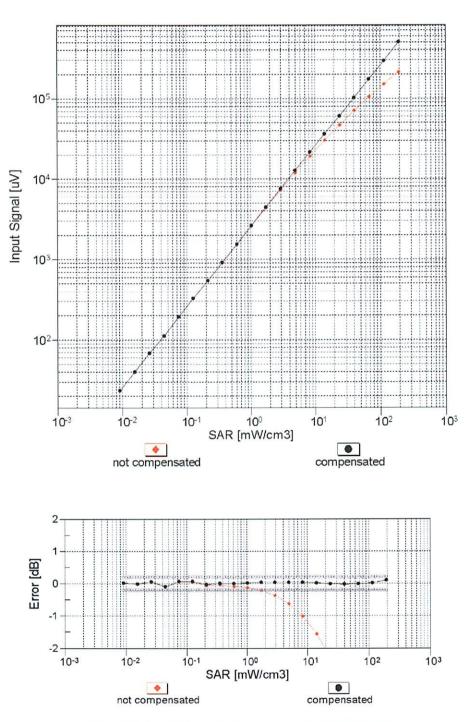
## Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)

Uncertainty of Frequency Response of E-field: ± 6.3% (k=2)



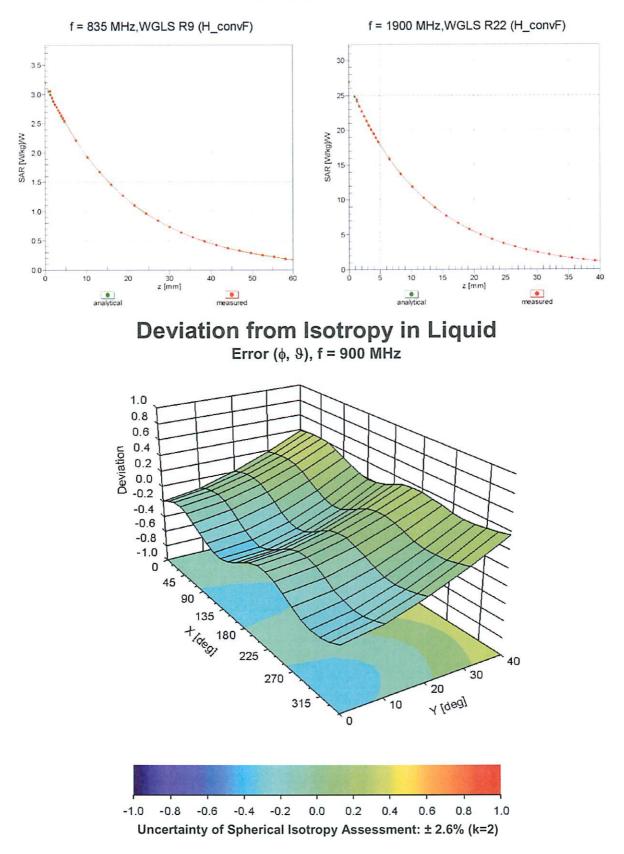
## Receiving Pattern (φ), θ = 0°

Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)



## Dynamic Range f(SAR<sub>head</sub>) (TEM cell , f<sub>eval</sub>= 1900 MHz)

Uncertainty of Linearity Assessment: ± 0.6% (k=2)



## **Conversion Factor Assessment**

#### **Other Probe Parameters**

Sensor Arrangement	Triangular
Connector Angle (°)	35.3
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disabled
Probe Overall Length	337 mm
Probe Body Diameter	10 mm
Tip Length	9 mm
Tip Diameter	2.5 mm
Probe Tip to Sensor X Calibration Point	1 mm
Probe Tip to Sensor Y Calibration Point	1 mm
Probe Tip to Sensor Z Calibration Point	1 mm
Recommended Measurement Distance from Surface	1.4 mm



**APPENDIX G – PHANTOM COC** 

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## Schmid & Partner Engineering AG

Zeughausstrasse 43, 8004 Zurich, Switzerland, Phone +41 1 245 97 00, Fax +41 1 245 97 79

#### **Certificate of conformity / First Article Inspection**

ltem	SAM Twin Phantom V4.0
Туре No	QD 000 P40 BA
Series No	TP-1002 and higher
Manufacturer / Origin	Untersee Composites Hauptstr. 69 CH-8559 Fruthwilen Switzerland

#### Tests

The series production process used allows the limitation to test of first articles.

Complete tests were made on the pre-series Type No. QD 000 P40 AA, Serial No. TP-1001 and on the series first article Type No. QD 000 P40 BA, Serial No. TP-1006. Certain parameters have been retested using further series units (called samples).

Test	Requirement	Details	Units tested
Shape	Compliance with the geometry according to the CAD model.	IT'IS CAD File (*)	First article, Samples
Material thickness	Compliant with the requirements according to the standards	2mm +/- 0.2mm in specific areas	First article, Samples
Materiai parameters	Dielectric parameters for required frequencies	200 MHz – 3 GHz Relative permittivity < 5 Loss tangent < 0.05.	Material sample TP 104-5
Material resistivity	The material has been tested to be compatible with the liquids defined in the standards	Liquid type HSL 1800 and others according to the standard.	Pre-series, First article

#### Standards

- [1] CENELEC EN 50361
- [2] IEEE P1528-200x draft 6.5
- [3] IEC PT 62209 draft 0.9
- (\*) The IT'IS CAD file is derived from [2] and is also within the tolerance requirements of the shapes of [1] and [3].

#### Conformity

Based on the sample tests above, we certify that this item is in compliance with the uncertainty requirements of SAR measurements specified in standard [1] and draft standards [2] and [3].

Date 18.11.2001 Fin Brubolt : lat Schmid & Partner Signature / Stái Engineering AG Zeughausstrasse 43, CH-8004 Zurich Tel. +41 1 245 97 00, Fax +41 1 245 97 79

Zeughausstrasse 43, 8004 Zurich, Switzerland Phone +41 44 245 9700, Fax +41 44 245 9779 info@speag.com, http://www.speag.com

#### **Certificate of Conformity / First Article Inspection**

Item	Oval Flat Phantom ELI 5.0
Type No	QD OVA 002 A
Series No	1108 and higher
Manufacturer	Untersee Composites Knebelstrasse 8, CH-8268 Mannenbach, Switzerland

#### Tests

Complete tests were made on the prototype units QD OVA 001 A, pre-series units QD OVA 001 B as well as on some series units QD OVA 001 B. Some tests are made on all series units QD OVA 002 A.

Test	Requirement	Details	Units tested
Shape	Internal dimensions, depth and sagging are compatible with standards	Bottom elliptical 600 x 400 mm, Depth 190 mm, dimension compliant with [1] for f > 375 MHz	Prototypes
Material thickness	Bottom: 2.0mm +/- 0.2mm	dimension compliant with [3] for f > 800 MHz	all
Material parameters	rel. permittivity 2 – 5, loss tangent ≤ 0.05, at f ≤ 6 GHz	rel. permittivity 3.5 +/- 0.5 loss tangent ≤ 0.05	Material samples
Material resistivity	Compatibility with tissue simulating liquids .	Compatible with SPEAG liquids. **	Phantoms, Material sample
Sagging	Sagging of the flat section in tolerance when filled with tissue simulating liquid.	within tolerance for filling height up to 155 mm	Prototypes, samples

\*\* Note: Compatibility restrictions apply certain liquid components mentioned in the standard, containing e.g. DGBE, DGMHE or Triton X-100. Observe technical note on material compatibility.

#### Standards

- [1] OET Bulletin 65, Supplement C, "Evaluating Compliance with FCC Guidelines for Human Exposure to Radiofrequency Electromagnetic Fields", Edition 01-01
- [2] IEEE 1528-2003, "Recommended Practice for Determining the Peak Spatial-Average Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques, December 2003
- [3] IEC 62209–1 ed1.0, "Human exposure to radio frequency fields from hand-held and body-mounted wireless communication devices - Human models, instrumentation, and procedures - Part 1: Procedure to determine the specific absorption rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", 2005-02-18
- [4] IEC 62209–2 ed1.0, "Human exposure to radio frequency fields from hand-held and body-mounted wireless communication devices - Human models, instrumentation, and procedures - Part 2: Procedure to determine the specific absorption rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", 2010-03-30

#### Conformity

Based on the sample tests above, we certify that this item is in compliance with the uncertainty requirements of **body-worn** SAR measurements and system performance checks as specified in [1 - 4] and further standards.

Date 25.7.2011



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Signature / Stamp