Test Report S/N: 112301-18807K Date of Tests: December 5, 2001 FCC SAR Evaluation

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# CERTIFICATE OF COMPLIANCE SAR EVALUATION

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## **Applicant Information:**

### **TOPAZ3 LLC**

10828 NW Air World Drive Kansas City, Missouri 64153

FCC Rule Part(s): 2.1093; ET Docket 96-326

FCC ID: 07KPL5164 Model(s): PL5164

**EUT Type:** Portable UHF PTT Radio Transceiver

Modulation: FM (UHF Band)
Tx Frequency Range: 450 - 490 MHz

Rated RF Conducted Power: 5.0 Watts
Antenna Type: Helical Whip
Antenna P/N: ACC-145

**Body-Worn Configuration: Belt-Loop with Swivel & Leather Case** 

Celltech Research Inc. declares under its sole responsibility that this device was found to be in compliance with the Specific Absorption Rate (SAR) RF exposure requirements specified in OET Bulletin 65, Supplement C, Edition 01-01 (Occupational / Controlled Exposure), and was tested in accordance with the appropriate measurement standards, guidelines, and recommended practices specified in American National Standards Institute C95.1-1992.

I attest to the accuracy of data. All measurements were performed by me or were made under my supervision and are correct to the best of my knowledge and belief. I assume full responsibility for the completeness of these measurements and vouch for the qualifications of all persons taking them.

This test report shall not be reproduced partially, or in full, without the prior written approval of Celltech Research Inc. The results and statements contained in this report pertain only to the device(s) evaluated.

Shawn McMillen General Manager Celltech Research Inc.

## **TABLE OF CONTENTS**

1.0	INTRODUCTION	1
2.0	DESCRIPTION OF EQUIPMENT UNDER TEST	1
3.0	SAR MEASUREMENT SYSTEM	2
4.0	MEASUREMENT SUMMARY	3-4
5.0	DETAILS OF SAR EVALUATION	5
6.0	EVALUATION PROCEDURES	6
7.0	SYSTEM VALIDATION	7
8.0	TISSUE PARAMETERS	7
9.0	SIMULATED EQUIVALENT TISSUES	8
10.0	SAR SAFETY LIMITS	8
11.0	ROBOT SYSTEM SPECIFICATIONS	9
12.0	PROBE SPECIFICATION.	10
13.0	SMALL PLANAR PHANTOM	10
14.0	LARGE PLANAR PHANTOM	10
15.0	DEVICE HOLDER.	10
16.0	TEST EQUIPMENT LIST.	11
17.0	MEASUREMENT UNCERTAINTIES	12
18.0	REFERENCES	13
APPE	NDIX A - SAR MEASUREMENT DATA	14 15
	NDIX C - DIPOLE CALIBRATION	16
	NDIX D - PROBE CALIBRATIONNDIX E - SAR SENSITIVITIES	17 18
	NDIX F - SAR AND EUT PHOTOGRAPHS.	

1

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### 1.0 INTRODUCTION

This measurement report shows that the TOPAZ3 Model: PL5164 Portable UHF PTT Radio Transceiver FCC ID: O7KPL5164 complies with FCC Part 2.1093, ET Docket 96-326 Rules for mobile and portable devices (controlled exposure). The test procedures, as described in American National Standards Institute C95.1-1992 (see reference [1]), and FCC OET Bulletin 65, Supplement C, Edition 01-01 (see reference [2]) were employed. A description of the product and operating configuration, detailed summary of the test results, methodology and procedures used in the evaluation, equipment used, and the various provisions of the rules are included within this test report.

## 2.0 DESCRIPTION OF EQUIPMENT UNDER TEST (EUT)

Rule Part(s)	FCC 2.1093; ET Docket 96.326	
EUT Type	Portable UHF PTT Radio Transceiver	
FCC ID	O7KPL5164	
Model No.(s)	PL5164	
Serial No.	Pre-production	
Modulation	FM (UHF Band)	
Tx Frequency Range	450-490 MHz	
Rated RF Conducted Output Power	5.0 Watts	
Antenna Type	Helical Whip	
Antenna P/N	ACC-145	
Battery Type	7.2V DC NiMH	

### 3.0 SAR MEASUREMENT SYSTEM

Celltech Research SAR measurement facility utilizes the Dosimetric Assessment System (DASY<sup>TM</sup>) manufactured by Schmid & Partner Engineering AG (SPEAG<sup>TM</sup>) of Zurich, Switzerland. DASY system is comprised of the robot controller, computer, near-field probe, probe alignment sensor, SAM phantom, and various planar phantoms for brain or body SAR evaluations. The robot is a six-axis industrial robot performing precise movements to position the probe to the location (points) of maximum electromagnetic field (EMF). A cell controller system contains the power supply, robot controller, teach pendant (Joystick), and remote control, is used to drive the robot motors. The Staubli robot is connected to the cell controller to allow software manipulation of the robot. A data acquisition electronic (DAE) circuit performs the signal amplification, signal multiplexing, AD-conversion, offset measurements, mechanical surface detection, collision detection, etc. is connected to the Electrooptical coupler (EOC). The EOC performs the conversion from the optical into digital electric signal of the DAE and transfers data to the PC plug-in card. The DAE3 utilizes a highly sensitive electrometer-grade preamplifier with auto-zeroing, a channel and gain-switching multiplexer, a fast 16bit AD-converter and a command decoder and control logic unit. Transmission to the PC-card is accomplished through an optical downlink for data and status information and an optical uplink for commands and clock lines. The mechanical probe-mounting device includes two different sensor systems for frontal and sidewise probe contacts. They are also used for mechanical surface detection and probe collision detection. The robot uses its own controller with a built in VME-bus computer.





DASY3 SAR Measurement System with small planar phantom DASY3 SAR Measurement System with large planar phantom

### 4.0 MEASUREMENT SUMMARY

The measurement results were obtained with the EUT tested in the conditions described in this report. Detailed measurement data and plots showing the maximum SAR location of the EUT are reported in Appendix A.

## **Face-Held SAR Measurements**

rreq.   Cl.   Note   Power		Antenna		SAR (w/kg)				
(MHz)	Channel	Mode	Before (W)	After (W)	Position	Distance (cm)	100% Duty Cycle	50% Duty Cycle
450.0125	Low	CW	5.03	4.89	Fixed	2.5	4.82	2.41
470.0125	Mid	CW	5.05	4.90	Fixed	2.5	6.40	3.20
489.9875	High	CW	5.02	4.90	Fixed	2.5	3.89	1.95
Mixture Type: Brain (Measured) Dielectric Constant: 43.6 Conductivity: 0.87			Spatia	l Peak Cont	95.1 1992 - SA trolled Exposu V/kg (averaged	re / Occupa	tional	

### Notes:

- 1. The SAR values found were below the maximum limit of 8.0 w/kg (controlled exposure).
- 2. The highest face-held SAR value found was 6.40 w/kg (100% duty cycle).
- 3. The EUT was tested for face-held SAR with a 2.5cm separation distance between the front of the EUT and the outer surface of the planar phantom.
- 4. Ambient TEMPERATURE: 23.3 °C Relative HUMIDITY: 39 % Atmospheric PRESSURE: 101.25 kPa
- 5. Fluid Temperature  $\approx 23.0$  °C
- 6. During the entire test the conducted power was maintained to within 5% of the initial conducted power.



Face-held SAR Test Setup 2.5cm Separation Distance

## MEASUREMENT SUMMARY (Cont.)

## Body-Worn SAR Measurements (with Belt-Loop, Swivel, & Leather Case)

Freq.	Cond. Cond. Antenna Swivel, & Cond. Power Position		Belt-Loop, Swivel, & Case	SA (w/l				
(MHz)	Channel	Mode	Before (W)	After (W)		Separation Distance (cm)	100% Duty Cycle	50% Duty Cycle
450.0125	Low	CW	5.03	4.78	Fixed	4.5	4.51	2.26
470.0125	Mid	CW	5.06	4.87	Fixed	4.5	4.56	2.28
489.9875	High	CW	5.04	4.83	Fixed	4.5	4.46	2.23
Mixture Type: Body (Measured) Dielectric Constant: 56.9 Conductivity: 0.94			Spati	ial Peak Cor	C95.1 1992 - SAF ntrolled Exposure V/kg (averaged ov	/ Occupati	onal	

### Notes:

- 1. The SAR values found were below the maximum limit of 8.0 w/kg (controlled exposure).
- 2. The highest body-worn SAR value found was 4.56 w/kg (100% duty cycle).
- 3. The EUT was tested for body-worn SAR with the attached belt-loop, swivel, and leather case providing a 4.5cm separation distance between the back of the EUT and the outer surface of the planar phantom.
- 4. Ambient TEMPERATURE: 23.3 °C Relative HUMIDITY: 39 % Atmospheric PRESSURE: 101.25 kPa
- 5. Fluid Temperature  $\approx 23.0$  °C
- 6. During the entire test the conducted power was maintained to within 5% of the initial conducted power.

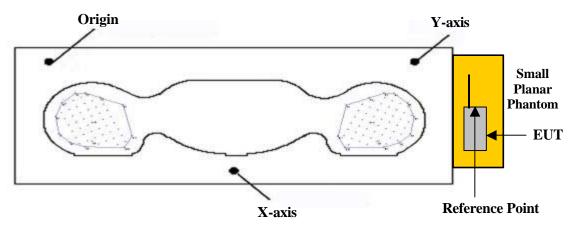


Body-worn SAR Test Setup 4.5cm Belt-Loop, Swivel, & Case Separation

### 5.0 DETAILS OF SAR EVALUATION

The TOPAZ3 Model: PL5164 Portable UHF PTT Radio Transceiver FCC ID: O7KPL5164 was found to be compliant for localized Specific Absorption Rate (controlled exposure) based on the following test provisions and conditions:

- 1. The EUT was evaluated in a face-held configuration with the front of the device placed parallel to the outer surface of the small planar phantom. A 2.5cm separation distance was maintained between the front of the EUT and the outer surface of the small planar phantom for the duration of the test.
- 2. The EUT was evaluated in a body-worn configuration placed in the leather case with swivel and belt-loop, and the back of the EUT placed parallel to the outer surface of the small planar phantom. The belt-loop (with swivel and leather case) was touching the outer surface of the planar phantom for the duration of the test and provided a 4.5cm separation distance between the back of the EUT and the outer surface of the small planar phantom.
- 3. The EUT was evaluated for SAR at maximum power and the unit was operated for an appropriate period prior to the evaluation in order to minimize drift. The conducted power levels were checked before and after each test. If the conducted power level deviated more than 5% of the initial power level, then the EUT was retested. Any unusual anomalies over the course of the test also warranted a re-evaluation.
- 4. The conducted power was measured according to the procedures described in FCC Part 2.1046.
- 5. The EUT was tested with the transmitter in continuous operation (100% duty cycle) throughout the SAR evaluation. As this is a push-to-talk device the 50% duty cycle compensation reported assumes a transmit/receive cycle of equal time base.
- 6. The location of the maximum spatial SAR distribution (Hot Spot) was determined relative to the device and its antenna.
- 7. The EUT was tested with a fully charged battery.



**Phantom Reference Point & EUT Positioning** 

### 6.0 EVALUATION PROCEDURES

The Specific Absorption Rate (SAR) evaluation was performed in the following manner:

- a. (i) The evaluation was performed in the applicable area of the phantom depending on the type of device being tested. For devices held to the ear during normal operation both the left and right ear positions were evaluated at the low, middle, and high frequencies of the band at maximum power, and with the device antenna in both the extended and extracted positions as applicable. The positioning of the ear-held device relative to the phantom was performed in accordance with FCC OET Bulletin 65, Supplement C (Edition 01-01) using the SAM phantom.
- (ii) For face-held and body-worn devices a planar phantom was used. Depending on the phantom used for the evaluation, all other phantoms were drained of fluid.
- b. The SAR was determined by a pre-defined procedure within the DASY3 software. Upon completion of a reference and optical surface check, the exposed region of the phantom was scanned near the inner surface using a uniform grid spacing.
- c. A 5x5x7 matrix was performed around the greatest spatial SAR distribution found during the area scan of the applicable exposed region. SAR values were then calculated using a 3-D spline interpolation algorithm and averaged over spatial volumes of 1 and 10 grams.
- d. The depth of the simulating tissue in the phantom used for the SAR evaluation was no less than 15.0cm.
- e. The target tissue parameters for 450MHz were used in the SAR evaluation software. If there was any appreciable variation in the measured tissue parameters from the target values specified then the SAR was adjusted using the sensitivities to SAR (see "Appendix D-SAR Sensitivities").







Body SAR Test Setup with small planar phantom

### 7.0 SYSTEM VALIDATION

Prior to the assessment, the system was verified in a planar phantom with a 450MHz dipole. A forward power of 250mW was applied to the dipole and the system was verified to a tolerance of  $\pm 10\%$ . The applicable verifications are as follows (see Appendix B for dipole validation test plots and Appendix C for dipole calibration information):

Dipole	Target SAR 1g	Measured SAR 1g	Fluid	Validation
Validation Kit	(w/kg)	(w/kg)	Temperature	Date
450MHz	1.47	1.45	≈23.0 °C	12/05/2001

## 8.0 TISSUE PARAMETERS

The dielectric parameters of the fluids were verified prior to the SAR evaluation using an 85070C Dielectric Probe Kit and an 8753E Network Analyzer. The dielectric parameters of the fluid are as follows:

BRAIN TISSUE PARAMETERS - DIPOLE VALIDATION AND EUT EVALUATION					
<b>Equivalent Tissue</b>	Dielectric Constant $\epsilon_{\rm r}$	Conductivity σ (mho/m)	ρ (Kg/m <sup>3</sup> )		
450MHz Brain (Target)	43.5 ± 5%	$0.87 \pm 5\%$	1000		
450MHz Brain (Measured: 12/05/01)	43.6	0.87	1000		

BODY TISSUE PARAMETERS - EUT EVALUATION						
<b>Equivalent Tissue</b>	Dielectric Constant ε <sub>r</sub>	Conductivity σ (mho/m)	ρ (Kg/m <sup>3</sup> )			
450MHz Body (Target)	56.7 ±5%	0.94 ±5%	1000			
450MHz Body (Measured: 12/05/01)	56.9	0.94	1000			

### 9.0 SIMULATED TISSUES

The brain and muscle mixtures consist of a viscous gel using hydroxethylcellulose (HEC) gelling agent and saline solution. Preservation with a bactericide is added and visual inspection is made to ensure air bubbles are not trapped during the mixing process. The fluid was prepared according to standardized procedures, and measured for dielectric parameters (permitivity and conductivity).

TISSUE MIXTURES FOR DIPOLE VALIDATION & EUT EVALUATION						
INGREDIENT	450MHz Brain Mixture (Validation & EUT Evaluation) %	450MHz Body Mixture (EUT Evaluation) %				
Water	38.56	52.00				
Sugar	56.32	45.65				
Salt	3.95	1.75				
HEC	0.98	0.50				
Bactericide	0.19	0.10				

### 10.0 SAR SAFETY LIMITS

	SAR (W/Kg)			
EXPOSURE LIMITS	(General Population / Uncontrolled Exposure Environment)	(Occupational / Controlled Exposure Environment)		
Spatial Average (averaged over the whole body)	0.08	0.4		
Spatial Peak (averaged over any 1g of tissue)	1.60	8.0		
Spatial Peak (hands/wrists/feet/ankles averaged over 10g)	4.0	20.0		

### Notes:

- 1. Uncontrolled environments are defined as locations where there is potential exposure of individuals who have no knowledge or control of their potential exposure.
- 2. Controlled environments are defined as locations where there is potential exposure of individuals who have knowledge of their potential exposure and can exercise control over their exposure.

Test Report S/N: 112301-18807K Date of Tests: December 5, 2001 FCC SAR Evaluation

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### 11.0 ROBOT SYSTEM SPECIFICATIONS

**Specifications** 

**POSITIONER:** Stäubli Unimation Corp. Robot Model: RX60L

**Repeatability:** 0.02 mm

No. of axis: 6

Data Acquisition Electronic (DAE) System

**Cell Controller** 

Processor: Pentium III
Clock Speed: 450 MHz
Operating System: Windows NT
Data Card: DASY3 PC-Board

**Data Converter** 

**Features:** Signal Amplifier, multiplexer, A/D converter, and control logic

**Software:** DASY3 software

**Connecting Lines:** Optical downlink for data and status info.

Optical uplink for commands and clock

**PC Interface Card** 

**Function:** 24 bit (64 MHz) DSP for real time processing

Link to DAE3

16-bit A/D converter for surface detection system

serial link to robot

direct emergency stop output for robot

**E-Field Probe** 

Model: ET3DV6 Serial No.: 1590

**Construction:** Triangular core fiber optic detection system

**Frequency:** 10 MHz to 6 GHz

**Linearity:**  $\pm 0.2 \text{ dB } (30 \text{ MHz to } 3 \text{ GHz})$ 

**Evaluation Phantom** 

**Type:** Small Planar Phantom

**Shell Material:** Plexiglas

**Bottom Thickness:**  $2.0 \text{ mm} \pm 0.1 \text{mm}$ 

**Dimensions:** Box: 36.5cm (L) x 22.5cm (W) x 20.3cm (H); Back Plane: 25.3cm (H)

**Validation Phantom (≤ 450MHz)** 

**Type:** Large Planar Phantom

**Shell Material:** Plexiglas

**Bottom Thickness:**  $6.2 \text{ mm} \pm 0.1 \text{mm}$ 

**Dimensions:** 86.0cm (L) x 39.5cm (W) x 21.8cm (H)

## 12.0 PROBE SPECIFICATION (ET3DV6)

Construction: Symmetrical design with triangular core

Built-in shielding against static charges

PEEK enclosure material (resistant to organic solvents, e.g. glycol)

Calibration: In air from 10 MHz to 2.5 GHz

In brain simulating tissue at frequencies of 900 MHz

and 1.8 GHz (accuracy  $\pm$  8%)

Frequency: 10 MHz to > 6 GHz; Linearity:  $\pm 0.2 \text{ dB}$ 

(30 MHz to 3 GHz)

Directivity:  $\pm 0.2$  dB in brain tissue (rotation around probe axis)

 $\pm$  0.4 dB in brain tissue (rotation normal to probe axis)

Dynam. Rnge:  $5 \mu W/g$  to > 100 mW/g; Linearity:  $\pm 0.2 \text{ dB}$ 

Srfce. Detect.  $\pm 0.2$  mm repeatability in air and clear liquids over

diffuse reflecting surfaces

Dimensions: Overall length: 330 mm

Tip length: 16 mm Body diameter: 12 mm Tip diameter: 6.8 mm

Distance from probe tip to dipole centers: 2.7 mm

Application: General dosimetry up to 3 GHz

Compliance tests of mobile phone



The small planar phantom is constructed of Plexiglas material with a 2.0mm shell thickness for face-held and body-worn SAR evaluations. The small planar phantom is mounted onto the outer left hand section of the DASY3 system.



### 14.0 LARGE PLANAR PHANTOM

The large planar phantom is constructed of Plexiglas material with a 6.0 mm shell thickness for SAR validations at and below 450MHz. The large planar phantom is mounted in the DASY3 compact system in place of the SAM phantom.

### 15.0 DEVICE HOLDER

The DASY3 device holder has two scales for device rotation (with respect to the body axis) and the device inclination (with respect to the line between the ear openings). The plane between the ear openings and the mouth tip has a rotation angle of 65°. The bottom plate contains three pair of bolts for locking the device holder. The device holder positions are adjusted to the standard measurement positions in the three sections.



ET3DV6 E-Field Probe



Small Planar Phantom



Large Planar Phantom



Device Holder

16.0 TEST EQUIPMENT LIST

SAR MEASUREMENT SYSTEM					
<u>EQUIPMENT</u>	SERIAL NO.	DATE CALIBRATED			
DASY3 System					
-Robot	599396-01	N/A			
-ET3DV6 E-Field Probe	1590	Mar 2001			
-300MHz Validation Dipole	135	Oct 2001			
-450MHz Validation Dipole	136	Oct 2001			
-900MHz Validation Dipole	054	June 2001			
-1800MHz Validation Dipole	247	June 2001			
-SAM Phantom V4.0C	N/A	N/A			
85070C Dielectric Probe Kit	N/A	N/A			
Gigatronics 8652A Power Meter	1835272	Oct 2001			
-Power Sensor 80701A -Power Sensor 80701A	1833535 1833542	Jan 2001 Feb 2001			
Tower sensor out of the	10333 12	100 2001			
E4408B Spectrum Analyzer	US39240170	Nov 2001			
8594E Spectrum Analyzer	3543A02721	Mar 2001			
8753E Network Analyzer	US38433013	Nov 2001			
8648D Signal Generator	3847A00611	Aug 2001			
5S1G4 Amplifier Research Power Amplifier	26235	N/A			

17.0 MEASUREMENT UNCERTAINTIES

### **Uncertainty Description Distribution** Weight Standard Offset Error **Deviation Probe Uncertainty** Axial isotropy U-Shaped 0.5 ±0.2 dB ±2.4 % Spherical isotropy **U-Shaped** 0.5 ±0.4 dB ±4.8 % Isotropy from gradient ±0.5 dB **U-Shaped** 0 $\pm$ Spatial resolution Normal 1 ±0.5 % ±0.5 % Linearity error 1 ±0.2 dB Rectangle ±2.7 % 1 Normal Calibration error ±3.3 % ±3.3 % **SAR Evaluation Uncertainty** Data acquisition error ±1 % Rectangle 1 ±0.6 % ELF and RF disturbances ±0.25 % Normal 1 ±0.25 % Conductivity assessment Rectangle 1 ±5 % ±5.8 % **Spatial Peak SAR Evaluation** Uncertainty Extrapolated boundary effect ±3 % Normal 1 ±3 % ±5 % Probe positioning error ±0.1 mm Normal 1 ±1 % Integrated and cube orientation 1 ±3 % Normal ±3 %

Measurement uncertainties in SAR measurements are difficult to quantify due to several variables including biological, physiological, and environmental.

Rectangle

Normal

1

1

±1.2 %

±6 %

±11.7 %

±5 %

According to ANSI/IEEE C95.3, the overall uncertainties are difficult to assess and will vary with the type of meter and usage situation. However, accuracy's of  $\pm$  1 to 3 dB can be expected in practice, with greater uncertainties in near-field situations and at higher frequencies (shorter wavelengths), or areas where large reflecting objects are present. Under optimum measurement conditions, SAR measurement uncertainties of at least  $\pm$  2dB can be expected.

According to CENELEC, typical worst-case uncertainty of field measurements is  $\pm$  5 dB. For well-defined modulation characteristics the uncertainty can be reduced to  $\pm$  3 dB.

±2 %

±6 %

Cube Shape inaccuracies

**Combined Uncertainties** 

Device positioning

Test Report S/N: 112301-18807K Date of Tests: December 5, 2001 FCC SAR Evaluation

### 18.0 REFERENCES

- (1) ANSI, ANSI/IEEE C95.1: IEEE Standard for Safety Levels with Respect to Human Exposure to Radio Frequency Electromagnetic Fields, 3kHz to 300 Ghz, The Institute of Electrical and Electronics Engineers, Inc., New York, NY: 1992.
- (2) Federal Communications Commission, "Evaluating Compliance with FCC Guidelines for Human Exposure to Radio frequency Electromagnetic Fields", OET Bulletin 65, Supplement C, Edition 01-01, FCC, Washington, D.C. 20554: June 2001.
- (3) Thomas Schmid, Oliver Egger, and Niels Kuster, "Automated E-field scanning system for dosimetric assessments", IEEE *Transaction on Microwave Theory and Techniques*, Vol. 44, pp. 105 113: January 1996.
- (4) Niels Kuster, Ralph Kastle, and Thomas Schmid, "Dosimetric evaluation of mobile communications equipment with know precision", IEICE Transactions of Communications, vol. E80-B, no. 5, pp. 645 652: May 1997.

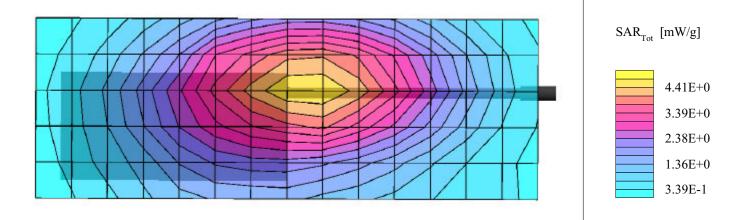
Test Report S/N: 112301-18807K Date of Tests: December 5, 2001 FCC SAR Evaluation

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## APPENDIX A - SAR MEASUREMENT DATA

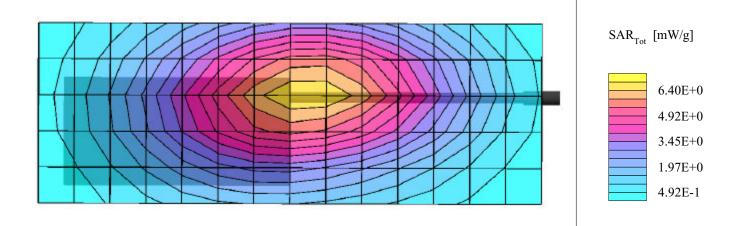
Small Planar Phantom; Planar Section; Position:  $(90^{\circ},180^{\circ})$  Probe: ET3DV6 - SN1590; ConvF(7.36,7.36,7.36); Crest factor: 1.0 450 MHz Brain:  $\sigma = 0.87$  mho/m  $\epsilon_r = 43.5$   $\rho = 1.00$  g/cm³ Coarse: Dx = 20.0, Dy = 20.0, Dz = 10.0 Cube 5x5x7; Powerdrift: -0.13 dB SAR (1g): 4.82 mW/g, SAR (10g): 3.48 mW/g

Face SAR at 2.5cm Separation Distance
Portable UHF PTT Radio Transceiver
Antenna: ACC-145
Topaz3 Model: PL5164
Continuous Wave Mode
Low Channel [450.0125 MHz]
Conducted Pwr: 5.03 Watts
Date Tested: December 5, 2001



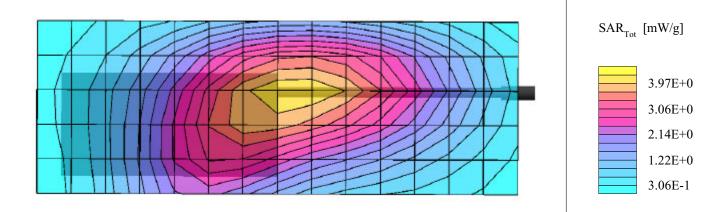
Small Planar Phantom; Planar Section; Position:  $(90^\circ,180^\circ)$  Probe: ET3DV6 - SN1590; ConvF(7.36,7.36,7.36); Crest factor: 1.0 450 MHz Brain:  $\sigma=0.87$  mho/m  $\epsilon_r=43.5$   $\rho=1.00$  g/cm³ Coarse: Dx = 20.0, Dy = 20.0, Dz = 10.0 Cube 5x5x7; Powerdrift: -0.18 dB SAR (1g): 6.40 mW/g, SAR (10g): 4.66 mW/g

Face SAR at 2.5cm Separation Distance
Portable UHF PTT Radio Transceiver
Antenna: ACC-145
Topaz3 Model: PL5164
Continuous Wave Mode
Mid Channel [470.0125 MHz]
Conducted Pwr: 5.05 Watts
Date Tested: December 5, 2001



Small Planar Phantom; Planar Section; Position:  $(90^\circ,180^\circ)$  Probe: ET3DV6 - SN1590; ConvF(7.36,7.36,7.36); Crest factor: 1.0 450 MHz Brain:  $\sigma$  = 0.87 mho/m  $\epsilon_r$  = 43.5  $\rho$  = 1.00 g/cm<sup>3</sup> Coarse: Dx = 20.0, Dy = 20.0, Dz = 10.0 Cube 5x5x7; Powerdrift: -0.14dB SAR (1g): 3.89 mW/g, SAR (10g): 2.80 mW/g

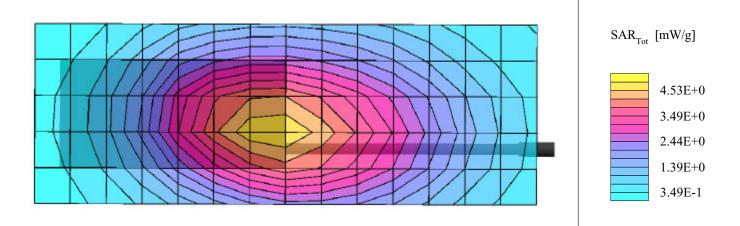
Face SAR at 2.5cm Separation Distance
Portable UHF PTT Radio Transceiver
Antenna: ACC-145
Topaz3 Model: PL5164
Continuous Wave Mode
High Channel [489.9875 MHz]
Conducted Pwr: 5.02 Watts
Date Tested: December 5, 2001



Small Planar Phantom; Planar Section; Position:  $(270^{\circ},0^{\circ})$  Probe: ET3DV6 - SN1590; ConvF(7.23,7.23,7.23); Crest factor: 1.0 450 MHz Muscle:  $\sigma = 0.94$  mho/m  $\epsilon_r = 56.7$   $\rho = 1.00$  g/cm<sup>3</sup> Coarse: Dx = 20.0, Dy = 20.0, Dz = 10.0 Cube 5x5x7; Powerdrift: -0.20dB SAR (1g): 4.51 mW/g, SAR (10g): 3.38 mW/g

Body-Worn SAR with 4.5cm Leather Loop and Case Portable UHF PTT Radio Transceiver Antenna: ACC-145 Topaz3 Model: PL5164 Continuous Wave Mode Low Channel [450.0125 MHz] Conducted Pwr: 5.03 Watts

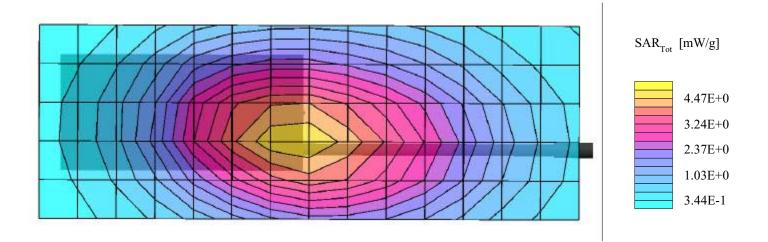
Date Tested: December 5, 2001



Small Planar Phantom; Planar Section; Position:  $(270^{\circ},0^{\circ})$  Probe: ET3DV6 - SN1590; ConvF(7.23,7.23,7.23); Crest factor: 1.0 450 MHz Muscle:  $\sigma$  = 0.94 mho/m  $\epsilon_r$  = 56.7  $\rho$  = 1.00 g/cm³ Coarse: Dx = 20.0, Dy = 20.0, Dz = 10.0 Cube 5x5x7; Powerdrift: -0.18 dB SAR (1g): 4.56 mW/g, SAR (10g): 3.31 mW/g

Body-Worn SAR with 4.5cm Leather Loop and Case Portable UHF PTT Radio Transceiver Antenna: ACC-145 Topaz3 Model: PL5164 Continuous Wave Mode Mid Channel [470.0125 MHz] Conducted Pwr: 5.06 Watts

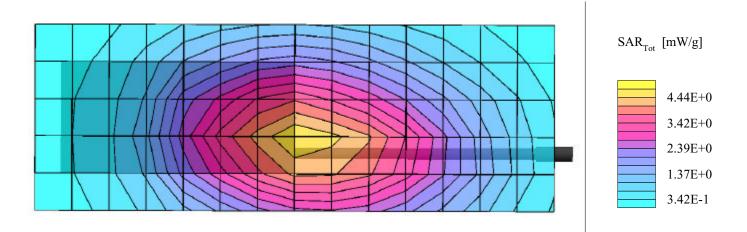
Date Tested: December 5, 2001



Small Planar Phantom; Planar Section; Position:  $(270^{\circ},0^{\circ})$  Probe: ET3DV6 - SN1590; ConvF(7.23,7.23,7.23); Crest factor: 1.0 450 MHz Muscle:  $\sigma$  = 0.94 mho/m  $\epsilon_r$  = 56.7  $\rho$  = 1.00 g/cm³ Coarse: Dx = 20.0, Dy = 20.0, Dz = 10.0 Cube 5x5x7; Powerdrift: -0.20dB SAR (1g): 4.46 mW/g, SAR (10g): 3.26 mW/g

Body-Worn SAR with 4.5cm Leather Loop and Case Portable UHF PTT Radio Transceiver Antenna: ACC-145 Topaz3 Model: PL5164 Continuous Wave Mode High Channel [489.9875 MHz] Conducted Pwr: 5.04 Watts

Date Tested: December 5, 2001



Test Report S/N: 112301-18807K Date of Tests: December 5, 2001 FCC SAR Evaluation

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## APPENDIX B - DIPOLE VALIDATION

## Dipole 450MHz

Frequency: 450 MHz; Conducted Input Power: 250 [mW]

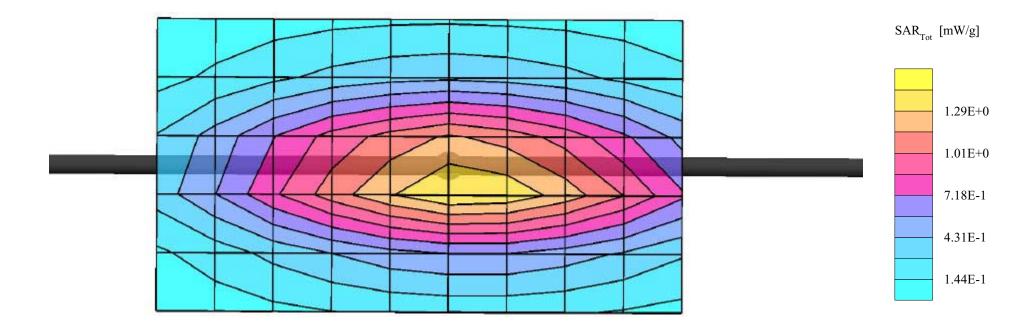
Large Planar Phantom; Planar Section

Probe: ET3DV6 - SN1590; ConvF(7.36,7.36,7.36); Crest factor: 1.0; 450 MHz Brain:  $\sigma$  = 0.87 mho/m  $\epsilon_r$  = 43.5  $\rho$  = 1.00 g/cm³ Cube 5x5x7: Peak: 2.28 mW/g, SAR (1g): 1.45 mW/g, SAR (10g): 0.958 mW/g, (Worst-case extrapolation)

Penetration depth: 12.1 (10.5, 14.1) [mm]

Powerdrift: 0.01 dB

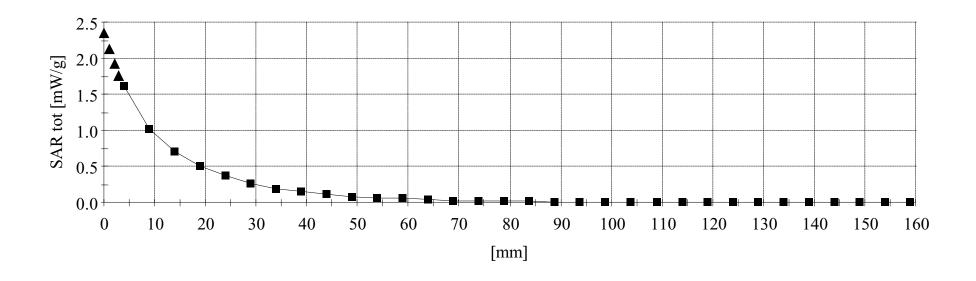
Validation Date: Dec. 5, 2001



## Validation Dipole 450MHz, d = 15 mm

 $Flat \begin{tabular}{l}{l}{Phantom; Planar Section}\\ Probe: ET3DV6 - SN1590; ConvF(7.36,7.36,7.36); Crest factor: 1.0\\ 450 \begin{tabular}{l}{MHz Brain: } \sigma = 0.87 \begin{tabular}{l}{mho/m} \epsilon_r = 43.5 \end{tabular} \rho = 1.00 \end{tabular} g/cm^3\\ Z-Axis: Dx = 0.0, Dy = 0.0, Dz = 5.0\\ \end{tabular}$ 

Test Date: December 5, 2001 conducted power: 250 mW



Test Report S/N: 112301-18807K Date of Tests: December 5, 2001 FCC SAR Evaluation

## APPENDIX C - DIPOLE CALIBRATION



## 450MHz SYSTEM VALIDATION DIPOLE

Type:	450MHz Validation Dipole
Serial Number:	136
Place of Calibration:	Celltech Research Inc.
Date of Calibration:	October 17, 2001

Celltech Research Inc. hereby certifies that this device has been calibrated on the date indicated above.

Calibrated by:

Approved by:

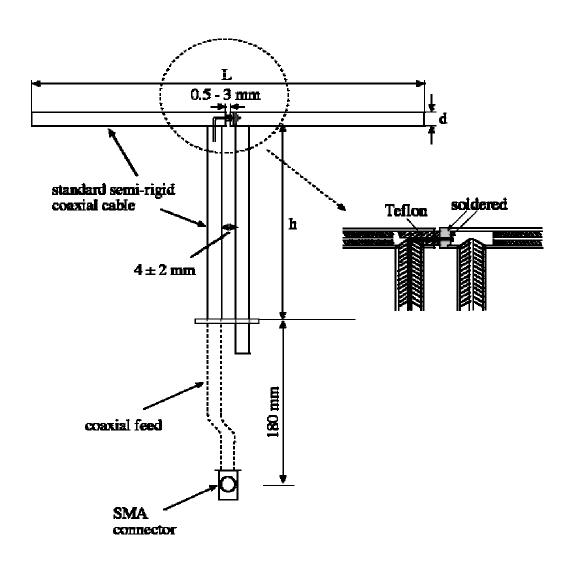
## 1. Dipole Construction & Electrical Characteristics

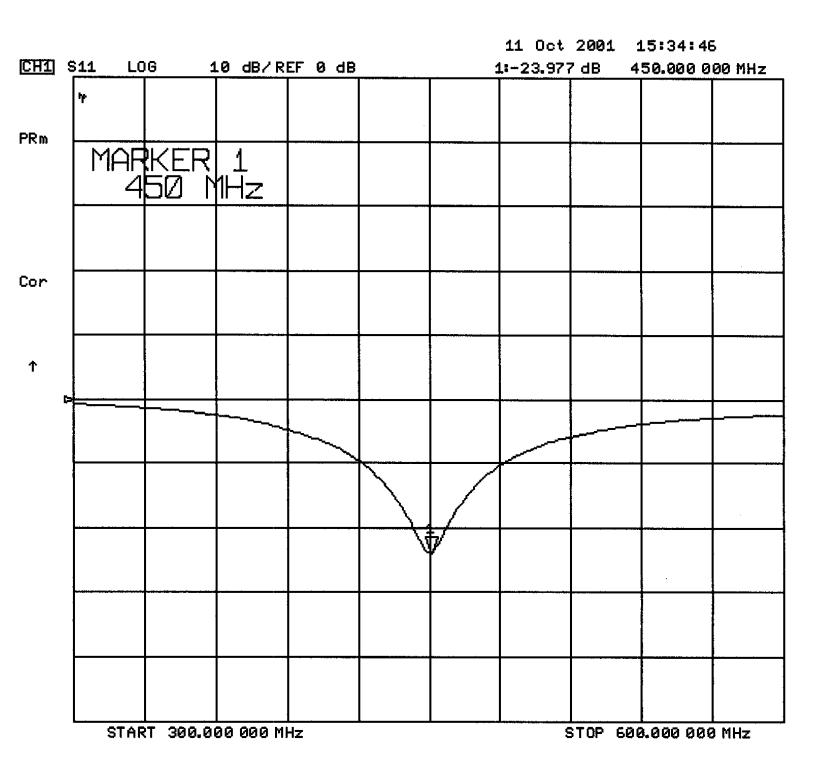
The validation dipole was constructed in accordance with the IEEE Std "Recommended Practice for Determining the Spatial-Peak Specific Absorption Rate (SAR) in the Human Body Due to Wireless Communications Devices: Experimental Techniques". The electrical properties were measured using an HP 8753E Network Analyzer. The network analyzer was calibrated to the validation dipole N-type connector feed point using an HP85032E Type N calibration kit. The dipole was placed parallel to a planar phantom at a separation distance of 15.0mm from the simulating fluid using a loss-less dielectric spacer. The measured input impedance is:

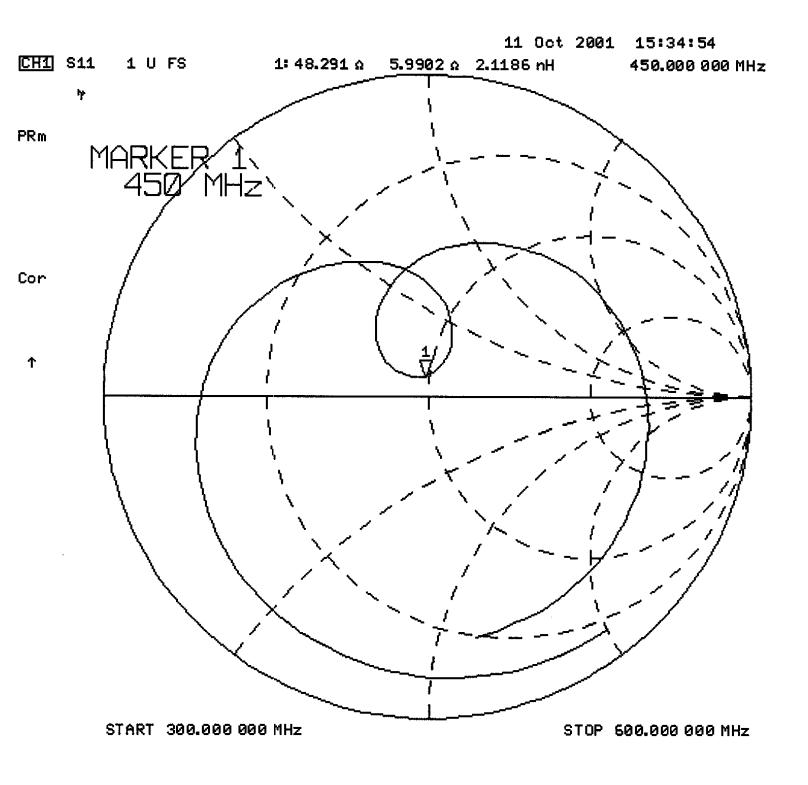
Feed point impedance at 450MHz Re{Z} =  $49.982\Omega$ 

 $Im\{Z\} = 5.8594\Omega$ 

Return Loss at 450MHz -24.714dB







## **Validation Dipole Dimensions**

Frequency (MHz)	L (mm)	h (mm)	d (mm)
300	420.0	250.0	6.2
450	288.0	167.0	6.2
835	161.0	89.8	3.6
900	149.0	83.3	3.6
1450	89.1	51.7	3.6
1800	72.0	41.7	3.6
1900	68.0	39.5	3.6
2000	64.5	37.5	3.6
2450	51.8	30.6	3.6
3000	41.5	25.0	3.6

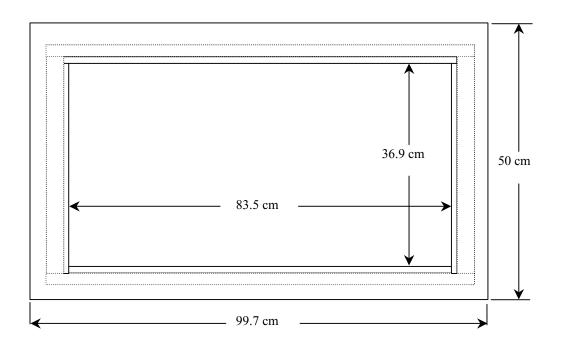
## 2. Validation Phantom

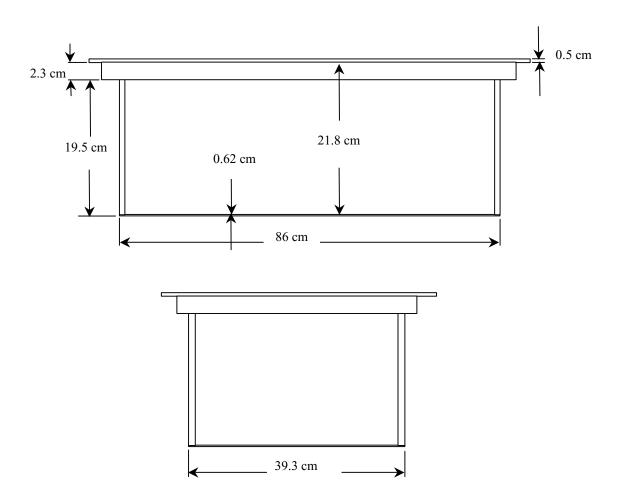
The validation phantom was constructed using relatively low-loss tangent Plexiglas material. The dimensions of the phantom are as follows:

Length: 83.5 cm Width: 36.9 cm Height: 21.8 cm

The bottom of the phantom is constructed of  $6.2 \pm 0.1$ mm Plexiglas.

## **Dimensions of Plexiglas Planar Phantom**





## **450MHz Dipole Calibration Photo**



## **450MHz Dipole Calibration Photo**



## 3. Measurement Conditions

The planar phantom was filled with brain simulating tissue having the following electrical parameters at 450MHz:

Relative Permitivity:  $43.8 \pm 5\%$ Conductivity:  $0.86 \text{ mho/m} \pm 5\%$ 

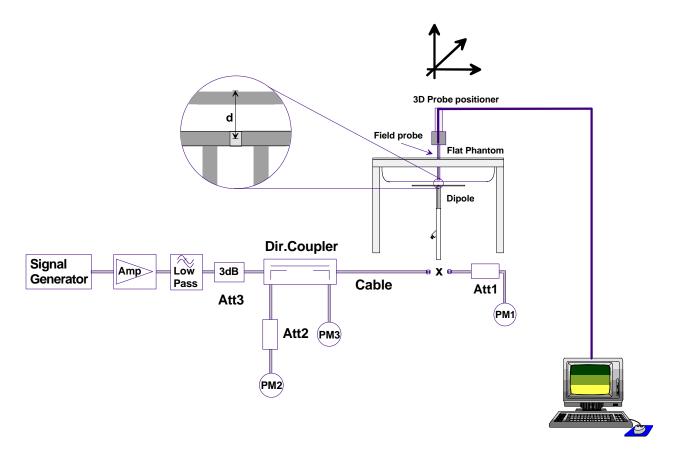
Temperature: 23.1°C

The 450MHz simulating tissue consists of the following ingredients:

Ingredient	Percentage by weight
Water	38.56%
Sugar	56.32%
Salt	3.95%
HEC	0.98%
Dowicil 75	0.19%
Target Dielectric Parameters at 22°C	$\epsilon_r = 43.5$ $\sigma = 0.87 \text{ S/m}$

### 4. SAR Measurement

The SAR measurement was performed with the E-field probe in mechanical detection mode only. The setup and determination of the forward power into the dipole was performed using the following procedures.



First the power meter PM1 (including attenuator Att1) is connected to the cable to measure the forward power at the location of the dipole connector (X). The signal generator is adjusted for the desired forward power at the dipole connector (taking into account the attenuation of Att1) as read by power meter PM2. After connecting the cable to the dipole, the signal generator is readjusted for the same reading at power meter PM2. If the signal generator does not allow adjustment in 0.01dB steps, the remaining difference at PM2 must be taken into consideration. PM3 records the reflected power from the dipole to ensure that the value is not changed from the previous value. The reflected power should be 20dB below the forward power.

Ten SAR measurements were performed in order to achieve repeatability and to establish an average target value.

### **Validation Dipole SAR Test Results**

Validation Measurement	SAR @ 0.25W Input averaged over 1g	SAR @ 1W Input averaged over 1g	SAR @ 0.25W Input averaged over 10g	SAR @ 1W Input averaged over 10g	Peak SAR @ 0.25W Input
Test 1	1.47	5.88	0.971	3.88	2.31
Test 2	1.43	5.72	0.949	3.80	2.25
Test 3	1.45	5.80	0.961	3.84	2.27
Test 4	1.44	5.76	0.954	3.82	2.26
Test 5	1.46	5.84	0.969	3.88	2.29
Test 6	1.42	5.68	0.939	3.76	2.23
Test 7	1.45	5.80	0.960	3.84	2.27
Test 8	1.41	5.64	0.928	3.71	2.22
Test 9	1.43	5.72	0.950	3.80	2.25
Test10	1.46	5.84	0.971	3.88	2.29
Average Value	1.44	5.77	0.946	3.82	2.26

The results have been normalized to 1W (forward power) into the dipole.

Averaged over 1cm (1g) of tissue: 5.77 mW/g

Averaged over 10cm (10g) of tissue: 3.82 mW/g

### Validation Dipole 450MHz, d = 15 mm

Frequency: 450 MHz; Antenna Input Power: 250 [mW]

Flat Phantom; Planar Section

Probe: ET3DV6 - SN1590; ConvF(7.36,7.36,7.36); Crest factor: 1.0

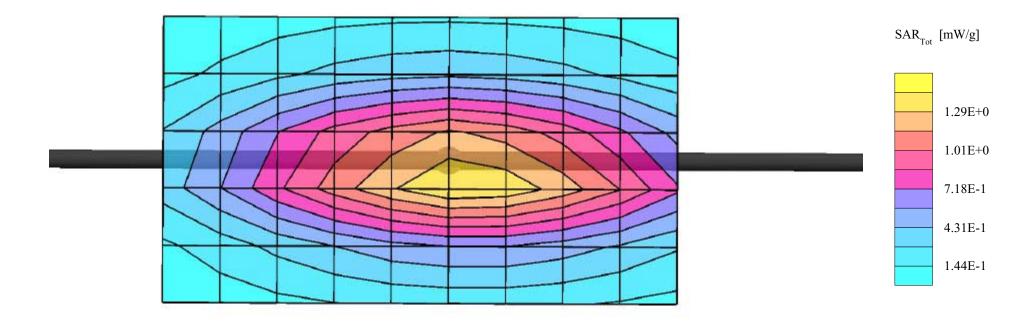
450 MHz Brain:  $\sigma = 0.87$  mho/m  $\varepsilon_r = 43.5$   $\rho = 1.00$  g/cm<sup>3</sup>

Cube 5x5x7: Peak: 2.34 mW/g, SAR (1g): 1.47 mW/g, SAR (10g): 0.963 mW/g, (Worst-case extrapolation)

Penetration depth: 12.3 (10.7, 14.4) [mm]

Powerdrift: 0.02 dB

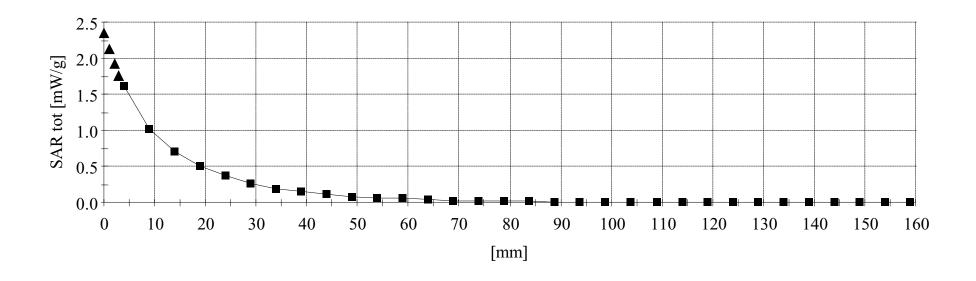
Calibration Date: Oct. 17, 2001



### Validation Dipole 450MHz, d = 15 mm

 $Flat \begin{tabular}{l}{l}{Phantom; Planar Section}\\ Probe: ET3DV6 - SN1590; ConvF(7.36,7.36,7.36); Crest factor: 1.0\\ 450 \begin{tabular}{l}{MHz Brain: } \sigma = 0.87 \begin{tabular}{l}{mho/m} \epsilon_r = 43.5 \end{tabular} \rho = 1.00 \end{tabular} g/cm^3\\ Z-Axis: Dx = 0.0, Dy = 0.0, Dz = 5.0\\ \end{tabular}$ 

Test Date: October 17, 2001 conducted power: 250 mW



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### APPENDIX D - PROBE CALIBRATION

# Probe ET3DV6

SN:1590

Manufactured: March 19, 2001 Calibrated: March 26, 2001

Calibrated for System DASY3

### DASY3 - Parameters of Probe: ET3DV6 SN:1590

Sensitivity in Free Space				Diode C	Compression	
	NormX	1.77	μV/(V/m)²		DCP X	<b>100</b> mV
	NormY	1.91	$\mu$ V/(V/m) <sup>2</sup>		DCP Y	<b>100</b> mV
	NormZ	1.67	$\mu$ V/(V/m) <sup>2</sup>		DCP Z	<b>100</b> mV
Sensitiv	Sensitivity in Tissue Simulating Liquid					
Head	450 MH	z	$\varepsilon_{\rm r}$ = 43.5 ± 5%	σ=	0.87 ± 10% mh	o/m
	ConvF X	7.36	extrapolated		Boundary effect	:
	ConvF Y	7.36	extrapolated		Alpha	0.29
	ConvF Z	7.36	extrapolated		Depth	2.72
Head	900 MH	Z	$\varepsilon_{\rm r}$ = 42 ± 5%	σ=	0.97 ± 10% mh	o/m
	ConvF X	6.83	± 7% (k=2)		Boundary effect	:
	ConvF Y	6.83	± 7% (k=2)		Alpha	0.37
	ConvF Z	6.83	± 7% (k=2)		Depth	2.48

Head	1500 MHz	$\varepsilon_{\rm r}$ = 40.4 ± 5%	$\sigma$ = 1.23 ± 10% mho/m

ConvF X	6.13	interpolated	Boundary effect	:
ConvF Y	6.13	interpolated	Alpha	0.47
ConvF Z	6.13	interpolated	Depth	2.17

Head 1	800 MHz	$\varepsilon_{\rm r}$ = 40 ± 5%	$\sigma$ = 1.40 ± 10% mho/m
--------	---------	---------------------------------	-----------------------------

ConvF X	<b>5.78</b> ± 7% (k=2)	Boundary effe	ect:
ConvF Y	<b>5.78</b> ± 7% (k=2)	Alpha	0.53
ConvF Z	<b>5.78</b> ± 7% (k=2)	Depth	2.01

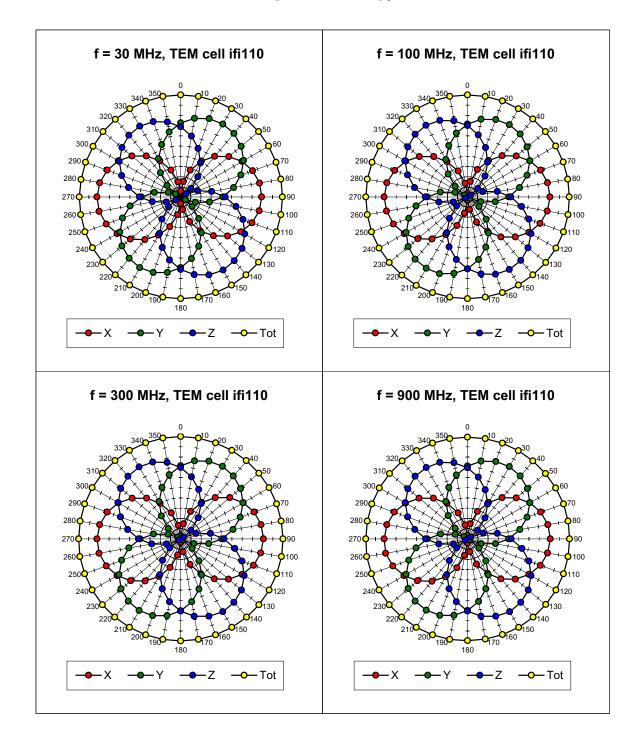
### Sensor Offset

Probe Tip to Sensor Center	2.7	mm
Optical Surface Detection	1.2 ± 0.2	mm

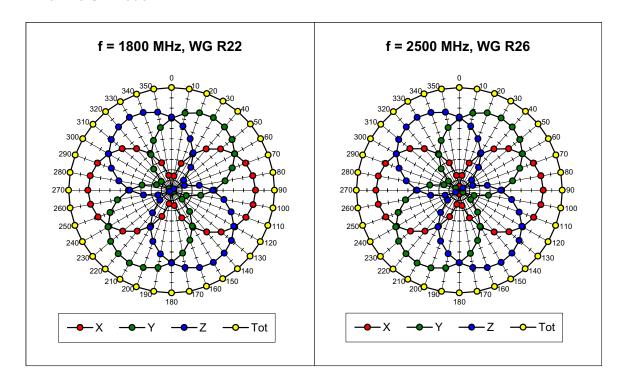
### DASY3 - Parameters of Probe: ET3DV6 SN: 1590

Body	450 M	Hz	$\mathbf{\varepsilon}_{\mathrm{r}} = 56.7 \pm 5\%$	$\sigma = 0.94 \pm 10\% \text{ mho/m}$
	ConvF X	7.23 e	extrapolated	
	ConvF Y	<b>7.23</b> e	extrapolated	
	ConvF Z	<b>7.23</b> e	extrapolated	
Body	900 M	Hz	$\varepsilon_{\rm r}$ = 55.0 ± 5%	<b>σ</b> = 1.05 ± 10% mho/m
	ConvF X	6.61	± 7% (k=2)	
	ConvF Y	6.61	± 7% (k=2)	
	ConvF Z	6.61	± 7% (k=2)	
Body	1500 N	ИHz	$\varepsilon_{\rm r}$ = 54.0 ± 5%	$\sigma = 1.30 \pm 10\% \text{ mho/m}$
Body	<b>1500 N</b> ConvF X		$\epsilon_{\rm r}$ = 54.0 ± 5%	$\sigma = 1.30 \pm 10\% \text{ mho/m}$
Body		<b>5.78</b> i	•	$\sigma = 1.30 \pm 10\% \text{ mho/m}$
Body	ConvF X	5.78 i	nterpolated	<b>σ</b> = 1.30 ± 10% mho/m
Body	ConvF X ConvF Y	5.78 ii 5.78 ii 5.78 ii	nterpolated nterpolated	$\sigma = 1.30 \pm 10\% \text{ mho/m}$ $\sigma = 1.52 \pm 10\% \text{ mho/m}$
	ConvF X ConvF Y ConvF Z	5.78 ii 5.78 ii 5.78 ii	nterpolated nterpolated nterpolated	
	ConvF X ConvF Y ConvF Z	5.78 iı 5.78 iı 5.78 iı MHz	Interpolated interpolated interpolated interpolated $\mathbf{\epsilon}_{\rm r} = 53.3 \pm 5\%$ $\pm 7\% \; (k=2)$	

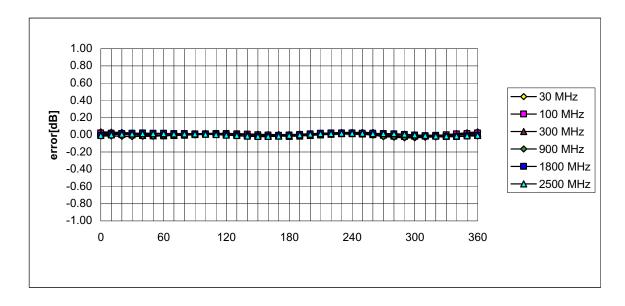
# Receiving Pattern ( $\phi$ ), $\theta$ = 0°



### ET3DV6 SN:1590

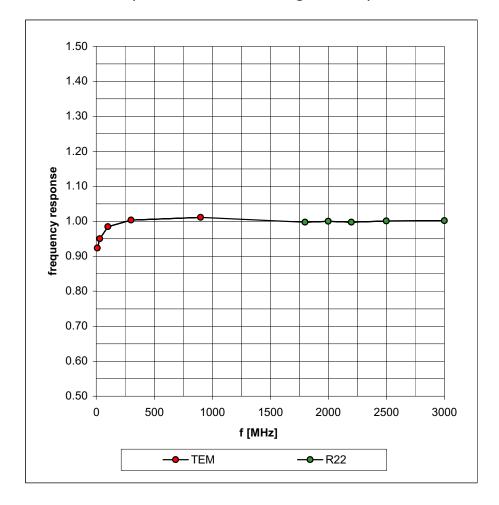


# Isotropy Error ( $\phi$ ), $\theta = 0^{\circ}$



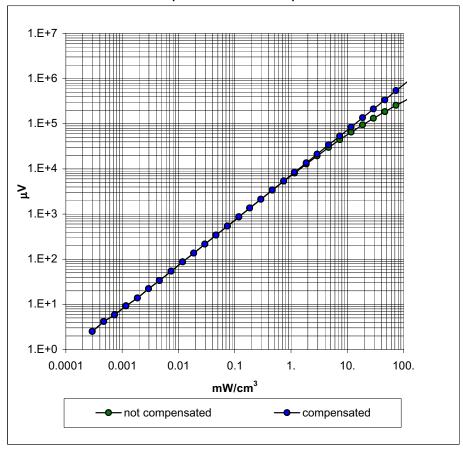
# Frequency Response of E-Field

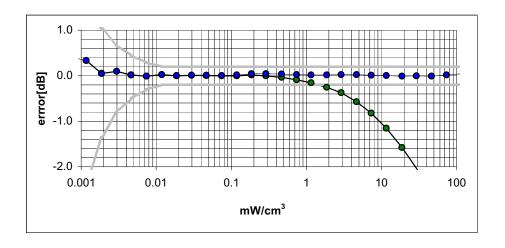
(TEM-Cell:ifi110, Waveguide R22)



# Dynamic Range f(SAR<sub>brain</sub>)

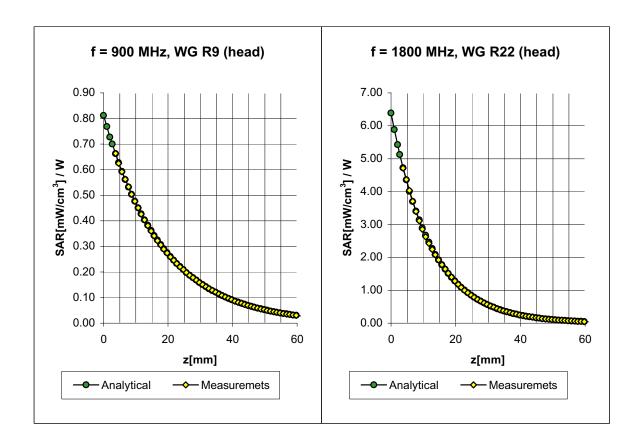
(TEM-Cell:ifi110)





ET3DV6 SN:1590

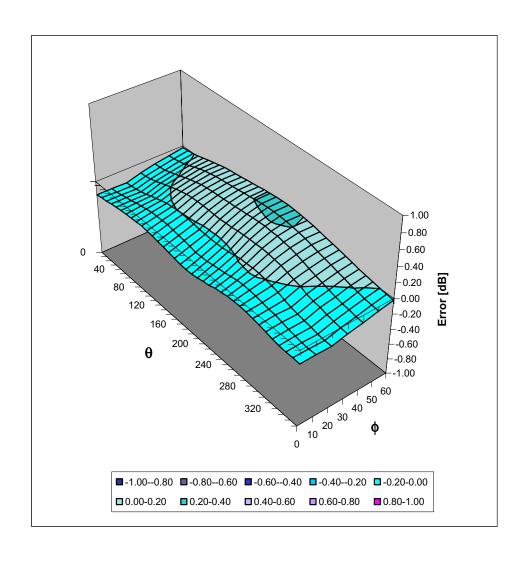
### **Conversion Factor Assessment**



ET3DV6 SN:1590

# **Deviation from Isotropy in HSL**

Error ( $\theta \phi$  ), f = 900 MHz



CELLTECH RESEARCH INC. 1955 Moss Court, Kelowna B.C. Canada V1Y 9L3 Test Report S/N: 112301-18807K Date of Tests: December 5, 2001 FCC SAR Evaluation

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### APPENDIX E - SAR SENSITIVITIES

# **Application Note: SAR Sensitivities**

#### Introduction

The measured SAR-values in homogeneous phantoms depend strongly on the electrical parameters of the liquid. Liquids with exactly matching parameters are difficult to produce; there is always a small error involved in the production or measurement of the liquid parameters. The following sensitivities allow the estimation of the influence of small parameter errors on the measured SAR values. The calculations are based on an approximation formula [1] for the SAR of an electrical dipole near the phantom surface and a adapted plane wave approximation for the penetration depth. The sensitivities are given in percent SAR change per percent change in the controlling parameter:

$$S(x) = \frac{d SAR / SAR}{d x / x}$$

The controlling parameters x are:

ε : permitivityσ : conductivity

• p : brain density (= one over integration volume)

For example: If The liquid permitivity increases by 2 percent and the sensitivity of the SAR to permitivity is -0.6 then the SAR will decrease by 1.2 percent.

The sensitivities are given for surface SAR values and averaged SAR values for 1 g and 10 g cubes and for dipole distances d of 10mm (for frequencies below 1000 MHz) and 15mm (for frequencies above 1000 MHz) from the liquid surface.

Liquid parameters are as proposed in the new standards (e.g., IEEE 1528).

#### References

[1] N. Kuster and Q. Balzano, "Energy absorption mechanism by biological bodies in the near field of dipole antennas above 300 MHz", *IEEE Transacions on Vehicular Technology*, vol. 41(1), pp. 17-23, 1992.

Parameter	ε	σ	ρ		
f=300 MHz ( $\varepsilon r=45.3$ , $\sigma=0.87$ S/m, $\rho=1$ g/cm <sup>3</sup> )					
d=15mm: Surface	- 0.41	+ 0.48	_		
1 g	- 0.33	+ 0.28	0.08		
10 g	- 0.26	+ 0.09	0.16		
f=450 MHz ( $\varepsilon$ r=43.5, $\sigma$ =0.87S/m, $\rho$ =1g/cm <sup>3</sup> )		-			
d=15mm: Surface	- 0.56	+ 0.67	_		
1 g	- 0.46	+ 0.43	0.09		
10 g	- 0.37	+ 0.22	0.17		
f=835 MHz ( $\epsilon$ r=41.5, $\sigma$ =0.90S/m, $\rho$ =1g/cm <sup>3</sup> )					
d=15mm: Surface	- 0.70	+ 0.86	_		
1 g	- 0.57	+ 0.59	0.10		
10 g	- 0.45	+ 0.35	0.18		
f=900 MHz ( $\epsilon$ r=41.5, $\sigma$ =0.97S/m, $\rho$ =1g/cm <sup>3</sup> )					
d=15mm: Surface	- 0.69	+ 0.86	_		
1 g	- 0.55	+ 0.57	0.10		
10 g	- 0.44	+ 0.32	0.19		
f=1450 MHz ( $\epsilon$ r=40.5, $\sigma$ =1.20/m, $\rho$ =1g/cm <sup>3</sup> )					
d=10mm: Surface	- 0.73	+ 0.91	_		
1 g	- 0.55	+ 0.55	0.12		
10 g	- 0.42	+ 0.27	0.22		
f=1800 MHz ( $\epsilon$ r=40.0, $\sigma$ =1.40S/m, $\rho$ =1g/cm <sup>3</sup> )					
d=10mm: Surface	- 0.73	+ 0.92	_		
1 g	- 0.52	+ 0.51	0.14		
10 g	- 0.38	+ 0.21	0.24		
f=1900 MHz ( $\epsilon r$ =40.0, $\sigma$ =1.40S/m, $\rho$ =1g/cm <sup>3</sup> )					
d=10mm: Surface	- 0.73	+ 0.93	_		
1 g	- 0.53	+ 0.51	0.14		
10 g	- 0.39	+ 0.22	0.24		
f=2000 MHz ( $\epsilon$ r=40.0, $\sigma$ =1.40S/m, $\rho$ =1g/cm <sup>3</sup> )					
d=10mm: Surface	- 0.74	+ 0.94	_		
1 g	- 0.53	+ 0.52	0.14		
10 g	- 0.39	+ 0.22	0.24		
f=2450 MHz ( $\epsilon r=39.2$ , $\sigma=1.80 S/m$ , $\rho=1 g/cm^3$ )					
d=10mm: Surface	- 0.74	+ 0.93	_		
1 g	- 0.49	+ 0.41	0.17		
10 g	- 0.34	+ 0.12	0.28		
f=3000 MHz ( $\epsilon$ r=38.5, $\sigma$ =2.40S/m, $\rho$ =1g/cm <sup>3</sup> )					
d=10mm: Surface	- 0.75	+ 0.90			
1 g	- 0.45	+ 0.28	0.21		
10 g	- 0.32	+ 0.02	0.31		

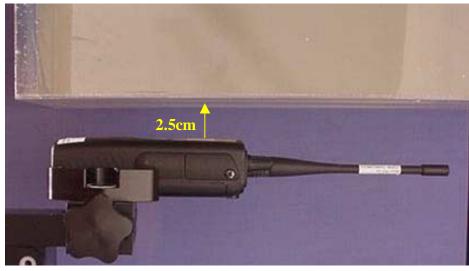
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### APPENDIX F - SAR & EUT PHOTOGRAPHS

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### FACE-HELD SAR TEST PHOTOGRAPHS 2.5cm Separation Distance

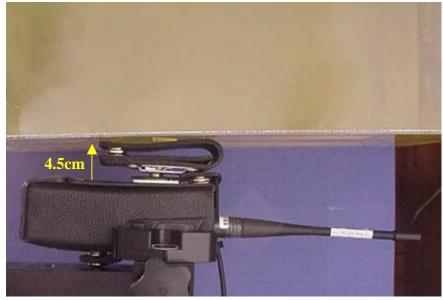




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### BODY-WORN SAR TEST PHOTOGRAPHS with Belt-Loop, Swivel, & Leather Case (4.5cm Separation Distance)





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### EUT PHOTOGRAPHS Profile











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# **EUT PHOTOGRAPHS**With Belt-Loop, Swivel, & Leather Case







