

# **APPENDIX C: RELEVANT PAGES FROM PROBE CALIBRATION REPORT(S)**



Add: No.52 HuaYuanBei Road, Haidian District, Beijing, 100191, China  
Tel: +86-10-62304633-2117  
E-mail: emf@caict.ac.cn <http://www.caict.ac.cn>



中国认可  
国际互认  
校准  
CALIBRATION  
CNAS L0570

Client : **SMQ**

Certificate No: **Z22-60475**

## CALIBRATION CERTIFICATE

Object **DAE4 - SN: 1637**

Calibration Procedure(s) **FF-Z11-002-01**  
**Calibration Procedure for the Data Acquisition Electronics (DAEx)**

Calibration date: **October 31, 2022**

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3)°C and humidity<70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
Process Calibrator 753	1971018	14-Jun-22 (CTTL, No.J22X04180)	Jun-23

	Name	Function	Signature
Calibrated by:	Yu Zongying	SAR Test Engineer	
Reviewed by:	Lin Hao	SAR Test Engineer	
Approved by:	Qi Dianyuan	SAR Project Leader	

Issued: November 04, 2022

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

Certificate No: Z22-60475

Page 1 of 3



In Collaboration with

**s p e a g**  
CALIBRATION LABORATORY



Add: No.52 HuaYuanBei Road, Haidian District, Beijing, 100191, China  
Tel: +86-10-62304633-2117  
E-mail: [emf@caict.ac.cn](mailto:emf@caict.ac.cn) <http://www.caict.ac.cn>

#### **Glossary:**

DAE data acquisition electronics  
Connector angle information used in DASY system to align probe sensor X to the robot coordinate system.

#### **Methods Applied and Interpretation of Parameters:**

- *DC Voltage Measurement:* Calibration Factor assessed for use in DASY system by comparison with a calibrated instrument traceable to national standards. The figure given corresponds to the full scale range of the voltmeter in the respective range.
- *Connector angle:* The angle of the connector is assessed measuring the angle mechanically by a tool inserted. Uncertainty is not required.
- The report provide only calibration results for DAE, it does not contain other performance test results.



In Collaboration with  
**s p e a g**  
CALIBRATION LABORATORY



Add: No.52 HuaYuanBei Road, Haidian District, Beijing, 100191, China  
Tel: +86-10-62304633-2117  
E-mail: [emf@caict.ac.cn](mailto:emf@caict.ac.cn) <http://www.caict.ac.cn>

#### DC Voltage Measurement

A/D - Converter Resolution nominal

High Range: 1LSB = 6.1 $\mu$ V, full range = -100...+300 mV

Low Range: 1LSB = 61nV, full range = -1.....+3mV

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

Calibration Factors	X	Y	Z
High Range	404.981 $\pm$ 0.15% (k=2)	404.800 $\pm$ 0.15% (k=2)	404.969 $\pm$ 0.15% (k=2)
Low Range	3.96382 $\pm$ 0.7% (k=2)	3.99227 $\pm$ 0.7% (k=2)	4.00369 $\pm$ 0.7% (k=2)

#### Connector Angle

Connector Angle to be used in DASY system	24° $\pm$ 1 °
---	---------------



Add: No.52 HuaYuanBei Road, Haidian District, Beijing, 100191, China  
Tel: +86-10-62304633-2117  
E-mail: emf@caict.ac.cn http://www.caict.ac.cn



中国认可  
国际互认  
校准  
CALIBRATION  
CNAS L0570

Client

SMQ

Certificate No: Z22-60305

## CALIBRATION CERTIFICATE

Object EX3DV4 - SN : 3881

Calibration Procedure(s) FF-Z11-004-02  
Calibration Procedures for Dosimetric E-field Probes

Calibration date: January 03, 2023

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3)°C and humidity<70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID #	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
Power Meter NRP2	101919	14-Jun-22(CTTL, No.J22X04181)	Jun-23
Power sensor NRP-Z91	101547	14-Jun-22(CTTL, No.J22X04181)	Jun-23
Power sensor NRP-Z91	101548	14-Jun-22(CTTL, No.J22X04181)	Jun-23
Reference 10dBAttenuator	18N50W-10dB	20-Jan-21(CTTL, No.J21X00486)	Jan-23
Reference 20dBAttenuator	18N50W-20dB	20-Jan-21(CTTL, No.J21X00485)	Jan-23
Reference Probe EX3DV4	SN 3846	20-May-22(SPEAG, No.EX3-3846_May22)	May-23
DAE4	SN 771	20-Jan-22(SPEAG, No.DAE4-771_Jan22)	Jan-23
Secondary Standards	ID #	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration
SignalGenerator MG3700A	6201052605	14-Jun-22(CTTL, No.J22X04182)	Jun-23
Network Analyzer E5071C	MY46110673	14-Jan-22(CTTL, No.J22X00406)	Jan-23

	Name	Function	Signature
Calibrated by:	Yu Zongying	SAR Test Engineer	
Reviewed by:	Lin Hao	SAR Test Engineer	
Approved by:	Qi Dianyuan	SAR Project Leader	

Issued: January 10, 2023

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

Certificate No: Z22-60305

Page 1 of 9





In Collaboration with  
**s p e a g**  
CALIBRATION LABORATORY



Add: No.52 HuaYuanBei Road, Haidian District, Beijing, 100191, China  
Tel: +86-10-62304633-2117  
E-mail: emf@caict.ac.cn <http://www.caict.ac.cn>

#### Glossary:

TSL	tissue simulating liquid
NORM <sub>x,y,z</sub>	sensitivity in free space
ConvF	sensitivity in TSL / NORM <sub>x,y,z</sub>
DCP	diode compression point
CF	crest factor (1/duty_cycle) of the RF signal
A,B,C,D	modulation dependent linearization parameters
Polarization $\Phi$	$\Phi$ rotation around probe axis
Polarization $\theta$	$\theta$ rotation around an axis that is in the plane normal to probe axis (at measurement center), i $\theta=0$ is normal to probe axis

Connector Angle information used in DASY system to align probe sensor X to the robot coordinate system

#### Calibration is Performed According to the Following Standards:

- IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- IEC 62209-1, "Measurement procedure for the assessment of Specific Absorption Rate (SAR) from hand-held and body-mounted devices used next to the ear (frequency range of 300 MHz to 6 GHz)", July 2016
- IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

#### Methods Applied and Interpretation of Parameters:

- NORM<sub>x,y,z</sub>: Assessed for E-field polarization  $\theta=0$  ( $f \leq 900$  MHz in TEM-cell;  $f > 1800$  MHz: waveguide). NORM<sub>x,y,z</sub> are only intermediate values, i.e., the uncertainties of NORM<sub>x,y,z</sub> does not effect the  $E^2$ -field uncertainty inside TSL (see below ConvF).
- NORM( $f$ )<sub>x,y,z</sub> = NORM<sub>x,y,z</sub> \* frequency\_response (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- DCP<sub>x,y,z</sub>: DCP are numerical linearization parameters assessed based on the data of power sweep (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics.
- A<sub>x,y,z</sub>; B<sub>x,y,z</sub>; C<sub>x,y,z</sub>; VR<sub>x,y,z</sub>: A,B,C are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for  $f \leq 800$  MHz) and inside waveguide using analytical field distributions based on power measurements for  $f > 800$  MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty valued are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORM<sub>x,y,z</sub> \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from  $\pm 50$  MHz to  $\pm 100$  MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle: The angle is assessed using the information gained by determining the NORM<sub>x</sub> (no uncertainty required).

Certificate No: Z22-60305

Page 2 of 9

## DASY/EASY – Parameters of Probe: EX3DV4 – SN:3881

### Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm( $\mu\text{V}/(\text{V}/\text{m})^2$ ) <sup>A</sup>	0.50	0.56	0.57	±10.0%
DCP(mV) <sup>B</sup>	106.1	110.6	104.2	

### Modulation Calibration Parameters

UID	Communication System Name		A dB	B dB $\sqrt{\mu\text{V}}$	C	D dB	VR mV	Unc <sup>E</sup> (k=2)
0	CW	X	0.0	0.0	1.0	0.00	179.8	±2.3%
		Y	0.0	0.0	1.0		191.0	
		Z	0.0	0.0	1.0		185.5	

The reported uncertainty of measurement is stated as the standard uncertainty of Measurement multiplied by the coverage factor  $k=2$ , which for a normal distribution Corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of Norm X, Y, Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Page 4).

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

<sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.



## DASY/EASY – Parameters of Probe: EX3DV4 – SN:3881

### Calibration Parameter Determined in Head Tissue Simulating Media

f [MHz] <sup>C</sup>	Relative Permittivity <sup>F</sup>	Conductivity (S/m) <sup>F</sup>	ConvF X	ConvF Y	ConvF Z	Alpha <sup>G</sup>	Depth <sup>G</sup> (mm)	Unct. (k=2)
750	41.9	0.89	10.00	10.00	10.00	0.18	1.08	±12.7%
835	41.5	0.90	9.62	9.62	9.62	0.10	1.46	±12.7%
900	41.5	0.97	9.49	9.49	9.49	0.13	1.40	±12.7%
1750	40.1	1.37	8.25	8.25	8.25	0.16	1.27	±12.7%
1810	40.0	1.40	8.05	8.05	8.05	0.18	1.16	±12.7%
1900	40.0	1.40	7.98	7.98	7.98	0.20	1.11	±12.7%
2100	39.8	1.49	8.05	8.05	8.05	0.17	1.18	±12.7%
2300	39.5	1.67	7.80	7.80	7.80	0.44	0.69	±12.7%
2450	39.2	1.80	7.53	7.53	7.53	0.41	0.75	±12.7%
2600	39.0	1.96	7.30	7.30	7.30	0.37	0.85	±12.7%
3300	38.2	2.71	7.10	7.10	7.10	0.35	0.91	±13.9%
3500	37.9	2.91	6.95	6.95	6.95	0.40	0.89	±13.9%
3700	37.7	3.12	6.76	6.76	6.76	0.30	1.05	±13.9%
3900	37.5	3.32	6.55	6.55	6.55	0.30	1.50	±13.9%
4100	37.2	3.53	6.45	6.45	6.45	0.30	1.40	±13.9%
4200	37.1	3.63	6.35	6.35	6.35	0.30	1.50	±13.9%
4400	36.9	3.84	6.25	6.25	6.25	0.30	1.50	±13.9%
4600	36.7	4.04	6.15	6.15	6.15	0.40	1.30	±13.9%
4800	36.4	4.25	6.09	6.09	6.09	0.40	1.32	±13.9%
4950	36.3	4.40	5.89	5.89	5.89	0.35	1.50	±13.9%
5250	35.9	4.71	5.25	5.25	5.25	0.40	1.42	±13.9%
5600	35.5	5.07	4.63	4.63	4.63	0.40	1.50	±13.9%
5750	35.4	5.22	4.74	4.74	4.74	0.45	1.40	±13.9%

<sup>C</sup> Frequency validity above 300 MHz of ±100MHz only applies for DASY v4.4 and higher (Page 2), else it is restricted to ±50MHz. The uncertainty is the RSS of ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

<sup>F</sup> At frequency up to 6 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ±10% if liquid compensation formula is applied to measured SAR values. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for the frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



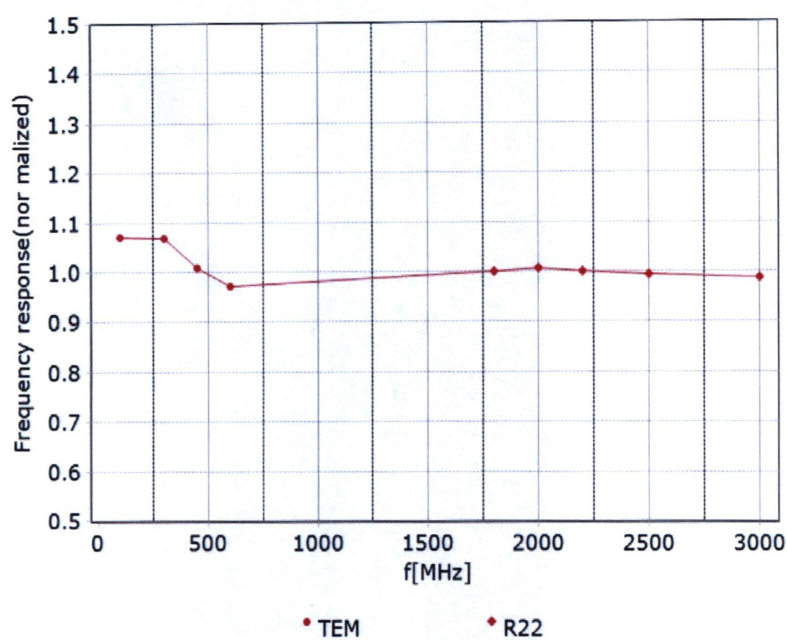


In Collaboration with  
**s p e a g**  
CALIBRATION LABORATORY



Add: No.52 HuaYuanBei Road, Haidian District, Beijing, 100191, China  
Tel: +86-10-62304633-2117  
E-mail: emf@caict.ac.cn <http://www.caict.ac.cn>

## Frequency Response of E-Field (TEM-Cell: ifi110 EXX, Waveguide: R22)



Uncertainty of Frequency Response of E-field:  $\pm 7.4\%$  ( $k=2$ )



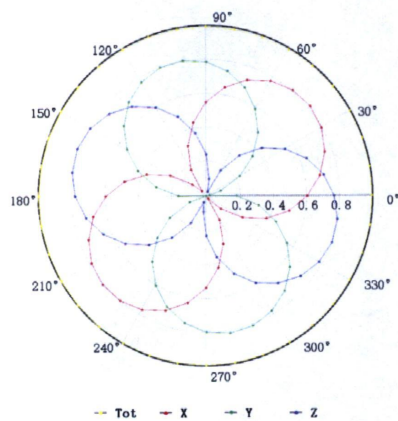
In Collaboration with  
**s p e a g**  
CALIBRATION LABORATORY



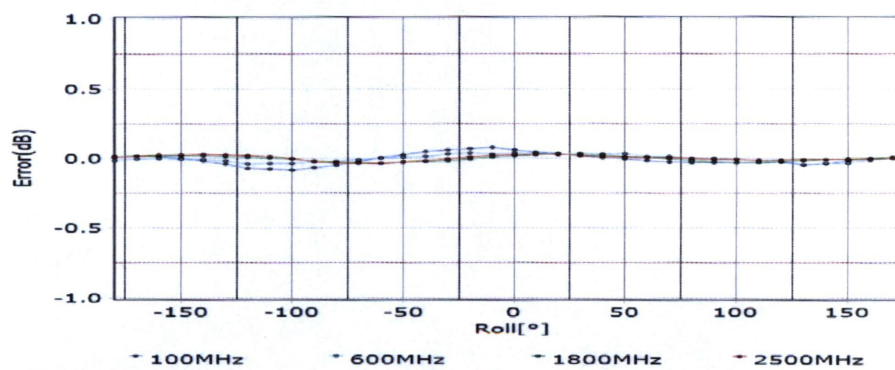
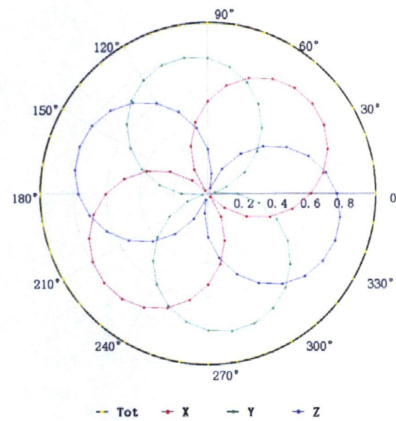
Add: No.52 HuaYuanBei Road, Haidian District, Beijing, 100191, China  
Tel: +86-10-62304633-2117  
E-mail: emf@caict.ac.cn <http://www.caict.ac.cn>

## Receiving Pattern ( $\Phi$ ), $\theta=0^\circ$

**f=600 MHz, TEM**



**f=1800 MHz, R22**



Uncertainty of Axial Isotropy Assessment:  $\pm 1.2\%$  ( $k=2$ )

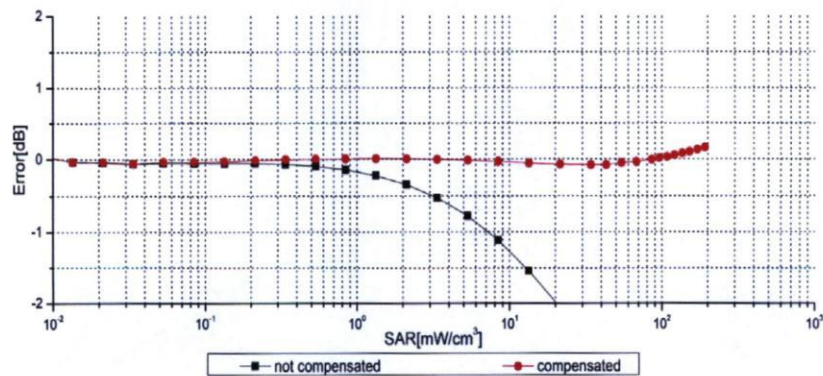
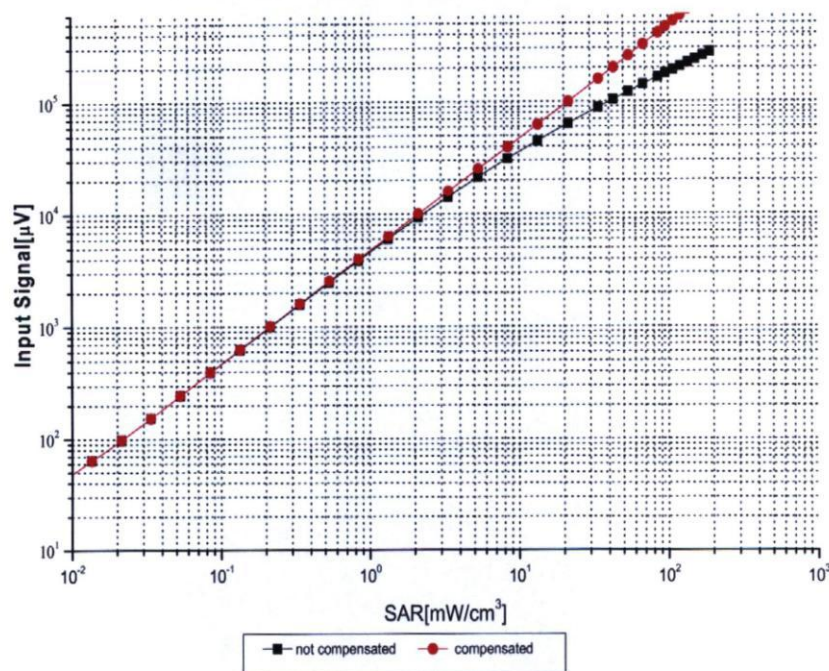


In Collaboration with  
**s p e a g**  
CALIBRATION LABORATORY



Add: No.52 HuaYuanBei Road, Haidian District, Beijing, 100191, China  
Tel: +86-10-62304633-2117  
E-mail: emf@caict.ac.cn <http://www.caict.ac.cn>

### Dynamic Range $f(\text{SAR}_{\text{head}})$ (TEM cell, $f = 900 \text{ MHz}$ )



Uncertainty of Linearity Assessment:  $\pm 0.9\%$  ( $k=2$ )

Certificate No: Z22-60305

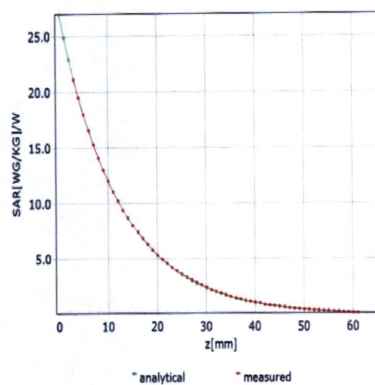
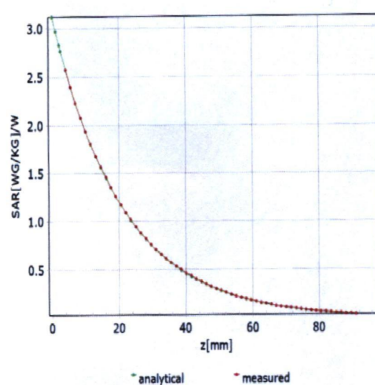
Page 7 of 9



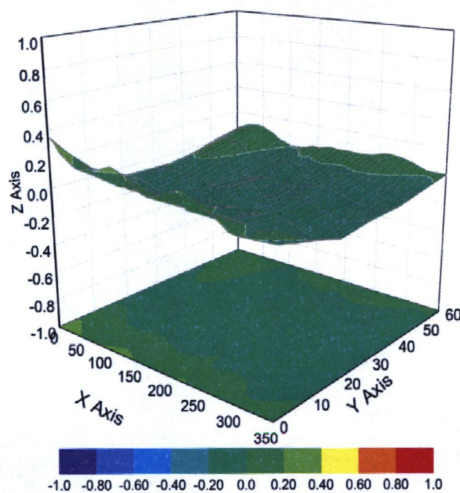
## Conversion Factor Assessment

**f=750 MHz,WGLS R9(H\_convF)**

**f=1750 MHz,WGLS R22(H\_convF)**



## Deviation from Isotropy in Liquid



Uncertainty of Spherical Isotropy Assessment:  $\pm 3.2\%$  ( $k=2$ )



## DASY/EASY – Parameters of Probe: EX3DV4 – SN:3881

### Other Probe Parameters

Sensor Arrangement	Triangular
Connector Angle (°)	121.7
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disable
Probe Overall Length	337mm
Probe Body Diameter	10mm
Tip Length	9mm
Tip Diameter	2.5mm
Probe Tip to Sensor X Calibration Point	1mm
Probe Tip to Sensor Y Calibration Point	1mm
Probe Tip to Sensor Z Calibration Point	1mm
Recommended Measurement Distance from Surface	1.4mm

## System Validation

The SAR measurement system was validated according to procedures in KDB 865664 D01.

The validation status in tabulated summary is as below.

Test Date	Probe S/N	Calibration Point		Measured Conductivity ( $\sigma$ )	Measured Permittivity ( $\epsilon_r$ )	Validation for CW			Validation for Modulation		
						Sensitivity Range	Probe Linearity	Probe Isotropy	Modulation Type	Duty Factor	PAR
Jan. 20, 2023	3881	Head	750	0.900	41.860	Pass	Pass	Pass	N/A	N/A	N/A
Jan. 20, 2023	3881	Head	835	0.890	41.500	Pass	Pass	Pass	N/A	N/A	N/A
Jan. 20, 2023	3881	Head	835	0.890	41.500	Pass	Pass	Pass	GMSK	Pass	N/A
Jan. 20, 2023	3881	Head	1800	1.380	39.724	Pass	Pass	Pass	N/A	N/A	N/A
Jan. 20, 2023	3881	Head	1900	1.360	39.800	Pass	Pass	Pass	N/A	N/A	N/A
Jan. 20, 2023	3881	Head	1900	1.360	39.800	Pass	Pass	Pass	GMSK	Pass	N/A
Jan. 20, 2023	3881	Head	2450	1.825	39.945	Pass	Pass	Pass	OFDM	N/A	Pass
Jan. 20, 2023	3881	Head	2600	2.023	38.491	Pass	Pass	Pass	N/A	N/A	N/A
Jan. 20, 2023	3881	Head	5250	4.696	36.050	Pass	Pass	Pass	OFDM	N/A	Pass
Jan. 20, 2023	3881	Head	5600	5.199	36.180	Pass	Pass	Pass	OFDM	N/A	Pass
Jan. 20, 2023	3881	Head	5750	5.232	35.730	Pass	Pass	Pass	OFDM	N/A	Pass