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Appendix B - DAE & Probe Calibration Certificate

Calibration Laboratory of Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland





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Accreditation No.: SCS 0108

CALIBRATION	CERTIFICATE		
Object	DAE4 - SD 000 D	004 BM - SN: 856	
Calibration procedure(s)	QA CAL-06.v29 Calibration proceed	dure for the data acquisition elec	ctronics (DAE)
Calibration date:	April 24, 2019		
	cted in the closed laboratory	obability are given on the following pages as γ facility: environment temperature (22 \pm 3)°	
	10% 0		
	ID #	Cal Date (Certificate No.)	Scheduled Calibration
	SN: 0810278	Cal Date (Certificate No.) 03-Sep-18 (No:23488)	Scheduled Calibration Sep-19
Keithley Multimeter Type 2001 Secondary Standards	SN: 0810278	03-Sep-18 (No:23488) Check Date (in house)	
Primary Standards Keithley Multimeter Type 2001 Secondary Standards Auto DAE Calibration Unit Calibrator Box V2.1	SN: 0810278 ID # SE UWS 053 AA 1001	03-Sep-18 (No:23488)	Sep-19
Keithley Multimeter Type 2001 Secondary Standards Auto DAE Calibration Unit	SN: 0810278 ID # SE UWS 053 AA 1001	03-Sep-18 (No:23488) Check Date (In house) 07-Jan-19 (in house check)	Sep-19 Scheduled Check In house check: Jan-20
Keithley Multimeter Type 2001 Secondary Standards Auto DAE Calibration Unit Calibrator Box V2.1	SN: 0810278 ID # SE UWS 053 AA 1001 SE UMS 006 AA 1002	03-Sep-18 (No:23488) Check Date (In house) 07-Jan-19 (in house check) 07-Jan-19 (in house check)	Sep-19 Scheduled Check In house check: Jan-20 In house check: Jan-20
Keithley Multimeter Type 2001 Secondary Standards Auto DAE Calibration Unit Calibrator Box V2.1	SN: 0810278 ID # SE UWS 053 AA 1001 SE UMS 006 AA 1002 Name	03-Sep-18 (No:23488) Check Date (in house) 07-Jan-19 (in house check) 07-Jan-19 (in house check)	Sep-19 Scheduled Check In house check: Jan-20 In house check: Jan-20
Keithley Multimeter Type 2001 Secondary Standards Auto DAE Calibration Unit Calibrator Box V2.1	SN: 0810278 ID # SE UWS 053 AA 1001 SE UMS 006 AA 1002 Name	03-Sep-18 (No:23488) Check Date (in house) 07-Jan-19 (in house check) 07-Jan-19 (in house check)	Sep-19 Scheduled Check In house check: Jan-20 In house check: Jan-20
Keithley Multimeter Type 2001 Secondary Standards Auto DAE Calibration Unit	SN: 0810278 ID # SE UWS 053 AA 1001 SE UMS 006 AA 1002 Name Adrian Gehring	03-Sep-18 (No:23488) Check Date (in house) 07-Jan-19 (in house check) 07-Jan-19 (in house check) Function Laboratory Technician	Sep-19 Scheduled Check In house check: Jan-20 In house check: Jan-20

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Certificate No: DAE4-856_Apr19

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Glossary

DAE data acquisition electronics

Connector angle information used in DASY system to align probe sensor X to the robot

coordinate system.

Methods Applied and Interpretation of Parameters

- DC Voltage Measurement: Calibration Factor assessed for use in DASY system by comparison with a calibrated instrument traceable to national standards. The figure given corresponds to the full scale range of the voltmeter in the respective range.
- Connector angle: The angle of the connector is assessed measuring the angle mechanically by a tool inserted. Uncertainty is not required.
- The following parameters as documented in the Appendix contain technical information as a result from the performance test and require no uncertainty.
 - DC Voltage Measurement Linearity: Verification of the Linearity at +10% and -10% of the nominal calibration voltage. Influence of offset voltage is included in this measurement
 - Common mode sensitivity: Influence of a positive or negative common mode voltage on the differential measurement.
 - Channel separation: Influence of a voltage on the neighbor channels not subject to an input voltage.
 - AD Converter Values with inputs shorted: Values on the internal AD converter corresponding to zero input voltage
 - Input Offset Measurement. Output voltage and statistical results over a large number of zero voltage measurements.
 - Input Offset Current: Typical value for information; Maximum channel input offset current, not considering the input resistance.
 - Input resistance: Typical value for information: DAE input resistance at the connector, during internal auto-zeroing and during measurement.
 - Low Battery Alarm Voltage: Typical value for information. Below this voltage, a battery alarm signal is generated.
 - Power consumption: Typical value for information. Supply currents in various operating modes.

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DC Voltage Measurement

A/D - Converter Resolution nominal

High Range: 1LSB = 6.1uV . full range = -100...+300 mV Low Range: 1LSB = 61nV .. full range = -1.....+3mV DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

Calibration Factors	X	Y	Z
High Range	403.400 ± 0.02% (k=2)	404.514 ± 0.02% (k=2)	403.834 ± 0.02% (k=2)
Low Range	3.97649 ± 1.50% (k=2)	3.98674 ± 1.50% (k=2)	3.94236 ± 1.50% (k=2)

Connector Angle

Connector Angle to be used in DASY system	264.5°±1°
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Appendix (Additional assessments outside the scope of SCS0108)

1. DC Voltage Linearity

High Range	Reading (μV)	Difference (μV)	Error (%)
Channel X + Input	199994.07	0.95	0.00
Channel X + Input	19999.17	-2.09	-0.01
Channel X - Input	-20000.00	1.59	-0.01
Channel Y + Input	199993,69	0.37	0.00
Channel Y + Input	19998.33	-2.97	-0.01
Channel Y - Input	-20002.50	-0.88	0.00
Channel Z + Input	199993.47	-0.14	-0.00
Channel Z + Input	19998.83	-2.35	-0.01
Channel Z - Input	-20002.52	-0.77	0.00

Low Range	Reading (μV)	Difference (μV)	Error (%)
Channel X + Input	2000.95	0.19	0.01
Channel X + Input	201.39	0.20	0.10
Channel X - Input	-198.76	-0.08	0.04
Channel Y + Input	2000.77	-0.00	-0.00
Channel Y + Input	200,61	-0.60	-0.30
Channel Y - Input	-199.00	-0.38	0.19
Channel Z + Input	2000.74	0.11	0.01
Channel Z + Input	200.00	-1.10	-0.55
Channel Z - Input	-200.10	-1.29	0.65

2. Common mode sensitivity

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

	Common mode Input Voltage (mV)	High Range Average Reading (μV)	Low Range Average Reading (μV)
Channel X	200	-15.47	-16,43
	- 200	17.24	15.88
Channel Y	200	-2.49	-1.95
	- 200	1.02	0.67
Channel Z	200	10.56	10.55
	- 200	-13.14	-13.28

3. Channel separation

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

	Input Voltage (mV)	Channel X (μV)	Channel Y (µV)	Channel Z (μV)
Channel X	200	1,22 1	3.15	-3.11
Channel Y	200	6.52	-	2.77
Channel Z	200	8.20	5.20	

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4. AD-Converter Values with inputs shorted

	High Range (LSB)	Low Range (LSB)
Channel X	16223	16385
Channel Y	15954	15977
Channel Z	15878	16167

5. Input Offset Measurement

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

	Average (μV)	min. Offset (μV)	max. Offset (μV)	Std. Deviation (µV)
Channel X	-0.02	-1.16	0.89	0.36
Channel Y	0.99	-2.15	3.08	0.60
Channel Z	0.49	-0.57	2.90	0.62

6. Input Offset Current

Nominal Input circuitry offset current on all channels: <25fA

7. Input Resistance (Typical values for information)

A STATE OF THE RESERVE OF THE PARTY OF THE P	Zeroing (kOhm)	Measuring (MOhm)
Channel X	200	200
Channel Y	200	200
Channel Z	200	200

8. Low Battery Alarm Voltage (Typical values for information)

Typical values	Alarm Level (VDC)	
Supply (+ Vcc)	+7.9	
Supply (- Vcc)	-7.6	

9. Power Consumption (Typical values for information)

Typical values	Switched off (mA)	Stand by (mA)	Transmitting (mA)
Supply (+ Vcc)	+0.01	+6	+14
Supply (- Vcc)	-0.01	-8	-9

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Signature SGS-TW (Auden)

Certificate No: EX3-3770_Apr19

CALIBRATION CERTIFICATE

Object

EX3DV4 - SN:3770

Calibration procedure(s)

QA CAL-01.v9, QA CAL-12.v9, QA CAL-14.v5, QA CAL-23.v5,

QA CAL-25.v7

Calibration procedure for dosimetric E-field probes

Calibration date:

April 29, 2019

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).

The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID	Cal Date (Certificate No.)	Scheduled Calibration
Power meter NRP	SN: 104778	03-Apr-19 (No. 217-02892/02893)	Apr-20
Power sensor NRP-Z91	SN: 103244	03-Apr-19 (No. 217-02892)	Apr-20
Power sensor NRP-Z91	SN: 103245	03-Apr-19 (No. 217-02893)	Apr-20
Reference 20 dB Attenuator	SN: S5277 (20x)	04-Apr-19 (No. 217-02894)	Apr-20
DAE4	SN: 660	19-Dec-18 (No. DAE4-660_Dec18)	Dec-19
Reference Probe ES3DV2	SN: 3013	31-Dec-18 (No. ES3-3013_Dec18)	Dec-19
Secondary Standards	ID	Check Date (in house)	Scheduled Check
Power meter E4419B	SN: GB41293874	06-Apr-16 (in house check Jun-18)	In house check: Jun-20
Power sensor E4412A	SN: MY41498087	06-Apr-16 (in house check Jun-18)	In house check: Jun-20
Power sensor E4412A	SN: 000110210	06-Apr-16 (in house check Jun-18)	In house check: Jun-20
RF generator HP 8648C	SN: US3642U01700	04-Aug-99 (in house check Jun-18)	In house check: Jun-20
Network Analyzer E8358A	SN: US41080477	31-Mar-14 (in house check Oct-18)	In house check. Oct-19

Calibrated by:

Calibrated by:

Claudio Leubler

Claudio Leubler

Laboratory Technician

Approved by:

Katja Pokovic

Technical Manager

Issued: April 30, 2019

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

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Glossary:

tissue simulating liquid TSL NORMX, y, z sensitivity in free space ConvF sensitivity in TSL / NORMx,y,z diode compression point CF

crest factor (1/duty_cycle) of the RF signal A. B. C. D modulation dependent linearization parameters

Polarization of op rotation around probe axis

Polarization 9 9 rotation around an axis that is in the plane normal to probe axis (at measurement center),

i.e., 9 = 0 is normal to probe axis

Connector Anale information used in DASY system to align probe sensor X to the robot coordinate system

Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement
- Techniques", June 2013 IEC 62209-1, ", "Measurement procedure for the assessment of Specific Absorption Rate (SAR) from handheld and body-mounted devices used next to the ear (frequency range of 300 MHz to 6 GHz)". July 2015 IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices
- used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010 KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

Methods Applied and Interpretation of Parameters:

- NORMx,y,z: Assessed for E-field polarization 9 = 0 (f ≤ 900 MHz in TEM-cell; f > 1800 MHz: R22 waveguide). NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not affect the E²-field uncertainty inside TSL (see below *ConvF*).
- NORM(f)x,y,z = NORMx,y,z * frequency_response (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- DCPx,y,z: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- Ax,y,z; Bx,y,z; Cx,y,z; Dx,y,z; VRx,y,z; A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f < 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z * ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from \pm 50 MHz to \pm 100
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

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EX3DV4 - SN:3770 April 29, 2019

DASY/EASY - Parameters of Probe: EX3DV4 - SN:3770

Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm $(\mu V/(V/m)^2)^A$	0.30	0.59	0.39	± 10.1 %
DCP (mV) ^B	104.4	103.4	98.9	

Calibration Results for Modulation Response

UID	Communication System Name		A dB	B dB√μV	С	D dB	VR mV	Max dev.	Unc ^L (k=2)
0	CW	X	X 0.0	0.0	1.0	0.00	160.5	±2.7 %	±4.7 %
		Y	0.0	0.0	1.0	1 - 1	177.0		
		Y	0.0	0.0	1.0		158.6		

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

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The uncertainties of Norm X,Y,Z do not affect the E2-field uncertainty inside TSL (see Pages 5 and 6).

⁶ Numerical linearization parameter: uncertainty not required.

EUncartainty is determined using the max, deviation from linear response applying rectangular distribution and is expressed for the square of the



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EX3DV4- SN:3770

April 29, 2019

DASY/EASY - Parameters of Probe: EX3DV4 - SN:3770

Other Probe Parameters

Sensor Arrangement	Triangular
Connector Angle (°)	-34.6
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disabled
Probe Overall Length	337 mm
Probe Body Diameter	10 mm
Tip Length	9 mm
Tip Diameter	2.5 mm
Probe Tip to Sensor X Calibration Point	1 mm
Probe Tip to Sensor Y Calibration Point	1 mm
Probe Tip to Sensor Z Calibration Point	1 mm
Recommended Measurement Distance from Surface	1.4 mm

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EX3DV4- SN:3770 April 29, 2019

DASY/EASY - Parameters of Probe: EX3DV4 - SN:3770

Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) ^C	Relative Permittivity ^F	Conductivity (S/m)	ConvF X	ConvF Y	ConvF Z	Alpha ^q	Depth ⁶ (mm)	Unc (k=2)
450	43.5	0.87	10.97	10.97	10.97	0.11	1.30	± 13.3 %
750	41.9	0.89	9.68	9.68	9.68	0.57	0.91	± 12.0 %
835	41.5	0.90	9.44	9.44	9.44	0.58	0.80	± 12.0 %
900	41.5	0.97	9.22	9.22	9.22	0.55	0.80	± 12.0 9
1750	40.1	1.37	8.44	8.44	8.44	0.32	0.97	± 12.0 9
1900	40.0	1.40	8.23	8.23	8.23	0.36	0.85	± 12.0 9
2000	40.0	1.40	8.13	8.13	8.13	0.41	0.85	± 12.0 9
2300	39.5	1.67	7.84	7.84	7.84	0.33	0.88	± 12.0 9
2450	39.2	1.80	7.48	7.48	7.48	0.31	0.88	± 12.0 9
2600	39.0	1.96	7.30	7.30	7.30	0.46	0.82	± 12.0 9
4100	37.2	3.53	6.26	6.26	6.26	0.40	1.20	± 13.1 9
4200	37.1	3.63	6.12	6.12	6.12	0.40	1.30	± 13,1 9
4600	36.7	4.04	6.09	6.09	6.09	0.45	1.60	± 13.1 9
4950	36.3	4.40	5,61	5.61	5.61	0.40	1.80	± 13.1 9
5250	35.9	4.71	5.30	5.30	5.30	0.40	1.80	± 13.1 9
5600	35.5	5.07	4.82	4.82	4.82	0.40	1.80	± 13.1 9
5750	35.4	5.22	5.12	5.12	5.12	0.40	1.80	± 13.1 %

Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Validity of ConvF assessed at 6 MHz is 4-9 MHz, and ConvF assessed at 13 MHz is 9-19 MHz. Above 5 GHz frequency validity can be extended to ± 110 MHz.

At frequencies below 3 GHz, the validity of tissue parameters (s and 4) can be released to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (c and d) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

Aphal/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

diameter from the boundary.

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EX3DV4-SN:3770

April 29, 2019

DASY/EASY - Parameters of Probe: EX3DV4 - SN:3770

Calibration Parameter Determined in Body Tissue Simulating Media

f (MHz) ^c	Relative Permittivity F	Conductivity (S/m) ^F	ConvF X	ConvF Y	ConvF Z	Alpha ^G	Depth ^G (mm)	Unc (k=2)
450	56.7	0.94	10,64	10.64	10.64	0.08	1.30	± 13.3 %
750	55.5	0.96	10.02	10.02	10.02	0.53	0.83	± 12.0 %
835	55.2	0.97	9.60	9.60	9.60	0.53	0.81	± 12.0 %
900	55.0	1.05	9.53	9.53	9.53	0.42	0.87	± 12.0 %
1750	53.4	1.49	8.09	8.09	8.09	0.45	0.85	± 12.0 %
1900	53.3	1.52	7.82	7.82	7.82	0.29	0.99	± 12.0 %
2000	53.3	1.52	7.76	7.76	7.76	0.40	0.85	± 12.0 %
2300	52.9	1.81	7.68	7.68	7.68	0.37	0.88	± 12.0 %
2450	52.7	1.95	7.41	7.41	7.41	0.32	0.94	± 12.0 %
2600	52.5	2.16	7.28	7,28	7.28	0.31	0.94	± 12.0 %
4100	50.5	4.01	6.21	6.21	6.21	0.30	1.50	± 13.1 %
4200	50.4	4.13	6.09	6.09	6.09	0.30	1.50	± 13.1 %
4600	49.8	4.60	5.78	5.78	5.78	0.40	1.50	± 13.1 %
4950	49.4	5.01	5.24	5.24	5.24	0.50	1.90	± 13.1 %
5250	48.9	5.36	4.61	4.61	4.61	0.50	1.90	± 13.1 %
5600	48.5	5.77	4.05	4.05	4.05	0.50	1.90	± 13.1 %
5750	48.3	5.94	4.38	4.38	4.38	0.50	1.90	± 13.1 %

Grequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band, Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 54, 126, 150 and 220 MHz respectively. Validity of ConvF assessed at 6 MHz is 4-8 MHz, and ConvF assessed at 13 MHz is 9-19 MHz. Above 5 GHz frequency additive on be extended to ± 110 MHz.

Fat frequencies below 3 GHz, the validity of tissue parameters (ε and σ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (e and σ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always lass than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe lip diameter from the boundary.

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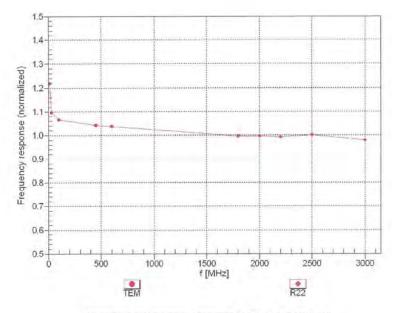
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Frequency Response of E-Field

(TEM-Cell:ifi110 EXX, Waveguide: R22)



Uncertainty of Frequency Response of E-field: ± 6.3% (k=2)

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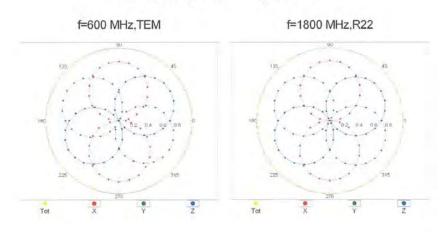


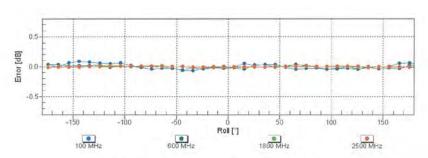
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Receiving Pattern (ϕ), $\vartheta = 0^{\circ}$





Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)

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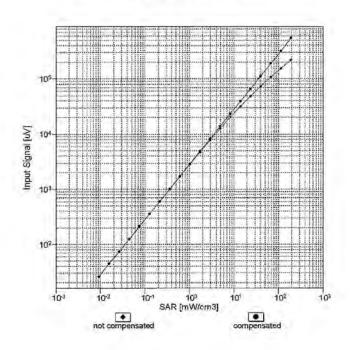


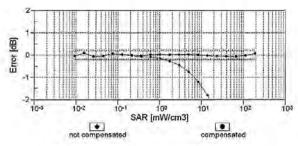
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Dynamic Range f(SAR_{head}) (TEM cell , f_{eval}= 1900 MHz)





Uncertainty of Linearity Assessment: ± 0.6% (k=2)

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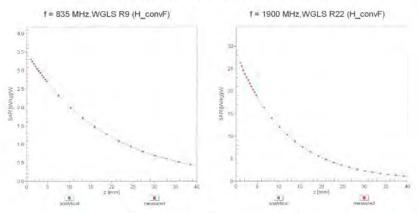


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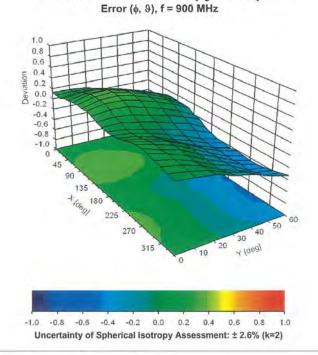
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Conversion Factor Assessment



Deviation from Isotropy in Liquid



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- End of report -

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