

**FCC 47 CFR § 2.1093  
RF EXPOSURE EVALUATION REPORT  
(Part 2: Test Under Dynamic Transmission Condition)**

**FOR**

**GSM/WCDMA/LTE/5G NR Phone + BT/BLE, DTS/UNII a/b/g/n/ac/ax, NFC, WPT and UWB**

**MODEL NUMBER: SM-F956U, SM-F956U1**

**FCC ID: A3LSMF956U**

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|      |           |                                    |             |

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## Attestation of Test Results

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|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|
| Applicant Name                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | SAMSUNG ELECTRONICS CO.,LTD.                                                        |
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| Model Number                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | SM-F956U, SM-F956U1                                                                 |
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| Test Results                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Pass                                                                                |
| <p>UL Korea, Ltd. tested the above equipment in accordance with the requirements set forth in the above standards. All indications of Pass/Fail in this report are opinions expressed by UL Korea, Ltd. based on interpretations and/or observations of test results. Measurement Uncertainties were not taken into account and are published for informational purposes only. The test results show that the equipment tested is capable of demonstrating compliance with the requirements as documented in this report.</p> <p><b>Note:</b> The results documented in this report apply only to the tested sample, under the conditions and modes of operation as described herein. This document may not be altered or revised in any way unless done so by UL Korea, Ltd. and all revisions are duly noted in the revisions section. Any alteration of this document not carried out by UL Korea, Ltd. will constitute fraud and shall nullify the document. This report must not be used by the client to claim product certification, approval, or endorsement by IAS, any agency of the Federal Government, or any agency of any government.</p> |                                                                                     |
| Approved & Released By:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Prepared By:                                                                        |
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## 1. Introduction

The equipment under test (EUT) is SM-F956U, SM-F956U1(FCC ID : A3LSMF956U), it contains the Qualcomm modems supporting 2G/3G/4G/5G-Sub6 technologies and WLAN/BT technologies. these modems are enabled with Qualcomm Smart Transmit feature to control and manage transmitting power in real time and to ensure at all times the time-averaged RF exposure is in compliance with the FCC requirement.

DUT contains embedded file system (EFS) version 21 configured for the 2nd Generation phase V (GEN2.5).

### EFS version Verification

Per Qualcomm's 80-w2112-5 document, embedded file system (EFS) products are required to be verified for Smart Tx generation for relevant MCC setting. It was confirmed that this DUT contains embedded file system (EFS) version 21 configured for Smart Tx the 2nd Generation phase V (GEN2.5) for transmitters with MCC settings for the US market.

| EFS v21 Generation | MCC |
|--------------------|-----|
| GEN2.5_UNIFIED     | 310 |

This purpose of the Part 2 report is to demonstrate the EUT complies with FCC RF exposure requirement under Tx varying transmission scenarios, thereby validity of Qualcomm Smart Transmit feature for FCC equipment authorization of A3LSMF956U.

### 1.1 Part.2 Test Case Reduction for Multiple filings

The number of test cases in Part 2 can be reduced in the case of multiple filings using same chipset and same EFS version (post full part 2 test on the first filing(FCC ID : A3LSMS928U)), the essential test cases in power measurement are required to ensure the Smart Transmit performs as expected in the new design, but the RF exposure measurement can be excluded.

So, This models used a same chipset and EFS version that had fully tested to Part.2 on first filing. Therefore, This model follow Part.2 Test case reduction procedures according to Qualcomm document (80-W2112-5). Please refer to section.4.1 for test case reduction scenarios.

## 1.2 Configurable parameters

### **WLAN BT control: ON/OFF switch. Only applicable for Smart Transmit EFS version19(or higher).**

The EFS version 19 (or higher) provides the entry to manage Qualcomm WLAN/BT chipsets under Smart Transmit control. When selected 'ON', Smart Transmit will manage time-averaged RF exposure from all WWAN/WLAN/BT radios. If selected "OFF", then WLAN and BT are the radios outside of Smart Transmit control.

### **Tx power at SAR design target (Plimit in dBm) for Tx transmitting frequency < 6GHz**

The maximum time-average transmit power, in dBm, at which this radio configuration reaches the *SAR\_design\_target*. This *SAR\_design\_target* is pre-determined for the specific device and it shall be less than regulatory SAR limit after accounting for all design related tolerances. The time-averaged SAR is assessed against this *SAR\_design\_target* in real time to determine the compliance. The Plimit could vary with technology, band, antenna and DSI, therefore it has the unique value for each technology, band, antenna and DSI.

### **input.power.limit (dBm) for Tx transmitting frequency ≥ 6GHz**

The maximum time-average power at the input of antenna element port, in dBm, at which each beam meets the *PD\_design\_target* that is less than the regulatory power density limit after according for all design related tolerances.

### **Reserve power margin (dB) (EFS version 19 or higher)**

The reserve margin for WWAN radios and WLAN/BT radios can be configured for each sub6 antenna group, and each exposure category as shown below example:

| Head DSI                 | Minimum Reserve Margin |                 |                 |                 |
|--------------------------|------------------------|-----------------|-----------------|-----------------|
|                          | Antenna Group 0        | Antenna Group 1 | Antenna Group 2 | Antenna Group 3 |
| TOTAL_MIN_RES_RATIO      | 0.5                    | 0.5             | 0.5             | 0.5             |
| WWAN_PRI_SPLIT_RATIO     | 1                      | 1               | 1               | 1               |
| WWAN_SEC_SPLIT_RATIO     | 1                      | 1               | 1               | 1               |
| WLAN_SPLIT_RATIO         | 1                      | 1               | 1               | 1               |
| WLAN_MARGIN_IN_MODEM_APM | 0.5                    | 0.5             | 0.5             | 0.5             |
| BT Config                |                        |                 |                 |                 |
| BT_STANDALONE            | 0.9                    | 0.9             | 0.9             | 0.9             |
| BT_AND_1_RADIO_SAME_AG   | 0.3                    | 0.3             | 0.3             | 0.3             |
| BT_AND_2+_RADIO_SAME_AG  | 0.2                    | 0.2             | 0.2             | 0.2             |
| nonHead DSI              | Minimum Reserve Margin |                 |                 |                 |
|                          | Antenna Group 0        | Antenna Group 1 | Antenna Group 2 | Antenna Group 3 |
| TOTAL_MIN_RES_RATIO      | 0.5                    | 0.5             | 0.5             | 0.5             |
| WWAN_PRI_SPLIT_RATIO     | 1                      | 1               | 1               | 1               |
| WWAN_SEC_SPLIT_RATIO     | 1                      | 1               | 1               | 1               |
| WLAN_SPLIT_RATIO         | 1                      | 1               | 1               | 1               |
| WLAN_MARGIN_IN_MODEM_APM | 0.5                    | 0.5             | 0.5             | 0.5             |
| BT Config                |                        |                 |                 |                 |
| BT_STANDALONE            | 0.9                    | 0.9             | 0.9             | 0.9             |
| BT_AND_1_RADIO_SAME_AG   | 0.3                    | 0.3             | 0.3             | 0.3             |
| BT_AND_2+_RADIO_SAME_AG  | 0.2                    | 0.2             | 0.2             | 0.2             |

○ *TOTAL\_MIN\_RES\_RATIO*

This entry corresponds to the minimum reserve margin for WWAN radio or WLAN radio when operating in standalone mode per antenna group.

Here, *TOTAL\_MIN\_RES\_RATIO* is in linear units ranging between [0, 1].

○ *WWAN\_PRI\_SPLIT\_RATIO*, *WWAN\_SEC\_SPLIT\_RATIO*, *WLAN\_SPLIT\_RATIO*

In multi-Tx scenarios in the same antenna group, minimum reserve for each active radio (i.e., WWAN primary radio, WWAN secondary radio, WLAN radio) is a product of the corresponding fraction out of sum of active radio split ratios and *TOTAL\_MIN\_RES\_RATIO*.

Here, *WWAN\_PRI\_SPLIT\_RATIO* is 1, *WWAN\_SEC\_SPLIT\_RATIO* is 1 and *WLAN\_SPLIT\_RATIO* are in linear units ranging between [0, 1].

○ *WLAN\_MARGIN\_IN\_MODEM\_APM*

When WWAN modem is turned off (say, in airplane mode – APM), then the RF exposure budget is split between WLAN and BT radios,

where WLAN RF exposure budget is *WLAN\_MARGIN\_IN\_MODEM\_APM* and

BT exposure budget is  $(1 - \text{WLAN\_MARGIN\_IN\_MODEM\_APM})$ .

Here, *WLAN\_MARGIN\_IN\_MODEM\_APM* is in linear units ranging between [0, 1].

○ *BT (Bluetooth) Config*

*BT\_STANDALONE* : desired BT transmit power =  $(\text{BT\_STANDALONE} * P_{\text{limit}})$  in BT single radio transmission condition, where *P<sub>limit</sub>* is *BT Tx\_Power\_at\_SAR\_design\_target* in mW.

*BT\_AND\_1\_RADIO\_SAME\_AG*: reduced BT transmit power =  $(\text{BT\_AND\_1\_RADIO\_SAME\_AG} * P_{\text{limit}})$  in a two-radio transmission condition. Here, two radios (BT+WLAN or BT+WWAN) are in the same AG.

*BT\_AND\_2+\_RADIO\_SAME\_AG*: further reduced BT transmit power =  $(\text{BT\_AND\_2+\_RADIO\_SAME\_AG} * P_{\text{limit}})$  in a three (or more)-radio transmission condition. Here, all radios, i.e., BT with 2 or more other radios (WWAN primary, WWAN secondary, WLAN), are in the same AG.

*BT\_STANDALONE*, *BT\_AND\_1\_RADIO\_SAME\_AG* and

*BT\_AND\_2+\_RADIO\_SAME\_AG* is in linear units ranging between [0, 1].

The equivalent reserve of *Reserve\_power\_margin* for  $P_{\text{reserve}}$  calculation in v19 (or higher) EFS if WLAN/BT radios are under Smart Transmit control is  $(\text{TOTAL\_MIN\_RES\_RATIO} + \text{BT\_AND\_2+\_RADIO\_SAME\_AG})$ .

**DSI\_PD\_ratio : only applicable for Smart Transmit EFS version 18 (or higher)**

For Smart Transmit with EFS version 18 (or higher), Smart Transmit will scale down PD at 2mm surface to desired DSI distance in sub6 radio + mmW radio TER computation using DSI\_PD\_ratio (i.e., PD\_ratio\_Xmm\_to\_2mm) configured by OEM. For mmW radio, PD requirement at 2mm is ensured.

**Multi\_Tx\_factor (EFS version 19 or higher)**

In single Tx transmission scenarios, Smart Transmit ensures time-averaged RF exposure is  $\leq (SAR\_design\_target * 10^{(+sub6\ device\ uncertainty / 10)}) < \text{regulatory RF exposure limit for sub6 radio managed by Smart Transmit.}$

In simultaneous Tx transmission scenarios, Smart Transmit ensures time-averaged RF exposure is  $\leq (SAR\_design\_target * multi\_Tx\_factor * 10^{(+sub6\ device\ uncertainty / 10)}) < \text{regulatory RF exposure limit for sub6 radios managed by Smart Transmit.}$  These simultaneous transmission scenarios are listed below:

- 2-or-more radio scenarios within WWAN like EN-DC, LTE ULCA, etc.
- 2-or-more-radio across technologies such as WWAN+WLAN, WWAN+BT, WLAN+BT and WWAN+WLAN+BT transmission scenarios (if WLAN/BT radios are also managed by Smart Transmit).

With EFS version 21 or higher: multi\_Tx factor also applies to multi-WLAN radio transmission scenarios (e.g., 2.4GHz + 5GHz). The applicability of multi\_Tx\_factor in other transmission scenarios is the same as EFS version 19.

**Force peak for Tx transmitting frequency < 6GHz**

The Smart Transmit feature applies time-averaging windows when the device detects an MCC that matches Time-averaged Exposure MCCs list. For each of the MCCs under Time-Averaged Exposure MCCs list, the Smart Transmit feature can limit either maximum instantaneous Tx power or maximum time-average power to P<sub>limit</sub> per tech/band/antenna/DSI. If force peak is set to '1' for a given tech/band/antenna/DSI in the EFS, then the Smart Transmit feature limits the maximum instantaneous Tx power to P<sub>limit</sub> for the selected tech/band/antenna/DSI. In other words, with force peak set to '1', under static condition and in single active Tx scenario, Smart Transmit can guarantee Tx power level of P<sub>limit</sub> at all times.

## 2. Tx Varying Transmission Test Cases and Test Proposal

To validate time averaging feature and demonstrate the compliance in Tx varying transmission conditions, the following transmission scenarios are covered in Part 2 test:

1. During a time-varying Tx power transmission: To prove that the Smart Transmit feature accounts for Tx power variations in time accurately.
2. During a call disconnected and re-establish scenario: To prove that the Smart Transmit feature accounts for history of past Tx power transmissions accurately.
3. During technology/band handover: To prove that the Smart Transmit feature functions correctly during transitions in technology/band.
4. During antenna (or beam) switch: To prove that the Smart Transmit feature functions correctly during transitions in antenna (Such as AsDiv scenario) or beams (different antenna array configurations).
5. During change in device state: To prove that the Smart Transmit feature functions correctly during transitions in device state, say, from body-worn state to hotspot, or say, from extremity mode to body-worn state, etc. Devices state here refers to all the device configurations required to be tested by FCC, for example, head position, body-worn position, hotspot mode, and extremity.
6. During time window switch: To prove that the Smart Transmit feature correctly handles the transition from one time window to another specified by FCC, and maintains the normalized time-averaged RF exposure to be less than normalized FCC limit of 1.0 at all times.
7. SAR vs. PD exposure switching during sub-6 + mmW transmission: To prove that the Smart Transmit feature functions correctly and ensures total RF exposure compliance during transitions in SAR dominant exposure, SAR + PD exposure, and PD only exposure scenarios.
8. SAR exposure switching between sub6 radios favor modes: To prove that the Smart Transmit feature functions correctly and ensures total RF exposure compliance when exposure varies among SAR\_radio1 only, SAR\_radio1 + SAR\_radio2, and SAR\_radio2 only scenarios.
9. System level compliance continuity :
  - a) Within terrestrial networks (WWAN, WLAN, BT, etc.): To demonstrate the time averaged RF exposure compliance continuity during technology transition in both single-radio and multi-radio transmission scenarios and under both modes of WWAN modem while the USB is disconnected.
10. Exposure Category Switch : To prove that the Smart Transmit feature correctly during transitions from head to body-worn or vice versa. The exposure continuity is handled in two categories: Head exposure and non-head exposure.

As described in Part 0 report (QRD SAR Char for Qualcomm Smart Transmit, Using Combination of Simulation and Measurement (80-W2112-2), the RF exposure is proportional to the Tx power for a SAR-wireless device. Thus, time-averaging algorithm validation can be effectively performed through conducted/radiated power measurement. To have high confidence in this validation, but also be practical, the strategy for the validation including both power measurement and RF exposure measurement is outlined as follows:

### **Conducted power / Radiated power measurement:**

- Measure conducted Tx power for  $f < 6\text{GHz}$  and radiated Tx power (EIRP) for  $f > 10\text{GHz}$
- Convert it into RF exposure and divide by respective FCC limits to get normalized exposure
- Perform time-averaging over predefined time windows
- Demonstrate that the total normalized time-averaged RF exposure is less than 1.0 for all transmission scenarios (i.e., previous scenarios 1 to 10);

- For sub-6 transmission only:

$$1g\_or\_10gSAR(t) = \frac{\text{conducted\_Tx\_power}(t)}{\text{conducted\_Tx\_power\_P}_{limit}} * 1g\_or\_10gSAR\_P_{limit} \quad (1a)$$

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^t 1g\_or\_10gSAR(t) dt}{FCC\ SAR\ limit} \leq 1 \quad (1b)$$

- For sub-6 + mmW transmission:

$$1g\_or\_10gSAR(t) = \frac{\text{conducted\_Tx\_power}(t)}{\text{conducted\_Tx\_power\_P}_{limit}} * 1g\_or\_10gSAR\_P_{limit} \quad (2a)$$

$$4cm^2PD(t) = \frac{\text{radiated\_Tx\_power}(t)}{\text{radiated\_Tx\_power\_input.power.limit}} * 4cm^2PD\_input.power.limit \quad (2b)$$

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^t 1g\_or\_10gSAR(t) dt}{FCC\ SAR\ limit} + \frac{\frac{1}{T_{PD}} \int_{t-T_{PD}}^t 4cm^2PD(t) dt}{FCC\ 4cm^2\ PD\ limit} \leq 1 \quad (2c)$$

Where,  $\text{conducted\_Tx\_power}(t)$ ,  $\text{conducted\_Tx\_power\_P}_{limit}$ , and  $1g\_or\_10gSAR\_P_{limit}$  correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at  $P_{limit}$ , and measured  $1gSAR$  or  $10gSAR$  values at  $P_{limit}$  for the worst-case radio configuration within the tested technology/band/Antenna/DSI. Similarly,  $\text{radiated\_Tx\_power}(t)$ ,  $\text{radiated\_Tx\_power\_input.power.limit}$ , and  $4cm^2PD\_input.power.limit$  correspond to the measured instantaneous radiated Tx power, radiated Tx power at  $\text{input.power.limit}$ , and  $4cm^2PD$  value at  $\text{input.power.limit}$ .  $T_{SAR}$  is the time window for  $f < 6\text{GHz}$  radio defined by FCC;  $T_{PD}$  is the time window for  $f \geq 6\text{GHz}$  radio defined by FCC.

### **Peak Exposure Mode :**

When Smart Transmit is configured for peak exposure mode, the Power operates  $P_{limit}$  level.

### 3. SAR Time Averaging Validation Test Procedures

This chapter provides the test plan and test procedures for validating Qualcomm Smart Transmit feature for sub-6 transmission. The 100 seconds time window for operating  $f < 3\text{GHz}$  is used as an example to detail the test procedures in this chapter. The same test plan and test procedures described in this chapter apply to 60 seconds time window for  $3\text{GHz} \leq f < 6\text{GHz}$ .

#### 3.1. Test sequence determination for validation

Following the FCC recommendation, two test sequences having time-variation in Tx power are predefined for sub-6 ( $f < 6\text{GHz}$ ) validation:

- Test sequence 1 : request EUT's Tx power to be at maximum power, measured  $P_{max}$ , for 80s, then requesting for half of the maximum power, i.e., measured  $P_{max}/2$ , for the rest of the time.
- Test sequence 2 : request EUT's Tx power to vary with time. This sequence is generated relative to measured  $P_{max}$ , measured  $P_{limit}$  and Calculated  $P_{reserve}$  (= measured  $P_{limit}$  in dBm – Reserve\_power\_margin in dB) of EUT based on measured  $P_{limit}$ .

For WLAN, Since WLAN radios do not have closed loop power control, average Tx power level of WLAN radios is indirectly varied by transmitting at varying duty cycles. Test sequence #1 described previously can be converted into duty cycle at  $P_{max}$ , i.e., duty cycle for an arbitrary Tx power level = (Tx power level /  $P_{max}$ ). Test sequence #2 is not achievable due to current test capability. Therefore, in the interim, it is exempt.

For BT, Smart Transmit with EFS version 19 (or Higher) does not allow instantaneous Tx power of BT radio to exceed  $P_{limit}$  at any time instance, therefore, BT is not needed to be included in time-varying test.

The details for generating these Sub-6's two test sequences & WLAN's test sequence are described and listed in Section A.

Note: For test sequence generation, "measured  $P_{limit}$ " and "measured  $P_{max}$ " are used instead of the " $P_{limit}$ " specified in EFS entry and " $P_{max}$ " specified for the device, because Smart Transmit feature operates against the actual power level of the " $P_{limit}$ " that was calibrated for the EUT. The "measured  $P_{limit}$ " accurately reflects what the feature is referencing to, therefore, it should be used during feature validation testing. The RF tune up and device-to-device variation are already considered in Part 0 report prior to determining  $P_{limit}$ .

#### 3.2. Test configuration selection criteria for validation Smart Transmit feature

For validating Smart Transmit feature, this section provides a general guidance to select test cases. In practice, an adjustment can be made in test case selection. The justification/clarification may be provided.

### 3.2.1 Test configuration selection for time-varying Tx power transmission

The Smart Transmit time averaging feature operation is independent of bands, modes, and channels for a given technology. Hence, validation of Smart Transmit on one band/mode/channel per technology is sufficient. Two bands per technology are proposed and selected for this testing to provide high confidence in this validation.

The criteria for the selection are based on the  $P_{limit}$  values determined in Part 0 report. Select two bands\* in each supported technology that correspond to least\*\* and highest\*\*\*  $P_{limit}$  values that are less than  $P_{max}$  for validating Smart Transmit.

- \* If one  $P_{limit}$  level applies to all the bands within a technology, then only one band needs to be tested. In this case, within the bands having the same  $P_{limit}$ , the radio configuration (e.g., # of RBs, channel#) and device position that correspond to the highest *measured 1g or 10gSAR* at  $P_{limit}$  shown in Part 1 report is selected.
- \*\* In case of multiple bands having the same least  $P_{limit}$  within the technology, then select the band having the largest difference between  $P_{max}$  and  $P_{limit}$ .
- \*\*\* The band having a higher  $P_{limit}$  needs to be properly selected so that the power limiting enforced by Smart Transmit can be validated using the pre-defined test sequences. If the highest  $P_{limit}$  in a technology is too high where the power limiting enforcement is not needed when testing with the pre-defined test sequences, then the next highest level is checked. This process is continued within the technology until second band for validation test is determined.

### 3.2.2 Test configuration selection for change in call

The criteria to select a test configuration for call-drop measurement is:

- Select technology/band with least  $P_{limit}$  among all supported technologies/bands, and select the radio configuration (e.g., # of RBs, channel#) in this technology/band that corresponds to the highest *measured 1g or 10gSAR* at  $P_{limit}$  listed in Part 1 report.
- In case of multiple bands having same least  $P_{limit}$ , then select the band having the highest *measured 1g or 10gSAR* at  $P_{limit}$  in Part 1 report.
- Test for change in call is not required if all  $P_{limit} > P_{max}$

This test is performed with the EUT's Tx power requested to be at maximum power, the above band selection will result in Tx power enforcement (i.e., during the time when EUT is forced to have Tx power at  $P_{reserve}$ ) for longest duration in one FCC defined window. The call change (call drop/reestablish) is performed during the Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at  $P_{reserve}$ ). One test is sufficient as the feature operation is independent of technology and band.

### 3.2.3 Test configuration selection for change in technology/band

The selection criteria for this measurement is, for a given antenna, to have EUT switch from a technology/band with lowest  $P_{limit}$  within the technology group (in case of multiple bands having the same  $P_{limit}$ , then select the band with highest *measured 1g or 10gSAR* at  $P_{limit}$ ) to a technology/band with highest  $P_{limit}$  within the technology group, in case of multiple bands having the same  $P_{limit}$ , then select the band with lowest *measured 1g or 10gSAR* at  $P_{limit}$  in Part 1 report, or vice versa.

This test is performed with the EUT's Tx power requested to be at maximum power, the technology/band switch is performed during Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at  $P_{reserve}$ ).

### 3.2.4 Test configuration selection for change in antenna

The criteria to select a test configuration for antenna switch measurement is:

- Whenever possible and supported by the EUT, first antenna switch configuration within the same technology/band (i.e., same technology and band combination).
- Then, select any technology/band that supports multiple Tx antennas, and has the highest difference in  $P_{limit}$  among all supported antennas.
- In case of multiple bands having same difference in  $P_{limit}$  among supported antennas, then select the band having the highest *measured 1g or 10gSAR* at  $P_{limit}$  in Part 1 report.

This test is performed with the EUT's Tx power requested to be at maximum power in selected technology/band, and antenna change is conducted during Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at  $P_{reserve}$ ).

### 3.2.5 Test configuration selection for change in DSI

The criteria to select a test configuration for DSI change test is:

- Select a technology/band having the  $P_{limit} < P_{max}$  within any technology and DSI group, and for same technology/band having a different  $P_{limit}$  in any other DSI group. Note that the selected DSI transition need to be supported by the device.

This test is performed with the EUT's Tx power requested to be at maximum power in selected technology/band, and DSI change is conducted during Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at  $P_{reserve}$ ).

#### Note(s):

Selected DSIs should be under the same exposure category (i.e., both selected DSIs are either under head exposure category or under non-head exposure category) if DUT is enabled with Smart Transmit version 18 or higher.

### 3.2.6 Test configuration selection for change in time window

FCC specifies different time window for time averaging based on operation frequency. The criteria to select a test configuration for validating Smart Transmit feature and demonstrating the compliance during the change in time window is:

- Select any technology/band that has operation frequency classified in a different time window defined by FCC (such as 100-seconds time window), and its corresponding  $P_{limit}$  is less than  $P_{max}$  if possible.
- Select the 2<sup>nd</sup> technology/band that has operation frequency classified in a different time window defined by FCC (such as 60-seconds time window), and its corresponding  $P_{limit}$  is less than  $P_{max}$  if possible.
- Note it is preferred both  $P_{limit}$  values of two selected technology/band less than corresponding  $P_{max}$ , but if not possible, at least one of technologies/bands has its  $P_{limit}$  less than  $P_{max}$ .

This test is performed with the EUT's Tx power requested to be at maximum power in selected technology/band. Test for one pair of time windows selected is sufficient as the feature operation is the same.

### 3.2.7 Test configuration selection for SAR exposure switching

If supported, the test configuration for SAR exposure switching should cover:

1. SAR exposure switch when two active radios are in the same time window
2. SAR exposure switch when two active radios are in different time windows. One test with two active radios in any two different time windows is sufficient as Smart Transmit operation is the same for RF exposure switch in any combination of two different time windows.

The Smart Transmit time averaging operation is independent of the source of SAR exposure (for example, LTE vs. Sub6 NR) and ensures total time-averaged RF exposure compliance. Hence, validation of Smart Transmit in any one simultaneous SAR transmission scenario (i.e., one combination for LTE + Sub6 NR transmission) is sufficient, where the SAR exposure varies among  $SAR_{radio1}$  only,  $SAR_{radio1} + SAR_{radio2}$ , and  $SAR_{radio2}$  only scenarios.

The criteria to select a test configuration for validating Smart Transmit feature during SAR exposure switching scenarios is:

- Select any two < 6GHz technologies/bands that the EUT supports simultaneous transmission (for example, LTE + Sub NR)
- Among all supported simultaneous transmission configurations, the selection order is
  1. Select one configuration where both  $P_{limit}$  of radio1 and radio2 is less than their corresponding  $P_{max}$ , preferably, with different  $P_{limits}$ . If this configuration is not available, then,
  2. Select one configuration that has  $P_{limit}$  less than its  $P_{max}$  for at least one radio. If this cannot be found, then,
  3. Select one configuration that has  $P_{limit}$  of radio1 and radio2 greater than  $P_{max}$  but with least  $(P_{limit} - P_{max})$  delta.

Test for one simultaneous transmission scenario is sufficient as the feature operation is the same. Additional details for testing for LTE+Sub6 NR non-standalone is provided in Section.B.

### 3.2.8 Test configuration selection for system level compliance continuity

#### 3.2.8.1 Selection criteria for WWAN/WLAN/BT system level test

The purpose of system level compliance test is to demonstrate the compliance continuity in the following scenarios while the USB is disconnected:

1. Across technology switch
2. During transition from single technology to multi-technology
3. In transition when WWAN went from On to airplane mode
4. Active WLAN radio and/or Bluetooth (BT) radio with WWAN in airplane mode
5. Time window transition when WWAN in airplane mode

Note: Technology in this section refers to WWAN, WLAN or BT

The selection criteria for radios to be tested is to select a radio which has the largest  $P_{max} / P_{limit}$  Ratio among all configurations supported (including SISO, MIMO, DBS, SISO+MIMO or DBS+MIMO whichever appropriate) within each technology and within the same antenna group.

### 3.2.9 Test configuration selection for Exposure Category Switch

The purpose of this test is to demonstrate that Smart Transmit ensures time-averaged RF exposure compliance when the EUT exposure category changes. For this purpose, there are two tests performed:

- (a) Start with head exposure and switch to non-head exposure and switch back to head exposure,
- (b) Start with non-head exposure and switch to head exposure and switch back to non-head exposure.

The criteria to select a test configuration for exposure category switch measurement is:

1. If the device's intended exposure mode is configured for time averaged exposure mode operation then:
  - If  $P_{limit} < P_{max}$  for at least one radio of all supported technology/band/antenna/DSI, then:
    - (a) Out of all head exposure DSIs, select a technology/band/antenna/DSI having the least  $P_{limit}$  ( $< P_{max}$ ), furthermore, having the largest difference between  $P_{max}$  and  $P_{limit}$  ( $P_{limit} < P_{max}$ ) should be considered in the selection. Then, select a second DSI in the non-head exposure category DSI that has the least  $P_{limit}$  among all the non-head DSIs for the same technology/band/antenna. This technology/band/antenna and selected DSIs are used for head to non-head to head exposure switch test. If the  $P_{limit} > P_{max}$  for all supported technology/band/antenna/DSI in head exposure category, then this test is not required.
    - (b) Similarly, out of all non-head exposure DSIs, select a technology/band/antenna/DSI having the least  $P_{limit}$  ( $< P_{max}$ ), furthermore, having the largest difference between  $P_{max}$  and  $P_{limit}$  ( $P_{limit} < P_{max}$ ) should be considered in the selection. Then, select a second DSI in the head exposure category DSI that has the least  $P_{limit}$  among all the head DSIs for the same technology/band/antenna. This technology/band/antenna and selected DSIs are used for non-head to head to non-head exposure switch test. If the  $P_{limit} > P_{max}$  for all supported technology/band/antenna/DSI in non-head exposure category, then this test is not required.

The validation criteria are, at all times, the combined time-averaged 1gSAR or 10gSAR versus time determined in Step 6c shall not exceed the regulatory 1g or 10gSAR<sub>limit</sub> limit.

### 3.3. Test procedures for conducted power measurements

This section provides general conducted power measurement procedures to perform compliance test under dynamic transmission scenarios described in Section 2. In practice, an adjustment can be made in these procedures. The justification/clarification may be provided.

#### 3.3.1 Time-varying Tx power transmission scenario

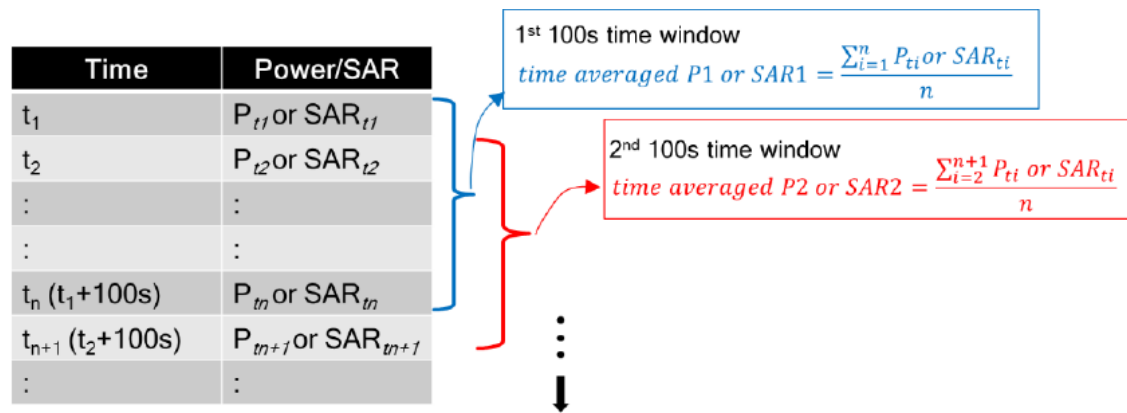
This test is performed with the two pre-defined test sequences described in Section 3.1 for all the technologies and bands selected in Section 3.2.1. The purpose of the test is to demonstrate the effectiveness of power limiting enforcement and that the time-averaged SAR (corresponding time averaged Tx power) does not exceed the FCC limit at all times (see Eq. (1a) and (1b)).

#### Test procedure:

1. Measure  $P_{max}$ , measure  $P_{limit}$  and calculate Preserve (= measured  $P_{limit}$  in dBm – Reserve\_power\_margin in dB) and follow Section 3.1 to generate the test sequences for all the technologies and bands selected in Section 3.2.1. Both test sequence 1 and test sequence 2 are created based on measured  $P_{max}$  and measured  $P_{limit}$  of the EUT. Test condition to measure  $P_{max}$  and  $P_{limit}$  is:
  - Measure  $P_{max}$  with Smart Transmit disable and callbox set to request maximum power.
  - Measure  $P_{limit}$  with Smart Transmit enable and Reserve\_power\_margin set to 0 dB (Peak exposure mode); callbox set to request maximum power.
2. Set Reserve\_power\_margin to actual (intended) value (3dB for this EUT based on Part 1 report) and reset power on EUT to enable Smart Transmit, establish radio link in desired radio configuration, with callbox requesting the EUT's Tx power to be at pre-defined test sequence 1, measure and record Tx power versus time, and then convert the conducted Tx power into 1gSAR or 10gSAR value (see Eq. (1a)) using measured  $P_{limit}$  from above Step 1. Perform running time average to determine time-averaged power and 1gSAR or 10gSAR versus time as illustrated in Figure A-1 where using 100-seconds time window as an example.

Note: In Eq.(1a), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the measured worst-case 1gSAR or 10gSAR value at  $P_{limit}$  for the corresponding technology/band/antenna/DSI reported in Part 1 report.

Note: For an easier computation of the running time average, 0 dBm can be added at the beginning of the test sequences the length of the responding time window, for example, add 0 dBm for 100-seconds so the running time average can be directly performed starting with the first 100-seconds data using excel spreadsheet. This technique applies to all tests performed in this Part 2 report for easier time-averaged computation using excel spreadsheet.



**Figure A-1 100s running average illustration**

3. Make one plot containing:
  - a. Computed time-averaged 1gSAR or 10gSAR versus time determined in Step 2
  - b. Corresponding regulatory  $1g$  or  $10gSAR_{limit}$  limit.
4. Repeated Steps 2 ~ 3 for pre-defined test sequence 2.
5. Repeat Steps 2 ~ 4 for all the selected technologies and bands.

The validation criteria is, at all times, the time-averaged 1gSAR or 10gSAR versus time shown in Step 2 (and plotted in Step 4) shall not exceed regulatory  $1g$  or  $10gSAR_{limit}$  limit.

### 3.3.2 Change in call scenario

This test is to demonstrate that Smart Transmit feature accurately accounts for the past Tx powers during time-averaging when a new call is established.

The call disconnects and re-establishment needs to be performed during power limit enforcement, i.e., when the EUT's Tx power is at  $P_{reserve}$  level, to demonstrate the continuity of RF exposure management and limiting in call change scenario. In other words, the RF exposure averaged over any FCC defined time window (including the time windows containing the call change) doesn't exceed regulatory  $1g$  or  $10gSAR_{limit}$  limit.

#### Test procedure:

1. Measure  $P_{limit}$  for the technology/band selected in Section 3.2.2. measure  $P_{limit}$  with Smart Transmit enable and Reserve\_power\_margin set to 0 dB (Peak exposure mode); callbox set to request maximum power.
2. Set Reserve\_power\_margin to actual (intended) value and reset power on EUT to enable Smart Transmit.
3. Establish radio link with callbox in the selected technology/band.
4. Request EUT's Tx power at 0 dBm for at least one time window specified for the selected technology/band, followed by requesting EUT's Tx power to be at maximum power for about ~60 seconds, and then drop the call for ~10 seconds. Afterwards, re-establish another call in the same radio configuration (i.e., same technology/band/channel) and continue callbox requesting EUT's Tx power to be at maximum power for the remaining time of at least another full duration of the specified time window. Measure and record Tx power versus time.
5. Once the measurement is done, extract instantaneous Tx power versus time, and convert the conducted Tx power into  $1g$  or  $10gSAR$  value using Step 1 result, and then perform one time window specified running average to determine time-averaged  $1g$  or  $10gSAR$  value versus time.
6. Make one plot containing: (a) computed time-averaged  $1g$  or  $10gSAR$  versus time determine in Step 4 for the first call, (b) computed time-averaged  $1g$  or  $10gSAR$  versus time determine in Step 4 for the second call, (c) computed time-averaged  $1g$  or  $10gSAR$  of the first call and second call versus time and (d) corresponding regulatory  $1g$  or  $10gSAR_{limit}$  limit.

The validation criteria are, at all times, the combined time-averaged  $1gSAR$  or  $10gSAR$  versus time determined in Step 6c shall not exceed the regulatory  $1g$  or  $10gSAR_{limit}$  limit.

### 3.3.3 Change in technology and band

This test is to demonstrate the correct power control by Smart Transmit during technology and/or band handovers.

Similar to the change in call test in Section 3.3.2, to validate the continuity of RF exposure limiting during the transition, the technology and band handover needs to be performed when EUT's Tx power is at  $P_{reserve}$  level (i.e., during Tx power enforcement) to make sure that the EUT's Tx power from previous  $P_{reserve}$  level to the new  $P_{reserve}$  level (corresponding to new technology/band). Since the  $P_{limit}$  could vary with technology and band, Eq.(1a) can be written as follows to convert the instantaneous Tx power in  $1gSAR$  or  $10gSAR$  exposure for the two given radios, respectively:

$$1g\_or\_10gSAR_1(t) = \frac{conducted\_Tx\_power\_1(t)}{conducted\_Tx\_power\_P_{limit\_1}} * 1g\_or\_10gSAR\_P_{limit\_1} \quad (6a)$$

$$1g\_or\_10gSAR_2(t) = \frac{conducted\_Tx\_power\_2(t)}{conducted\_Tx\_power\_P_{limit\_2}} * 1g\_or\_10gSAR\_P_{limit\_2} \quad (6b)$$

$$\frac{1}{T_{SAR}} \left[ \int_{t-T_{SAR}}^{t_1} \frac{1g\_or\_10gSAR_1(t)}{FCC\ SAR\ limit} dt + \int_{t-T_{SAR}}^t \frac{1g\_or\_10gSAR_2(t)}{FCC\ SAR\ limit} dt \right] \leq 1 \quad (6c)$$

where, *conducted\_Tx\_power\_1(t)*, *conducted\_Tx\_power\_P<sub>limit\_1</sub>*, and *1g\_or\_10gSAR\_P<sub>limit\_1</sub>* correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at *P<sub>limit</sub>*, and measured 1g SAR or 10gSAR value at technology1/band1; *conducted\_Tx\_power\_2(t)*, *conducted\_Tx\_power\_P<sub>limit\_2</sub>*, and *1g\_or\_10gSAR\_P<sub>limit\_2</sub>* correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at *P<sub>limit</sub>*, and measured 1gSAR or 10gSAR value at *P<sub>limit</sub>* of technology2/band2. Transition from technology1/band1 to the technology2/band2 happens at time-instant 't<sub>1</sub>'.

### Test procedure:

1. Measure *P<sub>limit</sub>* for both the technologies and bands selected in Section 3.2.3. Measure *P<sub>limit</sub>* with Smart Transmit enabled and *Reserve\_power\_margin* set to 0 dB (Peak exposure mode); callbox set to request maximum power.
2. Set *Reserve\_power\_margin* to actual(intended) value and reset power on EUT to enable Smart Transmit.
3. Establish radio link with callbox in first technology/band selected.
4. Request EUT's Tx power at 0 dBm for at least one time window specified for the selected technology/band, followed by requesting EUT's Tx power to be at maximum power for about ~60 second, and then switch to second technology/band selected. Continue with callbox requesting EUT's Tx power to be at maximum power for the remaining time of least another full duration of the specified time window. Measure and record Tx power versus time for the full duration of the test.
5. Once the measurement is done, extract instantaneous Tx power versus time, and convert the conducted Tx power into 1gSAR or 10gSAR value using Eq.(6a) and (6b) and corresponding measured *P<sub>limit</sub>* values from Step 1 of this section. Perform the running time average to determine time-averaged power and 1gSAR or 10gSAR versus time.  
Note: In Eq.(6a) & (6b), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the measured the measured worst-case 1gSAR or 10gSAR value at *P<sub>limit</sub>* for the corresponding technology/band reported in Part 1 report.
6. Make one plot containing: (a) computed time-averaged 1gSAR or 10gSAR of the first technology/band versus time determined in Step 5, (b) computed time-averaged 1gSAR or 10gSAR of the second technology/band versus time determined in Step 5, (c) combined time-averaged 1g or 10gSAR of the first technology/band and second technology/band versus time determined in Step 5 and (d) corresponding regulatory 1g or 10gSAR<sub>limit</sub> limit.

The validation criteria are, at all times, the combined time-averaged 1gSAR or 10gSAR versus time determined in Step 6c shall not exceed the regulatory 1g or 10gSAR<sub>limit</sub> limit.

### 3.3.4 Change in antenna

This test is to demonstrate the correct power control by Smart Transmit during antenna switches from primary to diversity. The test procedure is identical to Section 3.3.3, with switching antenna instead of technology/band. The validation criteria are, at all times, the time-average 1gSAR or 10gSAR versus time shall not exceed the regulatory *1g or 10gSAR<sub>limit</sub>* limit.

Note: If the EUT does not support multiple transmitting WWAN antennas, the compliance plot for change in antenna should be similar to the plot for change in technology/band.

### 3.3.5 Change in DSI

This test is to demonstrate the correct power control by Smart Transmit during DSI switches from one DSI to another. The test procedure is identical to Section 3.3.3, with changing device state instead of technology/band. The validation criteria is, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed the regulatory *1g or 10gSAR<sub>limit</sub>* limit.

Note: If the EUT does not support multiple device states, the compliance plot for change in device state should be similar to the plot for change in technology/band.

### 3.3.6 Change in time window

This test is to demonstrate the correct power control by Smart Transmit during the change in averaging time window when a specific band handover occurs. FCC specifies time-averaging window of 100s for Tx frequency < 3GHz, and 60s for Tx frequency between 3GHz and 6GHz. To validate the continuity of RF exposure limiting during the transition, the band handover test needs to be performed when EUT handovers from operation band less than 3GHz to greater than 3GHz and vice versa. The equations (3a) and (3b) in Section 2 can be written as follows for transmission scenario having change in time window:

$$1gSAR_1(t) = \frac{\text{conducted\_Tx\_power\_1}(t)}{\text{conducted\_Tx\_power\_P}_{limit\_1}} * 1g\_or\ 10g\_SAR\_P_{limit\_1} \quad (6a)$$

$$1gSAR_2(t) = \frac{\text{conducted\_Tx\_power\_2}(t)}{\text{conducted\_Tx\_power\_P}_{limit\_2}} * 1g\_or\ 10g\_SAR\_P_{limit\_2} \quad (6b)$$

$$\frac{1}{T1_{SAR}} \left[ \int_{t-T1_{SAR}}^{t_1} \frac{1g\_or\ 10g\_SAR_1(t)}{FCC\ SAR\ limit} dt \right] + \frac{1}{T2_{SAR}} \left[ \int_{t-T2_{SAR}}^t \frac{1g\_or\ 10g\_SAR_2(t)}{FCC\ SAR\ limit} dt \right] \leq 1 \quad (6c)$$

Where, *conducted\_Tx\_power\_1(t)*, *conducted\_Tx\_power\_P<sub>limit\_1</sub>(t)*, and *1g\_or 10g\_SAR\_P<sub>limit\_1</sub>* correspond to the instantaneous Tx power, conducted Tx power at P<sub>limit</sub>, and compliance *1g\_or 10g\_SAR* values at *P<sub>limit\_1</sub>* of band1 with time-averaging window '*T1<sub>SAR</sub>*'; *conducted\_Tx\_power\_2(t)*, Conducted Tx power at *P<sub>limit</sub>*, and compliance *1g\_or 10g\_SAR* values at *P<sub>limit\_2</sub>* of Band2 with time-averaging window '*T2<sub>SAR</sub>*'. One of the two bands is less than 3GHz, another is greater than 3GHz. Transition from first band with time-averaging window '*T1<sub>SAR</sub>*' to the second band with time-averaging window '*T2<sub>SAR</sub>*' happens at time-instant '*t<sub>1</sub>*'.

**Test procedure:**

1. Measure  $P_{limit}$  for both the technologies and bands selected in Section 3.2.6 Measure  $P_{limit}$  with Smart Transmit enabled and *Reserve\_power\_margin* set to 0 dB(Peak exposure mode), callbox set to request maximum power.
2. Set *Reserve\_power\_margin* to actual (intended) value and enable Smart Transmit.

**Transition from 100s time window to 60s time window, and vice versa**

3. Establish radio link with callbox in the technology/band having 100s time window selected in Section 3.2.6.
4. Request EUT's Tx power to be at 0 dBm for at least 100 seconds, followed by requesting EUT's Tx power to be at maximum power for about ~140 seconds, and then switch to second technology/band (having 60s time window) selected in Section 3.2.6. Continue with callbox requesting EUT's Tx power to be at maximum power for at least another 100s. Measure and record Tx power versus time for the entire duration of the test.
5. Once the measurement is done, extract instantaneous Tx power versus time, and convert the conducted Tx power into 1gSAR or 10gSAR value (see Eq.(6a) and (6b)) using corresponding technology/band Step 1 result, and then perform 100s average to determine time-averaged 1gSAR or 10gSAR versus time. Note that in Eq.(6a) & (6b), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the worst-case 1gSAR or 10gSAR value tested in Part 1 for the selected technologies/bands at  $P_{limit}$ .
6. Make one plot containing: (a) computed time-averaged 1g or 10gSAR of the first technology/band (having 100s time window) versus time determined in Step 5, (c) computed time-averaged 1g or 10gSAR of the second technology/band (having 60s time window) versus time determined in Step 5, (c) combined time-averaged 1g or 10gSAR of (a) and (b), and (d) corresponding regulatory 1g or 10gSAR<sub>limit</sub> limit.

**Transition from 60s time window to 100s time window, and vice versa**

7. Establish radio link with callbox in the technology/band having 60s time window selected in Section 3.2.6.
8. Request EUT's Tx power to be at 0 dBm for at least 60 seconds, followed by requesting EUT's Tx power to be at maximum power for about ~80 seconds, and then switch to second technology/band (having 100s time window) selected in Section 3.2.6. Continue with callbox requesting EUT's Tx power to transmit at maximum power for about 100s in this second technology/band, and then switch back to the first technology/band. Continue with callbox requesting EUT to transmit at maximum Tx power for at least another 140s. Measure and record Tx power versus time for the entire duration of the test.
9. Repeat above Step 5~6 procedures to generate the corresponding plots

The validation criteria is, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed the regulatory 1g or 10gSAR<sub>limit</sub> limit.

### 3.3.7 SAR exposure switching

This test is to demonstrate that Smart Transmit feature is accurately accounts for switching in exposures among SAR from radio1 only, SAR from both radio1 and radio2, and SAR from radio2 only scenarios, and ensures total time-averaged RF exposure complies with the FCC limit. The detailed test procedure for SAR exposure switching in the case of LTE + Sub6 NR non-standalone mode transmission scenarios is provided in Section.B.

#### Test procedure:

1. Measure conducted Tx power corresponding to  $P_{limit}$  for radio 1 and radio 2 in selected band. Test condition to measure conducted  $P_{limit}$  is:
  - Establish device in call with the callbox for radio1 technology/band. Measure conducted Tx power corresponding to radio 1  $P_{limit}$  with Smart Transmit enable and Reserve\_Power\_margin set to 0 dB(Peak exposure mode), callbox set to request maximum power.
  - Repeat above step to measure conducted Tx power corresponding to radio2  $P_{limit}$ . If radio2 is dependent on radio1 (for example, non-standalone mode of Sub6 NR requiring radio1 LTE as anchor), then establish radio1 + radio2 call with callbox, and request all down bits for radio1 LTE. In this scenario, with callbox requesting maximum power from radio2 Sub6 NR, measured conducted Tx power corresponds to radio2  $P_{limit}$  (as radio1 LTE is at all-down bits)
2. Set Reserve\_power\_margin to actual (intended) value, with EUT setup for radio1 + radio2 call. In this description, it is assumed that radio2 has lower priority than radio1. Establish device in radio1 + radio2 call, and request all-down bits or low power on radio1, with callbox requesting EUT's Tx power to be at maximum power in radio2 for at least one time window. After one time window, set callbox to request EUT's Tx power to be at maximum power on radio1, i.e., all-up bits. Continue radio1 + radio2 call with both radios at maximum power for at least one time window, and drop (or request all-down bits on) radio2. Continue radio1 at maximum power for at least one time window. Record the conducted Tx power for both radio1 and radio2 for the entire duration of this test.
3. Once the measurement is done, extract instantaneous Tx power versus time for both radio1 and radio2 links. Convert the conducted Tx power for both theses radios into 1gSAR or 10gSAR value (see Eq.(6a) and (6b)) using corresponding technology/band  $P_{limit}$  measured in Step 1, and then perform the running time average to determine time-averaged 1gSAR or 10gSAR versus time.
4. Make one plot containing: (a) computed time-averaged 1g or 10gSAR versus time determined in Step 3, and combined time-averaged 1g or 10gSAR versus time, and (b) corresponding regulatory 1g or 10gSAR<sub>limit</sub> limit.

The validation criteria is, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed the regulatory 1g or 10gSAR<sub>limit</sub> limit.

Note: If multi\_Tx\_factor is set to > 1.0 with EFS version 19 (or higher), then in single Tx transmission scenarios, Smart Transmit ensures time-averaged RF exposure is  $\leq (SAR\_design\_target * 10^{(+sub6\ device\ uncertainty / 10)}) < regulatory\ RF\ exposure\ limit\ for\ sub6\ radio\ managed\ by\ Smart\ Transmit$ .

In simultaneous Tx transmission scenarios, Smart Transmit ensures time-averaged RF exposure is  $\leq (SAR\_design\_target * multi\_Tx\_factor * 10^{(+sub6\ device\ uncertainty / 10)}) < regulatory\ RF\ exposure\ limit\ for\ sub6\ radios\ managed\ by\ Smart\ Transmit$ . These simultaneous transmission scenarios are listed below:

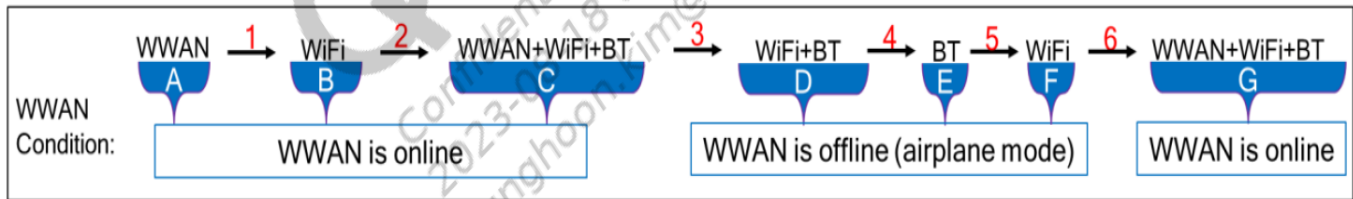
- 2-or-more radio scenarios within WWAN like EN-DC, LTE ULCA, etc.
- 2-or-more-radio across technologies such as WWAN+WLAN, WWAN+BT, WLAN+BT and WWAN+WLAN+BT transmission scenarios (if WLAN/BT radios are also managed by Smart Transmit).

This device's multi\_Tx\_factor is 1.0.

### 3.3.8 System level compliance continuity

#### 3.3.8.1 WWAN/WLAN/BT radio system level compliance continuity

Below is the test flow outline of the system level compliance test. The test contains 6 sections and 5 transitions: Start with WWAN radio transmission (Section A), transition to WLAN transmission (Section B), transition to simultaneous transmission of WWAN + WLAN + BT (Section C), then drop off WWAN radio and set WWAN to airplane mode, at the same time transition to WLAN + BT transmission simultaneously (Section D), transition to BT only transmission (Section E), transition to WLAN only transmission (Section F) and finally transition back to simultaneous transmission of WWAN + WLAN + BT with modem online while the USB is disconnected.



**Figure S-1 Schematic of technology transitions for system level compliance continuity test.**

It is recommended for OEMs to demonstrate compliance at system level, i.e., demonstrate compliance continuity across technologies, i.e., across WWAN, WLAN, BT, etc. In this regard, a new test case is designed to test the time-averaged RF exposure compliance continuity in the following scenarios with 6 transitions while the USB is disconnected:

1. Across technology switch
2. During transition from single technology to multiple technology
3. In transition when WWAN went from ON to airplane mode
4. Active WLAN radio and/or BT radio without WWAN
5. Time window transition between WLAN and BT when WWAN is in airplane mode (this segment of test is not needed for ICNIRP as both WLAN and BT operate in same time averaging window)
6. In transition when WWAN went from airplane mode to On.

Above Figure S-1 shows the above 6 transitions.

#### Test configuration selection criteria:

If the device supports simultaneous transmission of WWAN, WLAN and BT, then the selection criteria for system level compliance continuity test is:

- For a given DSI and antenna group, select band/antenna configurations for WWAN, WLAN and BT technologies that have the largest ( $P_{max} - P_{limit}$ ) delta. In case of multiple bands/antennas having the same difference between  $P_{max}$  and  $P_{limit}$  within a given technology, then select any one band/antenna out of them.

Note: The antennas corresponding to the selected technologies/bands for the system level compliance continuity test case should be in the same antenna group.

For this test, WLAN radio configuration is selected different from 2.4GHz band so as to not interfere with BT measurements. Therefore, select least  $P_{limit}$  configuration for WLAN outside the 2.4GHz band.

**Test procedure:**

1. Measure conducted Tx power corresponding to  $P_{limit}$  for all three (WWAN, WLAN & BT) technologies in the selected radio configurations. Test condition to measure conducted  $P_{limit}$  for each tech is :

- Establish device in call with the callbox for the first technology in desired band. Measure conducted Tx power corresponding to the first technology  $P_{limit}$  with Smart Transmit Peak exposure mode enabled and callbox set to request maximum power (or maximum duty cycle in case of WLAN/BT).
- Repeat above step to measure conducted Tx power corresponding to the remaining two technologies'  $P_{limit}$ . In the case of BT, measured conducted Tx power is compensated by tested duty cycle and  $BT\_STANDALONE$  EFS parameter, i.e., measured  $P_{limit} = \text{conducted power measured in BT standalone condition} / BT\_STANDALONE / BT\_duty\_cycle$ .

Note: ( $BT\_duty\_cycle$  compensation applies only to EFS version 20 (or lower). In EFS version 21, compensation is not required according to Qualcomm document (80-W5690-1).)

2. Set EUT to the intended Smart Transmit exposure mode.

3. As depicted in Figure S-1, first

- i. Section A: Establish WWAN connection with the callbox in selected WWAN radio configuration. Request EUT to transmit at 0 dBm for at least one WWAN time window (100s or 60s), followed by requesting EUT to transmit at maximum Tx power for {one WWAN time window ( $T_{WWAN} = 100\text{s}$  if  $f < 3\text{GHz}$  or  $60\text{s}$  if  $3\text{GHz} < f < 6\text{GHz}$  for FCC, 360s for ICNIRP) + the maximum high power duration allowed in one  $T_{WWAN}$ }, denoted as  $T_{A\_WWAN}$ .
- ii. Section B: After  $T_{A\_WWAN}$ , drop WWAN connection and establish WLAN connection with the callbox in selected WLAN radio configuration and request EUT to transmit at maximum duty cycle (and maximum power) for {one WLAN time-window duration ( $T_{WLAN} = 30\text{s}$  for all WLAN frequency bands for FCC, 360s for ICNIRP) + the maximum high power duration allowed in one  $T_{WLAN}$ }, denoted  $T_{B\_WLAN}$ .
- iii. Section C: After  $T_{B\_WLAN}$ , add the selected WWAN and BT radios to have the simultaneous transmission of WWAN + WLAN + BT. Request WWAN radio to transmit at maximum power and request WLAN & BT radios to transmit at maximum duty cycle (and maximum power) for at least one  $\max \{T_{A\_WWAN}, T_{B\_WLAN}, T_{BT}\}$ , where,  $T_{BT} = 100\text{s}$  for FCC, 360s for ICNIRP.
- iv. Section D: Drop WWAN connection and set WWAN modem into airplane mode. Continue requesting WLAN & BT radios to transmit at maximum duty cycle (and maximum power) for at least two times the  $\max \{T_{WLAN}, T_{BT}\}$ .
- v. Section E: Drop WLAN connection. Continue requesting BT radio to transmit at maximum duty cycle (and maximum power). Continue the test for at least one  $T_{BT}$ .
- vi. Section F: In the case of FCC time windows, after at least one  $T_{BT}$ , drop BT connection and establish back WLAN connection in selected radio configuration. Continue requesting WLAN radio to transmit at maximum duty cycle (and maximum power). Continue the test for at least one  $\max \{T_{WLAN}, T_{BT}\}$ . In the case of ICNIRP time windows, Section F is not required.
- vii. Section G: Disable airplane mode and add WWAN and BT connections after Section F in the case of FCC time windows (Disable airplane mode and add WWAN and WLAN connections after Section E in the case of ICNIRP time windows) to have the simultaneous transmission of WWAN + WLAN + BT. Request WWAN radio to transmit at maximum power and request WLAN & BT radios to transmit at maximum duty cycle (and maximum power) for at least one  $\max \{T_{A\_WWAN}, T_{B\_WLAN}, T_{BT}\}$ , where,  $T_{BT} = 100\text{s}$  for FCC, 360s for ICNIRP.

4. Once the measurement is done, extract instantaneous Tx power versus time for all WWAN, WLAN and BT radios in selected configurations. Similar to technology/band switch test in Section 3.3.3, convert the conducted Tx power for both these radios into  $1g\_or\_10gSAR$  value (see Eq. (6a) and (6b)) using corresponding technology/band Plimit measured in Step 1, and then perform running average over corresponding time-windows (i.e., 100s/60s for WWAN radio, 30s for WLAN radio and 100s for BT radio in case of FCC time-windows, and 360s for all of them in case of ICNIRP time-windows) to determine time-averaged  $1g\_or\_10gSAR$  versus time as illustrated in Figure A-1.
5. Make one plot containing: (a) computed normalized time-averaged  $1g\_or\_10gSAR$  for WWAN radio configuration versus time determined in Step 4, (b) computed normalized time-averaged  $1g\_or\_10gSAR$  for WLAN radio configuration versus time determined in Step 4, (c) computed normalized time-averaged  $1g\_or\_10gSAR$  for BT radio configuration versus time determined in Step 4, (d) computed total normalized time-averaged  $1g\_or\_10gSAR$  versus time (sum of Steps (5.a), (5.b)) determined in Step 5, and (e) corresponding normalized regulatory  $1g\_or\_10gSAR_{limit}$  limit of 1.0.

The validation criteria is, at all times, the time-averaged  $1g\_or\_10gSAR$  versus time shall not exceed the regulatory  $1g\_or\_10gSAR_{limit}$  limit.

Note: If  $multi\_Tx\_factor$  is set to  $> 1.0$  with EFS version 19 (or higher), then in single Tx transmission scenarios, Smart Transmit ensures time-averaged RF exposure is  $\leq (SAR\_design\_target * 10^{(+sub6\ device\ uncertainty / 10)}) < regulatory\ RF\ exposure\ limit$  for sub6 radio managed by Smart Transmit.

In simultaneous Tx transmission scenarios, Smart Transmit ensures time-averaged RF exposure is  $\leq (SAR\_design\_target * multi\_Tx\_factor * 10^{(+sub6\ device\ uncertainty / 10)}) < regulatory\ RF\ exposure\ limit$  for sub6 radios managed by Smart Transmit. These simultaneous transmission scenarios are listed below:

- 2-or-more radio scenarios within WWAN like EN-DC, LTE ULCA, etc.
- 2-or-more-radio across technologies such as WWAN+WLAN, WWAN+BT, WLAN+BT and WWAN+WLAN+BT transmission scenarios (if WLAN/BT radios are also managed by Smart Transmit).

With EFS version 21 or higher:  $multi\_Tx\_factor$  also applies to multi-WLAN radio transmission scenarios (e.g., 2.4GHz + 5GHz). The applicability of  $multi\_Tx\_factor$  in other transmission scenarios is the same as EFS version 19.

### 3.3.9 Exposure Category Switch

This test is to demonstrate that Smart Transmit feature is accurately accounts for switching in exposures among SAR from radio1 only, SAR from both radio1 and radio2, and SAR from radio2 only scenarios, and ensures total time-averaged RF exposure complies with the FCC limit. The detailed test procedure for SAR exposure switching in the case of LTE + Sub6 NR non-standalone mode transmission scenarios is provided in Section.B.

This test is performed with the EUT being requested to transmit at maximum power in selected technology/band/antenna/DSI. The change in exposure category is preferably performed during Tx power enforcement (i.e., EUT forced to transmit at a sustainable level). One test is sufficient as this feature operation is independent of technology, band and antenna.

#### Test procedure:

In case of head to non-head to head exposure switch test, 'first DSI' in below test procedure refers to head DSI and 'second DSI' refers to non-head DSI. Similarly, in case of non-head to head to non-head exposure switch test, 'first DSI' in below test procedure refers to non-head DSI and 'second DSI' refers to head DSI.

1. Measure Plimit for all the technology(s)/band(s)/antenna(s)/DSI(s) selected following the above selection criteria. Measure Plimit with Smart Transmit Peak exposure mode enabled and callbox set to request maximum power.
2. Set EUT to intended Smart Transmit exposure mode.
3. Establish radio link with first DSI and with callbox in the selected technology(s)/band(s)/antenna(s).
4. Request EUT to transmit at 0 dBm for at least 100 seconds, followed by requesting EUT to transmit at maximum Tx power for the active radio(s) for half of the regulatory time window, and then switch to the second DSI for ~10s, and switch back to the first DSI for at least one time window. Throughout this test, when switching between DSIs (i.e., switching between exposure categories), continue with callbox requesting EUT to transmit at maximum Tx power for the active radio(s). Measure and record Tx power versus time for the entire duration of the test.
5. Once the measurement is done, extract instantaneous Tx power versus time, and convert the conducted Tx power into 1g\_or\_10gSAR value (see Eq. (6a) and (6b)) using the corresponding Plimit measured in Step 1 and 1g\_or\_10gSAR value measured in 80-W2112-4 Part 1 report, and then perform 100s running average to determine time-averaged 1g\_or\_10gSAR versus time as illustrated in Figure 5-1. Note that in Eq.(6a) & (6b), instantaneous Tx power is converted into instantaneous 1g\_or\_10gSAR value by applying the worst-case 1gSAR value for the selected technologies/bands at Plimit as reported in 80-W2112-4 Part 1 report.
6. Make one plot containing: (a) computed time-averaged normalized 1g\_or\_10gSAR of the selected technology(s)/band(s)/antenna(s) versus time determined in Step 5 for exposure under first DSI , (b) total time-averaged normalized exposure for exposure under first DSI if simultaneous transmission scenario was tested, and (c) normalized regulatory limit of 1.0.
7. Make another plot containing: (a) computed time-averaged 1g\_or\_10gSAR of the selected technology(s)/band(s)/antenna(s) versus time determined in Step 5 for exposure under second DSI, (b) total time-averaged normalized exposure for exposure under second DSI if simultaneous transmission scenario was tested, and (c) normalized regulatory limit of 1.0.

The validation criteria is, at all times, the time-averaged normalized exposure versus time shall not exceed the normalized limit of 1.0 for both first & second DSIs (i.e., both head exposure category and non-head exposure category).

## 4. PD Time Averaging Validation Test Procedures

This chapter provides the test plan and test procedures for validating Qualcomm Smart Transmit feature for mmW transmission. For this EUT, millimeter wave (mmW) transmission is only in non-standalone mode, i.e., it requires an LTE link as anchor.

### 4.1. Test sequence for validation in mmW NR transmission

In 5G mmW NR transmission, the test sequence for validation is with the callbox requesting EUT's Tx power in 5G mmW NR at maximum power all the time.

### 4.2. Test configuration selection criteria for validating Smart Transmit feature

#### 4.2.1 Test configuration selection for time-varying Tx power transmission

The Smart Transmit time averaging feature operation is independent of bands, modes, channels, and antenna configurations (beams) for a given technology. Hence, validation of Smart Transmit in any one band/mode/channel per technology is sufficient.

#### 4.2.2 Test configuration selection for change in antenna configuration (beam)

The Smart Transmit time averaging feature operation is independent of bands, modes, channels, and antenna configurations (beams) for a given technology. Hence, validation of Smart Transmit with beam switch between any two beams is sufficient.

#### 4.2.3 Test configuration selection for SAR vs. PD exposure switch during transmission

The Smart Transmit time averaging feature operation is independent of the nature of exposure (SAR vs. PD) and ensures total time-average RF exposure compliance. Hence, validation of Smart Transmit in any one band/mode/channel/beam for mmW + sub-6 (LTE) transmission is sufficient, where the exposure varies among SAR dominant scenario, SAR + PD scenario, and PD dominant scenario.+

#### 4.2.4 Test configuration selection for switch between sub6 and mmW favor modes

The purpose of the test is to demonstrate that Smart Transmit ensures time-averaged RF exposure compliance when the device is switching between sub6 favor mode, i.e., sub6 radio1 in AG0 + sub6 radio2 in AG1 and mmW favor mode, i.e., sub6 radio 1 in AG0 (or sub6 radio2 in AG1) + mmW radio. Note sub6 radio1 and sub6 radio2 are in different antenna groups.

The validation criteria is that the total normalized time-averaged exposure versus time meets at all times:

1. Normalized time-averaged exposure of sub6 radio1 in AG0 + normalized time averaged exposure of mmW radio  $\leq 1.0$
2. Normalized time-averaged exposure of sub6 radio2 in AG1 + normalized time averaged exposure of mmW radio  $\leq 1.0$

This test procedure is very similar to the SAR and PD exposure switching tests described in Section 3.3.7 and 4.3.2 with the EUT's RF exposure switching between radios.

### 4.3. Test procedures for mmW radiated power measurements

Perform conducted power measurement (for  $f < 6\text{GHz}$ ) and radiated power measurement (for  $f > 6\text{GHz}$ ) for LTE + mmW transmission to validate Smart Transmit time averaging feature in the various transmission scenarios described in Section 2.

This section provides general conducted power measurement procedures to perform compliance test under dynamic transmission scenarios described in Section 2. In practice, an adjustment can be made in these procedures. The justification/clarification may be provided.

#### 4.3.1 Time-varying Tx power scenario

The purpose of the test is to demonstrate the effectiveness of power limiting enforcement and that the time-averaged Tx power when converted into RF exposure values does not exceed the FCC limit at all times (see Eq. (2a), (2b) & (2c) in Section 2).

#### Test procedure:

1. Measure conducted Tx power corresponding to  $P_{limit}$  for LTE in selected band, and measure radiated Tx power corresponding to *input.power.limit* in desired mmW band/channel/beam by following below steps:
  - a. Measure radiated power corresponding to mmW *input.power.limit* by setting up the EUT's Tx power in desired band/channel/beam at *input.power.limit* in Factory Test Mode (FTM). This test is performed in calibrated anechoic chamber. Rotate the EUT to obtain maximum radiated Tx power, keep the EUT in this position and do not disturb the position of the EUT inside the anechoic chamber for the rest of this test.
  - b. Reset EUT to place in online mode and establish radio link in LTE, measure conducted Tx power corresponding to LTE  $P_{limit}$  with Smart Transmit enabled and *Reserve\_power\_margin* set to 0 dB, callbox set to request maximum power.
2. Set *Reserve\_power\_margin* to actual (intended) value and reset power on EUT to enable Smart Transmit. With EUT setup for a mmW NR call in the desire/selected LTE band and mmW NR band, perform the following steps:
  - a. Establish LTE and mmW NR connection in desired band/channel/beam used in Step 1. As soon as the mmW connection is established, immediately request all-down bits on LTE link. With callbox requesting EUT's Tx power to be at maximum mmW power to test predominantly PD exposure scenario (as SAR exposure is less when LTE's Tx power is at low power).
  - b. After 120s, request LTE to go all-upbits for at least 100s. SAR exposure is dominant. There are two scenarios:
    - i. If  $P_{limit} < P_{max}$  for LTE, then the RF exposure margin (provided to mmW NR) gradually runs out (due to high SAR exposure). This results in gradual reduction in the 5G mmW NR transmission power and eventually seized 5G mmW NR transmission when LTE goes to  $P_{reserve}$  level.
    - ii. If  $P_{limit} \geq P_{max}$  for LTE, then the 5G mmW NR transmission's averaged power should gradually reduce but the mmW NR connection can sustain all the time (assuming TxAGC uncertainty = 0 dB).
  - c. Record the conducted Tx power of LTE and radiated Tx power of mmW for the full duration of this test of least 300s.
3. Once the measurement is done, extract instantaneous Tx power versus time for both LTE and mmW links. Convert the conducted Tx power for LTE into 1gSAR or 10gSAR value using Eq.(2a)

and  $P_{limit}$  measured in Step 1.b, and then divide by FCC limit of 1.6W/kg for 1gSAR or 4.0W/kg for 10gSAR to obtain instantaneous normalized 1gSAR or 10gSAR versus time. Perform 100s running average to determine normalized 100s-averaged 1gSAR or 10gSAR versus time.

Note: In Eq.(2a), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR Value by applying the measured worst-case 1gSAR or 10gSAR value at  $P_{limit}$  for the corresponding technology/band/antenna/DSI reported in Part 1 report.

4. Similarly, convert the radiated Tx power for mmW into 4cm<sup>2</sup>PD value using Eq.(2b) and the radiated Tx power limit (i.e., radiated Tx power at *input.power.limit*) measured in Step 1.a, then divide by FCC 4cm<sup>2</sup>PD limit of 10W/m<sup>2</sup> to obtain instantaneous normalized 4cm<sup>2</sup>PD versus time. Perform 4s running average to determine normalized 4s-averaged 4cm<sup>2</sup>PD versus time.

Note: In Eq.(2b), instantaneous radiated Tx power is converted into instantaneous 4cm<sup>2</sup>PD by applying the worst-case 4cm<sup>2</sup>PD value measured at *input.power.limit* for the selected band/beam in Part 1 report.

5. Make one plot containing: (a) computed normalized 100s-averaged 1gSAR or 10gSAR versus time determined in Step 3, (b) computed normalized 4s-averaged 4cm<sup>2</sup>PD versus time determined in Step 4, and (c) corresponding total normalized time-averaged RF exposure (sum of steps (5.a) and (5.b)) versus time.

The validation criteria are, at all times, the total normalized time-averaged RF exposure versus time determined in Step 5.c shall not exceed the normalized limit of 1.0 of FCC requirement (i.e., Eq. (2c)).

### 4.3.2 Switch in SAR vs. PD exposure during transmission

This test is to demonstrate that Smart Transmit feature is independent of the nature of exposure (SAR vs. PD), accurately accounts for switching in exposures among SAR dominant, SAR + PD, and PD dominant scenarios, and ensures total time-averaged RF exposure compliance.

#### Test procedure:

1. Measure conducted Tx power corresponding to  $P_{limit}$  for LTE in selected band, and measure radiated Tx power corresponding to *input.power.limit* in desired mmW band/channel/beam by following below steps:
  - a. Measure radiated power corresponding to *input.power.limit* by setting up the EUT's Tx power in desired band/channel/beam at *input.power.limit* in FTM. This test is performed in a calibrated anechoic chamber. Rotate the EUT to obtain maximum radiate Tx power, keep the EUT in this position and do not disturb the position of the EUT inside the anechoic chamber for the rest of this test.
  - b. Reset EUT to place in online mode and establish radio link in LTE, measure conducted Tx power corresponding to LTE  $P_{limit}$  with Smart Transmit enabled and *Reserve\_power\_margin* set to 0 dB, callbox set to request maximum power.
2. Set *Reserver\_power\_margin* to actual (intended) value and reset power in EUT, with EUT setup for LTE + mmW call, perform the following steps:
  - a. Establish LTE (sub-6) and mmW NR connection with callbox.
  - b. As soon as the mmW connection is established, immediately request all-down bits on LTE link. Continue LTE (all-down bits) + mmW transmission for more than 100s duration to test predominantly PD exposure scenario (as SAR exposure is negligible from all-down bits in LTE).
  - c. After 120s, request LTE to go all-up bits, mmW transmission should gradually run out of RF exposure margin if LTE's  $P_{limit} < P_{max}$  and seize mmW transmission (SAR only scenario); or mmW transmission should gradually reduce in Tx power and will sustain the connection if LTE's  $P_{limit} > P_{max}$ .

- d. After 75s, request LTE to go all-down bits, mmW transmission should start getting back RF exposure margin and resume transmission again.
  - e. Record the conducted Tx power of LTE and radiated Tx power of mmW for the entire duration of this test of at least 300s.
3. Once the measurement is done, extract instantaneous Tx power versus time for both LTE and mmW links. Convert the conducted Tx power for LTE into 1gSAR or 10gSAR value using Eq.(2a) and  $P_{limit}$  measured in Step 1.b, and then divide by FCC limit of 1.6 W/kg for 1gSAR or 4.0 W/kg for 10gSAR to obtain instantaneous normalized 1gSAR or 10gSAR versus time. Perform 100s running average to determine normalized 100s-averaged 1gSAR or 10gSAR versus time.  
Note: In Eq.(2a), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the measured worst-case 1gSAR or 10gSAR value at  $P_{limit}$  for the corresponding technology/band/antenna/DSI reported in Part 1 report.
  4. Similarly, convert the radiated Tx power for mmW into 4cm<sup>2</sup>PD value using Eq.(2b) and the radiated Tx power limit (i.e., radiated Tx power at *input.power.limit*) measured in Step 1.a, then divide this by FCC 4cm<sup>2</sup>PD limit of 10W/m<sup>2</sup> to obtain instantaneous normalized 4cm<sup>2</sup>PD versus time. Perform 4s running average to determine normalized 4s-averaged 4cm<sup>2</sup>PD versus time.
  5. Make another plot containing: (a) computed normalized 100s-averaged 1gSAR or 10gSAR versus time determined in Step 3, (b) computed normalized 4s-averaged 4cm<sup>2</sup>PD versus time determined in Step 4, and (C) corresponding total normalized time-averaged RF exposure (sum of steps (5.a) and (5.b)) versus time.

The validation criteria are, at all times, the total normalized time-averaged RF exposure versus time determined in Step 5.c shall not exceed the normalized limit of 1.0 of FCC requirement (i.e., Eq. (2c)).

### 4.3.3 Change in antenna configuration (beam)

This test is to demonstrate the correct power control by Smart Transmit during changes in antenna configuration (beam). Since the *input.power.limit* varies with beam, the Eq. (2a), (2b) and (2c) in Section 2 are written as below for transmission scenario having change in beam:

$$1g\_or\_10gSAR(t) = \frac{conducted\_Tx\_power(t)}{conducted\_Tx\_power\_P_{limit}} * 1g\_or\_10gSAR\_P_{limit} \quad (4a)$$

$$4cm^2PD_1(t) = \frac{radiated\_Tx\_power\_1(t)}{radiated\_Tx\_power\_input.power.limit\_1} * 4cm^2PD\_input.power.limit\_1 \quad (4b)$$

$$4cm^2PD_2(t) = \frac{radiated\_Tx\_power\_2(t)}{radiated\_Tx\_power\_input.power.limit\_2} * 4cm^2PD\_input.power.limit\_2 \quad (4c)$$

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^t 1g\_or\_10gSAR(t) dt}{FCC\ SAR\ limit} + \frac{\frac{1}{T_{PD}} \left[ \int_{t-T_{PD}}^{t_1} 4cm^2PD_1(t) dt + \int_{t_1}^t 4cm^2PD_2(t) dt \right]}{FCC\ 4cm^2\ PD\ limit} \leq 1 \quad (4d)$$

Where, *conducted\_Tx\_power(t)*, *conducted\_Tx\_power\_P<sub>limit</sub>*, and *1g\_or\_10gSAR\_P<sub>limit</sub>* correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at  $P_{limit}$ , and measured 1gSAR or 10gSAR values at  $P_{limit}$  corresponding to LTE transmission. Similarly, *radiated\_Tx\_power\_1(t)*, *radiated\_Tx\_power\_input.power.limit\_1*, and *4cm<sup>2</sup>PD\_input.power.limit\_1* correspond to the measured instantaneous radiated Tx power, radiated Tx power at *input.power.limit*, and 4cm<sup>2</sup>PD value at *input.power.limit* of beam 1; *radiated\_Tx\_power\_2(t)*, *radiated\_Tx\_power\_input.power.limit\_2*, and *4cm<sup>2</sup>PD\_input.power.limit\_2* correspond to the measured instantaneous radiated Tx power, radiated Tx power at *input.power.limit*, and 4cm<sup>2</sup>PD value at *input.power.limit* of beam 2 corresponding to mmW transmission.

## Test procedure:

1. Measure conducted Tx power corresponding to  $P_{limit}$  for LTE in selected band, and measure radiated Tx power corresponding to *input.power.limit* in desired mmW band/channel/beam by following below steps:
  - a. Measure radiated power corresponding to mmW *input.power.limit* by setting up the EUT's Tx power of the EUT inside the anechoic chamber for the rest of this test. Repeat this Step 1.a for beam 2.
  - b. Reset EUT to place in online mode and establish radio link in LTE, measure conducted Tx power corresponding to LTE  $P_{limit}$  with Smart Transmit enable and *Reserve\_power\_margin* set to 0 dB, callbox set to request maximum power.
2. Set *Reserve\_power\_margin* to actual (intended) value and reset power in EUT, With EUT setup for LTE + mmW connection, perform the following steps:
  - a. Establish LTE (sub-6) and mmW NR connection in beam 1. As soon as the mmW connection is established, immediately request all-down bits on LTE link with the callbox requesting EUT's Tx power to be at maximum mmW power.
  - b. After beam 1 continues transmission for at least 20s, request the EUT to change from beam 1 to beam 2, and continue transmitting with beam 2 for at least 20s.
  - c. Record the conducted Tx power of LTE and radiated Tx power of mmW for the entire duration of this test.
3. Once the measurement is done, extract instantaneous Tx power versus time for both LTE and mmW links. Convert the conducted Tx power for LTE into 1gSAR or 10gSAR value using the similar approach described in Step 3 of Section 4.3.2. Perform 100s running average to determine normalized 100s-averaged 1gSAR or 10gSAR versus time.
4. Similarly, convert the radiated Tx power for mmW NR into 4cm<sup>2</sup>PD value using Eq.(4b), (4c) and the radiated Tx power limits (i.e., radiated Tx power at *input.power.limit*) measured in Step 1.a for beam 1 and beam 2, respectively, and then divide the resulted PD values by FCC 4cm<sup>2</sup>PD limit of 10W/m<sup>2</sup> to obtain instantaneous normalized 4cm<sup>2</sup>PD versus time for beam 1 and beam 2. Perform 4s running average to determine normalized 4s-averaged 4cm<sup>2</sup>PD versus time.  
 Note: In Eq.(4b) and (4c), instantaneous radiated Tx power of beam 1 and beam 2 is converted into instantaneous 4cm<sup>2</sup>PD by applying the worst-case 4cm<sup>2</sup>PD value measured at the *input.power.limit* of beam 1 and beam 2 in Part 1 report, respectively.
5. Since the measured radiated powers for beam 1 and beam 2 in Step 1.a were performed at an arbitrary rotation of EUT in anechoic chamber, repeat Step 1.a of this procedure by rotating the EUT to determine maximum radiated power at *input.power.limit* in FTM mode for both beams separately. Re-scale the measured instantaneous radiated power in Step 2.c by the delta in radiated power measured in Step 5 and the radiated power measured in Step 1.a for plotting purpose in next Step. In other words, this step essentially converts measured instantaneous radiated power during the measurement in Step 2 into maximum instantaneous radiated power for both beams. Perform 4s running average to compute 4s-averaged radiated Tx power. Additionally, use these EIRP values measured at *input.power.limit* at respective peak locations to determine the EIRP limits for both these beams.
6. Make another plot containing: (a) computed normalized 100s-averaged 1gSAR or 10gSAR versus time determined in Step 3, (b) computed normalized 4s-averaged 4cm<sup>2</sup>PD versus time determined in Step 4, and (c) corresponding total normalized time-averaged RF exposure (sum of steps (6.a) and (6.b)) versus time.

The validation criteria are, at all times, the total normalized time-averaged RF exposure versus time determined in Step 6.c shall not exceed the normalized limit of 1.0 of FCC requirement (i.e., (4d)).

## 5. Test Configurations

### 5.1. WWAN (sub-6) & WLAN/BT transmission

This  $P_{limit}$  values, corresponding to 1.0 or 2.5 W/kg (1-g or 10-g respectively) of  $SAR_{design\_target}$ , for technologies and bands supported by EUT are derived in Part 0 report and summarized in Table 5-1. Note all  $P_{limit}$  power levels entered in Table 5-1 correspond to average power levels plus tolerance after accounting for duty cycle in the case of TDD modulation schemes (for e.g., GSM, LTE TDD).

Table 5-1 :  $P_{limit}$  for supported technologies and bands ( $P_{limit}$  in EFS file)

| Exposure condition  |         |                  | Folder Open<br>UMPC Body 1-g                                                                   | Folder Open<br>UMPC Extremity 10-g | Folder Closed<br>Body-worn &<br>Hotspot | Folder Closed<br>Product Specific 10-g | Folder Closed<br>Head | Pmax<br>(Maximum<br>tune-up Power)<br>(dBm) |
|---------------------|---------|------------------|------------------------------------------------------------------------------------------------|------------------------------------|-----------------------------------------|----------------------------------------|-----------------------|---------------------------------------------|
| Spatial-average     |         |                  | 1g                                                                                             | 10g                                | 1g                                      | 10g                                    | 1g                    |                                             |
| Test distance (mm)  |         |                  | 10                                                                                             | 0                                  | 10                                      | 0                                      | 0                     |                                             |
| Configuration       |         |                  | Folder Open                                                                                    | Folder Open                        | Folder Closed                           | Folder Closed                          | Folder Closed         |                                             |
| DSI:                |         |                  | 0                                                                                              |                                    | 1                                       |                                        | 3                     |                                             |
| RF Air Interface    | Antenna | Antenna<br>Group | Plimit corresponding to 1.0 W/kg (SAR_design_target) (1g) / 2.5 W/kg (SAR_design_target) (10g) |                                    |                                         |                                        |                       |                                             |
| GSM 850             | A, A+B  | AG 0             | 27.2                                                                                           |                                    | 29.0                                    | 27.4                                   | 29.4                  | 25.3                                        |
| GSM 850             | D       | AG 1             | 28.9                                                                                           |                                    | 30.7                                    | 29.3                                   | 32.9                  | 25.3                                        |
| GSM 1900            | B       | AG 0             | 18.3                                                                                           |                                    | 18.3                                    | 18.3                                   | 31.5                  | 21.3                                        |
| WCDMA 2             | B       | AG 0             | 19.0                                                                                           |                                    | 19.0                                    | 19.0                                   | 31.8                  | 23.8                                        |
| WCDMA 4             | B       | AG 0             | 19.0                                                                                           |                                    | 19.0                                    | 19.0                                   | 29.6                  | 23.5                                        |
| WCDMA 5             | A, A+B  | AG 0             | 25.8                                                                                           |                                    | 28.3                                    | 26.0                                   | 29.1                  | 24.3                                        |
| WCDMA 5             | D       | AG 1             | 27.5                                                                                           |                                    | 30.3                                    | 29.5                                   | 31.6                  | 24.3                                        |
| LTE Band 5          | A, A+B  | AG 0             | 26.0                                                                                           |                                    | 27.0                                    | 27.0                                   | 30.0                  | 24.5                                        |
| LTE Band 5          | D       | AG 1             | 27.3                                                                                           |                                    | 30.5                                    | 29.4                                   | 31.9                  | 24.5                                        |
| LTE Band 7          | B       | AG 0             | 18.0                                                                                           |                                    | 18.0                                    | 18.0                                   | 30.0                  | 24.0                                        |
| LTE Band 7          | E       | AG 1             | 19.0                                                                                           |                                    | 19.0                                    | 19.0                                   | 26.6                  | 24.0                                        |
| LTE Band 12         | A, A+B  | AG 0             | 25.2                                                                                           |                                    | 28.7                                    | 27.6                                   | 29.6                  | 24.2                                        |
| LTE Band 12         | D       | AG 1             | 27.1                                                                                           |                                    | 30.3                                    | 27.4                                   | 29.8                  | 24.2                                        |
| LTE Band 13         | A, A+B  | AG 0             | 26.3                                                                                           |                                    | 27.5                                    | 27.5                                   | 31.9                  | 24.5                                        |
| LTE Band 13         | D       | AG 1             | 28.2                                                                                           |                                    | 33.0                                    | 28.7                                   | 30.9                  | 24.5                                        |
| LTE Band 14         | A, A+B  | AG 0             | 26.3                                                                                           |                                    | 29.2                                    | 27.2                                   | 31.9                  | 24.5                                        |
| LTE Band 14         | D       | AG 1             | 28.6                                                                                           |                                    | 30.8                                    | 29.0                                   | 34.1                  | 24.5                                        |
| LTE Band 25(2)      | B       | AG 0             | 18.0                                                                                           |                                    | 19.0                                    | 19.0                                   | 30.8                  | 24.0                                        |
| LTE Band 25(2)      | E       | AG 1             | 20.0                                                                                           |                                    | 20.0                                    | 20.0                                   | 22.5                  | 24.0                                        |
| LTE Band 26         | A, A+B  | AG 0             | 25.5                                                                                           |                                    | 28.8                                    | 26.5                                   | 30.2                  | 24.5                                        |
| LTE Band 26         | D       | AG 1             | 27.4                                                                                           |                                    | 31.1                                    | 29.2                                   | 31.2                  | 24.5                                        |
| LTE Band 30         | B       | AG 0             | 16.0                                                                                           |                                    | 18.0                                    | 18.0                                   | 31.0                  | 23.0                                        |
| LTE Band 30         | E       | AG 1             | 19.0                                                                                           |                                    | 21.0                                    | 21.0                                   | 20.5                  | 23.0                                        |
| LTE Band 66(4)      | B       | AG 0             | 19.0                                                                                           |                                    | 19.0                                    | 19.0                                   | 29.7                  | 24.0                                        |
| LTE Band 66(4)      | E       | AG 1             | 20.0                                                                                           |                                    | 20.0                                    | 20.0                                   | 21.5                  | 24.0                                        |
| LTE Band 71         | A, A+B  | AG 0             | 26.0                                                                                           |                                    | 27.1                                    | 25.9                                   | 31.9                  | 24.2                                        |
| LTE Band 71         | D       | AG 1             | 27.0                                                                                           |                                    | 29.6                                    | 26.6                                   | 30.3                  | 24.2                                        |
| LTE Band 41(38) PC3 | B       | AG 0             | 15.0                                                                                           |                                    | 18.0                                    | 18.0                                   | 32.0                  | 22.0                                        |
| LTE Band 41(38) PC3 | E       | AG 1             | 18.5                                                                                           |                                    | 18.5                                    | 18.5                                   | N/A                   | 22.0                                        |
| LTE Band 41 PC2     | B       | AG 0             | 15.0                                                                                           |                                    | 18.0                                    | 18.0                                   | 32.0                  | 21.9                                        |
| LTE Band 41 PC2     | E       | AG 1             | 18.5                                                                                           |                                    | 18.5                                    | 18.5                                   | N/A                   | 21.9                                        |
| LTE Band 48         | E       | AG 1             | 17.5                                                                                           |                                    | 17.5                                    | 17.5                                   | 20.0                  | 20.3                                        |
| NR Band n5          | A, A+B  | AG 0             | 25.0                                                                                           |                                    | 28.8                                    | 25.7                                   | 29.4                  | 24.0                                        |
| NR Band n5          | D       | AG 1             | 26.4                                                                                           |                                    | 29.8                                    | 28.2                                   | 32.0                  | 24.0                                        |
| NR Band n7          | B       | AG 0             | 18.0                                                                                           |                                    | 18.0                                    | 18.0                                   | 30.5                  | 23.0                                        |
| NR Band n7          | E       | AG 1             | 19.0                                                                                           |                                    | 19.0                                    | 19.0                                   | 25.0                  | 23.0                                        |
| NR Band n12         | A, A+B  | AG 0             | 25.0                                                                                           |                                    | 28.6                                    | 26.5                                   | 29.1                  | 24.0                                        |
| NR Band n12         | D       | AG 1             | 26.2                                                                                           |                                    | 30.5                                    | 27.5                                   | 30.2                  | 24.0                                        |
| NR Band n25(2)      | B       | AG 0             | 18.0                                                                                           |                                    | 19.0                                    | 19.0                                   | 30.8                  | 23.5                                        |
| NR Band n25(2)      | E       | AG 1             | 20.0                                                                                           |                                    | 20.0                                    | 20.0                                   | 22.5                  | 23.5                                        |
| NR Band n26         | A, A+B  | AG 0             | 25.5                                                                                           |                                    | 28.3                                    | 26.0                                   | 30.2                  | 24.0                                        |
| NR Band n26         | D       | AG 1             | 27.2                                                                                           |                                    | 30.7                                    | 29.6                                   | 31.3                  | 24.0                                        |
| NR Band n30         | B       | AG 0             | 16.0                                                                                           |                                    | 18.0                                    | 18.0                                   | 33.1                  | 22.5                                        |
| NR Band n30         | E       | AG 1             | 19.0                                                                                           |                                    | 21.0                                    | 21.0                                   | 20.5                  | 22.5                                        |
| NR Band n66         | B       | AG 0             | 19.0                                                                                           |                                    | 19.0                                    | 19.0                                   | 29.2                  | 23.5                                        |
| NR Band n66         | E       | AG 1             | 20.0                                                                                           |                                    | 20.0                                    | 20.0                                   | 21.5                  | 23.5                                        |
| NR Band n70         | B       | AG 0             | 19.0                                                                                           |                                    | 19.0                                    | 19.0                                   | 28.8                  | 23.0                                        |
| NR Band n70         | E       | AG 1             | 21.0                                                                                           |                                    | 21.0                                    | 21.0                                   | 22.5                  | 23.0                                        |
| NR Band n71         | A, A+B  | AG 0             | 25.3                                                                                           |                                    | 28.1                                    | 26.3                                   | 29.0                  | 24.0                                        |
| NR Band n71         | D       | AG 1             | 27.8                                                                                           |                                    | 30.6                                    | 27.3                                   | 30.9                  | 24.0                                        |

| Exposure condition                                           |         |                  | Folder Open<br>UMPC Body 1-g                                                                   | Folder Open<br>UMPC Extremity 10-g | Folder Closed<br>Body-worn &<br>Hotspot | Folder Closed<br>Product Specific 10-g | Folder Closed<br>Head | Pmax<br>(Maximum<br>tune-up<br>Power)<br>(dBm) |
|--------------------------------------------------------------|---------|------------------|------------------------------------------------------------------------------------------------|------------------------------------|-----------------------------------------|----------------------------------------|-----------------------|------------------------------------------------|
| Spatial-average                                              |         |                  | 1g                                                                                             | 10g                                | 1g                                      | 10g                                    | 1g                    |                                                |
| Test distance (mm)                                           |         |                  | 10                                                                                             | 0                                  | 10                                      | 0                                      | 0                     |                                                |
| Configuration                                                |         |                  | Folder Open                                                                                    | Folder Open                        | Folder Closed                           | Folder Closed                          | Folder Closed         |                                                |
| DSI:                                                         |         |                  | 0                                                                                              |                                    | 1                                       |                                        | 3                     |                                                |
| RF Air Interface                                             | Antenna | Antenna<br>Group | Plimit corresponding to 1.0 W/kg (SAR_design_target) (1g) / 2.5 W/kg (SAR_design_target) (10g) |                                    |                                         |                                        |                       |                                                |
| NR Band n41(38) PC2 -Main-<br>(Switching SRS1)               | E       | AG 1             | 19.0                                                                                           |                                    | 19.0                                    | 19.0                                   | 22.0                  | 26.0                                           |
| NR Band n41 PC2 -SRS2-<br>(Switching SRS3)                   | G       | AG 1             | 12.0                                                                                           |                                    | 12.0                                    | 12.0                                   | 12.0                  | 20.5                                           |
| NR Band n41(38) swithcing PC2 -Main-<br>(non switching SRS1) | B       | AG 0             | 16.5                                                                                           |                                    | 18.0                                    | 18.0                                   | 34.4                  | 25.0                                           |
| NR Band n41 swithcing PC2-SRS2-<br>(non switching SRS3)      | C       | AG 0             | 12.0                                                                                           |                                    | 12.0                                    | 12.0                                   | 12.0                  | 19.0                                           |
| NR Band n48 -Main-                                           | E       | AG 1             | 16.0                                                                                           |                                    | 18.0                                    | 18.0                                   | 19.0                  | 22.3                                           |
| NR Band n48 -SRS1-                                           | C       | AG 0             | 14.0                                                                                           |                                    | 14.0                                    | 14.0                                   | 14.0                  | 17.5                                           |
| NR Band n48 -SRS2-                                           | F       | AG 1             | 14.0                                                                                           |                                    | 14.0                                    | 14.0                                   | 14.0                  | 22.0                                           |
| NR Band n48 -SRS3-                                           | A       | AG 0             | 14.0                                                                                           |                                    | 14.0                                    | 14.0                                   | 14.0                  | 19.5                                           |
| NR Band n77(78) PC2 -Main-                                   | E       | AG 1             | 17.0                                                                                           |                                    | 17.5                                    | 17.5                                   | 17.5                  | 26.0                                           |
| NR Band n77(78) PC2 -SRS1-                                   | C       | AG 0             | 14.0                                                                                           |                                    | 14.0                                    | 14.0                                   | 14.0                  | 19.0                                           |
| NR Band n77(78) PC2 -SRS2-                                   | F       | AG 1             | 14.0                                                                                           |                                    | 14.0                                    | 14.0                                   | 14.0                  | 25.0                                           |
| NR Band n77(78) PC2 -SRS3-                                   | A       | AG 0             | 14.0                                                                                           |                                    | 14.0                                    | 14.0                                   | 14.0                  | 21.5                                           |
| DTS SISO Ant. 1                                              | G       | AG 1             | 17.0                                                                                           |                                    | 17.0                                    | 17.0                                   | 17.0                  | 19.0                                           |
| DTS SISO Ant. 2                                              | F       | AG 1             | 17.0                                                                                           |                                    | 17.0                                    | 17.0                                   | 17.0                  | 19.0                                           |
| DTS MIMO                                                     | G+F     | AG 1             | 17.0                                                                                           |                                    | 17.0                                    | 17.0                                   | 17.0                  | 19.0                                           |
| UNII-2A SISO Ant. 1                                          | G       | AG 1             | 20.8                                                                                           |                                    | 26.3                                    | 21.6                                   | 20.8                  | 17.0                                           |
| UNII-2A SISO Ant. 2                                          | D       | AG 1             | 21.8                                                                                           |                                    | 25.5                                    | 23.3                                   | 26.9                  | 17.0                                           |
| UNII-2A MIMO                                                 | G+D     | AG 1             | 21.0                                                                                           |                                    | 23.9                                    | 20.7                                   | 19.8                  | 17.0                                           |
| UNII-2C SISO Ant. 1                                          | G       | AG 1             | 18.8                                                                                           |                                    | 21.9                                    | 21.5                                   | 22.3                  | 17.0                                           |
| UNII-2C SISO Ant. 2                                          | D       | AG 1             | 23.1                                                                                           |                                    | 22.0                                    | 21.7                                   | 24.4                  | 17.0                                           |
| UNII-2C MIMO                                                 | G+D     | AG 1             | 19.8                                                                                           |                                    | 20.5                                    | 21.1                                   | 22.2                  | 17.0                                           |
| UNII-3 SISO Ant. 1                                           | G       | AG 1             | 18.3                                                                                           |                                    | 24.2                                    | 24.2                                   | 20.2                  | 17.0                                           |
| UNII-3 SISO Ant. 2                                           | D       | AG 1             | 21.0                                                                                           |                                    | 23.8                                    | 26.6                                   | 22.2                  | 17.0                                           |
| UNII-3 MIMO                                                  | G+D     | AG 1             | 19.8                                                                                           |                                    | 23.2                                    | 25.6                                   | 20.4                  | 17.0                                           |
| UNI-4 SISO Ant. 1                                            | G       | AG 1             | 22.4                                                                                           |                                    | 24.9                                    | 21.3                                   | 20.7                  | 17.0                                           |
| UNI-4 SISO Ant. 2                                            | D       | AG 1             | 19.5                                                                                           |                                    | 23.4                                    | 22.4                                   | 22.2                  | 17.0                                           |
| UNI-4 MIMO                                                   | G+D     | AG 1             | 19.2                                                                                           |                                    | 22.1                                    | 21.5                                   | 20.3                  | 17.0                                           |
| WiFi 6E SISO Ant. 1                                          | G       | AG 1             | 10.0                                                                                           |                                    | 12.0                                    | 12.0                                   | 12.0                  | 16.0                                           |
| WiFi 6E SISO Ant. 2                                          | D       | AG 1             | 10.0                                                                                           |                                    | 12.0                                    | 12.0                                   | 12.0                  | 16.0                                           |
| WiFi 6E MIMO                                                 | G+D     | AG 1             | 10.0                                                                                           |                                    | 12.0                                    | 12.0                                   | 12.0                  | 16.0                                           |
| Bluetooth Ant. 1                                             | G       | AG 1             | 21.9                                                                                           |                                    | 28.3                                    | 25.7                                   | 28.0                  | 18.5                                           |
| Bluetooth Ant. 2                                             | F       | AG 1             | 20.8                                                                                           |                                    | 22.9                                    | 20.1                                   | 25.3                  | 18.5                                           |
| Bluetooth MIMO                                               | G+F     | AG 1             | 20.2                                                                                           |                                    | 23.7                                    | 20.8                                   | 25.0                  | 14.5                                           |

\* Maximum Tune-up Target Power,  $P_{max}$  is configured in NV settings in DUT to limit maximum average transmitting power. The DUT maximum allowed output power is equal to  $P_{max} + 1.0$  dB device uncertainty.

The technologies/bands selected for testing, listed in Table 5-2 are highlighted in Table 5-1. During Part 2 testing, the *Reserve\_power\_margin* (dB) is set in EFS according to the manufacturer guide.

As Part 1 and Part 2 testing took place in parallel the selected technologies/bands were chosen based upon anticipated values encountered during pretesting before Tx powers were finalized.

The radio configurations used in Part 2 test for selected technologies, bands, DSIs and antennas are listed in Table 5-2. The corresponding worst-case radio configuration 1g SAR or 10g SAR values for selected technology/band/DSI are extracted from Part 1 report and are listed in the last column of Table 5-2.

Based on equations (1a), (2a), (3a) and (4a), it is clear that Part 2 testing outcome is normalized quantity, which implies that it can be applied to any radio configuration within a selected technology/band/DSI. Thus, as long as applying the worst-case SAR obtained from the worst radio configuration in Part 1 testing to calculate time-varying SAR exposure in equations (1a), (2a), (3a) and (4a), the accuracy in compliance demonstrate remains the same.

If DSI's Plimit is higher than Pmax, then Plimit is operate as Pmax power.

Table 5-2 : WWAN/WLAN/BT Radio configurations selected for Part 2 test

| Test Case | Test Scenario                      | Tech  | Band   | Antenna | DSI | Channel | Freq. (MHz) | RB/RB Offset /Bandwidth (MHz) | Mode            | SAR Exposure Scenario | Worst configurations | Part 1 Worst Case Measured SAR at |
|-----------|------------------------------------|-------|--------|---------|-----|---------|-------------|-------------------------------|-----------------|-----------------------|----------------------|-----------------------------------|
| 1         | Test Sequence 1                    | GSM   | 1900   | Ant.B   | 0   | 512     | 1850.2      | -                             | GPRS 2 slots    | Extremity 10-g        | Bottom - 0mm         | 1.670                             |
|           | Test Sequence 2                    |       |        |         |     |         |             |                               |                 |                       |                      |                                   |
| 2         | Test Sequence 1                    | WCDMA | 2      | Ant.B   | 0   | 9262    | 1852.4      | -                             | Rel 99          | Extremity 10-g        | Bottom - 0mm         | 2.830                             |
|           | Test Sequence 2                    |       |        |         |     |         |             |                               |                 |                       |                      |                                   |
| 3         | Test Sequence 1                    | LTE   | B41    | Ant.B   | 0   | 41055   | 2636.5      | 1/0/20 MHz                    | QPSK            | Extremity 10-g        | Bottom - 0mm         | 1.650                             |
|           | Test Sequence 2                    |       |        |         |     |         |             |                               |                 |                       |                      |                                   |
| 4         | Test Sequence 1                    | NR    | Bn30   | Ant.B   | 0   | 462000  | 2310.0      | 1/1/10 MHz                    | DFT-s OFDM QPSK | Extremity 10-g        | Bottom - 0mm         | 2.120                             |
|           | Test Sequence 2                    |       |        |         |     |         |             |                               |                 |                       |                      |                                   |
| 5         | Change in Call                     | LTE   | B41    | Ant.B   | 0   | 41055   | 2636.5      | 1/0/20 MHz                    | QPSK            | Extremity 10-g        | Bottom - 0mm         | 1.650                             |
| 6         | DSI Switch                         | LTE   | B30    | Ant.B   | 0   | 27710   | 2310.0      | 1/25/10 MHz                   | QPSK            | Extremity 10-g        | Bottom - 0mm         | 1.860                             |
|           |                                    |       |        |         | 1   | 27710   | 2310.0      | 1/25/10 MHz                   | QPSK            | Hotspot               | Bottom - 10mm        | 0.960                             |
| 7         | Tech/Antenna Switch                | LTE   | B25    | Ant.B   | 1   | 26140   | 1860.0      | 1/0/20 MHz                    | QPSK            | Hotspot               | Bottom - 10mm        | 0.897                             |
|           |                                    | WCDMA | 5      | Ant.A   | 1   | 4183    | 836.6       | -                             | Rel 99          | Hotspot               | Right - 10mm         | 0.407                             |
| 8         | Time Window Switch                 | LTE   | B41    | Ant.E   | 0   | 40620   | 2593.0      | 1/0/20 MHz                    | QPSK            | Extremity 10-g        | Top - 0mm            | 1.930                             |
|           | Band Switch                        | LTE   | B48    | Ant.E   | 0   | 56640   | 3690.0      | 1/0/20 MHz                    | QPSK            | Extremity 10-g        | Top - 0mm            | 2.510                             |
| 9         | SAR1 vs SAR2 (EN-DC)               | LTE   | B12    | Ant.A   | 1   | 23095   | 707.5       | 1/0/10 MHz                    | QPSK            | Hotspot               | Right - 10mm         | 0.351                             |
|           |                                    | NR    | Bn25   | Ant.B   | 1   | 376500  | 1882.5      | 1/1/40 MHz                    | DFT-s OFDM QPSK | Hotspot               | Bottom - 10mm        | 0.894                             |
| 10        | Time-varying (test sequence 1)     | WLAN  | 2.4GHz | Ant.F   | 3   | 1       | 2412        | 20 MHz                        | 802.11b mode    | Head                  | Right Tilt - 0mm     | 0.571                             |
| 11        | DBS SAR vs SAR                     | WLAN  | 2.4GHz | Ant.G   | 3   | 6       | 2437        | 20 MHz                        | 802.11b mode    | Head                  | Left Touch - 0mm     | 0.199                             |
|           |                                    | WLAN  | 5GHz   | Ant.G   | 3   | 149     | 5745        | 20 MHz                        | 802.11ac mode   | Head                  | Right Touch - 0mm    | 0.442                             |
| 12        | System Level Compliance Continuity | LTE   | B25    | Ant.E   | 0   | 26140   | 1860.0      | 1/0/20 MHz                    | QPSK            | Extremity             | Top - 0mm            | 1.340                             |
|           |                                    | WLAN  | 5GHz   | Ant.G   | 0   | 149     | 5745        | 20 MHz                        | 802.11ac mode   | Extremity             | Front - 0mm          | 1.180                             |
|           |                                    | BT    | BT     | Ant.G   | 0   | 0       | 2402        | -                             | EDR             | Extremity             | Front - 0mm          | 0.925                             |
| 13        | Exposure Category Switch           | LTE   | B25    | Ant.E   | 1   | 26140   | 1860.0      | 1/0/20 MHz                    | QPSK            | Hotspot               | Top - 10mm           | 0.474                             |
|           |                                    |       |        |         | 3   | 26140   | 1860.0      | 1/0/20 MHz                    | QPSK            | Head                  | Left Tilt - 0mm      | 0.616                             |

Reported SAR values in Part 1 SAR report are tested at  $P_{limit} + \text{tolerance}$ . Therefore, 100s(or 60s) average SAR is shown to be  $\pm 1.0$  dB from SAR design target of WWAN bands.

Based on the selection criteria described in Section 3.2, the radio configurations for the Tx varying transmission test cases listed in Section 2 are:

1. Technologies and bands for time-varying Tx power transmission: The test case 1 ~ 4 listed in Table 5-2 are selected to test with the test sequences defined in Section 3.1 in both time-varying conducted power measurement and time-varying SAR measurement.
2. Technology and band for change in call test: Based on selection criteria in Section 3.2.2, **LTE Band 41** having the lowest  $P_{limit}$  among all technologies and bands (test case 5 in Table 5-2) is selected for performing the call drop test in conducted power setup.
3. Technologies and bands for change in DSI test: Based on selection criteria in Section 3.2.5, for a given technology and band, test case 6 in Table 5-2 is selected for DSI switch test by establishing a call in **LTE Band 30 in DSI = 1**, and then handing over to **DSI = 0** exposure scenario in conducted power setup.
4. Technologies and bands for change in technology/antenna test: Following the guidelines in Section 3.2.3 and Section 3.2.4, test case 7 in Table 5-2 is selected for handover test from a technology/antenna in within one technology group (**LTE Band 25, DSI=1, Ant.B**), to a technology/antenna in the same DSI within another technology group (**WCDMA Band 5, DSI=1, Ant.A**) in conducted power setup.
5. Technologies and bands for change in time-window/band: Based on selection criteria in Section 3.2.3 and Section 3.2.6 for a given in **DSI =0**, test case 8 in Table 5-2 is selected for time window switch between 100s window(**LTE Band41, DSI=0**) and 60s window (**LTE Band 48, DSI=0**) in conducted power setup.
6. Technologies and bands for switch in SAR exposure (EN-DC): Based on selection criteria in Section 3.2.7 Scenario 1, test case 9 in Table 5-2 is selected for SAR exposure switching test in one of the supported simultaneous WWAN transmission scenario, i.e., **LTE + Sub6 NR** active in the same 100s time window, in conducted power setup.
7. Technologies and bands for change in Exposure category: Based on selection criteria in Section 3.2.9, for a given technology and band, test case 13 in Table 5-2 is selected for exposure category switch from Head DSI(**LTE Band 25, DSI=3, Ant.E**) to non-Head DSI(**LTE Band 25, DSI=1, Ant.E**) and vice versa, in conducted power setup.

Reported SAR values in Part 1 SAR report are tested at  $P_{limit} + \text{tolerance}$ . Therefore, 30s average SAR is shown to be  $\pm 1.0$  dB from SAR design target of WLAN bands.

Based on the selection criteria described in Section 3.2, the radio configurations for the Tx varying transmission test cases listed in Section 2 are:

8. Technologies and bands for time-varying Tx power transmission: The test case 10 listed in Table 5-2 are selected to test with the test sequences defined in Section 3.1 in both time-varying conducted power measurement and time-varying SAR measurement.
9. Technologies and bands for switch in SAR exposure (DBS): Based on selection criteria in Section 3.2.7 Scenario 1, test case 11 in Table 5-2 is selected for SAR exposure switching test in one of the supported simultaneous WLAN transmission scenario, i.e., **DBS(2.4GHz Radio+5GHz Radio)** active in the same 30s time window, in conducted power setup.
10. System Level Compliance Continuity test (WWAN+WLAN+BT): Based on selection criteria in Section 3.2.8, test case 12 in Table 5-2 is selected for System Level Compliance Continuity test in one of the supported simultaneous WLAN+WLAN+BT transmission scenario.

## 5.2. LTE+mmW NR transmission

Based on the selection criteria described in Section 4.2, the selections for LTE and mmW NR validation test are listed in Table 5-3. The radio configurations used in this test are listed in Table 5-4.

**Table 5-3 : Selections for LTE + mmW NR validation measurements**

| Transmission Scenario      | Test                                       | Technology and Band  | mmW Beam                |
|----------------------------|--------------------------------------------|----------------------|-------------------------|
| Time-varying Tx power test | 1. cond. & Rad. Power meas.                | LTE Band 66 and n261 | Beam ID 14/270          |
| Switch in SAR vs. PD       | 1. cond. & Rad. Power meas.                | LTE Band 66 and n261 | Beam ID 14/270          |
| Beam switch test           | 1. cond. & Rad. Power meas.<br>2. PD meas. | LTE Band 66 and n261 | Beam ID 12 to Beam ID 4 |

**Table 5-4 : Test configuration for LTE + mmW NR validation**

| Tech   | Band | Antenna | DSI | Channel | Bandwidth (MHz) | RB Size | RB Offset | Freq (MHz) | Mode          | UL Duty Cycle |
|--------|------|---------|-----|---------|-----------------|---------|-----------|------------|---------------|---------------|
| LTE    | 66   | Ant.E   | 1   | 132572  | 20              | 1       | 99        | 1770.0     | QPSK          | 100.0%        |
| mmW NR | n261 | J-patch | -   | 2084165 | 100             | 66      | 0         | 28299.96   | CP-OFDM, QPSK | 84.3%         |

Note 1, mmW NR was tested using 84.3% UL duty cycle setting test script provided by Qualcomm.

## 6. Conducted Power Test Results for Sub-6 Smart Transmit Feature Validation

### 6.1. Measurement setup

#### WWAN Bands Measurement setup

##### GSM / WCDMA / LTE test setup using The Rohde & Schwarz CMW500 callbox

The Rohde & Schwarz CMW500 callbox is used in this test.

| Test setup Schematic | Test item(s)                                            | Description(s)                                           | Test setup photo |
|----------------------|---------------------------------------------------------|----------------------------------------------------------|------------------|
| Figure B-1(a)        | Time-varying Tx power transmission test (Section 3.3.1) | Single antenna measurement, one port(RF1 COM) of callbox | A.1              |
|                      | Change in call scenario test (Section 3.3.2)            |                                                          |                  |
|                      | Change in band test (Section 3.3.3)                     |                                                          |                  |
|                      | Change in DSI test (Section 3.3.5)                      |                                                          |                  |
|                      | Change in time window test (Section 3.3.6)              |                                                          |                  |
|                      | Exposure category switch test (Section 3.3.9)           |                                                          |                  |
| Figure B-1(b)        | Change in tech test (Section 3.3.3)                     | Two antenna measurement, one port(RF1 COM) of callbox    | A.2              |
|                      | Change in antenna test (Section 3.3.4)                  |                                                          |                  |

##### Sub6 NR(SA mode) / LTE + Sub6 NR(NSA mode) test setup using The UXM callbox

The UXM callbox is used in this test.

| Test setup Schematic | Test item(s)                                            | Description(s)                                               | Test setup photo |
|----------------------|---------------------------------------------------------|--------------------------------------------------------------|------------------|
| Figure B-1(a)        | Time-varying Tx power transmission test (Section 3.3.1) | Single antenna measurement, One port(RF1 COM) of callbox     | A.3              |
| Figure B-1(c)        | SAR exposure switch test (EN-DC) (Section 3.3.7)        | Two antenna measurement, two ports(RF1 & RF8 COM) of callbox | A.4              |

All the path losses from RF port of DUT to the callbox RF COM port and to the power meter are calibrated and automatically entered as offsets in the callbox and the power meter via test scripts on the PC used to control callbox and power meter.

Setup photos of Test setup Schematic are list in Appendix A.

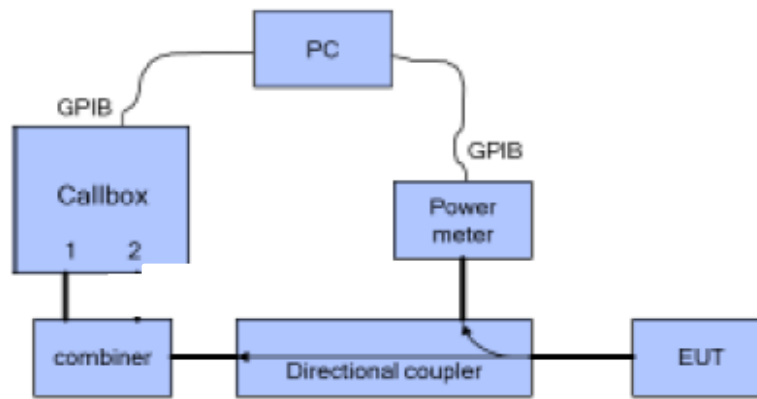


Figure B-1 (a)

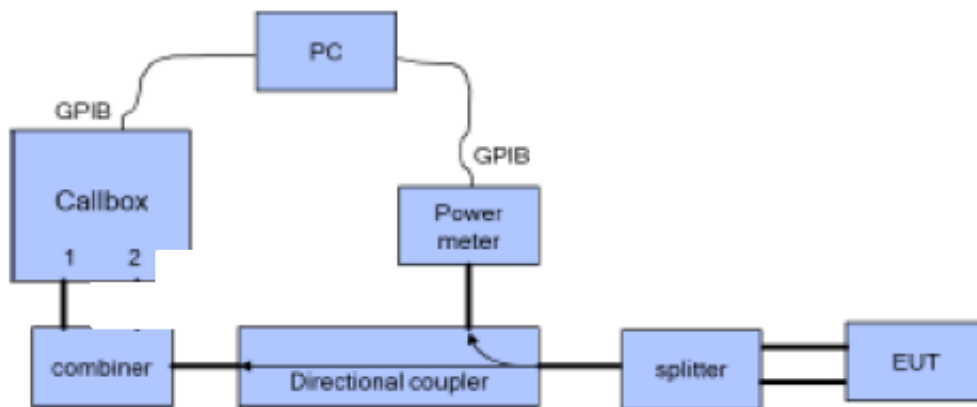


Figure B-1 (b)

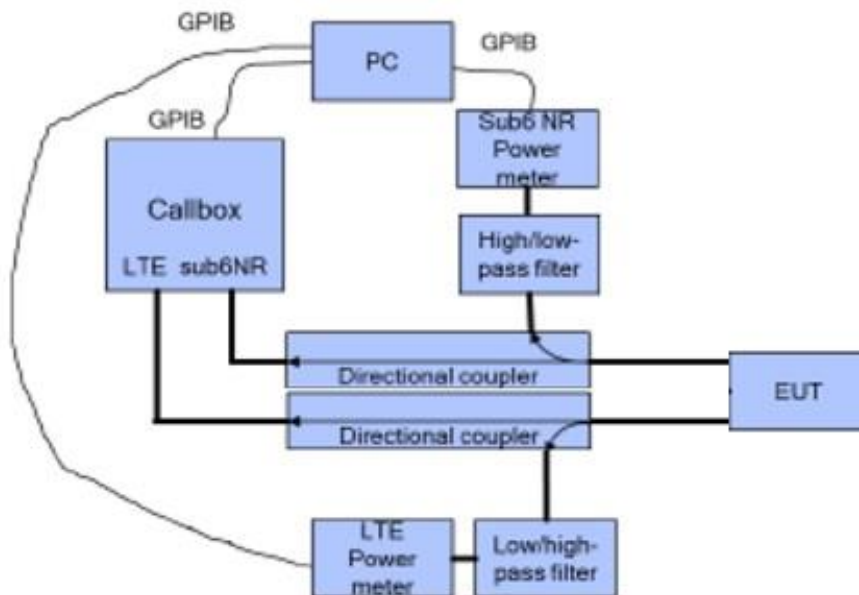


Figure B-1 (c)

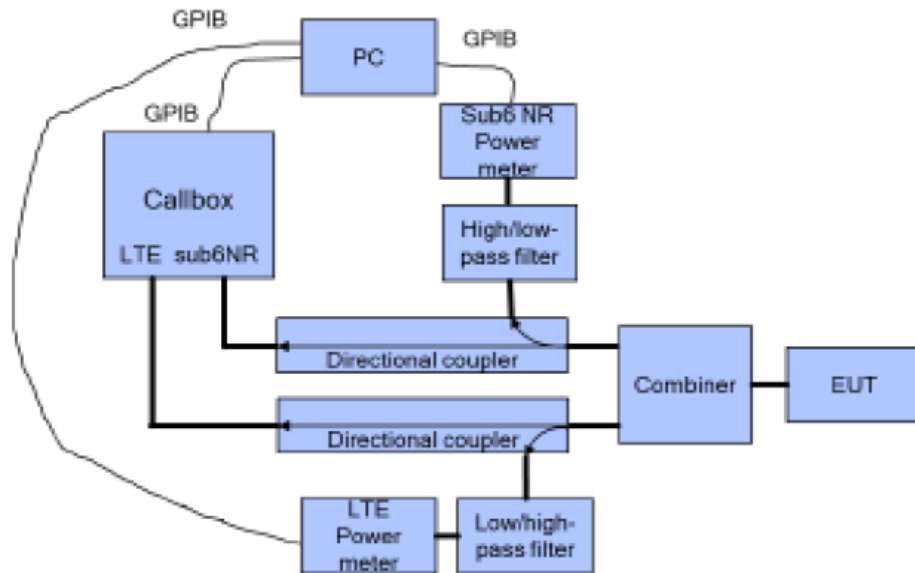


Figure B-1 (d)

Both the callbox and power meter are connected to the PC using GPIB cables. Two test scripts are custom made for automation, and the test duration set in the test scripts is 500 seconds. For time-varying Tx power measurement, the PC runs the 1<sup>st</sup> test script to send GPIB commands to control the callbox's requested power versus time, while at the same time to record the conducted power measured at EUT RF port using the power meter. The commands sent to the callbox to request power are:

- 0 dBm for 100 seconds
- Test sequence 1 or test sequence 2 (defined in Section 3.1 and generated in Section 3.2.1), For 360 seconds
- Stay at the last power level of test sequence 1 or sequence 2 for the remaining time.

Power meter readings are periodically recorded every 100ms. A running average of this measured Tx power over 100 seconds is performed in the post-data processing to determine the 100s-time averaged power.

For call drop, technology/band/antenna switch, and DSI switch tests, after the call is established, the callbox is set to request the EUT's Tx power at 0dBm for 100 seconds while simultaneously starting the 2<sup>nd</sup> test script runs at the same time to start recording the Tx power measured at EUT RF port using the power meter. After the initial 100 seconds since starting the Tx power recording, the callbox is set to request maximum power from the EUT for the rest of the test. Note that the call drop/re-establish, or technology/band/antenna switch or DSI switch is manually performed when the Tx power of EUT is at  $P_{reserve}$  level. See Section 3.3 for detailed test procedure of call drop test, technology/band/antenna switch test and DSI switch test.

**WLAN Bands Measurement setup****WLAN test setup using The Rohde & Schwarz CMW500 callbox**

The Rohde & Schwarz CMW500 callbox is used in this test.

| Test setup Schematic | Test item(s)                                            | Description(s)                                                          | Test setup photo |
|----------------------|---------------------------------------------------------|-------------------------------------------------------------------------|------------------|
| Figure C-1(a)        | Time-varying Tx power transmission test (Section 3.3.1) | Two antenna measurement, two port (RF1 & RF3 COM) of callbox            | A.5              |
| Figure C-1(b)        | SAR exposure switch test (DBS) (Section 3.3.7)          | Three antenna measurement, three port (RF1 & RF 3 & RF4 COM) of callbox | A.6              |
| Figure C-1(c)        | System level compliance continuity (Section 3.3.8)      | Two antenna measurement, two port (RF1 & RF3 COM) of callbox            | A.7              |

All the path losses from RF port of DUT to the callbox RF COM port and to the power meter are calibrated and automatically entered as offsets in the callbox and the power meter via test scripts on the PC used to control callbox and power meter.

Setup photos of Test setup Schematic are list in Appendix A.

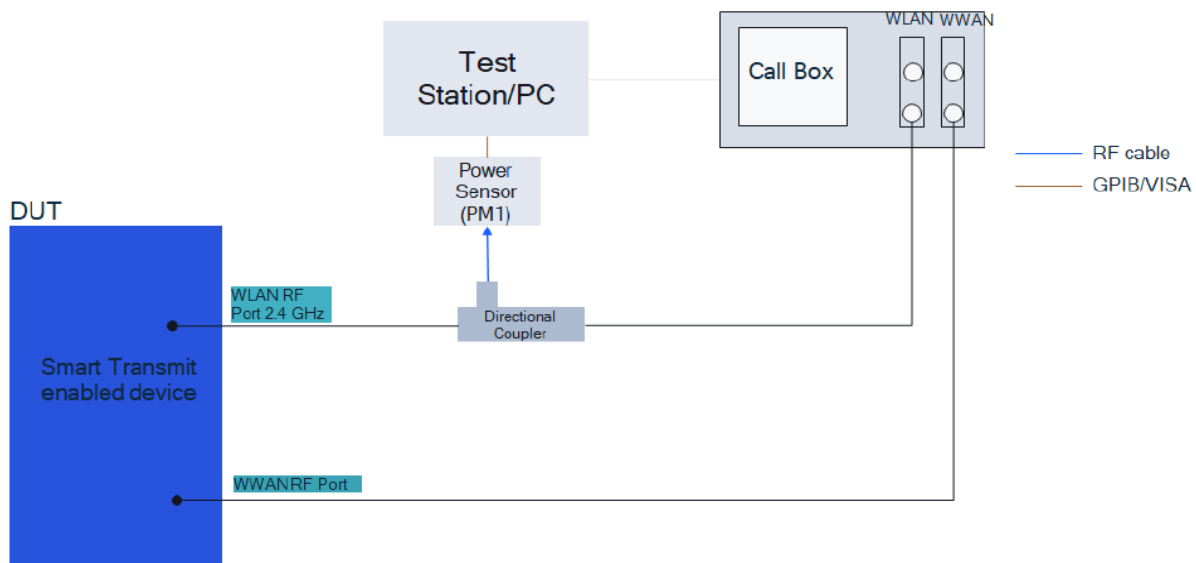


Figure C-1 (a)

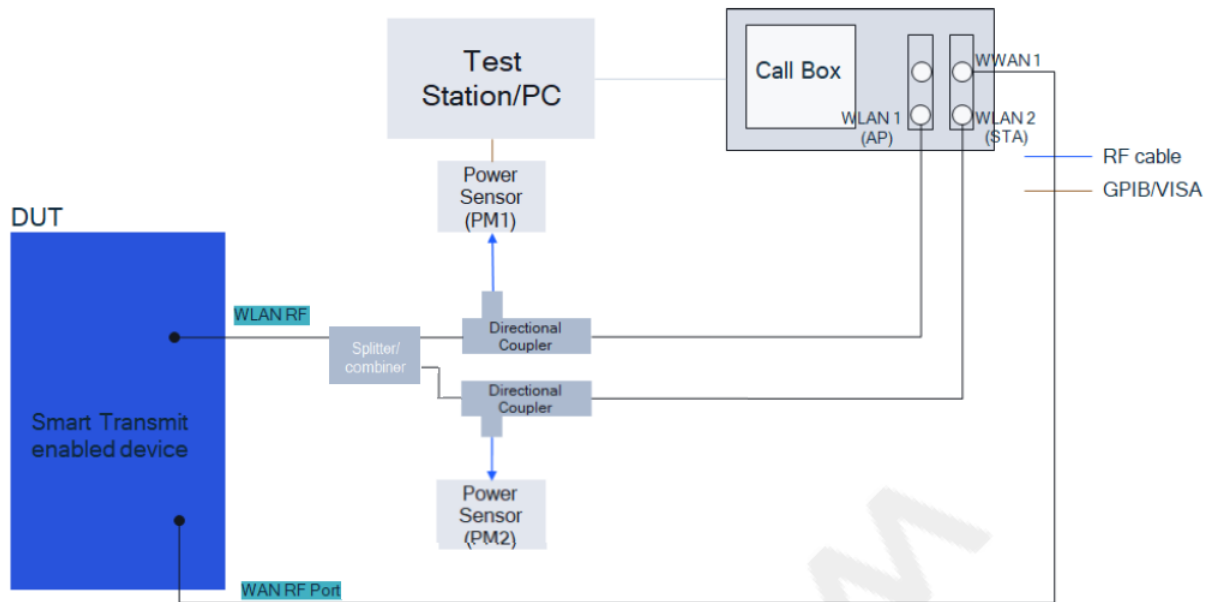


Figure C-1 (b)

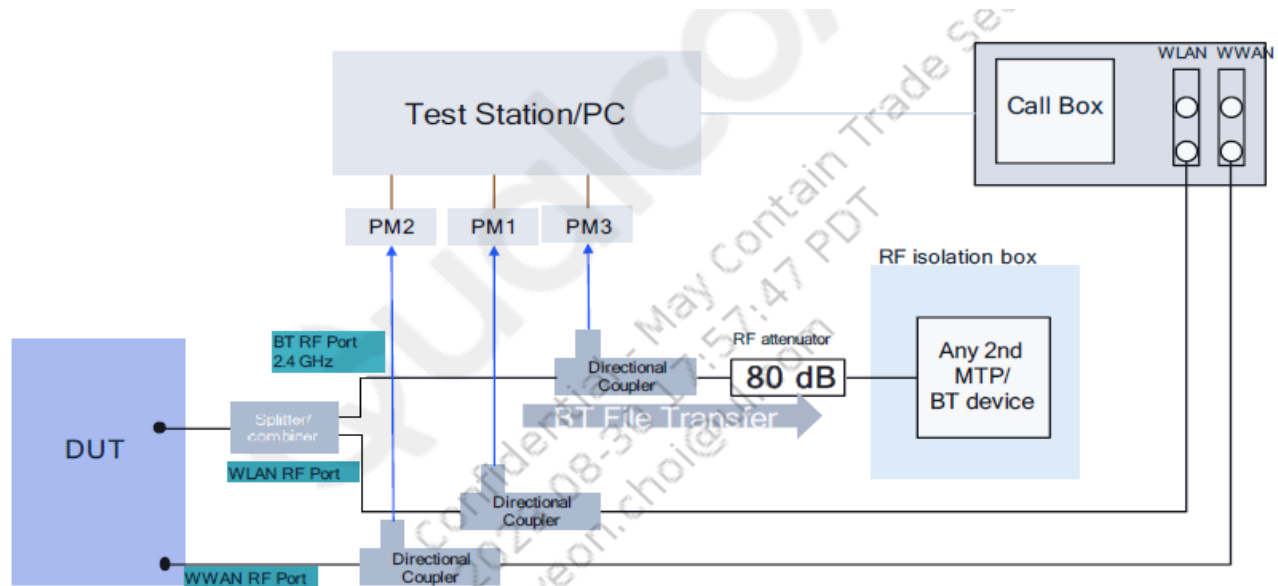


Figure C-1 (c)

Both the callbox and power meter are connected to the PC using LAN port. Two test scripts are custom made for automation, and the test duration set in the test scripts is about 500 seconds. For time-varying Tx power measurement, the PC runs the 1<sup>st</sup> test script to send LAN commands to control the callbox's requested power versus time, while at the same time to record the conducted power measured at EUT RF port using the power meter. The commands sent to the callbox to request power are:

### **WWAN Band measurement**

- 0 dBm for 100 seconds
- Test sequence 1 or test sequence 2 (defined in Section 3.1 and generated in Section 3.2.1), For 360 seconds
- Stay at the last power level of test sequence 1 or sequence 2 for the remaining time.

### **WLAN Band measurement**

- 0 dBm for 100 seconds
- Test sequence #1 (defined in Section 3.1 and generated in Section 3.2.1), For 200 seconds
- Stay at the last power level of test sequence #1 for the remaining time.

Power meter readings are periodically recorded every 100ms. A running average of this measured Tx power over 100 seconds is performed in the post-data processing to determine the 100s-time averaged power of WWAN Bands (the 30s-time averaged power of WLAN Bands).

For call drop, technology/band/antenna switch, and DSI switch tests, after the call is established, the callbox is set to request the EUT's Tx power at 0dBm for 100 seconds while simultaneously starting the 2<sup>nd</sup> test script runs at the same time to start recording the Tx power measured at EUT RF port using the power meter. After the initial 100 seconds since starting the Tx power recording, the callbox is set to request maximum power from the EUT for the rest of the test. Note that the call drop/re-establish, or technology/band/antenna switch or DSI switch is manually performed when the Tx power of EUT is at  $P_{reserve}$  level. See Section 3.3 for detailed test procedure of call drop test, technology/band/antenna switch test and DSI switch test.

## 6.2. $P_{limit}$ and $P_{max}$ measurement results

This measured  $P_{limit}$  for all the selected radio configurations given in Table 5-2 are listed in below Table 6-1.  $P_{max}$  was also measured for radio configurations selected for testing time-varying Tx power transmission scenarios in order to generate test sequences following the test procedures in Section 3.1.

**Table 6-1 : Measured  $P_{limit}$  and  $P_{max}$  of selected Radio configurations**  
Note: the device uncertainty of  $P_{max}$  is +1.0dB/-1.5dB as provided by manufacturer.

| Test Case | Test Scenario                      | Tech  | Band   | Antenna | DSI | Channel | Freq. (MHz) | RB/RB Offset /Bandwidth (MHz) | Mode            | SAR Exposure Scenario | Worst configurations | Part 1 Worst Case Measured SAR at | Plimit (dBm) | measured Plimit (dBm) | Tune-up Pmax (dBm) | Measured Pmax (dBm) |
|-----------|------------------------------------|-------|--------|---------|-----|---------|-------------|-------------------------------|-----------------|-----------------------|----------------------|-----------------------------------|--------------|-----------------------|--------------------|---------------------|
| 1         | Test Sequence 1                    | GSM   | 1900   | Ant.B   | 0   | 512     | 1850.2      | -                             | GPRS 2 slots    | Extremity 10-g        | Bottom - 0mm         | 1.670                             | 18.3         | 17.74                 | 21.8               | 21.23               |
|           | Test Sequence 2                    |       |        |         |     |         |             |                               |                 |                       |                      |                                   |              |                       |                    |                     |
| 2         | Test Sequence 1                    | WCDMA | 2      | Ant.B   | 0   | 9262    | 1852.4      | -                             | Rel 99          | Extremity 10-g        | Bottom - 0mm         | 2.830                             | 19.0         | 18.99                 | 23.8               | 23.81               |
|           | Test Sequence 2                    |       |        |         |     |         |             |                               |                 |                       |                      |                                   |              |                       |                    |                     |
| 3         | Test Sequence 1                    | LTE   | B41    | Ant.B   | 0   | 41055   | 2636.5      | 1/0/20 MHz                    | QPSK            | Extremity 10-g        | Bottom - 0mm         | 1.650                             | 15.0         | 15.62                 | 22.0               | 21.91               |
|           | Test Sequence 2                    |       |        |         |     |         |             |                               |                 |                       |                      |                                   |              |                       |                    |                     |
| 4         | Test Sequence 1                    | NR    | Bn30   | Ant.B   | 0   | 462000  | 2310.0      | 1/1/10 MHz                    | DFT-s OFDM QPSK | Extremity 10-g        | Bottom - 0mm         | 2.120                             | 16.0         | 16.53                 | 22.5               | 22.23               |
|           | Test Sequence 2                    |       |        |         |     |         |             |                               |                 |                       |                      |                                   |              |                       |                    |                     |
| 5         | Change in Call                     | LTE   | B41    | Ant.B   | 0   | 41055   | 2636.5      | 1/0/20 MHz                    | QPSK            | Extremity 10-g        | Bottom - 0mm         | 1.650                             | 15.0         | 15.62                 | 22.0               | 21.91               |
| 6         | DSI Switch                         | LTE   | B30    | Ant.B   | 0   | 27710   | 2310.0      | 1/25/10 MHz                   | QPSK            | Extremity 10-g        | Bottom - 0mm         | 1.860                             | 16.0         | 16.63                 | 23.0               | 23.15               |
|           |                                    |       |        |         | 1   | 27710   | 2310.0      | 1/25/10 MHz                   | QPSK            | Hotspot               | Bottom - 10mm        | 0.960                             | 18.0         | 18.14                 | 23.0               | 23.15               |
| 7         | Tech/Antenna Switch                | LTE   | B25    | Ant.B   | 1   | 26140   | 1860.0      | 1/0/20 MHz                    | QPSK            | Hotspot               | Bottom - 10mm        | 0.897                             | 19.0         | 18.97                 | 24.0               | 24.04               |
|           |                                    | WCDMA | 5      | Ant.A   | 1   | 4183    | 836.6       | -                             | Rel 99          | Hotspot               | Right - 10mm         | 0.407                             | 24.3         | 24.22                 | 24.3               | 24.22               |
| 8         | Time Window Switch Band Switch     | LTE   | B41    | Ant.E   | 0   | 40620   | 2593.0      | 1/0/20 MHz                    | QPSK            | Extremity 10-g        | Top - 0mm            | 1.930                             | 18.5         | 18.82                 | 22.0               | 22.31               |
|           |                                    | LTE   | B48    | Ant.E   | 0   | 56640   | 3690.0      | 1/0/20 MHz                    | QPSK            | Extremity 10-g        | Top - 0mm            | 2.510                             | 17.5         | 17.98                 | 20.3               | 20.95               |
| 9         | SAR1 vs SAR2 (EN-DC)               | LTE   | B12    | Ant.A   | 1   | 23095   | 707.5       | 1/0/10 MHz                    | QPSK            | Hotspot               | Right - 10mm         | 0.351                             | 24.2         | 24.26                 | 24.2               | 24.26               |
|           |                                    | NR    | Bn25   | Ant.B   | 1   | 376500  | 1882.5      | 1/1/40 MHz                    | DFT-s OFDM QPSK | Hotspot               | Bottom - 10mm        | 0.894                             | 19.0         | 18.68                 | 23.5               | 23.53               |
| 10        | Time-varying (test sequence 1)     | WLAN  | 2.4GHz | Ant.F   | 3   | 1       | 2412        | 20 MHz                        | 802.11b mode    | Head                  | Right Tilt - 0mm     | 0.571                             | 17.0         | 16.88                 | 19.0               | 18.10               |
| 11        | DBS SAR vs SAR                     | WLAN  | 2.4GHz | Ant.G   | 3   | 6       | 2437        | 20 MHz                        | 802.11b mode    | Head                  | Left Touch - 0mm     | 0.199                             | 17.0         | 16.79                 | 19.0               | 18.21               |
|           |                                    | WLAN  | 5GHz   | Ant.G   | 3   | 149     | 5745        | 20 MHz                        | 802.11ac mode   | Head                  | Right Touch - 0mm    | 0.442                             | 17.0         | 16.51                 | 17.0               | 16.51               |
| 12        | System Level Compliance Continuity | LTE   | B25    | Ant.E   | 0   | 26140   | 1860.0      | 1/0/20 MHz                    | QPSK            | Extremity             | Top - 0mm            | 1.340                             | 20.0         | 19.70                 | 24.0               | 23.95               |
|           |                                    | WLAN  | 5GHz   | Ant.G   | 0   | 149     | 5745        | 20 MHz                        | 802.11ac mode   | Extremity             | Front - 0mm          | 1.180                             | 17.0         | 16.51                 | 17.0               | 16.51               |
|           |                                    | BT    | BT     | Ant.G   | 0   | 0       | 2402        | -                             | EDR             | Extremity             | Front - 0mm          | 0.925                             | 15.5         | 14.87                 |                    |                     |
| 13        | Exposure Category Switch           | LTE   | B25    | Ant.E   | 1   | 26140   | 1860.0      | 1/0/20 MHz                    | QPSK            | Hotspot               | Top - 10mm           | 0.474                             | 20.0         | 19.94                 | 24.0               | 24.18               |
|           |                                    |       |        |         | 3   | 26140   | 1860.0      | 1/0/20 MHz                    | QPSK            | Head                  | Left Tilt - 0mm      | 0.616                             | 22.5         | 22.46                 | 24.0               | 24.18               |

### BT Plimit calculation Results

| Bluetooth                                     |       |
|-----------------------------------------------|-------|
| Measured_BT_power-as measured test tree (dBm) | 14.65 |
| BT_STANDALONE                                 | 0.95  |
| Measured BT Plimit (dBm)                      | 14.87 |

### Notes:

- For GSM, LTE TDD Bands, Tests including duty-cycle transmit are normalized to frame average.
- For GSM, it was tested in 2 slots due to QSPR(test program) limitations.
- BT Plimit calculation follow Sec.9.9.5 in Qualcomm document (80-W5690-1).
- For WLAN band, TAS validation tool only support 20MHz BW configuration. So WLAN band's TAS validation configuration does not match to SAR test configuration. Tested under conditions as similar as possible.
- For Test Scenario.12 (System Level Compliance Continuity), BT mode was connected EDR mode during test. Therefore, Plimit was measured at EDR mode.
- For Test Scenario 11 and 12, 5GHz SAR used to BW 80MHz SAR result.

### 6.3. Time-varying Tx power measurement results (test case 1–4 & 10 in Table 5-2)

The measured  $P_{max}$  and measured  $P_{limit}$  of each selected radio configuration are used for generation of test sequences following the test plan in Section 3.3.1. The purpose of the time varying transmit power measurement is to demonstrate the effectiveness of power limiting enforcement and that the time averaged transmit power when converted into 1gSAR values does not exceed the regulatory limit.

The conducted Tx power measurement results after following the test procedure in Section 3.3.1 for all technologies and bands listed in Table 5-2 are reported in this section. In all the 1gSAR Plots, the green curve represents the 100s/60s-time averaged 1g/10g SAR value calculated based on conducted Tx power measurement; and the red line limit represents the regulatory limit of 1.6W/kg/4.0W/kg.

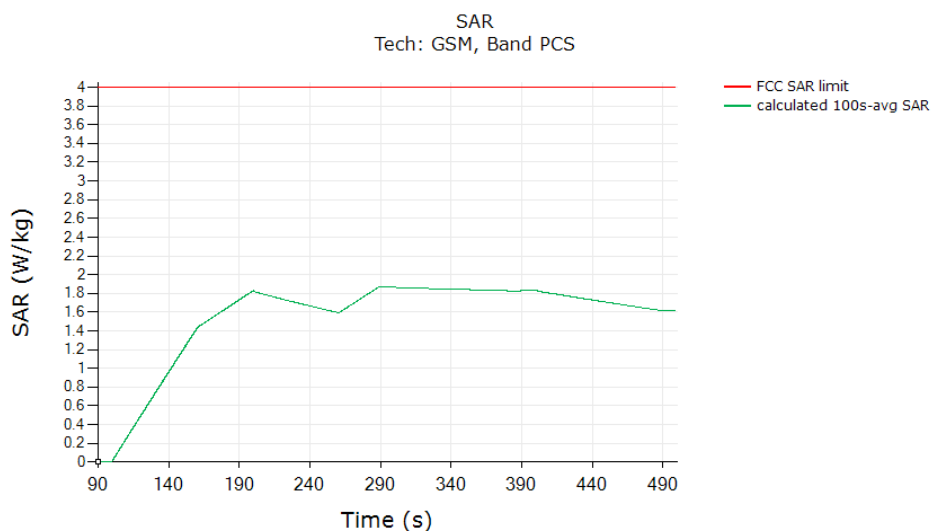
As can be seen, the power limiting enforcement is effective in all the tests, and the time-averaged 1g/10g SAR does not exceed the regulatory limit of 1.6W/kg/4.0W/kg for all the tested technologies/bands. Therefore, Smart Transmit time averaging feature is validated.

### 6.3.1. GSM Band 1900

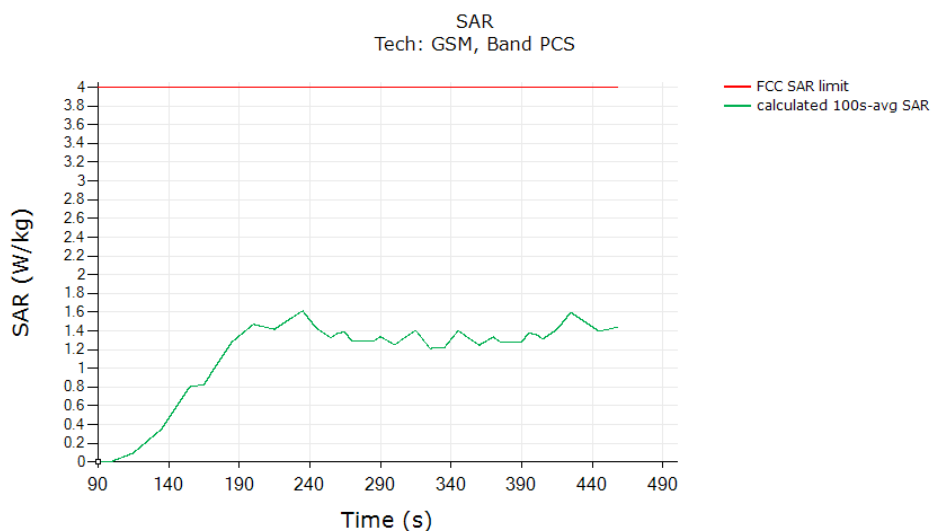
#### Test parameters

| Parameters              | Values               |
|-------------------------|----------------------|
| Tech/band/antenna/DSI   | GSM 1900/Ant.B/DSI 0 |
| meas. Pmax              | 21.23 dBm            |
| meas. Plimit            | 17.74 dBm            |
| meas. 10g SAR at Plimit | 1.670 W/kg           |
| Time window             | 100 s                |
| Test setup schematic    | Figure B-1(a)        |

#### Test result for test sequence 1:



#### Test result for test sequence 2:



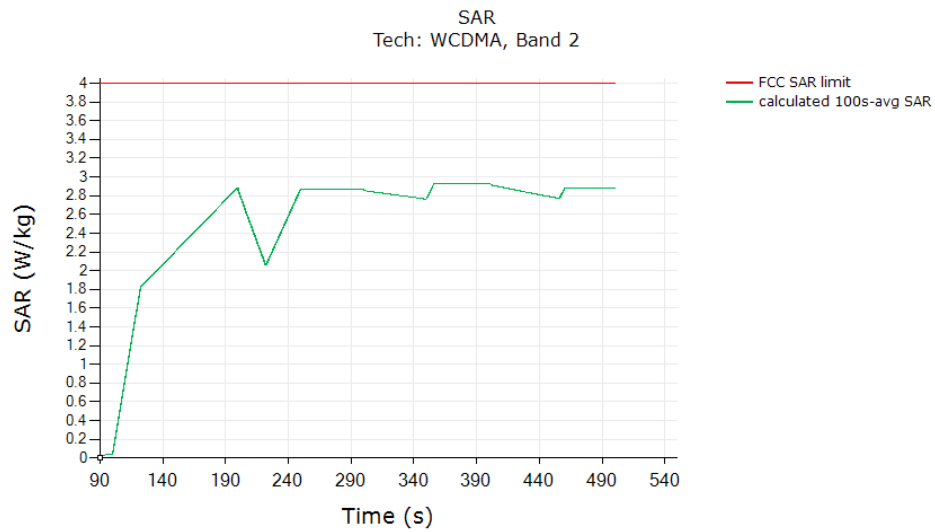
|                                             | Test Seq 1 | Test Seq 2 | (W/kg) |
|---------------------------------------------|------------|------------|--------|
| Max 100s-time averaged 10gSAR (green curve) | 1.868      | 1.614      |        |
| Validated.                                  |            |            |        |

### 6.3.2. WCDMA Band II

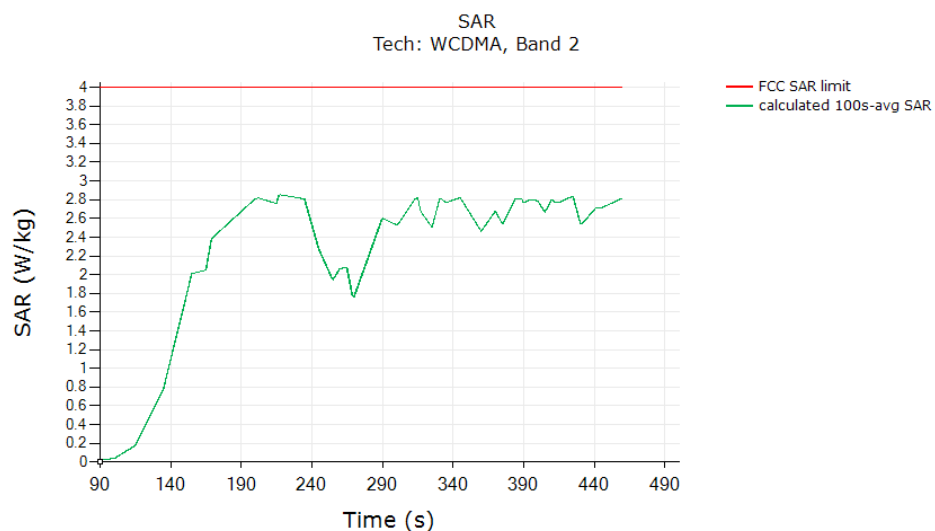
#### Test parameters

| Parameters              | Values              |
|-------------------------|---------------------|
| Tech/band/antenna/DSI   | WCDMA 2/Ant.B/DSI 0 |
| meas. Pmax              | 23.81 dBm           |
| meas. Plimit            | 18.99 dBm           |
| meas. 10g SAR at Plimit | 2.830 W/kg          |
| Time window             | 100 s               |
| Test setup schematic    | Figure B-1(a)       |

#### Test result for test sequence 1:



#### Test result for test sequence 2:



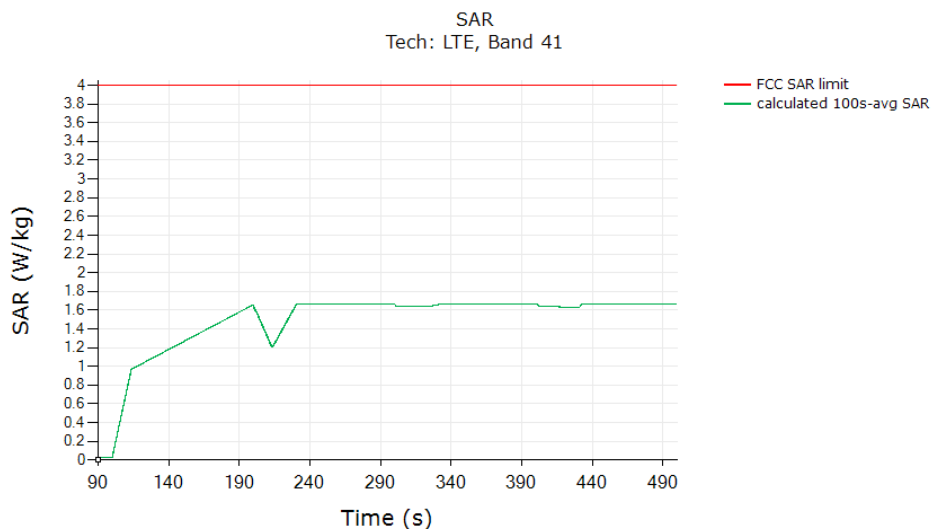
|                                             | Test Seq 1 | Test Seq 2 | (W/kg) |
|---------------------------------------------|------------|------------|--------|
| Max 100s-time averaged 10gSAR (green curve) | 2.930      | 2.852      |        |
| Validated.                                  |            |            |        |

### 6.3.3. LTE Band 41

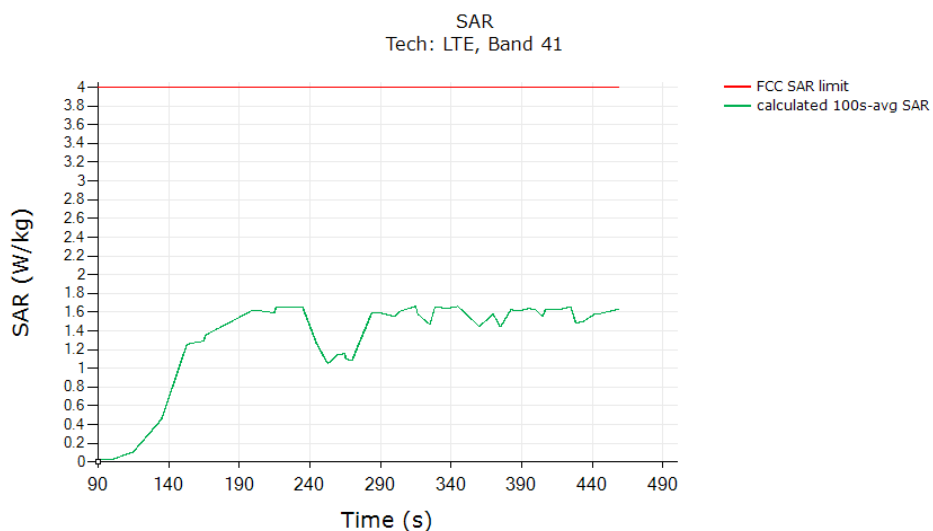
#### Test parameters

| Parameters              | Values              |
|-------------------------|---------------------|
| Tech/band/antenna/DSI   | LTE B41/Ant.B/DSI 0 |
| meas. Pmax              | 21.91 dBm           |
| meas. Plimit            | 15.62 dBm           |
| meas. 10g SAR at Plimit | 1.650 W/kg          |
| Time window             | 100 s               |
| Test setup schematic    | Figure B-1(a)       |

#### Test result for test sequence 1:



#### Test result for test sequence 2:



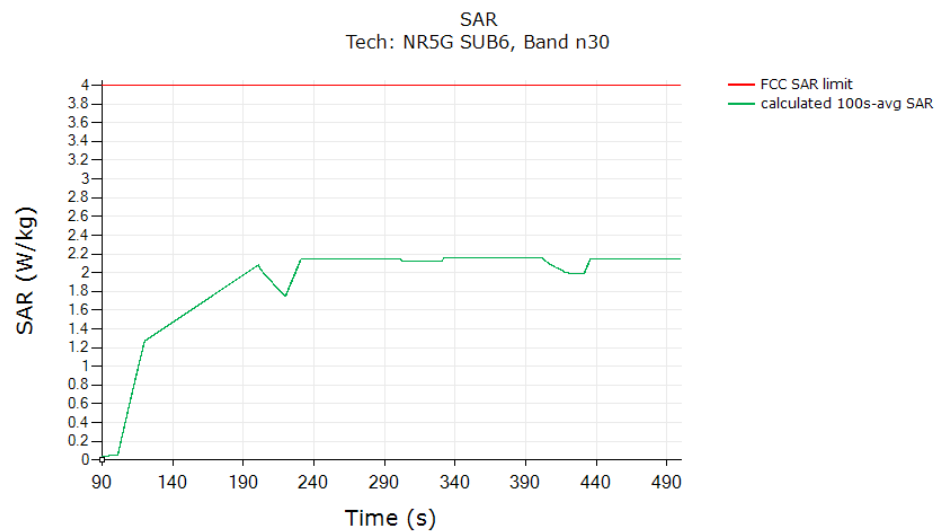
|                                             | Test Seq 1 | Test Seq 2 | (W/kg) |
|---------------------------------------------|------------|------------|--------|
| Max 100s-time averaged 10gSAR (green curve) | 1.668      | 1.661      |        |
| Validated.                                  |            |            |        |

### 6.3.4. NR Band n30

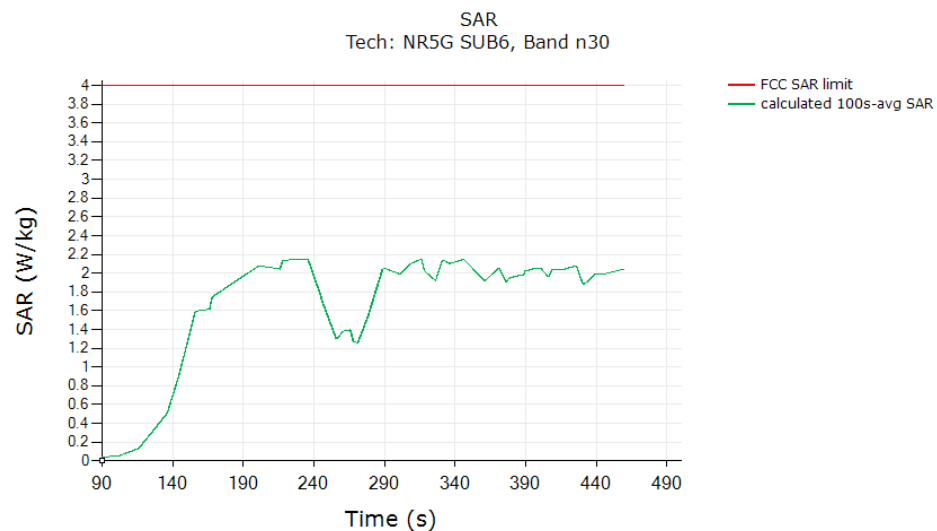
#### Test parameters

| Parameters              | Values              |
|-------------------------|---------------------|
| Tech/band/antenna/DSI   | NR Bn30/Ant.B/DSI 0 |
| meas. Pmax              | 22.23 dBm           |
| meas. Plimit            | 16.53 dBm           |
| meas. 10g SAR at Plimit | 2.120 W/kg          |
| Time window             | 100 s               |
| Test setup schematic    | Figure B-1(a)       |

#### Test result for test sequence 1:



#### Test result for test sequence 2:



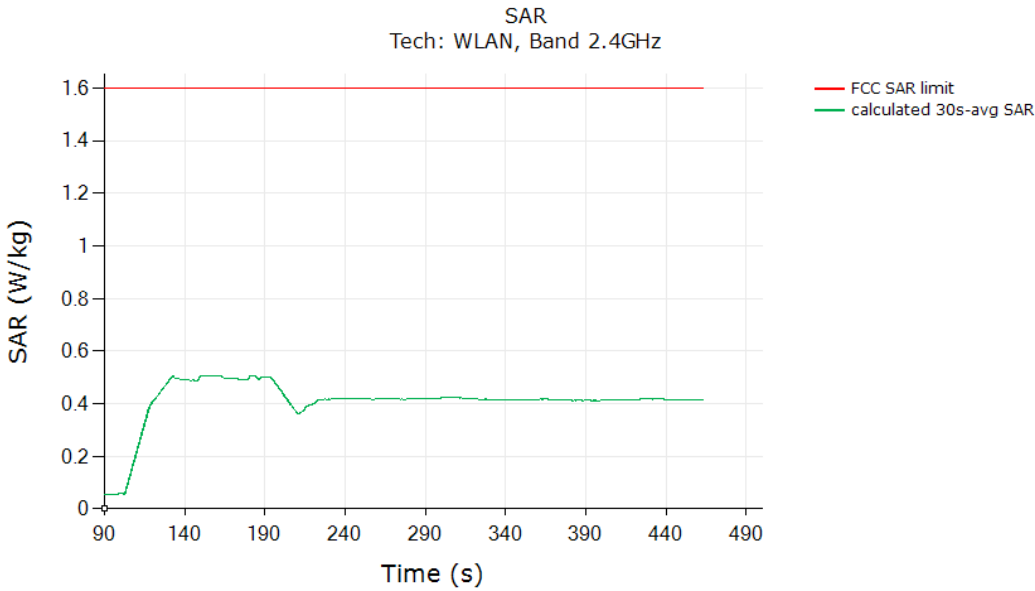
|                                             | Test Seq 1 | Test Seq 2 | (W/kg) |
|---------------------------------------------|------------|------------|--------|
| Max 100s-time averaged 10gSAR (green curve) | 2.160      | 2.149      |        |
| Validated.                                  |            |            |        |

6.3.5. 2.4GHz SISO (802.11b)

Test parameters

| Parameters             | Values             |
|------------------------|--------------------|
| Tech/band/antenna/DSI  | 2.4GHz/Ant.F/DSI 3 |
| meas. Pmax             | 18.102 dBm         |
| meas. Plimit           | 16.879 dBm         |
| meas. 1g SAR at Plimit | 0.571 W/kg         |
| Time window            | 30 s               |
| Test setup schematic   | Figure C-1(a)      |

Test result for test sequence #1:



|                                           |             |        |
|-------------------------------------------|-------------|--------|
|                                           | Test Seq #1 | (W/kg) |
| Max 30s-time averaged 1gSAR (green curve) | 0.508       |        |
| Validated.                                |             |        |

## 6.4. Change in Call Test Results (test case 5 in Table 5-2)

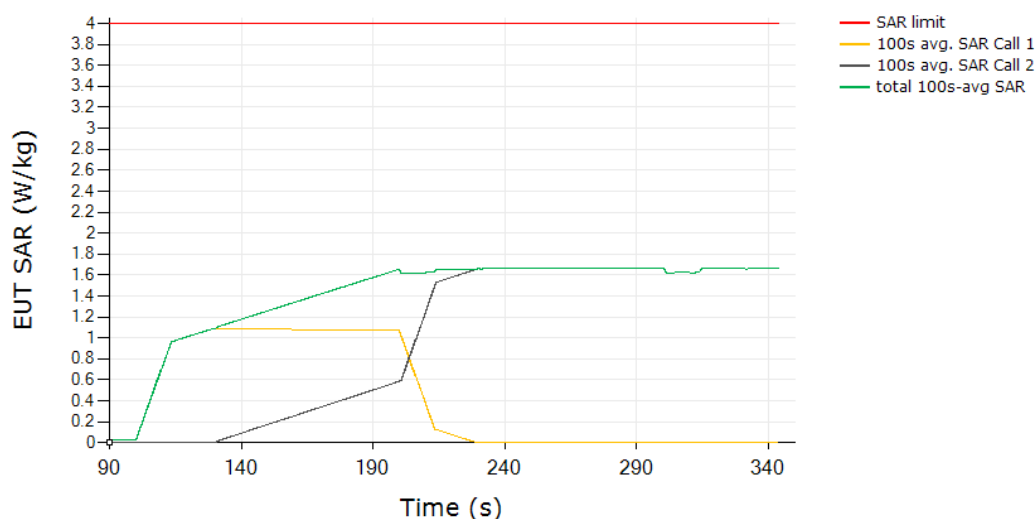
The detailed test procedures is described in Section 3.3.2.

### Test parameters

| Parameters                          | Values             |
|-------------------------------------|--------------------|
| Tech/band/antenna/DSI               | LTE B41/Ant.B/DSI0 |
| meas. P <sub>max</sub>              | 21.91 dBm          |
| meas. P <sub>limit</sub>            | 15.62 dBm          |
| meas. 10g SAR at P <sub>limit</sub> | 1.650 W/kg         |
| Time window                         | 100 s              |
| Call drop time instant              | 130 s              |
| Test setup schematic                | Figure B-1(a)      |

### Call drop test result:

SAR Call Drop  
Tech: LTE, Band 41



|                                             |        |
|---------------------------------------------|--------|
|                                             | (W/kg) |
| Max 100s-time averaged 10gSAR (green curve) | 1.664  |
| Validated.                                  |        |

The test result validated the continuity of power limiting in call change scenario.

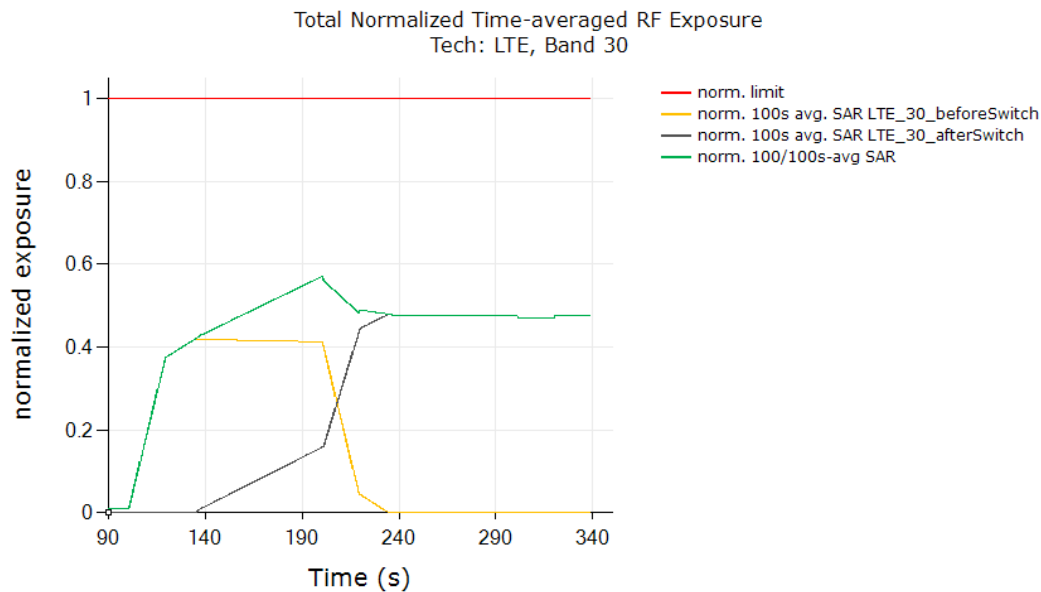
## 6.5. Change in DSI (test case 6 in Table 5-2)

The detailed test procedures is described in Section 3.3.5

### Test parameters

| Tech/band/antenna/DSI | Parameters                          | Values        |
|-----------------------|-------------------------------------|---------------|
| LTE B30/Ant.B/DSI 1   | meas. P <sub>max</sub>              | 23.15 dBm     |
|                       | meas. P <sub>limit</sub>            | 18.14 dBm     |
|                       | meas. 1g SAR at P <sub>limit</sub>  | 0.960 W/kg    |
|                       | Time window                         | 100 s         |
|                       | Switch time instant                 | 135 s         |
| LTE B30/Ant.B/DSI 0   | meas. P <sub>max</sub>              | 23.15 dBm     |
|                       | meas. P <sub>limit</sub>            | 16.63 dBm     |
|                       | meas. 10g SAR at P <sub>limit</sub> | 1.860 W/kg    |
|                       | Time window                         | 100 s         |
| Test setup schematic  |                                     | Figure B-1(a) |

### Test result for change in DSI:



|                                                     |       |
|-----------------------------------------------------|-------|
| Normalized SAR limit                                | 1.0   |
| Max 100s-time averaged normalized SAR (green curve) | 0.571 |
| Validated.                                          |       |

The test result validated the continuity of power limiting in DSI scenario.

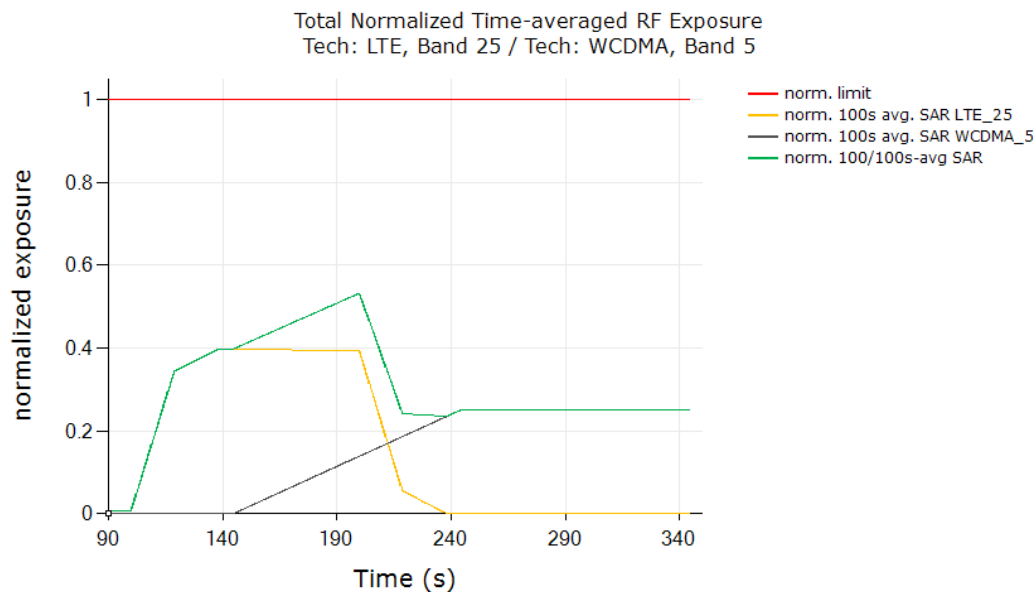
## 6.6. Change in technology/antenna test results (test case 7 in Table 5-2)

The detailed test procedures is described in Section 3.3.3 and Section 3.3.4.

### Test parameters

| Tech/band/antenna/DSI | Parameters                         | Values        |
|-----------------------|------------------------------------|---------------|
| LTE B25/Ant.B/DSI 1   | meas. P <sub>max</sub>             | 24.04 dBm     |
|                       | meas. P <sub>limit</sub>           | 18.97 dBm     |
|                       | meas. 1g SAR at P <sub>limit</sub> | 0.897 W/kg    |
|                       | Time window                        | 100 s         |
|                       | Switch time instant                | 142 s         |
| WCDMA 5/Ant.A/DSI 1   | meas. P <sub>max</sub>             | 24.22 dBm     |
|                       | meas. P <sub>limit</sub>           | 24.22 dBm     |
|                       | meas. 1g SAR at P <sub>limit</sub> | 0.407 W/kg    |
|                       | Time window                        | 100 s         |
| Test setup schematic  |                                    | Figure B-1(b) |

### Test result for change in technology/band:



|                                                     |       |
|-----------------------------------------------------|-------|
| Normalized SAR limit                                | 1.0   |
| Max 100s-time averaged normalized SAR (green curve) | 0.532 |
| Validated.                                          |       |

The test result validated the continuity of power limiting in technology/Antenna switch scenario.

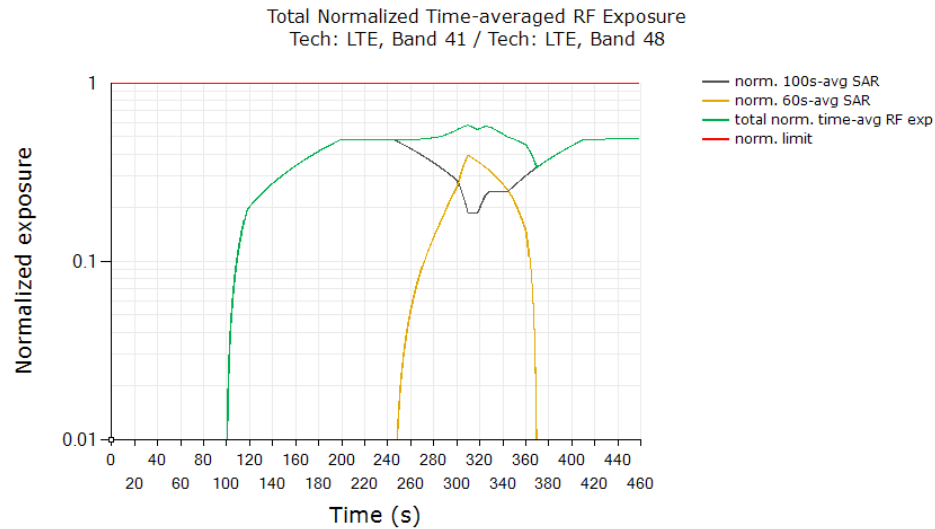
## 6.7. Change in Window/Band test results (test case 8 in Table 5-2)

The detailed test procedures is described in Section 3.3.3 and Section 3.3.6.

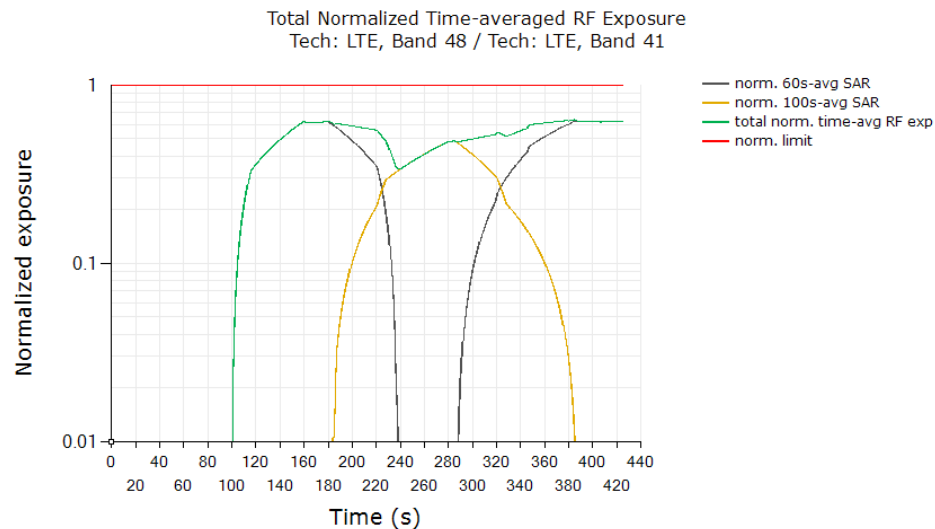
Test parameters

| Tech/band/antenna/DSI | Parameters                          | Values        | Tech/band/antenna/DSI | Parameters                          | Values     |
|-----------------------|-------------------------------------|---------------|-----------------------|-------------------------------------|------------|
| LTE B41/Ant.E/DSI0    | meas. P <sub>max</sub>              | 22.31 dBm     | LTE B48/Ant.E/DSI0    | meas. P <sub>max</sub>              | 20.95 dBm  |
|                       | meas. P <sub>limit</sub>            | 18.82 dBm     |                       | meas. P <sub>limit</sub>            | 17.98 dBm  |
|                       | meas. 10g SAR at P <sub>limit</sub> | 1.930 W/kg    |                       | meas. 10g SAR at P <sub>limit</sub> | 2.510 W/kg |
|                       | Time window                         | 100 s         |                       | Time window                         | 60 s       |
| Test setup schematic  |                                     | Figure B-1(a) |                       |                                     |            |

### Test case.1 result for change in time-window [Band1(100s) to Band2(60s), then back to Band1(100s)]:



### Test case.2 result for change in time-window [Band2(60s) to Band1(100s), then back to Band2(60s)]:



|                                                | Test case.1 | Test case.2 |
|------------------------------------------------|-------------|-------------|
| Normalized SAR limit                           | 1.0         | 1.0         |
| Max time averaged normalized SAR (green curve) | 0.582       | 0.642       |
| Validated.                                     |             |             |

The test result validated the continuity of power limiting in time-window/band switch scenario.

## 6.8. Switch in SAR exposure test result

The detailed test procedures is described in Section 3.3.7.

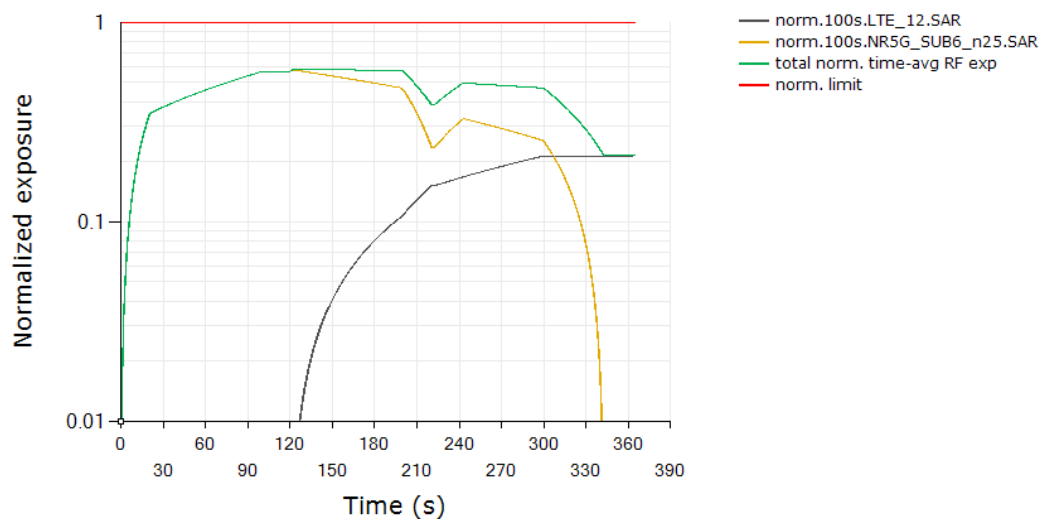
### 6.8.1. WWAN (EN-DC : LTE+NR) (test case 9 in Table 5-2)

#### Test parameters

| Tech/band/antenna/DSI | Parameters                         | Values        |
|-----------------------|------------------------------------|---------------|
| LTE B12/Ant.A/DSI 1   | meas. P <sub>max</sub>             | 24.26 dBm     |
|                       | meas. P <sub>limit</sub>           | 24.26 dBm     |
|                       | meas. 1g SAR at P <sub>limit</sub> | 0.351 W/kg    |
|                       | Time window                        | 100 s         |
| NR Bn25/Ant.B/DSI 1   | meas. P <sub>max</sub>             | 23.53 dBm     |
|                       | meas. P <sub>limit</sub>           | 18.68 dBm     |
|                       | meas. 1g SAR at P <sub>limit</sub> | 0.894 W/kg    |
|                       | Time window                        | 100 s         |
| Test setup schematic  |                                    | Figure B-1(c) |

#### Test result for switch in SAR exposure (EN-DC):

Total Normalized Time-averaged RF Exposure  
Tech: LTE, Band 12 / Tech: NR5G SUB6, Band n25



|                                                     |       |
|-----------------------------------------------------|-------|
| Normalized SAR limit                                | 1.0   |
| Max 100s-time averaged normalized SAR (green curve) | 0.582 |
| Validated.                                          |       |

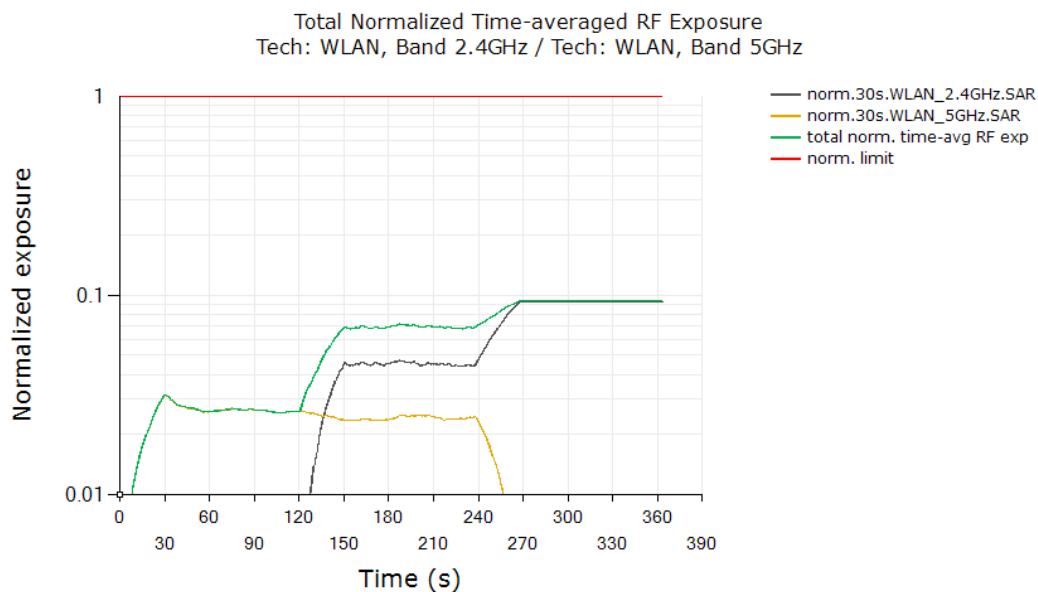
In this test, the total time-averaged normalized RF exposure (green curve) did not exceed normalized limit of 1.0 at all times, the above test result validated the continuity of power limiting in SAR exposure switch scenario.

## 6.8.2. WLAN (DBS : 2.4GHz Radio+5GHz Radio) (test case 11 in Table 5-2)

### Test parameters

| Tech/band/antenna/DSI | Parameters                         | Values        |
|-----------------------|------------------------------------|---------------|
| 2.4GHz/Ant.G/DSI 3    | meas. P <sub>max</sub>             | 18.212 dBm    |
|                       | meas. P <sub>limit</sub>           | 16.791 dBm    |
|                       | meas. 1g SAR at P <sub>limit</sub> | 0.199 W/kg    |
|                       | Time window                        | 30 s          |
| 5GHz/Ant.G/DSI 3      | meas. P <sub>max</sub>             | 16.512 dBm    |
|                       | meas. P <sub>limit</sub>           | 16.512 dBm    |
|                       | meas. 1g SAR at P <sub>limit</sub> | 0.442 W/kg    |
|                       | Time window                        | 30 s          |
| Test setup schematic  |                                    | Figure C-1(b) |

### Test result for switch in SAR exposure (DBS):



|                                                    |       |
|----------------------------------------------------|-------|
| Normalized SAR limit                               | 1.0   |
| Max 30s-time averaged normalized SAR (green curve) | 0.094 |
| Validated.                                         |       |

In this test, the total time-averaged normalized RF exposure (green curve) did not exceed normalized limit of 1.0 at all times, the above test result validated the continuity of power limiting in SAR exposure switch scenario.

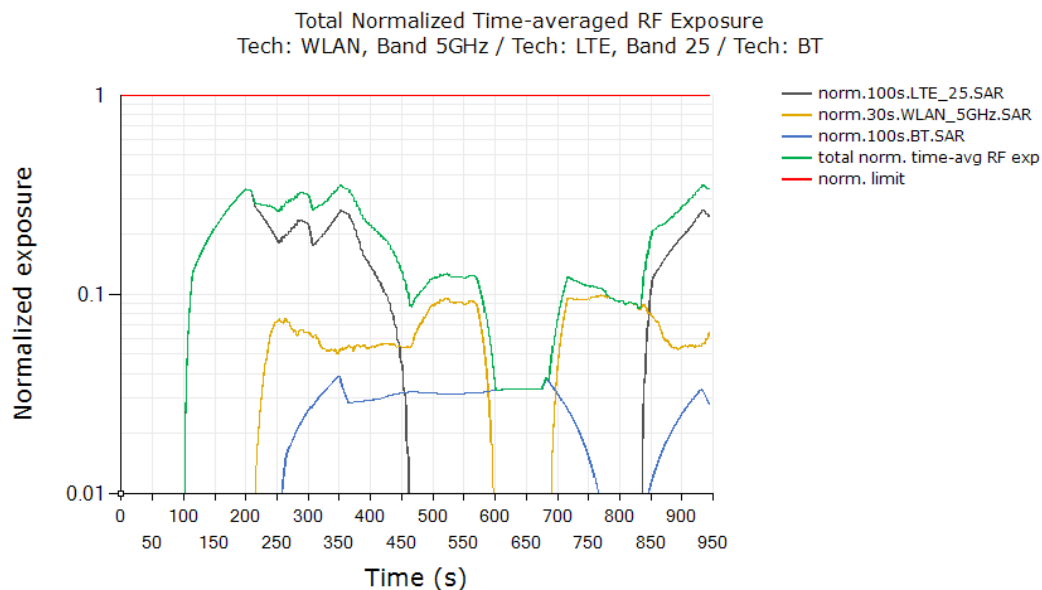
## 6.9. System Level Compliance Continuity test results (test case 12 in Table 5-2)

The detailed test procedures is described in Section 3.3.8.

### Test parameters

| Tech/Band/Ant/DSI                        | Parameters                         | Values        |
|------------------------------------------|------------------------------------|---------------|
|                                          | multi_Tx_factor                    | 1.0           |
| LTE Band 25 Ant.E<br>(DSI=0)             | meas. P <sub>max</sub>             | 23.95 dBm     |
|                                          | meas. P <sub>limit</sub>           | 19.70 dBm     |
|                                          | meas. 10gSAR at P <sub>limit</sub> | 1.340 W/kg    |
|                                          | Time window applied for averaging  | 100s          |
| 5GHz SISO Ant.G<br>(802.11ac)<br>(DSI=0) | meas. P <sub>max</sub>             | 16.51 dBm     |
|                                          | meas. P <sub>limit</sub>           | 16.51 dBm     |
|                                          | meas. 10gSAR at P <sub>limit</sub> | 1.180 W/kg    |
|                                          | Time window applied for averaging  | 30s           |
| BT-EDR Ant.G<br>(DSI=0)                  | meas. P <sub>limit</sub>           | 14.87 dBm     |
|                                          | meas. 10gSAR at P <sub>limit</sub> | 0.925 W/kg    |
|                                          | Time window applied for averaging  | 100s          |
| Test setup schematic                     |                                    | Figure C-1(c) |

### Test result for System level compliance continuity:



|                                                      |       |
|------------------------------------------------------|-------|
| Normalized SAR limit                                 | 1.0   |
| Max total time averaged normalized SAR (green curve) | 0.338 |
| Validated.                                           |       |

In this test, the total time-averaged normalized RF exposure (green curve) did not exceed normalized limit of 1.0 at all times, the above test result validated the total RF exposure compliance in system level compliance continuity test scenario.

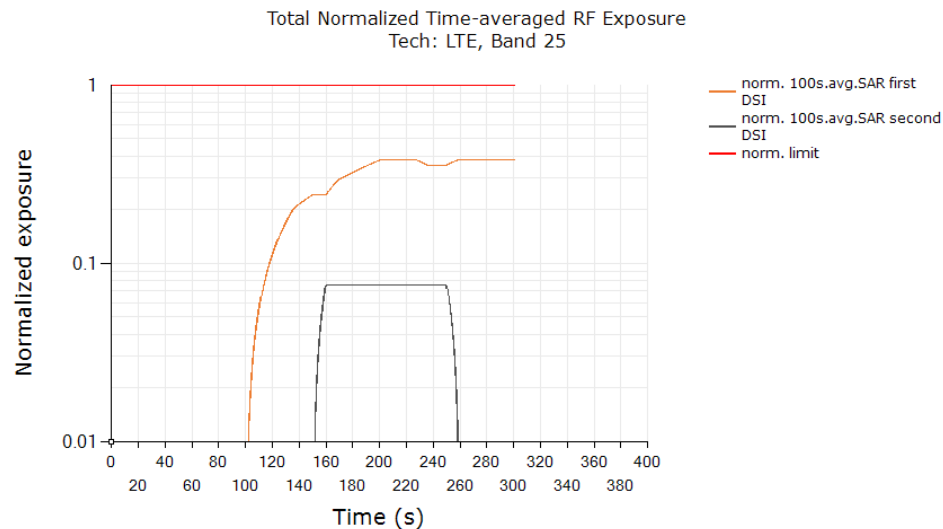
## 6.10. Exposure Category Switch test results (test case 13 in Table 5-2)

The detailed test procedures is described in Section 3.3.9.

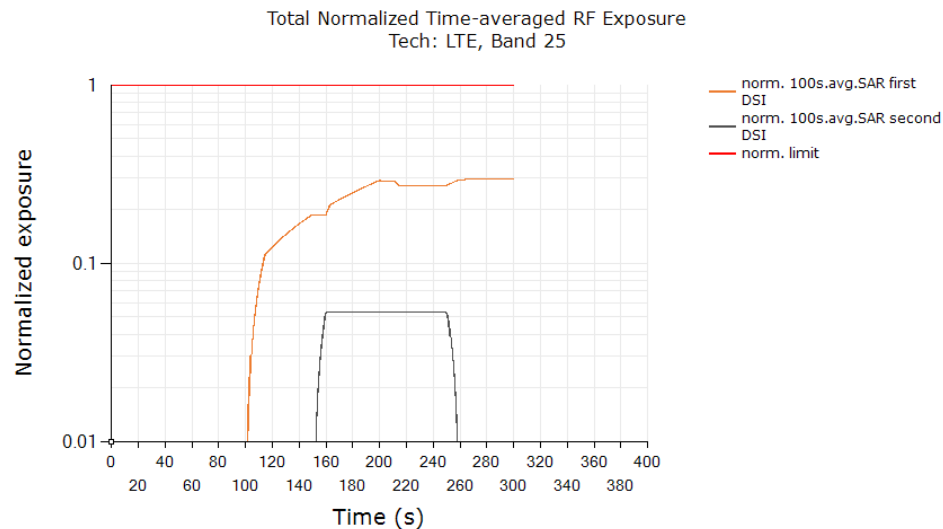
Test parameters

| Tech/band/antenna/DSI | Parameters                         | Values     | Tech/band/antenna/DSI | Parameters                         | Values     |
|-----------------------|------------------------------------|------------|-----------------------|------------------------------------|------------|
| LTE B25/Ant.E/DSI 1   | meas. P <sub>max</sub>             | 24.18 dBm  | LTE B25/Ant.E/DSI 3   | meas. P <sub>max</sub>             | 24.18 dBm  |
|                       | meas. P <sub>limit</sub>           | 19.94 dBm  |                       | meas. P <sub>limit</sub>           | 22.46 dBm  |
|                       | meas. 1g SAR at P <sub>limit</sub> | 0.474 W/kg |                       | meas. 1g SAR at P <sub>limit</sub> | 0.616 W/kg |
|                       | Time window                        | 100 s      |                       | Time window                        | 100 s      |
| Test setup schematic  |                                    |            | Figure B-1(a)         |                                    |            |

### Test case.1 result for Exposure Category Switch (head to non-head, then back to head):



### Test case.2 result for Exposure Category Switch (non-head to head, then back to non-head):



|                                           | Test case.1 | Test case.2 |
|-------------------------------------------|-------------|-------------|
| Normalized SAR limit                      | 1.0         | 1.0         |
| Max 100s-time averaged SAR (Orange curve) | 0.384       | 0.296       |
| Validated.                                |             |             |

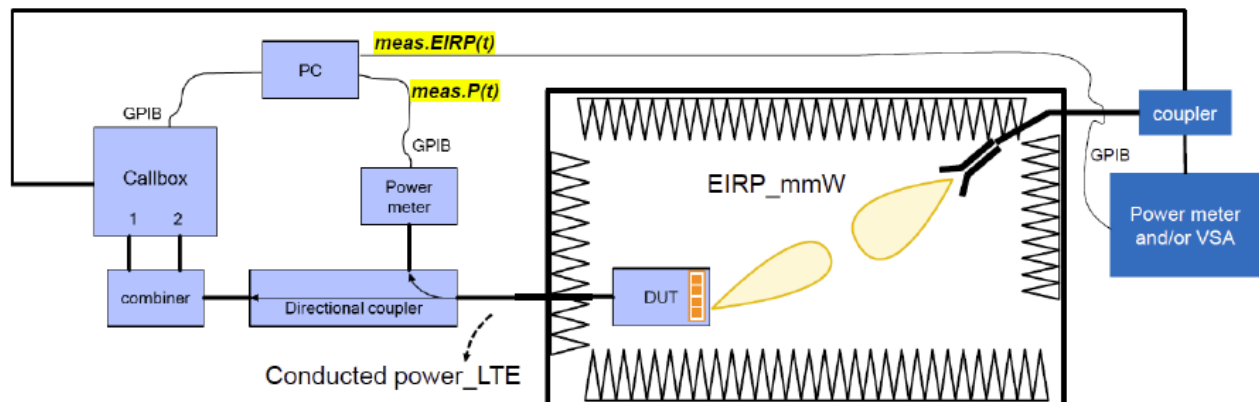
In this test, the time-averaged normalized RF exposure in each exposure DSIs (orange curve) did not exceed normalized limit of 1.0 at all times.

## 7. Radiated Power Test Results for mmW Smart Transmit Feature Validation

### 7.1. Measurement setup

The keylight Technologies E7515B UXM callbox is used in this test. The schematic of the setup is shown in Figure D-1 (B.1 in Appendix A). The UXM callbox has two RF radio heads to up/down convert IF to mmW frequencies, which in turn are connected to two horn antennas for V- and H-polarizations for downlink communication. In the uplink, a directional coupler is used in the path of one of the horn antennas to measure and record radiated power using a Rohde & Schwarz NR50S power sensor and NRP2 power meter. Note here that the isolation if the directional coupler may not be sufficient to attenuate the downlink signal from the callbox, which will result in high noise floor making the recording of radiated power from EUT. In that case, either lower the downlink signal strength emanating from the RF radio heads of callbox or add an attenuator between callbox radio heads and directional coupler. Additionally, note that since the measurements performed in this validation are all relative, measurement of EUT's radiated power in one polarization is sufficient. The EUT is placed inside an anechoic chamber with V- and H-pol horn antennas to establish the radio link as shown in Figure D-1. The callbox's LTE port is directly connected to the EUT's RF port via a directional coupler to measure the EUT's conducted Tx power using a Rohde & Schwarz NRP50S power sensor and NRP2 power meter. Additionally, EUT is connected to the PC via USB connection for sending beam switch command. Care is taken to route the USB cable and RF cable (for LTE connection) away from the EUT's mmW antenna modules.

Setup in Figure D-1 is used for the test scenario 1, 5 and 8 described in Section 2. The test procedures described in Section 4 are followed. The path losses from the EUT to both the power meters are calibrated and used as offset in the power meter.



**Figure D-1 mmW NR radiated power measurement setup**

Both the callbox and power meters are connected to the PC using USB cables. Test scripts are custom made for automation of establishing LTE + mmW call, conducted Tx power recording for LTE and radiated Tx power recording for mmW. These tests are manually stopped after desired time duration. Test script is programmed to set LTE Tx power to all-down bits on the callbox immediately after the mmW link is established, and programmed to set toggle between all-up and all-down bits depending on the transmission scenario being evaluated. Similarly, test script is also programmed to send beam switch command manually to the EUT via USB connection. For all the tests, the callbox is set to request maximum Tx power in mmW NR radio from EUT all the time.

Test configurations for this validation are detailed in Section 5.2. Test procedures are listed in Section 4.3.1 to 4.3.3.

**DSI PD ratio :**

Smart Transmit EFS version 18 (or higher) supports DSI applicability feature. With this new enhancement, in simultaneous transmission scenarios involving sub6 radio + mmW radio, for a given DSI, both sub6 exposure and mmW exposure will be evaluated at the DSI corresponding separation distance in TER analysis, but in the same time, the compliance of mmW exposure at 2mm is ensured for all DSI states. Thus, below two steps are implemented in Smart Transmit with EFS version 18 (or higher):

1. For TER calculation, scale PD exposure at 2mm down to the same separation distance at which sub6 exposure is measured for that DSI using 'DSI\_PD\_ratio'

$$TER_{at\_DSI\_distance} = \frac{sub6\ exposure}{regulatory\ sub6\ limit} + \frac{PD\ exposure}{regulatory\ PD\ limit} \times DSI\_PD\_ratio \quad (8a)$$

Where,

$$DSI\_PD\_ratio = \frac{PD\_at\_DSI\_separation\_distance}{PD\_at\_2mm} \quad (8b)$$

2. Below condition will also be met irrespective of DSI state:

$$\frac{PD\_at\_2mm}{regulatory\_PD\_limit} \leq 1.0 \quad (8c)$$

To provide the example plots for the EUT enabled with Smart Transmit EFS version 18, the worst case SAR exposure for LTE Band was measured at 10mm, and the corresponding DIS\_PD\_ratio was also derived following procedures described in Qualcomm document (80-W2114-4). The test results are listed in table 8-1.

## 7.2. mmW NR radiated power test results

To demonstrate the compliance, the connected Tx power of LTE Band 66\_Ant.E in DSI = 1 is converted to 1gSAR exposure by applying the corresponding worst-case 1gSAR value at  $P_{limit}$  as reported in Part 1 report and listed in Table 5-2 of this report.

Similarly, following Step 4 in Section 4.3.1 and 4.3.3, radiated Tx power of mmW Bane n261 for the beams tested is converted by applying the corresponding worst-case 4cm<sup>2</sup>PD values measured in UL lab, and listed in below Table 8-1. The measured EIRP at *input.power.limit* for the beams tested in this section are also listed in Table 8-1. Qualcomm Smart Transmit feature operates based on time-averaged Tx power reported on a per symbol basis, which is independent of modulation, channel and bandwidth (RBs), therefore the worst-case 4cm<sup>2</sup>PD was conducted with the EUT in FTM mode, with CW modulation and 100% duty cycle.

Both the worst-case 1gSAR and 4cm<sup>2</sup>PD values used in this section are listed in Table 8-1. The measured EIRP at *input.power.limit* for the beams tested in this section are also listed in Table 8-1.

**Table 8-1: EIRP measured at *input.power.limit* for the selected configurations**

| Test Case | Test Scenario     | Antenna | mmW Band | mmW Beam ID    | input.power.limit (dBm) | Configuratrion | Meas. 4cm2PD at input.power.limit (W/m <sup>2</sup> ) | Meas. EIRP at input.power.limit (dBm) | DSI PD ratio at 10mm |
|-----------|-------------------|---------|----------|----------------|-------------------------|----------------|-------------------------------------------------------|---------------------------------------|----------------------|
| 1         | Max.Power Test    | J-patch | n261     | Beam ID 14/270 | 1.5                     | Phablet-Right  | 7.57                                                  | 18.40                                 | 0.75                 |
| 2         | SAR vs. PD Switch | J-patch | n261     | Beam ID 14/270 | 1.5                     | Phablet-Right  | 7.57                                                  | 18.40                                 | 0.75                 |
| 3         | Beam Switch       | J-patch | n261     | Beam ID 12     | 8.6                     | Phablet-Right  | 8.16                                                  | 18.84                                 | 0.75                 |
|           |                   |         |          | Beam ID 4      | 13.2                    | Phablet-Right  | 6.33                                                  | 17.20                                 | 0.75                 |

| Tech       | Antenna | Band | DSI | test distance (mm) | Configuration | meas. Plimit (dBm) | meas 1g SAR at Plimit (W/kg) |
|------------|---------|------|-----|--------------------|---------------|--------------------|------------------------------|
| LTE Anchor | Ant.E   | 66   | 1   | 10                 | Top           | 19.73              | 0.458                        |

The 4cm<sup>2</sup>-averaged PD distributions for the highest PD value per band, as listed in Table 8-1, are plotted below:

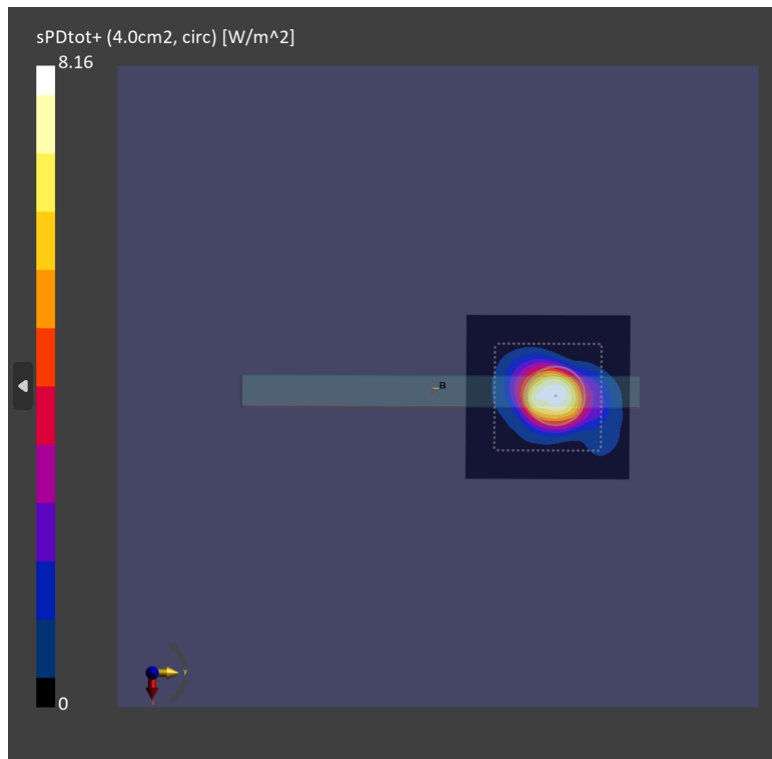


Figure D-2 4cm<sup>2</sup>-averaged power density distribution measured at *input.power.limit* of 8.6 dBm on the Right Surface n261 beam ID 12.

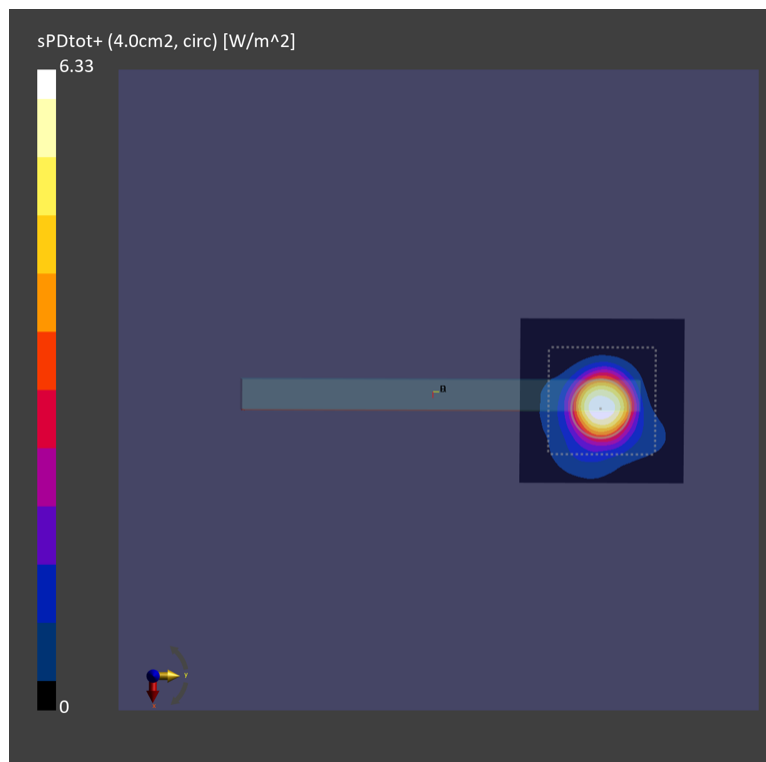


Figure D-3 4cm<sup>2</sup>-averaged power density distribution measured at *input.power.limit* of 13.2 dBm on the Right Surface n261 beam ID 4.

## 7.2.1 Maximum Tx power test results for n261

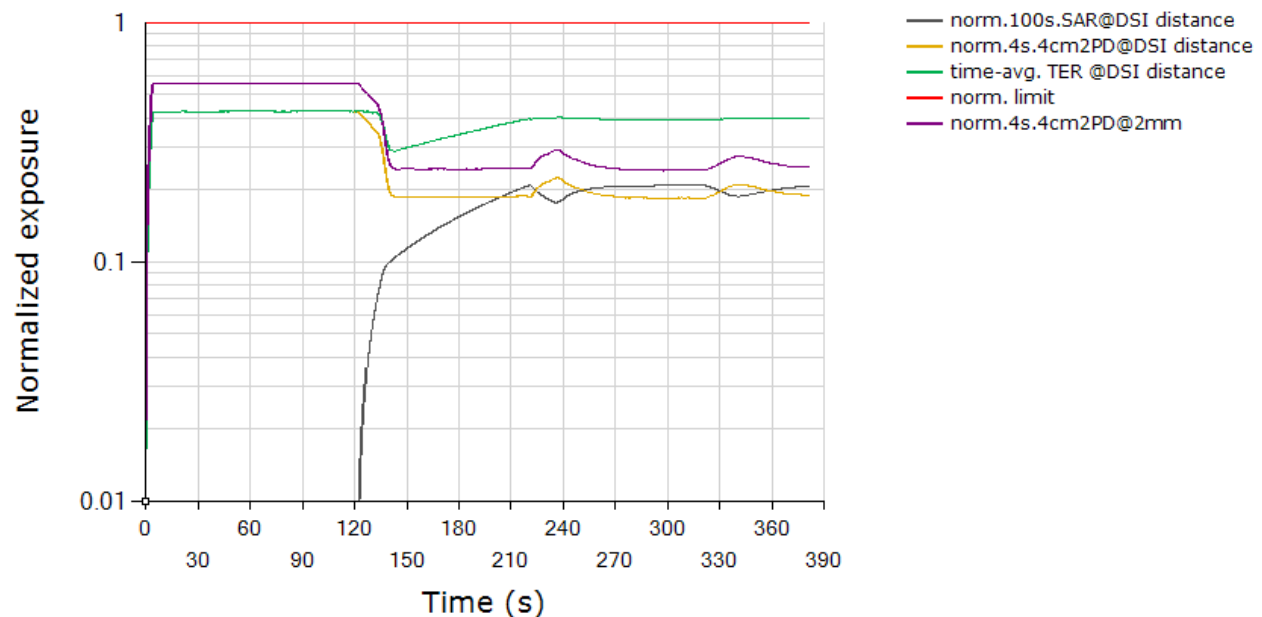
The detailed test procedures is described in Section 4.3.1.

### Test parameters

| Tech/band/antenna/DSI           | Parameters                               | Values           |
|---------------------------------|------------------------------------------|------------------|
| LTE B66_Ant.E +<br>n261_J patch | Test position                            | DSI=1, Top, 10mm |
|                                 | meas. P <sub>limit</sub>                 | 19.73 dBm        |
|                                 | meas. 1g SAR at P <sub>limit</sub>       | 0.458 W/kg       |
|                                 | Time window                              | 100 s            |
|                                 | meas.Beam ID                             | 14/270           |
|                                 | input.power.limit                        | 1.50 dBm         |
|                                 | meas. EIRP @ <i>input.power.limit</i>    | 18.40 dBm        |
|                                 | meas. 4cm2PD at <i>input.power.limit</i> | 7.57 Wm2         |
|                                 | DSI_PD_ratio                             | 0.75             |
|                                 | Time window                              | 4 s              |
| Test setup schematic            |                                          | Figure D-1       |

### Test result for Maximum Tx power:

Total Normalized Time-averaged RF Exposure  
Tech: LTE, Band 66 / Tech: NR5G MMW, Band 261



|                                                                            |       |
|----------------------------------------------------------------------------|-------|
| Max total normalized time-averaged RF exposure @ DSI distance (greencurve) | 0.431 |
| Max 4s-time averaged 4cm <sup>2</sup> PD @ 2mm (purple curve)              | 0.560 |
| Validated                                                                  |       |

As can be seen, the power limiting enforcement is effective and the total normalized time-averaged RF exposure (green curve, time averaged TER at DSI distance as per Equation 8a) does not exceed 1.0. Additionally, normalized PD exposure at 2mm (purple curve, Equation 8c) does not exceed 1.0. Therefore, Qualcomm Smart Transmit time averaging feature is validated.

## 7.2.2 Switch in SAR vs. PD exposure test results for n261

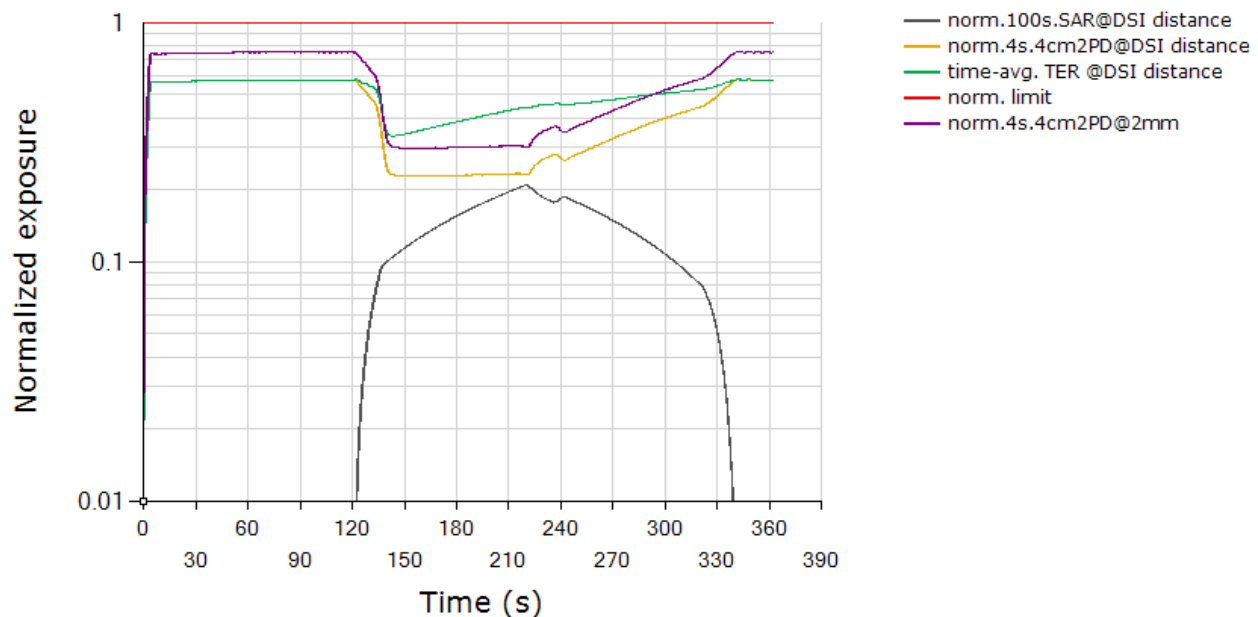
The detailed test procedures is described in Section 4.3.2.

### Test parameters

| Tech/band/antenna/DSI           | Parameters                               | Values           |
|---------------------------------|------------------------------------------|------------------|
| LTE B66_Ant.E +<br>n261_J patch | Test position                            | DSI=1, Top, 10mm |
|                                 | meas. Plimit                             | 19.73 dBm        |
|                                 | meas. 1g SAR at Plimit                   | 0.458 W/kg       |
|                                 | Time window                              | 100 s            |
|                                 | meas.Beam ID                             | 14/270           |
|                                 | input.power.limit                        | 1.50 dBm         |
|                                 | meas. EIRP @ <i>input.power.limit</i>    | 18.40 dBm        |
|                                 | meas. 4cm2PD at <i>input.power.limit</i> | 7.57 Wm2         |
|                                 | DSI_PD_ratio                             | 0.75             |
|                                 | Time window                              | 4 s              |
| Test setup schematic            |                                          | Figure D-1       |

### Test result for Switch in SAR vs. PD exposure:

Total Normalized Time-averaged RF Exposure  
Tech: LTE, Band 66 / Tech: NR5G MMW, Band 261



|                                                                             |       |
|-----------------------------------------------------------------------------|-------|
| Max total normalized time-averaged RF exposure @ DSI distance (green curve) | 0.580 |
| Max 4s-time averaged 4cm <sup>2</sup> PD @ 2mm (purple curve)               | 0.758 |
| Validated                                                                   |       |

As can be seen, the power limiting enforcement is effective and the total normalized time-averaged RF exposure (green curve, time averaged TER at DSI distance as per Equation 8a) does not exceed 1.0. Additionally, normalized PD exposure at 2mm (purple curve, Equation 8c) does not exceed 1.0. Therefore, Qualcomm Smart Transmit time averaging feature is validated.

### 7.2.3 Change in Beam test results for n261

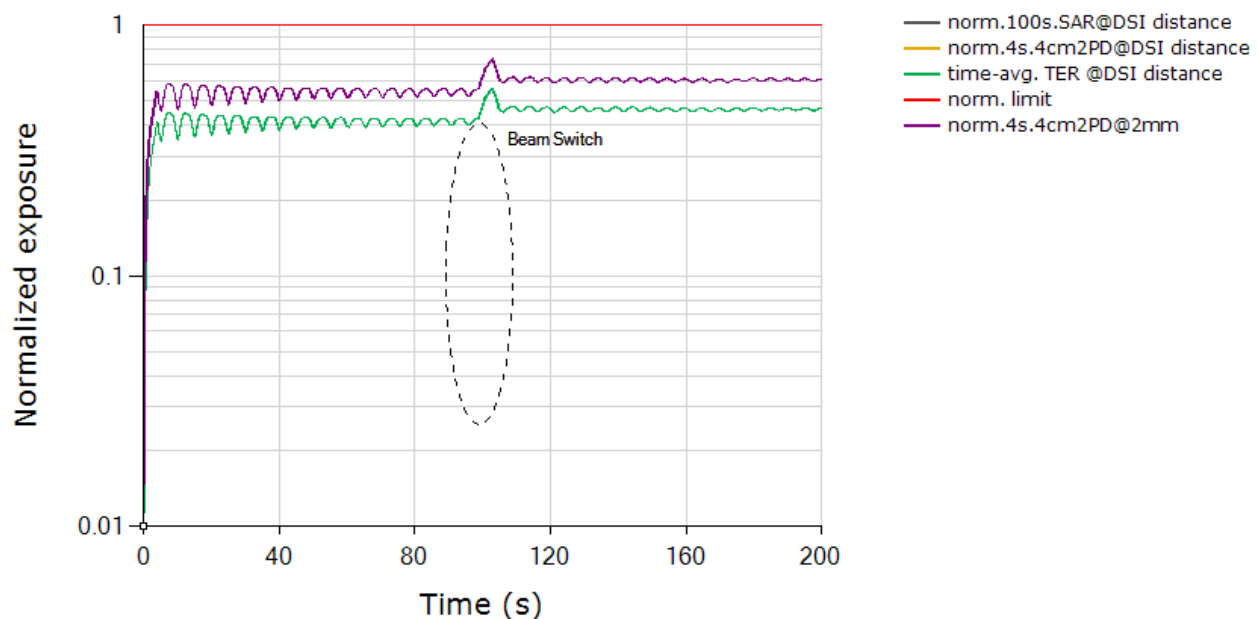
The detailed test procedures is described in Section 4.3.3.

#### Test parameters

| Beam ID              | Parameters                               | Values                       |
|----------------------|------------------------------------------|------------------------------|
|                      | Technology/band/ant/DSI                  | LTE B66 Ant.E + n261_J-patch |
|                      | Time window                              | 4 s                          |
|                      | DSI_PD_ratio                             | 0.75                         |
| 12                   | input.power.limit                        | 8.6 dBm                      |
|                      | meas. EIRP @ <i>input.power.limit</i>    | 18.84 dBm                    |
|                      | meas. 4cm2PD at <i>input.power.limit</i> | 8.16 Wm2                     |
| 4                    | input.power.limit                        | 13.2 dBm                     |
|                      | meas. EIRP @ <i>input.power.limit</i>    | 17.20 dBm                    |
|                      | meas. 4cm2PD at <i>input.power.limit</i> | 6.33 Wm2                     |
| Test setup schematic |                                          | Figure D-1                   |

#### Test result for Change in Beam:

Total Normalized Time-averaged RF Exposure  
Tech: LTE, Band 66 / Tech: NR5G MMW, Band 261



|                                                                                  |       |
|----------------------------------------------------------------------------------|-------|
| Max total normalized time-averaged RF exposure @ DSI distance (green curve)      | 0.481 |
| Max 4s-time averaged 4cm <sup>2</sup> PD @ 2mm (purple curve) before beam switch | 0.630 |
| Max 4s-time averaged 4cm <sup>2</sup> PD @ 2mm (purple curve) after beam switch  | 0.479 |
| Validated                                                                        |       |

As can be seen, the power limiting enforcement is effective and the total normalized time-averaged RF exposure (green curve, time averaged TER at DSI distance as per Equation 8a) does not exceed 1.0. Additionally, normalized PD exposure at 2mm (purple curve, Equation 8c) does not exceed 1.0. Therefore, Qualcomm Smart Transmit time averaging feature is validated.

## 8. Test Equipment

The measuring equipment used to perform the tests documented in this report has been calibrated in accordance with the manufacturers' recommendations, and is traceable to recognized national standards.

### Conducted test

| Name of Equipment             | Manufacturer  | Type/Model   | Serial No.   | Cal. Due Date |
|-------------------------------|---------------|--------------|--------------|---------------|
| Base Station Simulator        | R & S         | CMW500       | 169803       | 3-25-2025     |
| UXM 5G Wireless Test Platform | KEYSIGHT      | E7515B       | MY58120110   | 1-3-2025      |
| Band Pass Filter              | MINI-CIRCUITS | VBZF-2340-S+ | S0240        | 12-27-2024    |
| Band Pass Filter              | MINI-CIRCUITS | VBZF-5500-S+ | S0253        | 9-13-2024     |
| Power Sensor                  | R & S         | NRP8S        | 104520       | 7-26-2024     |
| Power Sensor                  | R & S         | NRP8S        | 104521       | 7-26-2024     |
| Power Sensor                  | R & S         | NRP8S        | 113937       | 9-21-2024     |
| Directional Coupler           | MINI-CIRCUITS | ZUDC20-183+  | N/A          | 7-24-2024     |
| Directional Coupler           | MINI-CIRCUITS | ZUDC20-183+  | N/A          | 7-24-2024     |
| Directional Coupler           | KRYTAR        | 100318010    | 215542       | 1-4-2025      |
| Step Attenuator               | KEYSIGHT      | 8494B        | MY42155316   | 7-24-2024     |
| Step Attenuator               | KEYSIGHT      | 8496B        | MY42149778   | 7-24-2024     |
| Resistive Power Splitter      | WEINSCHEL     | 1534         | S0246        | N/A           |
| Semi-anechoic chamber         | TESCOM        | TC-5299BU    | 5922BU000161 | N/A           |

### Radiated test

| Name of Equipment             | Manufacturer | Type/Model  | Serial No.           | Cal. Due Date |
|-------------------------------|--------------|-------------|----------------------|---------------|
| Power Sensor                  | R&S          | NRP8S       | 111164               | 7-23-2024     |
| Power Sensor                  | R&S          | NRP8S       | 104521               | 8-3-2023      |
| Power Sensor                  | R&S          | NRP50S      | 101284               | 7-24-2024     |
| Directional Coupler           | KRYTAR       | 110067006   | 205759               | 7-24-2024     |
| Directional Coupler           | KRYTAR       | 1850        | 164428               | 7-24-2024     |
| Directional Coupler           | KRYTAR       | 1850        | 164430               | 7-24-2024     |
| mmW Chamber                   | BOJAY        | BJ-8827-UL2 | ZHBJ2008-BU1-F253779 | N/A           |
| UXM 5G Wireless Test Platform | Keysight     | E7515B      | MY58010202           | 1-15-2025     |
| mmWave Transceiver            | Keysight     | M1740A      | MY58270356           | 1-7-2025      |
| mmWave Transceiver            | Keysight     | M1740A      | MY59291461           | 1-15-2025     |
| Common Interface Unit         | Keysight     | E7770A      | MY58290155           | N/A           |

## 9. Conclusions

Qualcomm Smart Transmit feature employed in Samsung device (FCC ID: A3LSMF956U) has been validated through the conducted/radiated power measurement.

As demonstrated in this report, the power limiting enforcement is effective and the total normalized time-averaged RF exposure does not exceed 1.0 for all the transmission scenarios described in Section 2. Therefore, the EUT complies with FCC RF exposure requirement.

## Section A. Test Sequences

### Sub.6 radio.

1. Test sequence is generated based on below parameters of the EUT:

- a. Measured maximum power ( $P_{max}$ )
- b. Measured Tx\_power\_at\_SAR\_design\_target ( $P_{limit}$ )
- c. Reserve\_power\_margin (dB)
  - $P_{reserve}$  (dBm) = measured  $P_{limit}$  (dBm) – Reserve\_power\_margin (dB)
- d. SAR\_time\_window (100s for FCC)

2. **Sub.6 radio Test Sequence 1** Waveform:

Based on the parameter above, the Test Sequence 1 is generated with one transmission between high and low Tx powers. Here, high power =  $P_{max}$ ; low power =  $P_{max}/2$ , and the transition occurs after 80 seconds at high power  $P_{max}$ . As long as the power enforcement is taking into effective during one 100s/60s time window, the validation test with this defined test sequence 1 is valid, otherwise, select other radio configuration (band/DSI within the same technology group) having lower  $P_{limit}$  for this test. The test sequence 1 waveform is shown below:

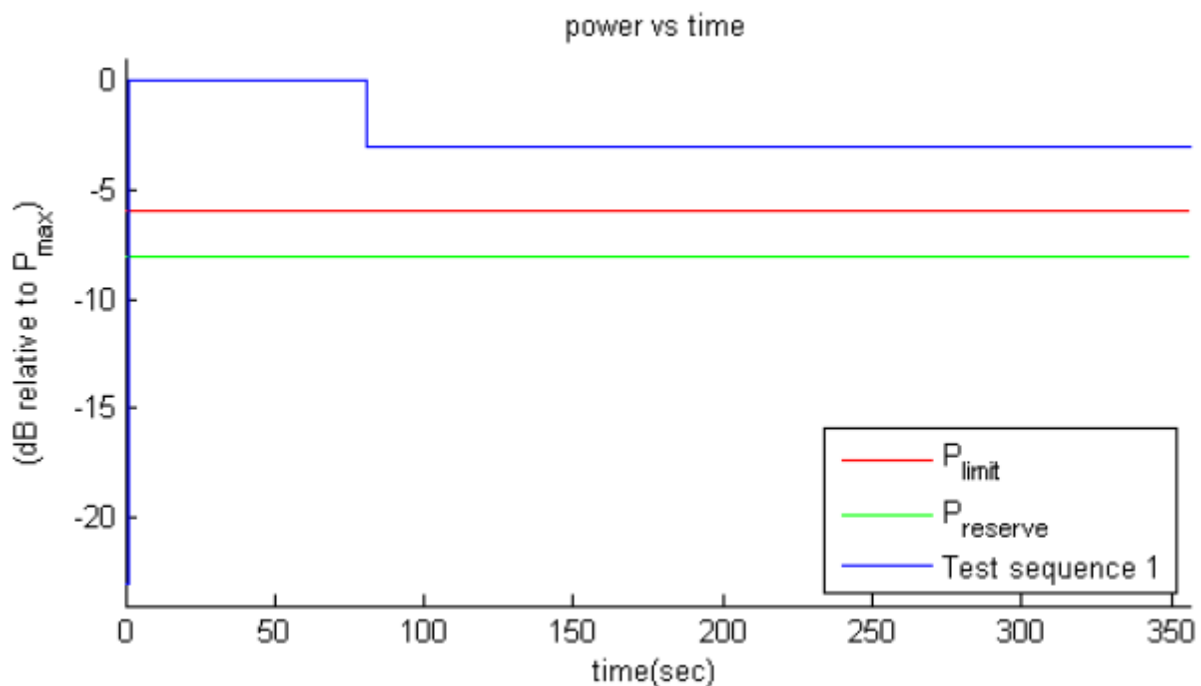


Figure A-1: Test sequence 1 waveform

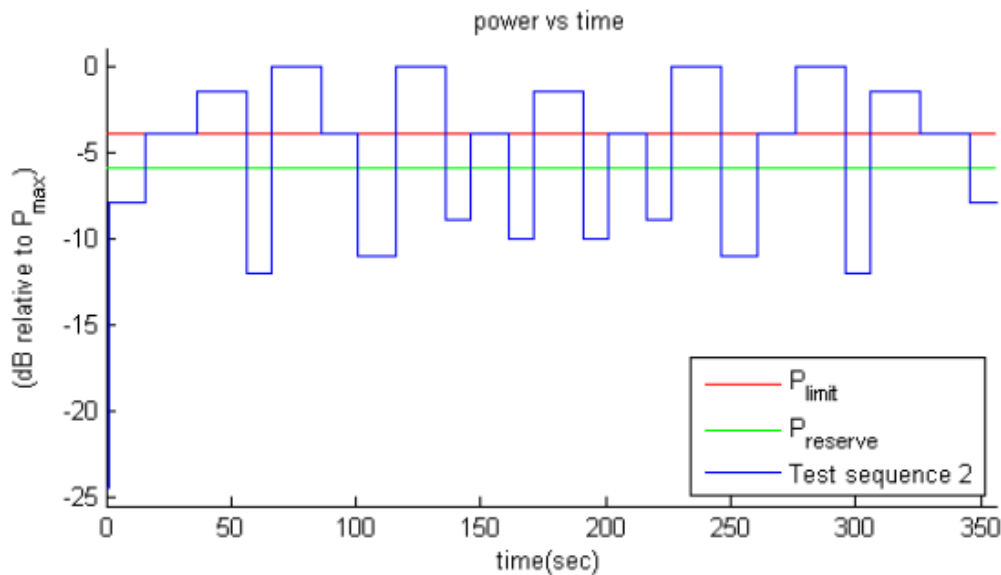
### 3. Sub.6 radio Test Sequence 2 Waveform:

Based on the parameters in Figure A-1, the Test Sequence 2 is generated as described in Table A-1, which contains two 170 second-long sequences (yellow and green highlighted rows) that are mirrored around the center row of 20s, resulting in a total duration of 360 seconds:

**Table A-1: Test sequence 2**

| Time duration (seconds) | dB relative to $P_{limit}$ or $P_{reserve}$                                 |
|-------------------------|-----------------------------------------------------------------------------|
| 15                      | $P_{reserve} - 2$                                                           |
| 20                      | $P_{limit}$                                                                 |
| 20                      | $(P_{limit} + P_{max})/2$ averaged in mW and rounded to nearest 0.1 dB step |
| 10                      | $P_{reserve} - 6$                                                           |
| 20                      | $P_{max}$                                                                   |
| 15                      | $P_{limit}$                                                                 |
| 15                      | $P_{reserve} - 5$                                                           |
| 20                      | $P_{max}$                                                                   |
| 10                      | $P_{reserve} - 3$                                                           |
| 15                      | $P_{limit}$                                                                 |
| 10                      | $P_{reserve} - 4$                                                           |
| 20                      | $(P_{limit} + P_{max})/2$ averaged in mW and rounded to nearest 0.1 dB step |
| 10                      | $P_{reserve} - 4$                                                           |
| 15                      | $P_{limit}$                                                                 |
| 10                      | $P_{reserve} - 3$                                                           |
| 20                      | $P_{max}$                                                                   |
| 15                      | $P_{reserve} - 5$                                                           |
| 15                      | $P_{limit}$                                                                 |
| 20                      | $P_{max}$                                                                   |
| 10                      | $P_{reserve} - 6$                                                           |
| 20                      | $(P_{limit} + P_{max})/2$ averaged in mW and rounded to nearest 0.1 dB step |
| 20                      | $P_{limit}$                                                                 |
| 15                      | $P_{reserve} - 2$                                                           |

The test Sequence 2 waveform is shown in Figure A-2.



**Figure A-2: Test sequence 2 waveform**

### WLAN radio Test Sequence 1

| Time duration (seconds) | Duty cycle (%) |
|-------------------------|----------------|
| 80                      | 100%           |
| 120                     | 50%            |

## Section B. Test Procedures for LTE + Sub6 NR

Section B provides the test procedures for validating Qualcomm Smart Transmit feature for LTE + Sub6 NR non-standalone (NSA) mode transmission scenario, where sub-6GHz LTE link acts as an anchor, and Sub6 NR standalone mode (SA) transmission scenario.

### B.1 Time-varying Tx power test for sub6 NR in NSA mode and SA mode

Follows Section 3.2.1 to select test configurations for time-varying test. This test is performed with two pre-defined test sequences (described in Section 3.1) applied to Sub6 NR (with LTE on all-down bits or low power for the entire test after establishing the LTE + Sub6 NR call with the callbox). Follow the test procedures described in Section 3.3.1 to demonstrate the effectiveness of power limiting enforcement and that the time averaged Tx power of Sub6 NR when converted into 1g or 10gSAR values does not exceed the regulatory limit at all times (See Eq. (1a) and (1b)). Sub6 NR response to test sequence 1 and test sequence 2 will be similar to other technologies (say, LTE), and are shown in Sections 6.3.7 and 6.3.8.

### B.2 Switch in SAR exposure between LTE vs. Sub6 NR during transmission

This test is to demonstrate that Smart Transmit feature accurately accounts for switching in exposures among SAR for LTE radio only, SAR from both LTE radio and sub6 NR, and SAR from sub6 NR only scenarios, and ensures total time-averaged RF exposure compliance with FCC limit.

#### Test procedure:

1. Measure conducted Tx power corresponding to  $P_{limit}$  for LTE and sub6 NR in selected band. Test condition to measure conducted  $P_{limit}$  is:
  - Establish device in call with the callbox for LTE in desired band. Measure conducted Tx power corresponding to LTE  $P_{limit}$  with Smart Transmit enable and *Reserve\_power\_margin* set to 0 dB, callbox set to request maximum power.
  - Repeat above step to measure conducted Tx power corresponding to Sub6 NR  $P_{limit}$ . If testing LTE + Sub6 NR in non-standalone mode, then establish LTE + Sub6 NR call with callbox and request all down bits for radio1 LTE. In this scenario, with callbox requesting maximum power from Sub6 NR, measured conducted Tx power corresponds to radio2  $P_{limit}$  (as radio1 LTE is at all-down bits).
2. Set *Reserve\_power\_margin* to actual (intended) value with EUT setup for LTE\_Sub6 NR call. First, establish LTE connection in all-up bits with the callbox, and then Sub6 NR connection is added with callbox requesting UE to transmit at maximum power in Sub6 NR. As soon as the Sub6 NR connection is established, request all-down bits on LTE link (otherwise, Sub6 NR will not have sufficient RF exposure margin to sustain the call with LTE in all-up bits). Continue LTE(all-down bits) + Sub6 NR transmission for more than one time-window duration to test predominantly Sub6 NR SAR exposure scenario (as SAR exposure is negligible from all-down bits in LTE). After at least one time-window, request LTE to go all-up bits to test LTE SAR and Sub6 NR SAR exposure scenario. After at least one more time-window, drop (or request all-down bits) Sub6 NR transmission to test predominantly LTE SAR exposure scenario. Continue the test for at least one more time-window. Record the conducted Tx powers for both LTE and Sub6 NR for the entire duration of this test.

3. Once the measurement is done, extract instantaneous Tx power versus time for both LTE and Sub6 NR links. Similar to technology/band switch test in Section 3.3.3, convert the conducted Tx power for both these radios into 1g or 10gSAR value (see Eq. (6a) and (6b)) using corresponding technology/band  $P_{limit}$  measured in Step 1, and then perform 100s running average to determine time-averaged 1g or 10gSAR versus time as illustrated in Figure A-1. Note that here it is assumed both radios have Tx frequencies < 3GHz, otherwise, 60s running average should be performed for radios having Tx frequency between 3GHz and 6GHz.
4. Make one plot containing: (a) instantaneous 1g or 10gSAR versus time determined in Step 3, (b) computed time-averaged 1g or 10gSAR versus time determined in Step 3, and (c) corresponding regulatory 1g or 10gSAR<sub>limit</sub> of 1.6 W/kg or 4.0 W/kg, and (d) corresponding normalized regulatory 1g or 10gSAR<sub>limit</sub> of 1.0.

The validation criteria is, at all times, the time-averaged 1g or 10gSAR versus time shall not exceed the regulatory 1g or 10gSAR<sub>limit</sub> limit.

## Appendixes

Refer to separated files for the following appendixes.

**4791196575-S1 FCC Report RF exposure Part2\_App A\_Test setup photos**

**End OF REPORT**