

SAR Test Report

Report No. : SFBERD-WTW-P22010914

Applicant : Honeywell International Inc.

Address : 9680 Old Bailes Road, Fort Mill, SC 29707 USA

Product : Dolphin CT60

FCC ID : HD5-CT60L1N

Brand : Honeywell

Model No. : CT60L1N

Standards : FCC 47 CFR Part 2 (2.1093), IEEE C95.1:1992, IEEE Std 1528:2013

KDB 865664 D01 v01r04, KDB 865664 D02 v01r02, KDB 248227 D01 v02r02, KDB 447498 D01 v06, KDB 648474 D04 v01r03, KDB 941225 D01 v03r01,

KDB 941225 D05 v02r05, KDB 941225 D06 v02r01

Sample Received Date : Feb. 03, 2022

Date of Testing : Feb. 09, 2022 ~ Feb. 17, 2022

Lab Address : No. 47-2, 14th Ling, Chia Pau Vil., Lin Kou Dist., New Taipei City, Taiwan

Test Location : No. 19, Hwa Ya 2nd Rd., Wen Hwa Vil., Kwei Shan Dist., Taoyuan City, Taiwan

CERTIFICATION: The above equipment have been tested by **Bureau Veritas Consumer Products Services (H.K.) Ltd., Taoyuan Branch–Lin Kou Laboratories**, and found compliance with the requirement of the above standards. The test record, data evaluation & Equipment Under Test (EUT) configurations represented herein are true and accurate accounts of the measurements of the sample's SAR characteristics under the conditions specified in this report. It should not be reproduced except in full, without the written approval of our laboratory. The client should not use it to claim product certification, approval, or endorsement by TAF or any government agencies.

This report is issued as a supplementary report to BV CPS report no.: SA171122C17-1. The differences compared with original report is changing NFC Chip, HW/SW, GSM850 & CDMA BC0/10 & LTE 7 max tune up power, adding WLAN 2.4G_n40, updating standard to the latest version, and refer to section 2 for more details.

Prepared By:

Vera Huang / Specialist

Approved By:

Gordon Lin / Manager





FCC Accredited No.: TW0003

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Release Control Record

Report No.	Reason for Change	Date Issued
SFBERD-WTW-P22010914	Initial release	Apr. 22, 2022

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1. Summary of Maximum SAR Value

Equipment Class	Mode	Highest SAR _{1g} Head (W/kg)	Highest SAR₁g Body-worn (W/kg)	Highest SAR _{1g} Hotspot (W/kg)	Highest SAR _{10g} Extremity (W/kg)
	GSM850	0.42	0.41	0.41	N/A
	GSM1900	0.34	0.55	0.55	N/A
	WCDMA II	0.74	1.12	1.12	N/A
	WCDMA IV	0.63	1.18	1.18	N/A
	WCDMA V	0.55	0.67	0.67	N/A
	CDMA BC0	0.61	0.63	0.63	N/A
	CDMA BC1	0.47	<mark>1.19</mark>	<mark>1.19</mark>	N/A
	CDMA BC10	0.57	0.46	0.46	N/A
PCE	LTE 2	0.73	1.06	1.06	N/A
PCE	LTE 4	0.68	0.93	0.93	N/A
	LTE 5	0.45	0.55	0.55	N/A
	LTE 7	0.45	1.14	1.14	N/A
	LTE 12 & 17	0.21	0.53	0.53	N/A
	LTE 13	0.36	0.58	0.58	N/A
	LTE 25	0.51	0.87	0.87	N/A
	LTE 26	0.37	0.51	0.51	N/A
	LTE 38	0.24	0.46	0.56	N/A
	LTE 41	0.25	0.46	0.59	N/A
DTS	2.4G WLAN	<mark>0.63</mark>	0.20	0.20	<mark>0.52</mark>
	5.2G WLAN	N/A	N/A	0.40	N/A
NII	5.3G WLAN	0.42	0.29	N/A	0.28
INII	5.6G WLAN	0.29	0.10	N/A	0.20
	5.8G WLAN	0.26	0.05	0.22	N/A
DSS	Bluetooth	0.05	0.00	0.00	N/A
DXX	NFC	N/A	N/A	N/A	N/A

Highest Simultaneous Transmission SAR	Highest SAR₁g	Highest SAR₁g	Highest SAR₁g	Highest SAR _{10g}
	Head	Body-worn	Hotspot	Extremity
	(W/kg)	(W/kg)	(W/kg)	(W/kg)
	1.24	1.42	1.45	N/A

Note:

- 1. The SAR criteria (Head & Body: SAR-1g1.6 W/kg, and Extremity: SAR-10g 4.0 W/kg) for general population/uncontrolled exposure is specified in FCC 47 CFR part 2 (2.1093) and ANSI/IEEE C95.1-1992.
- 2. Due to that GSM850 & CDMA BC0/10 & LTE 7 max tune up power were changed, thus these bands have been retest.
- 3. Due to the hardware change is not impact RF exposure immediately, so doing the spot check for this changing. Pick up band WCDMA II and WLAN5.3G for head mode, band CDMA BC1 and WLAN5.3G for body mode, band CDMA BC1 and WLAN5.2G for hotspot mode and WLAN 2.4G for extremity mode.
- 4. Summary table show worst case in original report number BV CPS report no.: SA171122C17-1

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2. <u>Description of Equipment Under Test</u>

EUT Type	Dolphin CT60
FCC ID	HD5-CT60L1N
Brand Name	Honeywell
Model Name	CT60L1N
HW Version	V1.1
HW P/N	DVT
SW Version	OS.05.001-HON.03.002
SW P/N	477D
Tx Frequency Bands (Unit: MHz)	GSM850: 824.2 ~ 848.8 GSM1900: 1850.2 ~ 1909.8 WCDMA Band II: 1852.4 ~ 1907.6 WCDMA Band IV: 1712.4 ~ 1752.6 WCDMA Band V: 826.4 ~ 846.6 CDMA BC0: 824.7 ~ 848.31 CDMA BC1: 1851.25 ~ 1908.75 CDMA BC10: 817.9 ~ 823.1 LTE Band 2: 1850.7 ~ 1909.3 (BW: 1.4M, 3M, 5M, 10M, 15M, 20M) LTE Band 4: 1710.7 ~ 1754.3 (BW: 1.4M, 3M, 5M, 10M, 15M, 20M) LTE Band 5: 824.7 ~ 848.3 (BW: 1.4M, 3M, 5M, 10M) LTE Band 7: 2502.5 ~ 2567.5 (BW: 5M, 10M, 15M, 20M) LTE Band 12: 699.7 ~ 715.3 (BW: 1.4M, 3M, 5M, 10M) LTE Band 13: 779.5 ~ 784.5 (BW: 5M, 10M) LTE Band 17: 706.5 ~ 713.5 (BW: 5M, 10M) LTE Band 25: 1850.7 ~ 1914.3 (BW: 1.4M, 3M, 5M, 10M, 15M, 20M) LTE Band 26: 814.7 ~ 848.3 (BW: 1.4M, 3M, 5M, 10M, 15M, 20M) LTE Band 38: 2572.5 ~ 2617.5 (BW: 5M, 10M, 15M, 20M) LTE Band 41: 2498.5 ~ 2687.5 (BW: 5M, 10M, 15M, 20M) LTE Band 41: 2498.5 ~ 2687.5 (BW: 5M, 10M, 15M, 20M) LTE Band 41: 2498.5 ~ 2687.5 (BW: 5M, 10M, 15M, 20M) LTE Band 41: 2498.5 ~ 2687.5 (BW: 5M, 10M, 15M, 20M) LTE Band 41: 2498.5 ~ 2687.5 (BW: 5M, 10M, 15M, 20M) LTE Band 41: 2498.5 ~ 2687.5 (BW: 5M, 10M, 15M, 20M) LTE Band 41: 2498.5 ~ 2687.5 (BW: 5M, 10M, 15M, 20M) LTE Band 41: 2498.5 ~ 2687.5 (BW: 5M, 10M, 15M, 20M)
Uplink Modulations	GSM & GPRS : GMSK EDGE : 8PSK WCDMA : QPSK CDMA : QPSK LTE : QPSK, 16QAM, 64QAM 802.11b : DSSS 802.11a/g/n/ac : OFDM Bluetooth : GFSK, π/4-DQPSK, 8-DPSK NFC : ASK
Maximum Tune-up Conducted Power (Unit: dBm)	Please refer to Annex D.
Antenna Type	WWAN/WLAN/BT: PIFA Antenna (Peak Antenna Gain : 0.62 dBi for 2.4GHz, 1.14 dBi for 5GHz) NFC: Loop Antenna
EUT Stage	Engineering sample

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Note:

1. Refer to below table for the change list.

SOM Change list				
RF Module	Underfill Modified			
RF Module	LPDDR4x Layout Optimization			
RF Module	Wi-Fi Layout Optimization			
RF Module	WWAN Path Optimization			
RF Module	WWAN Shielding Frame Optimization			
RF Module	WWAN PA Power Optimization			
RF Module	SOM PAD Mask Optimization			
RF Module	Change DC regulator and WLAN amplifier DC power			
RF Module	BOM Change for Optimization **			
RF Module	B25 Duplexer-AVAGO-ACMD-6225-TR1			
RF Module	B40 TRX filter-AVAGO-ACPF-8240-TR1			
RF Module	Remove un-used CLK trace WCN_CLK			
RF Module	WIFI 11b Power reduction from 18+/-1.5 dB to 17.5+/-1.5 dB **			
RF Module	LTE 7 Power reduction from 23.4 + 1 / -2.7 dB to 23 + 1 / -2.7dB **			
RF Module	GSM 850 Power reduction for Head with WIFI ON mode from 33.4 + 1 / - 2 dB to 32.8 + 1 / -2 dB **			
RF Module	CDMA2K BC0 Power reduction for Head with WIFI ON mode from 24.4 +/- 1 dB to 23.8 + /- 1dB **			
RF Module	CDMA2K BC10 Power reduction for Head with WIFI ON mode from 24.4 +/- 1 dB to 23.8 + /- 1dB **			
RF Module Enable WIFI 2.4G N40 by software				
	Carrier board Change list			
Carrier Board	Scanner change to N6703 imager			
Carrier Board	Add 1F/2.7V supercap			
Carrier Board	Add MAX38888 DC/DC for supercap charge/ change discharge circuit			
Carrier Board	Add low battery protection circuit			
Carrier Board	Change speaker and add a connector for it			
Carrier Board	Change ADS1014 to ADS1015 to add supercap voltage detection			
Carrier Board	AUX antenna tuner circuit change placement location			
Carrier Board	Upgrade the SOM to SOM4			
Carrier Board	Add a new model battery			
Carrier Board	NFC Controller from NQ310 to NQ410			
Carrier Board	Add the second source (OV13855 Camera, S0703VE insertion			
Carrier Board	Add the second source (ESD, ADC, OPT Sensor, Translator, 6-axis sensor, Pressure sensor, Analog switch)			

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- 2. The above Antenna information is declared by manufacturer and for more detailed features description, please refer to the manufacturer's specifications, the laboratory shall not be held responsible.
- 3. The above EUT information is declared by manufacturer and for more detailed features description please refers to the manufacturer's specifications or User's Manual.

List of Accessory:

	Brand Name	Inventus
Datton: 1	Model Name	CT50-BTSC
Battery 1	Power Rating	3.6Vdc, 4040mAh, 14.6Wh
	Туре	Li-ion
	Brand Name	Honeywell
Battery 2	Model Name	CT50-BTSC
(For test)	Power Rating	3.85Vdc, 4020mAh, 15.5Wh
	Туре	Li-ion Li-ion
Holster 1	Brand Name	Honeywell
(Support unit)	Model Name	825-238-001
Holster 2	Brand Name	Honeywell
(Support unit)	Model Name	825-237-001

Report Issue History Record:

Issue No.	Description	Date Issued
SA171122C17-1	Initial release	Jan. 05, 2018
SFBERD-WTW-P22010914	Change NFC Chip, HW/SW Change GSM850 & CDMA BC0/10 & LTE 7 max tune up power Add WLAN 2.4G_n40 Update standard to the latest version	Apr. 22, 2022

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3. SAR Measurement System

3.1 <u>Definition of Specific Absorption Rate (SAR)</u>

SAR is related to the rate at which energy is absorbed per unit mass in an object exposed to a radio field. The SAR distribution in a biological body is complicated and is usually carried out by experimental techniques or numerical modeling. The standard recommends limits for two tiers of groups, occupational/controlled and general population/uncontrolled, based on a person's awareness and ability to exercise control over his or her exposure. In general, occupational/controlled exposure limits are higher than the limits for general population/uncontrolled.

The SAR definition is the time derivative (rate) of the incremental energy (dW) absorbed by (dissipated in) an incremental mass (dm) contained in a volume element (dv) of a given density (ρ). The equation description is as below:

$$SAR = \frac{d}{dt} \left(\frac{dW}{dm} \right) = \frac{d}{dt} \left(\frac{dW}{\rho dv} \right)$$

SAR is expressed in units of Watts per kilogram (W/kg)

SAR measurement can be related to the electrical field in the tissue by

$$SAR = \frac{\sigma |E|^2}{\rho}$$

Where: σ is the conductivity of the tissue, ρ is the mass density of the tissue and E is the RMS electrical field strength.

3.2 SPEAG DASY6 System

DASY6 system consists of high precision robot, probe alignment sensor, phantom, robot controller, controlled measurement server and near-field probe. The robot includes six axes that can move to the precision position of the DASY6 software defined. The DASY6 software can define the area that is detected by the probe. The robot is connected to controlled box. Controlled measurement server is connected to the controlled robot box. The DAE includes amplifier, signal multiplexing, AD converter, offset measurement and surface detection. It is connected to the Electro-optical coupler (EOC). The EOC performs the conversion form the optical into digital electric signal of the DAE and transfers data to the PC.

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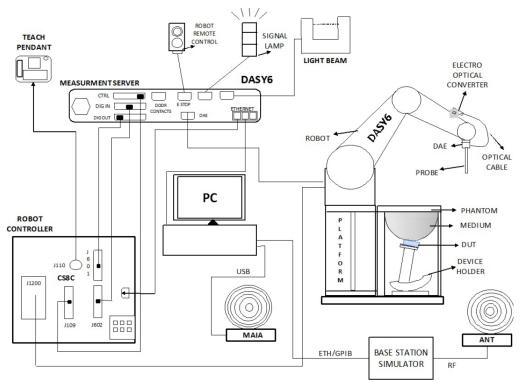


Fig-3.1 SPEAG DASY6 System Setup

3.2.1 Robot

The DASY6 systems use the high precision robots from Stäubli SA (France). For the 6-axis controller system, the robot controller version of CS8c from Stäubli is used. The Stäubli robot series have many features that are important for our application:

- High precision (repeatability ±0.035 mm)
- High reliability (industrial design)
- · Jerk-free straight movements
- · Low ELF interference (the closed metallic construction shields against motor control fields)



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3.2.2 Probes

The SAR measurement is conducted with the dosimetric probe. The probe is specially designed and calibrated for use in liquid with high permittivity. The dosimetric probe has special calibration in liquid at different frequency.

Model	EX3DV4	
Construction	Symmetrical design with triangular core. Built-in shielding against static charges. PEEK enclosure material (resistant to organic solvents, e.g., DGBE).	
Frequency	4 MHz to 10 GHz Linearity: ± 0.2 dB	
Directivity	± 0.1 dB in TSL (rotation around probe axis) ± 0.3 dB in TSL (rotation normal to probe axis)	
Dynamic Range	10 μW/g to 100 mW/g Linearity: ± 0.2 dB (noise: typically < 1 μW/g)	
Dimensions	Overall length: 337 mm (Tip: 20 mm) Tip diameter: 2.5 mm (Body: 12 mm) Typical distance from probe tip to dipole centers: 1 mm	

3.2.3 Data Acquisition Electronics (DAE)

Model	DAE3, DAE4		
Construction	Signal amplifier, multiplexer, A/D converter and control logic. Serial optical link for communication with DASY embedded system (fully remote controlled). Two step probe touch detector for mechanical surface detection and emergency robot stop.		
Measurement Range	-100 to +300 mV (16 bit resolution and two range settings: 4mV, 400mV)	The labor	
Input Offset Voltage	< 5µV (with auto zero)		
Input Bias Current	< 50 fA		
Dimensions	60 x 60 x 68 mm		

3.2.4 Phantoms

Model	SAM-Twin Phantom	
Construction	The shell corresponds to the specifications of the Specific Anthropomorphic Mannequin (SAM) phantom defined in IEEE Std 1528 and IEC 62209-1. It enables the dosimetric evaluation of left and right hand phone usage as well as bodymounted usage at the flat phantom region. A cover prevents evaporation of the liquid. Reference markings on the phantom allow the complete setup of all predefined phantom positions and measurement grids by teaching three points with the robot.	
Material	Vinylester, fiberglass reinforced (VE-GF)	
Shell Thickness	2 ± 0.2 mm (6 ± 0.2 mm at ear point)	
Dimensions	Length: 1000 mm Width: 500 mm Height: adjustable feet	
Filling Volume	approx. 25 liters	

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Model	ELI	
Construction	The ELI phantom is used for compliance testing of handheld and body-mounted wireless devices. ELI is fully compatible with the IEC 62209-2 standard and all known tissue simulating liquids. ELI has been optimized regarding its performance and can be integrated into our standard phantom tables. A cover prevents evaporation of the liquid. Reference markings on the phantom allow installation of the complete setup, including all predefined phantom positions and measurement grids, by teaching three points. The phantom is compatible with all SPEAG dosimetric probes and dipoles.	
Material	Vinylester, fiberglass reinforced (VE-GF)	
Shell Thickness	2.0 ± 0.2 mm (bottom plate)	
Dimensions	Major axis: 600 mm Minor axis: 400 mm	
Filling Volume	approx. 30 liters	

3.2.5 Device Holder

Model	MD4HHTV5 - Mounting Device for Hand-Held Transmitters	*100
Construction	In combination with the Twin SAM or ELI phantoms, the Mounting Device for Hand-Held Transmitters enables rotation of the mounted transmitter device to specified spherical coordinates. At the heads, the rotation axis is at the ear opening. Transmitter devices can be easily and accurately positioned according to IEC 62209-1, IEEE 1528, FCC, or other specifications. The device holder can be locked for positioning at different phantom sections (left head, right head, flat).	
Material	Polyoxymethylene (POM)	R

Model	MDA4WTV5 - Mounting Device Adaptor for Ultra Wide Transmitters	Pro-R
Construction	An upgrade kit to Mounting Device to enable easy mounting of wider devices like big smart-phones, e-books, small tablets, etc. It holds devices with width up to 140 mm.	
Material	Polyoxymethylene (POM)	

Model	MDA4SPV6 - Mounting Device Adaptor for Smart Phones	
Construction	The solid low-density MDA4SPV6 adaptor assuring no impact on the DUT radiation performance and is conform with any DUT design and shape.	
Material	ROHACELL	

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Model	MD4LAPV5 - Mounting Device for Laptops and other Body- Worn Transmitters)
Construction	In combination with the Twin SAM or ELI phantoms, the Mounting Device (Body-Worn) enables testing of transmitter devices according to IEC 62209-2 specifications. The device holder can be locked for positioning at a flat phantom section.	N OF
Material	Polyoxymethylene (POM), PET-G, Foam	

3.2.6 System Validation Dipoles

Model	D-Serial	
Construction	Symmetrical dipole with I/4 balun. Enables measurement of feed point impedance with NWA. Matched for use near flat phantoms filled with tissue simulating solutions.	
Frequency	750 MHz to 5800 MHz	
Return Loss	> 20 dB	
Power Capability	> 100 W (f < 1GHz), > 40 W (f > 1GHz)	

3.2.7 Power Source

Model	Powersource1	
Signal Type	Continuous Wave	
Operating Frequencies	600 MHz to 5850 MHz	SURCE
Output Power	-5.0 dBm to +17.0 dBm	POWERSOURCE
Power Supply	5V DC, via USB jack	128
Power Consumption	<3 W	
Applications	System performance check and validation with a CW signal.	

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3.2.8 Tissue Simulating Liquids

For SAR measurement of the field distribution inside the phantom, the phantom must be filled with homogeneous tissue simulating liquid to a depth of at least 15 cm. For head SAR testing, the liquid height from the ear reference point (ERP) of the phantom to the liquid top surface is larger than 15 cm. For body SAR testing, the liquid height from the center of the flat phantom to the liquid top surface is larger than 15 cm. The nominal dielectric values of the tissue simulating liquids in the phantom and the tolerance of 10 % are listed in Table-3.1.

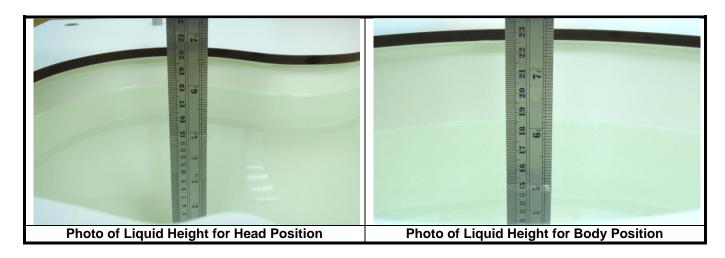


Table-3.1 Targets of Tissue Simulating Liquid

Frequency	Target	Range of	Target	Range of
(MHz)	Permittivity	±10 %	Conductivity	±10 %
450	43.5	39.2 ~ 47.9	0.87	0.78 ~ 0.96
750	41.9	37.7 ~ 46.1	0.89	0.80 ~ 0.98
835	41.5	37.4 ~ 45.7	0.90	0.81 ~ 0.99
900	41.5	37.4 ~ 45.7	0.97	0.87 ~ 1.07
1450	40.5	36.5 ~ 44.6	1.20	1.08 ~ 1.32
1500	40.4	36.4 ~ 44.4	1.23	1.11 ~ 1.35
1640	40.2	36.2 ~ 44.2	1.31	1.18 ~ 1.44
1750	40.1	36.1 ~ 44.1	1.37	1.23 ~ 1.51
1800	40.0	36.0 ~ 44.0	1.40	1.26 ~ 1.54
1900	40.0	36.0 ~ 44.0	1.40	1.26 ~ 1.54
2000	40.0	36.0 ~ 44.0	1.40	1.26 ~ 1.54
2100	39.8	35.8 ~ 43.8	1.49	1.34 ~ 1.64
2300	39.5	35.6 ~ 43.5	1.67	1.50 ~ 1.84
2450	39.2	35.3 ~ 43.1	1.80	1.62 ~ 1.98
2600	39.0	35.1 ~ 42.9	1.96	1.76 ~ 2.16
3000	38.5	34.7 ~ 42.4	2.40	2.16 ~ 2.64
3500	37.9	34.1 ~ 41.7	2.91	2.62 ~ 3.20
4000	37.4	33.7 ~ 41.1	3.43	3.09 ~ 3.77
4500	36.8	33.1 ~ 40.5	3.94	3.55 ~ 4.33
5000	36.2	32.6 ~ 39.8	4.45	4.01 ~ 4.90
5200	36.0	32.4 ~ 39.6	4.66	4.19 ~ 5.13
5400	35.8	32.2 ~ 39.4	4.86	4.37 ~ 5.35
5600	35.5	32.0 ~ 39.1	5.07	4.56 ~ 5.58
5800	35.3	31.8 ~ 38.8	5.27	4.74 ~ 5.80
6000	35.1	31.6 ~ 38.6	5.48	4.93 ~ 6.03

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The dielectric properties of the tissue simulating liquids are defined in IEC 62209-1 and IEC 62209-2. The dielectric properties of the tissue simulating liquids were verified prior to the SAR evaluation using a dielectric assessment kit and a network analyzer.

Since the range of ± 10 % of the required target values is used to measure relative permittivity and conductivity, the SAR correction procedure is applied to correct measured SAR for the deviations in permittivity and conductivity. Only positive correction has been used to scale up the measured SAR, and SAR result would not be corrected if the correction Δ SAR has a negative sign.

The following table gives the recipes for tissue simulating liquids.

Table-3.2 Recipes of Tissue Simulating Liquid

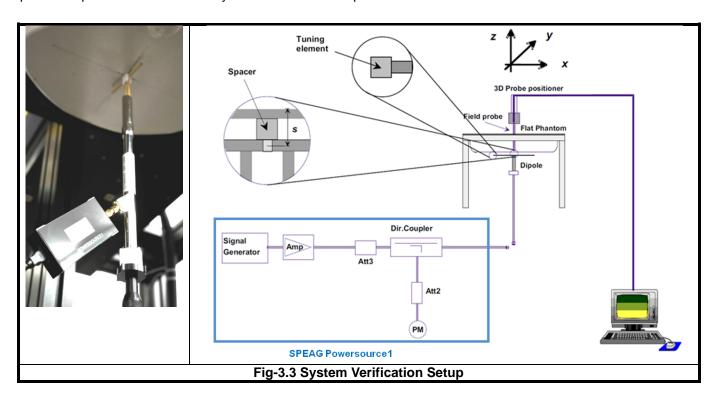
	Table-3.2 Recipes of Tissue Simulating Liquid										
Tissue Type	Bactericide	DGBE	HEC	NaCl	Sucrose	Triton X-100	Water	Diethylene Glycol Mono- hexylether			
H750	0.2	-	0.2	1.5	56.0	-	42.1	-			
H835	0.2	-	0.2	1.5	57.0	-	41.1	-			
H900	0.2	-	0.2	1.4	58.0	-	40.2	-			
H1450	-	43.3	-	0.6	-	-	56.1	-			
H1640	-	45.8	-	0.5	-	-	53.7	-			
H1750	-	47.0	-	0.4	-	-	52.6	-			
H1800	-	44.5	-	0.3	-	-	55.2	-			
H1900	-	44.5	-	0.2	-	-	55.3	-			
H2000	-	44.5	-	0.1	-	-	55.4	-			
H2300	-	44.9	-	0.1	-	-	55.0	-			
H2450	-	45.0	-	0.1	-	-	54.9	-			
H2600	-	45.1	-	0.1	-	-	54.8	-			
H3500	-	8.0	-	0.2	-	20.0	71.8	-			
H5G	-	-	-	-	-	17.2	65.5	17.3			

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3.3 SAR System Verification

The system check verifies that the system operates within its specifications. It is performed daily or before every SAR measurement. The system check uses normal SAR measurements in the flat section of the phantom with a matched dipole at a specified distance. The system verification setup is shown as below.



The SPEAG Powersource1 is a portable and very stable RF source providing a continuous wave (CW) signal. It is designed for conducting SAR system checks and SAR system validation of DASY and is compatible with IEC 62209-1, IEC 62209-2 and IEEE Std 1528 standards. The Powersource1 has been calibrated by SPEAG's ISO/IEC 17025-accredited calibration center. When using Powersource1, the setup can be simplified, as shown in Fig-3.3. The signal purity is warranted by design. Since the Powersource1 is calibrated, no additional equipment is needed and the Powersource1 can directly be connected to the SMA connector of the dipole without a cable as all separate components (signal generator, amplifier, coupler and power meter) are built into the unit.

The validation dipole is placed beneath the flat phantom with the specific spacer in place. The distance spacer is touch the phantom surface with a light pressure at the reference marking and be oriented parallel to the long side of the phantom. The Powersource1 is adjusted for the desired forward power of 17 dBm at the dipole connector and the RF output power would be turned on. After system check testing, the SAR result will be normalized to 1W forward input power and compared with the reference SAR value derived from validation dipole certificate report. The deviation of system check should be within 10 %.

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3.4 SAR Measurement Procedure

According to the SAR test standard, the recommended procedure for assessing the peak spatial-average SAR value consists of the following steps:

- (a) Power reference measurement
- (b) Area scan
- (c) Zoom scan
- (d) Power drift measurement

The SAR measurement procedures for each of test conditions are as follows:

- (a) Make EUT to transmit maximum output power
- (b) Measure conducted output power through RF cable
- (c) Place the EUT in the specific position of phantom
- (d) Perform SAR testing steps on the DASY system
- (e) Record the SAR value

3.4.1 Area Scan and Zoom Scan Procedure

First area scan is used to locate the approximate location(s) of the local peak SAR value(s). The measurement grid within an area scan is defined by the grid extent, grid step size and grid offset. Next, in order to determine the EM field distribution in a three-dimensional spatial extension, zoom scan is required. The zoom scan is performed around the highest E-field value to determine the averaged SAR-distribution.

Measure the local SAR at a test point at 1.4 mm of the inner surface of the phantom recommended by SEPAG. The area scan (two-dimensional SAR distribution) is performed cover at least an area larger than the projection of the EUT or antenna. The measurement resolution and spatial resolution for interpolation shall be chosen to allow identification of the local peak locations to within one-half of the linear dimension of the corresponding side of the zoom scan volume. Following table provides the measurement parameters required for the area scan.

Parameter	<i>f</i> ≤ 3 GHz	$3 \text{ GHz} < f \leq 6 \text{ GHz}$		
Maximum distance from closest measurement point to phantom surface	5 ± 1	δ ln(2)/2 ±0.5		
Maximum probe angle from probe axis to phantom surface normal at the measurement location	30° ±1°	20° ±1°		
Maximum area scan spatial resolution: Δx _{Area} , Δy _{Area}	≦2 GHz: ≦15 mm 2 − 3 GHz: ≦12 mm	$3 - 4 \text{ GHz: } \leq 12 \text{ mm}$ $4 - 6 \text{ GHz: } \leq 10 \text{ mm}$		

From the scanned SAR distribution, identify the position of the maximum SAR value, in addition identify the positions of any local maxima with SAR values within 2 dB of the maximum value that will not be within the zoom scan of other peaks. Additional peaks shall be measured only when the primary peak is within 2 dB of the SAR compliance limit (e.g. 1 W/kg for 1.6 W/kg, 1 g limit; or 1.26 W/kg, 10 g limit).

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The zoom scan (three-dimensional SAR distribution) is performed at the local maxima locations identified in previous area scan procedure. The zoom scan volume must be larger than the required minimum dimensions. When graded grids are used, which only applies in the direction normal to the phantom surface, the initial grid separation closest to the phantom surface and subsequent graded grid increment ratios must satisfy the required protocols. The 1-g SAR averaging volume must be fully contained within the zoom scan measurement volume boundaries; otherwise, the measurement must be repeated by shifting or expanding the zoom scan volume. The similar requirements also apply to 10-g SAR measurements. Following table provides the measurement parameters required for the zoom scan.

Para	ameter	<i>f</i> ≤ 3 GHz	3 GHz < <i>f</i> ≤ 6 GHz	
Maximum zoom scan spatial reso	olution: Δx _{Zoom} , Δy _{Zoom}	≦2 GHz: ≦8 mm 2 – 3 GHz: ≦5 mm	3 – 4 GHz: ≦5 mm 4 – 6 GHz: ≦4 mm	
Maximum zoom scan spatial resolution, normal to phantom surface	uniform grid: Δz _{Zoom} (n)	<u>≤</u> 5 mm	3 – 4 GHz: ≦4 mm 4 – 5 GHz: ≦3 mm 5 – 6 GHz: ≦2 mm	
	graded grids: Δz _{zoom} (1)	≦4 mm	3 – 4 GHz: ≦3.0 mm 4 – 5 GHz: ≦2.5 mm 5 – 6 GHz: ≦2.0 mm	
	$\Delta z_{Zoom}(n>1)$	<u>≤</u> 1.5·Δz _{zoo}	_m (n-1) mm	
Minimum zoom scan volume (x, y	/, z)	≥30 mm	3 – 4 GHz: ≥28 mm 4 – 5 GHz: ≥25 mm 5 – 6 GHz: ≥22 mm	

Per IEC 62209-2 AMD1, the successively higher resolution zoom scan is required if the zoom scan measured as defined above complies with both of the following criteria, or if the peak spatial-average SAR is below 0.1 W/kg, no additional measurements are needed:

- (1) The smallest horizontal distance from the local SAR peaks to all points 3 dB below the SAR peak shall be larger than the horizontal grid steps in both x and y directions (Δx, Δy). This shall be checked for the measured zoom scan plane conformal to the phantom at the distance zM1.
- (2) The ratio of the SAR at the second measured point (M2) to the SAR at the closest measured point (M1) at the x-y location of the measured maximum SAR value shall be at least 30 %.

If one or both of the above criteria are not met, the zoom scan measurement shall be repeated using a finer resolution. New horizontal and vertical grid steps shall be determined from the measured SAR distribution so that the above criteria are met. Compliance with the above two criteria shall be demonstrated for the new measured zoom scan.

3.4.2 Volume Scan Procedure

The volume scan is used for assess overlapping SAR distributions for antennas transmitting in different frequency bands. It is equivalent to an oversized zoom scan used in standalone measurements. The measurement volume will be used to enclose all the simultaneous transmitting antennas. For antennas transmitting simultaneously in different frequency bands, the volume scan is measured separately in each frequency band. In order to sum correctly to compute the 1g aggregate SAR, the EUT remain in the same test position for all measurements and all volume scan use the same spatial resolution and grid spacing. When all volume scan were completed, the software, SEMCAD postprocessor can combine and subsequently superpose these measurement data to calculating the multiband SAR.

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3.4.3 Power Drift Monitoring

All SAR testing is under the EUT install full charged battery and transmit maximum output power. In DASY measurement software, the power reference measurement and power drift measurement procedures are used for monitoring the power drift of EUT during SAR test. Both these procedures measure the field at a specified reference position before and after the SAR testing. The software will calculate the field difference in dB. If the power drift more than 5%, the SAR will be retested.

3.4.4 Spatial Peak SAR Evaluation

The procedure for spatial peak SAR evaluation has been implemented according to the test standard. It can be conducted for 1g and 10g, as well as for user-specific masses. The DASY software includes all numerical procedures necessary to evaluate the spatial peak SAR value.

The base for the evaluation is a "cube" measurement. The measured volume must include the 1g and 10g cubes with the highest averaged SAR values. For that purpose, the center of the measured volume is aligned to the interpolated peak SAR value of a previously performed area scan.

The entire evaluation of the spatial peak values is performed within the post-processing engine (SEMCAD). The system always gives the maximum values for the 1g and 10g cubes. The algorithm to find the cube with highest averaged SAR is divided into the following stages:

- (a) Extraction of the measured data (grid and values) from the Zoom Scan
- (b) Calculation of the SAR value at every measurement point based on all stored data (A/D values and measurement parameters)
- (c) Generation of a high-resolution mesh within the measured volume
- (d) Interpolation of all measured values form the measurement grid to the high-resolution grid
- (e) Extrapolation of the entire 3-D field distribution to the phantom surface over the distance from sensor to surface
- (f) Calculation of the averaged SAR within masses of 1g and 10g

3.4.5 SAR Averaged Methods

In DASY, the interpolation and extrapolation are both based on the modified Quadratic Shepard's method. The interpolation scheme combines a least-square fitted function method and a weighted average method which are the two basic types of computational interpolation and approximation.

Extrapolation routines are used to obtain SAR values between the lowest measurement points and the inner phantom surface. The extrapolation distance is determined by the surface detection distance and the probe sensor offset. The uncertainty increases with the extrapolation distance. To keep the uncertainty within 1% for the 1 g and 10 g cubes, the extrapolation distance should not be larger than 5 mm.

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4. SAR Measurement Evaluation

4.1 EUT Configuration and Setting

<Connections between EUT and System Simulator>

For WWAN SAR testing, the EUT was linked and controlled by base station emulator. Communication between the EUT and the emulator was established by air link. The distance between the EUT and the communicating antenna of the emulator is larger than 50 cm and the output power radiated from the emulator antenna is at least 30 dB smaller than the output power of EUT. The EUT was set from the emulator to radiate maximum output power during SAR testing.

<Considerations Related to GSM / GPRS / EDGE for Setup and Testing>

The maximum multi-slot capability supported by this device is as below.

- 1. This EUT is class B device
- 2. This EUT supports GPRS multi-slot class 12 (max. uplink: 4, max. downlink: 4, total timeslots: 5)
- 3. This EUT supports EDGE multi-slot class 12 (max. uplink: 4, max. downlink: 4, total timeslots: 5)

For GSM850 frequency band, the power control level is set to 5 for GSM mode and GPRS (GMSK: CS1), and set to 8 for EDGE (GMSK: MCS1, 8PSK: MCS9). For GSM1900 frequency band, the power control level is set to 0 for GSM mode and GPRS (GMSK: CS1), and set to 2 for EDGE (GMSK: MCS1, 8PSK: MCS9).

SAR test reduction for GPRS and EDGE modes is determined by the source-based time-averaged output power specified for production units, including tune-up tolerance. The data mode with highest specified time-averaged output power should be tested for SAR compliance in the applicable exposure conditions. For modes with the same specified maximum output power and tolerance, the higher number time-slot configuration should be tested.

<Considerations Related to WCDMA for Setup and Testing> WCDMA Handsets Head SAR

SAR for next to the ear head exposure is measured using a 12.2 kbps RMC with TPC bits configured to all "1's". The 3G SAR test reduction procedure is applied to AMR configurations with 12.2 kbps RMC as the primary mode.

WCDMA Handsets Body-worn SAR

SAR for body-worn configurations is measured using a 12.2 kbps RMC with TPC bits configured to all "1's". The 3G SAR test reduction procedure is applied to other spreading codes and multiple $DPDCH_n$ configurations supported by the handset with 12.2 kbps RMC as the primary mode.

Handsets with Release 5 HSDPA

The 3G SAR test reduction procedure is applied to HSDPA body-worn configurations with 12.2 kbps RMC as the primary mode. Otherwise, SAR is measured for HSDPA using the HSDPA body SAR procedures in the "Release 5 HSDPA Data Devices", for the highest reported SAR body-worn exposure configuration in 12.2 kbps RMC. Handsets with both HSDPA and HSUPA are tested according to Release 6 HSPA test procedures.

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Handsets with Release 6 HSUPA

The 3G SAR test reduction procedure is applied to HSPA (HSUPA/HSDPA with RMC) body-worn configurations with 12.2 kbps RMC as the primary mode. Otherwise, SAR is measured for HSPA using the HSPA body SAR procedures in the "Release 6 HSPA Data Devices", for the highest reported body-worn exposure SAR configuration in 12.2 kbps RMC. When VOIP is applicable for next to the ear head exposure in HSPA, the 3G SAR test reduction procedure is applied to HSPA with 12.2 kbps RMC as the primary mode; otherwise, the same HSPA configuration used for bodyworn measurements is tested for next to the ear head exposure.

Release 5 HSDPA Data Devices

The 3G SAR test reduction procedure is applied to body SAR with 12.2 kbps RMC as the primary mode. Otherwise, body SAR for HSDPA is measured using an FRC with H-Set 1 in Sub-test 1 and a 12.2 kbps RMC configured in Test Loop Mode 1, for the highest reported SAR configuration in 12.2 kbps RMC without HSDPA. HSDPA is configured according to the applicable UE category of a test device. The number of HS-DSCH / HS-PDSCHs, HARQ processes, minimum inter-TTI interval, transport block sizes and RV coding sequence are defined by the H-set. To maintain a consistent test configuration and stable transmission conditions, QPSK is used in the H-set for SAR testing. HS-DPCCH should be configured with a CQI feedback cycle of 4 ms and a CQI repetition factor of 2 to maintain a constant rate of active CQI slots. DPCCH and DPDCH gain factors (β_c , β_d), and HS-DPCCH power offset parameters (Δ_{ACK} , Δ_{NACK} , Δ_{CQI}) are set according to values indicated in below. The CQI value is determined by the UE category, transport block size, number of HS-PDSCHs and modulation used in the H-set.

Sub-test	βο	β_d	β _d (SF)	β ₀ /β _d	β _{HS} ⁽¹⁾⁽²⁾	CM ⁽³⁾ (dB)	MPR ⁽³⁾ (dB)
1	2/15	15/15	64	2/15	4/15	0.0	0.0
2	12/15 ⁽⁴⁾	15/15 ⁽⁴⁾	64	12/15 ⁽⁴⁾	24/15	1.0	0.0
3	15/15	8/15	64	15/8	30/15	1.5	0.5
4	15/15	4/15	64	15/4	30/15	1.5	0.5

Note 1: Δ_{ACK} , Δ_{NACK} and Δ_{CQI} = 30/15 with β_{HS} = 30/15 * β_c .

Release 6 HSUPA Data Devices

The 3G SAR test reduction procedure is applied to body SAR with 12.2 kbps RMC as the primary mode. Otherwise, body SAR for HSPA is measured with E-DCH Sub-test 5, using H-Set 1 and QPSK for FRC and a 12.2 kbps RMC configured in Test Loop Mode 1 and power control algorithm 2, according to the highest reported body SAR configuration in 12.2 kbps RMC without HSPA. When VOIP applies to head exposure, the 3G SAR test reduction procedure is applied with 12.2 kbps RMC as the primary mode. Otherwise, the same HSPA configuration used for body SAR measurements are applied to head exposure testing. Due to inner loop power control requirements in HSPA, a communication test set is required for output power and SAR tests. The 12.2 kbps RMC, FRC H-set 1 and E-DCH configurations for HSPA are configured according to the β values indicated in below.

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Note 2: For the HS-DPCCH power mask requirement test in clause 5.2C, 5.7A, and the Error Vector Magnitude (EVM) with HS-DPCCH test in clause 5.13.1A, and HSDPA EVM with phase discontinuity in clause 5.13.1AA, Δ_{ACK} and Δ_{NACK} = 30/15 with β_{HS} = 30/15 * β_c , and Δ_{CQI} = 24/15 with β_{HS} = 24/15 * β_c .

Note 3: CM = 1 for $\beta_d/\beta_d = 12/15$, $\beta_{HS}/\beta_c = 24/15$. For all other combinations of DPDCH, DPCCH and HS-DPCCH the MPR is based on the relative CM difference. This is applicable for only UEs that support HSDPA in release 6 and later releases.

Note 4: For subtest 2 the β_d/β_d ratio of 12/15 for the TFC during the measurement period (TF1, TF0) is achieved by setting the signalled gain factors for the reference TFC (TF1, TF1) to $\beta_c = 11/15$ and $\beta_d = 15/15$.

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Sub-test	βο	βd	β _d (SF)	β _c / β _d	β _{HS} ⁽¹⁾	eta_{ec}	β _{ed} ⁽⁴⁾⁽⁵⁾	β _{ed} (SF)	β _{ed} (Codes)	CM ⁽²⁾ (dB)	MPR (2)(6) (dB)	AG ⁽⁵⁾ Index	E-TFCI
1	11/15 (3)	15/15 (3)	64	11/15 (3)	22/15	209/225	1309/225	4	1	1.0	0.0	20	75
2	6/15	15/15	64	6/15	12/15	12/15	94/75	4	1	3.0	2.0	12	67
3	15/15	9/15	64	15/9	30/15		β_{ed} 1: 47/15 β_{ed} 2: 47/15		2	2.0	1.0	15	92
4	2/15	15/15	64	2/15	4/15	2/15	56/75	4	1	3.0	2.0	17	71
5	15/15	0	-	-	5/15	5/15	47/15	4	1	1.0	0.0	12	67

- Note 1: For sub-test 1 to 4, \triangle ACK, \triangle NACK and \triangle CQI = 30/15 with β HS = 30/15 * β C. For sub-test 5, \triangle ACK, \triangle NACK and \triangle CQI = 5/15 with β HS = 5/15 * β C.
- Note 2: CM = 1 for β_o/β_d = 12/15, β_{HS/}β_c = 24/15. For all other combinations of DPDCH, DPCCH, HS-DPCCH, E-DPDCH and E-DPCCH the MPR is based on the relative CM difference.
- Note 3: For subtest 1 the β_c/β_d ratio of 11/15 for the TFC during the measurement period (TF1, TF0) is achieved by setting the signalled gain factors for the reference TFC (TF1, TF1) to β_c = 10/15 and β_d = 15/15.
- Note 4: In case of testing by UE using E-DPDCH Physical Layer category 1, Sub-test 3 is omitted according to TS25.306 Table 5.1g.
- Note 5: βed can not be set directly; it is set by Absolute Grant Value.
- Note 6: For subtests 2, 3 and 4, UE may perform E-DPDCH power scaling at max power which could results in slightly smaller MPR values.

DC-HSDPA SAR Guidance

The 3G SAR test reduction procedure is applied to DC-HSDPA with 12.2 kbps RMC as the primary mode. Otherwise, when SAR is required for Rel. 5 HSDPA, SAR is required for Rel. 8 DC-HSDPA. Power is measured for DC-HSDPA according to the H-Set 12, FRC configuration in Table C.8.1.12 of 3GPP TS 34.121-1 to determine SAR test reduction. A primary and a secondary serving HS-DSCH Cell are required to perform the power measurement and for the results to be acceptable.

<Considerations Related to CDMA for Setup and Testing> CDMA 1xRTT Handsets Head SAR

SAR for next to the ear head exposure is measured in RC3 with the handset configured to transmit at full rate in SO55. The 3G SAR test reduction procedure is applied to RC1 with RC3 as the primary mode. Otherwise, SAR is required for the channel with maximum measured output in RC1 using the head exposure configuration that results in the highest reported SAR in RC3.

CDMA 1xRTT Handsets Body-worn SAR

Body-worn SAR is measured in RC3 with the handset configured in TDSO/SO32 to transmit at full rate on FCH only with all other code channels disabled. The 3G SAR test reduction procedure is applied to the multiple code channel configuration (FCH + SCH_n), with FCH only as the primary mode. Otherwise, SAR is required for multiple code channel configuration (FCH + SCH_n), with FCH at full rate and SCH₀ enabled at 9600 bps, using the highest reported SAR configuration for FCH only. The 3G SAR test reduction procedure is applied to body-worn SAR in RC1 with RC3 as the primary mode. Otherwise, SAR is required for RC1, with SO55 and full rate, using the highest reported SAR configuration for body-worn exposure in RC3.

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Handsets with built-in EV-DO

The 3G SAR test reduction procedure is applied to EV-DO Rev. 0 with 1xRTT RC3 as the primary mode to determine body-worn test requirements. Otherwise, body-worn SAR is required for Rev. 0, at 153.6 kbps, using the highest reported SAR configuration for body-worn exposure in RC3. The 3G SAR test reduction procedure is applied separately to Rev. A and Rev. B, with Rev. 0 as the primary mode to determine body-worn SAR test requirements. When SAR is not required for Rev. 0, the 3G SAR test reduction is applied with 1xRTT RC3 as the primary mode. Otherwise, SAR is required for Rev. A or Rev. B, with a Reverse Data Channel payload size of 4096 bits and a Termination Target of 16 slots defined for Subtype 2 and 3 Physical Layer configurations, using the highest reported SAR configuration for body-worn exposure in Rev. 0 or RC3, as appropriate. A Forward Traffic Channel data rate corresponding to the 2-slot version of 307.2 kbps with ACK Channel transmitting in all slots is configured in the downlink for Rev. 0, Rev. A and Rev. B.

EV-DO Data Devices

SAR is measured using the F/R TAP configurations required for Rev. 0, Rev. A and Rev. B. The AT is tested with a Reverse Data Channel rate of 153.6 kbps in Subtype 0/1 Physical Layer configurations. A Reverse Data Channel payload size of 4096 bits and Termination Target of 16 slots are used for Subtype 2 and 3. FTAP, FETAP and FMCTAP are all configured with a Forward Traffic Channel data rate corresponding to the 2-slot version of 307.2 kbps with ACK Channel transmitting in all slots. AT power control is in "All Bits Up" conditions for the TAP / ETAP / MCTAP. Body-worn and other body SAR are measured using Subtype 0/1 Physical Layer configurations for Rev. 0. The 3G SAR test reduction procedure is applied to Rev. A, Subtype 2 Physical layer configuration, with Rev. 0 as the primary mode. Otherwise, SAR is measured for Rev. A using the highest reported SAR configuration for body-worn exposure in Rev. 0. SAR is required for Rev. B, Subtype 3; it is measured by applying both the "test 2" and "test 3" configurations used for power measurement.

<Considerations Related to LTE for Setup and Testing>

This device contains LTE transmitter which follows 3GPP standards, is category 3, supports both QPSK and 16QAM modulations, and supported LTE band and channel bandwidth is listed in below. The output power was tested per 3GPP TS 36.521-1 maximum transmit procedures for both QPSK and 16QAM modulation. The results please refer to section 4.6 of this report.

		EUT Supported L	TE Band and Ch	annel Bandwidth		
LTE Band	BW 1.4 MHz	BW 3 MHz	BW 5 MHz	BW 10 MHz	BW 15 MHz	BW 20 MHz
2	V	V	V	V	V	V
4	V	V	V	V	V	V
5	V	V	V	V		
7			V	V	V	٧
12	V	V	V	V		
13			V	V		
17			V	V		
25	V	V	V	V	V	V
26	V	V	V	V	V	
38			V	V	V	V
40			V	V	V	V
41			V	V	V	V

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The LTE maximum power reduction (MPR) in accordance with 3GPP TS 36.101 is active all times during LTE operation. The allowed MPR for the maximum output power is specified in below.

		Channel Bandwidth / RB Configurations											
Modulation	BW 1.4 MHz	BW 3 MHz	BW 3 MHz BW 5 MHz		BW 15 MHz	BW 20 MHz	Setting (dB)						
QPSK	> 5	> 4	> 8	> 12	> 16	> 18	1						
16QAM	<= 5	<= 4	<= 8	<= 12	<= 16	<= 18	1						
16QAM	> 5	> 4	> 8	> 12	> 16	> 18	2						
64QAM	<= 5	<= 4	<= 8	<= 12	<= 16	<= 18	2						
64QAM	> 5	> 4	> 8	> 12	> 16	> 18	3						

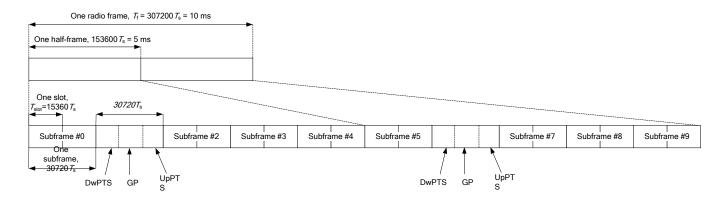
Note: MPR is according to the standard and implemented in the circuit (mandatory).

In addition, the device is compliant with additional maximum power reduction (A-MPR) requirements defined in 3GPP TS 36.101 section 6.2.4 that was disabled for all FCC compliance testing.

During LTE SAR testing, the related parameters of operating band, channel bandwidth, uplink channel number, modulation type, and RB was set in base station simulator. When the EUT has registered and communicated to base station simulator, the simulator set to make EUT transmitting the maximum radiated power.

TDD-LTE Setup Configurations

According to KDB 941225 D05, SAR testing for TDD-LTE device must be tested using a fixed periodic duty factor according to the highest transmission duty factor implemented for the device and supported by the defined 3GPP TDD-LTE configurations. The TDD-LTE of this device supports frame structure type 2 defined in 3GPP TS 36.211 section 4.2, and the frame structure configuration can be referred to below.



3GPP TS 36.211 Figure 4.2-1: Frame Structure Type 2

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	No	rmal Cyclic Prefix in	Downlink	Extended Cyclic Prefix in Downlink					
Special Subframe		Upl	PTS		UpPTS				
Configuration	DwPTS	Normal Cyclic Prefix in Uplink	Extended Cyclic Prefix in Uplink	DwPTS	Normal Cyclic Prefix in Uplink	Extended Cyclic Prefix in Uplink			
0	6592 • Ts	-		7680 • Ts		-			
1	19760 • Ts			20480 • Ts	2192 • Ts	2560 • Ts 5120 • Ts			
2	21952 • Ts	2192 • Ts	2560 • Ts	23040 • Ts	2192 • 15				
3	24144 • Ts			25600 • Ts					
4	26336 • Ts			7680 • Ts					
5	6592 • Ts			20480 • Ts	4384 • Ts				
6	19760 ⋅ Ts			23040 • Ts	4304 * 15	3120 • 15			
7	21952 • Ts	4384 • Ts	5120 • Ts	12800 • Ts					
8	24144 • Ts			-	-	-			
9	13168 • Ts			-	-	-			

3GPP TS 36.211 Table 4.2-1: Configuration of Special Subframe

Uplink-Downlink	Downlink-to-Uplink	Subframe Number											
Configuration	Switch-Point Periodicity	0	1	2	3	4	5	6	7	8	9		
0	5 ms	D	S	U	U	U	D	S	U	U	U		
1	5 ms	D	S	U	U	D	D	S	U	U	D		
2	5 ms	D	S	U	D	D	D	S	U	D	D		
3	10 ms	D	S	U	U	U	D	D	D	D	D		
4	10 ms	D	S	U	U	D	D	D	D	D	D		
5	10 ms	D	S	U	D	D	D	D	D	D	D		
6	5 ms	D	S	U	U	U	D	S	U	U	D		

3GPP TS 36.211 Table 4.2-2: Uplink-Downlink Configurations

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LTE Downlink Carrier Aggregation (CA) Setup Configurations

LTE Carrier Aggregation (CA) was defined in 3GPP release 10 and higher. The LTE device in CA mode has one Primary Component Carrier (PCC) and one or more Secondary Component Carriers (SCC). PCC acts as the anchor carrier and can optionally cross-schedule data transmission on SCC. The RRC connection is only handled by one cell, the PCC for downlink and uplink communications. After making a data connection to the PCC, the LTE device adds the SCC on the downlink only. All uplink communications and acknowledgements remain identical to release 8 specifications on the PCC. The combinations of downlink carrier aggregation supported by this device are listed in below.

LTE CA Configurations and Bandwidth Combination Sets defined for Intra-Band Non-Contiguous CA

	Component Carr	iers in order of Increasing C	Carrier Frequency	Maximum	Bandwidth	
Downlink CA Configuration	Channel Bandwidths for Carrier-1 (MHz)	Channel Bandwidths for Carrier-2 (MHz)	Channel Bandwidths for Carrier-3 (MHz)	Aggregated Bandwidth (MHz)	Combination Set	
CA_2A-2A	5, 10, 15, 20	5, 10, 15, 20		40	0	
CA_4A-4A	5, 10, 15, 20	5, 10, 15, 20		40	0	
CA_4A-4A	5, 10	5, 10		20	1	
CA_7A-7A	5 10 15 20	15 10, 15 15, 20 20		40	0	
	5, 10, 15, 20	5, 10, 15, 20		40	1	
	5, 10, 15, 20	5, 10		30	2	
	10, 15, 20	10, 15, 20		40	3	
CA 41A 41A	10, 15, 20	10, 15, 20		40	0	
CA_41A-41A	5, 10, 15, 20	5, 10, 15, 20		40	1	

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LTE CA Configurations and Bandwidth Combination Sets defined for Inter-Band CA (Two Bands)

Downlink CA Configuration	LTE Bands	Channel Bandwidths for Carrier (MHz)	Maximum Aggregated Bandwidth (MHz)	Bandwidth Combination Set
	2	1.4, 3, 5, 10, 15, 20	40	0
	4	5, 10, 15, 20	40	0
CA_2A-4A	2	5, 10		1
_	2	5, 10 5, 10, 15, 20		
	4	5, 10, 15, 20	40	2
	2	5, 10, 15, 20	<u> </u>	_
04 04 54	5	5, 10	30	0
CA_2A-5A	2	5, 10	20	1
	5	5, 10	20	'
	2	5, 10, 15, 20	30	0
	12	5, 10		
CA_2A-12A	2 12	5, 10, 15, 20 3, 5, 10	30	1
	2	5, 10		
	12	5, 10	20	2
	2	5, 10, 15, 20	30	0
CA_2A-13A	13	10	30	0
OA_2A-13A	2	5, 10	20	1
	13	10	20	'
	2 29	5, 10	20	0
	29	3, 5, 10 5, 10		
CA_2A-29A	29	5, 10		1
	2	5, 10, 15, 20	20	
	29	5, 10	30	2
	4	5, 10	20	0
CA_4A-5A	5	5, 10	20	, , , , , , , , , , , , , , , , , , ,
	4	5, 10, 15, 20	30	1
	5 4	5, 10 1.4, 3, 5, 10		
	12	5, 10	20	0
	4	1.4, 3, 5, 10, 15, 20	20	4
	12	5, 10	30	1
	4	5, 10, 15, 20	30	2
CA_4A-12A	12	3, 5, 10	30	
*· <u>-</u> ·· · · · · ·	4 12	5, 10 5, 10	20	3
	4	5, 10		
	12	5, 10	30	4
	4	5, 10, 15		_
	12	5	20	5
	4	5, 10, 15, 20	30	0
CA_4A-13A	13	10	30	<u> </u>
	4	5, 10	20	1
	13 4	10 5, 10		
	29	3, 5, 10	20	0
04 44 004	4	5, 10	1 22	
CA_4A-29A	29	5, 10	20	1
	4	5, 10, 15, 20	30	2
	29	5, 10	30	
	5	1.4, 3, 5, 10	30	0
CA_5A-7A	7	10, 15, 20		
	5 7	5, 10 10, 15, 20	30	1

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<Considerations Related to WLAN for Setup and Testing>

In general, various vendor specific external test software and chipset based internal test modes are typically used for SAR measurement. These chipset based test mode utilities are generally hardware and manufacturer dependent, and often include substantial flexibility to reconfigure or reprogram a device. A Wi-Fi device must be configured to transmit continuously at the required data rate, channel bandwidth and signal modulation, using the highest transmission duty factor supported by the test mode tools for SAR measurement. The test frequencies established using test mode must correspond to the actual channel frequencies. When 802.11 frame gaps are accounted for in the transmission, a maximum transmission duty factor of 92 - 96% is typically achievable in most test mode configurations. A minimum transmission duty factor of 85% is required to avoid certain hardware and device implementation issues related to wide range SAR scaling. In addition, a periodic transmission duty factor is required for current generation SAR systems to measure SAR correctly. The reported SAR must be scaled to 100% transmission duty factor to determine compliance at the maximum tune-up tolerance limit.

According to KDB 248227 D01,this device has installed WLAN engineering testing software which can provide continuous transmitting RF signal. During WLAN SAR testing, this device was operated to transmit continuously at the maximum transmission duty with specified transmission mode, operating frequency, lowest data rate, and maximum output power.

Initial Test Configuration

An initial test configuration is determined for OFDM transmission modes in 2.4 GHz and 5 GHz bands according to the channel bandwidth, modulation and data rate combination(s) with the highest maximum output power specified for production units in each standalone and aggregated frequency band. When the same maximum power is specified for multiple transmission modes in a frequency band, the largest channel bandwidth, lowest order modulation, lowest data rate and lowest order 802.11a/g/n/ac mode is used for SAR measurement, on the highest measured output power channel in the initial test configuration, for each frequency band.

Subsequent Test Configuration

SAR measurement requirements for the remaining 802.11 transmission mode configurations that have not been tested in the initial test configuration are determined separately for each standalone and aggregated frequency band, in each exposure condition, according to the maximum output power specified for production units. Additional power measurements may be required to determine if SAR measurements are required for subsequent highest output power channels in a subsequent test configuration. When the highest reported SAR for the initial test configuration according to the initial test position or fixed exposure position requirements, is adjusted by the ratio of the subsequent test configuration to initial test configuration specified maximum output power and the adjusted SAR is $\leq 1.2 \text{ W/kg}$, SAR is not required for that subsequent test configuration.

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SAR Test Configuration and Channel Selection

When multiple channel bandwidth configurations in a frequency band have the same specified maximum output power, the initial test configuration is using largest channel bandwidth, lowest order modulation, lowest data rate, and lowest order 802.11 mode (i.e., 802.11a is chosen over 802.11n then 802.11ac or 802.11g is chosen over 802.11n). After an initial test configuration is determined, if multiple test channels have the same measured maximum output power, the channel chosen for SAR measurement is determined according to the following.

- 1) The channel closest to mid-band frequency is selected for SAR measurement.
- 2) For channels with equal separation from mid-band frequency; for example, high and low channels or two mid-band channels, the higher frequency (number) channel is selected for SAR measurement.

Test Reduction for U-NII-1 (5.2 GHz) and U-NII-2A (5.3 GHz) Bands

For devices that operate in both U-NII bands using the same transmitter and antenna(s), SAR test reduction is determined according to the following.

- 1) When the same maximum output power is specified for both bands, begin SAR measurement in U-NII-2A band by applying the OFDM SAR requirements. If the highest reported SAR for a test configuration is ≤ 1.2 W/kg, SAR is not required for U-NII-1 band for that configuration (802.11 mode and exposure condition).
- 2) When different maximum output power is specified for the bands, begin SAR measurement in the band with higher specified maximum output power. The highest reported SAR for the tested configuration is adjusted by the ratio of lower to higher specified maximum output power for the two bands. When the adjusted SAR is ≤ 1.2 W/kg, SAR is not required for the band with lower maximum output power in that test configuration.

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4.2 EUT Testing Position

This variant report is made for verification. All the worst SAR configurations specified in the original SAR report was repeated and verified to ensure the device remains compliant.

4.3 Tissue Verification

Refer to Annex C.

4.4 System Validation

Refer to Annex C.

4.5 System Verification

Refer to Annex C.

4.6 Maximum Output Power

4.6.1 Maximum Target Conducted Power

Refer to Annex D.

4.6.2 Measured Conducted Power Result

Refer to Annex E.

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4.7 SAR Testing Results

4.7.1 SAR Test Reduction Considerations

<KDB 447498 D01, General RF Exposure Guidance>

Testing of other required channels within the operating mode of a frequency band is not required when the reported SAR for the mid-band or highest output power channel is:

- (1) ≤ 0.8 W/kg or 2.0 W/kg, for 1-g or 10-g respectively, when the transmission band is ≤ 100 MHz
- (2) ≤ 0.6 W/kg or 1.5 W/kg, for 1-g or 10-g respectively, when the transmission band is between 100 MHz and 200 MHz
- (3) \leq 0.4 W/kg or 1.0 W/kg, for 1-g or 10-g respectively, when the transmission band is \geq 200 MHz

When SAR is not measured at the maximum power level allowed for production units, the measured SAR will be scaled to the maximum tune-up tolerance limit to determine compliance. The scaling factor for the tune-up power is defined as maximum tune-up limit (mW) / measured conducted power (mW). The reported SAR would be calculated by measured SAR x tune-up power scaling factor.

The SAR has been measured with highest transmission duty factor supported by the test mode tools for WLAN and/or Bluetooth. When the transmission duty factor could not achieve 100%, the reported SAR will be scaled to 100% transmission duty factor to determine compliance at the maximum tune-up power. The scaling factor for the duty factor is defined as 100% / transmission duty cycle (%). The reported SAR would be calculated by measured SAR x tune-up power scaling factor x duty cycle scaling factor.

<KDB 941225 D01, 3G SAR Measurement Procedures>

The mode tested for SAR is referred to as the primary mode. The equivalent modes considered for SAR test reduction are denoted as secondary modes. Both primary and secondary modes must be in the same frequency band. When the maximum output power and tune-up tolerance specified for production units in a secondary mode is $\leq 1/4$ dB higher than the primary mode or when the highest reported SAR of the primary mode is scaled by the ratio of specified maximum output power and tune-up tolerance of secondary to primary mode and the adjusted SAR is ≤ 1.2 W/kg, SAR measurement is not required for the secondary mode.

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<KDB 941225 D05, SAR Evaluation Considerations for LTE Devices>

(1) QPSK with 1 RB and 50% RB allocation

Start with the largest channel bandwidth and measure SAR, using the RB offset and required test channel combination with the highest maximum output power among RB offsets at the upper edge, middle and lower edge of each required test channel. When the reported SAR is ≤ 0.8 W/kg, testing of the remaining RB offset configurations and required test channels is not required; otherwise, SAR is required for the remaining required test channels and only for the RB offset configuration with the highest output power for that channel. When the reported SAR of a required test channel is > 1.45 W/kg, SAR is required for all three RB offset configurations for that required test channel.

(2) QPSK with 100% RB allocation

SAR is not required when the highest maximum output power for 100% RB allocation is less than the highest maximum output power in 50% and 1 RB allocations and the highest reported SAR for 1 RB and 50% RB allocation are \leq 0.8 W/kg. Otherwise, SAR is measured for the highest output power channel; and if the reported SAR is > 1.45 W/kg, the remaining required test channels must also be tested.

(3) Higher order modulations

SAR is required only when the highest maximum output power for the configuration in the higher order modulation is >1/2 dB higher than the same configuration in QPSK or when the reported SAR for the QPSK configuration is > 1.45 W/kg.

(4) Other channel bandwidth

SAR is required when the highest maximum output power of the smaller channel bandwidth is >1/2 dB higher than the equivalent channel configurations in the largest channel bandwidth configuration or the reported SAR of a configuration for the largest channel bandwidth is > 1.45 W/kg.

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<Power Confirmation for SAR Test Exclusion for LTE Downlink CA>

According to KDB 941225 D05A, the uplink maximum output power below was measured with downlink CA active on the channel with highest measured maximum output power when downlink CA is inactive. The downlink SCC channel was paired with the uplink channel as normal operation. For intra-band contiguous CA, the downlink channel spacing between the component carriers was set to multiple of 300 kHz less than the nominal channel spacing per section 5.4.1A of 3GPP TS36.521. For intra-band non-contiguous CA, the downlink channel spacing between the component carriers was set to maximum separation from PCC and remain fully within the downlink transmission band. For Inter-band CA, the SCC downlink channel was set to near the middle of its transmission band.

Power Measurements for Intra-Band Non-Contiguous Downlink CA

	PCC										SCC1					
CA Combination	LTE Band	BW (MHz)	UL Channel	UL Freq. (MHz)	RB Size	RB Offset	DL Channel	DL Freq. (MHz)	LTE Band	BW (MHz)	DL Channel	DL Freq. (MHz)	Tx Power with DL-CA Active (dBm)	Single Carrier Tx Power (dBm)		
CA_2A_2A	2	20M	18900	1880	1	0	900	1960	2	20M	1100	1980	24.81	24.90		
CA_4A_4A	4	20M	20050	1720	1	0	2050	2120	4	20M	2300	2145	24.79	24.90		
CA_7A_7A	7	20M	21100	2535	1	0	3100	2655	7	20M	3350	2680	23.68	23.75		
CA_41A_41A	41	20M	39750	2506	1	0	39750	2506	41	20M	41490	2680	23.78	23.86		

Power Measurements for Inter-Band Downlink CA

				PC	CC					SC	C1		Pov	ver
CA Combination	LTE Band	BW (MHz)	UL Channel	UL Freq. (MHz)	RB Size	RB Offset	DL Channel	DL Freq. (MHz)	LTE Band	BW (MHz)	DL Channel	DL Freq. (MHz)	Tx Power with DL-CA Active (dBm)	Single Carrier Tx Power (dBm)
CA_2A_4A	2	20M	18900	1880	1	0	900	1960	4	20M	2175	2132.5	24.87	24.90
CA_2A_5A	2	20M	18900	1880	1	0	900	1960	5	10M	2525	881.5	24.78	24.90
CA_2A_12A	2	20M	18900	1880	1	0	900	1960	12	10M	5095	737.5	24.84	24.90
CA_2A_13A	2	20M	18900	1880	1	0	900	1960	13	10M	5230	751	24.82	24.90
CA_2A_29A	2	20M	18900	1880	1	0	900	1960	29	10M	9715	722.5	24.81	24.90
CA_4A_5A	4	20M	20050	1720	1	0	2050	2120	5	10M	2525	881.5	24.89	24.90
CA_4A_12A	4	20M	20050	1720	1	0	2050	2120	12	10M	5095	737.5	24.87	24.90
CA_4A_13A	4	20M	20050	1720	1	0	2050	2120	13	10M	5230	751	24.84	24.90
CA_4A_29A	4	20M	20050	1720	1	0	2050	2120	29	10M	9715	722.5	24.85	24.90
CA_5A_7A	5	10M	20600	844	1	0	2600	889	7	20M	3100	2655	23.86	23.99

Summary for SAR Test Exclusion for LTE Downlink CA

Per power confirmation results in above, the uplink maximum output power with downlink CA active remains within the specified tune-up tolerance and not more than 0.25 dB higher than the maximum output power with downlink CA inactive. According to KDB 941225 D05A, the SAR test exclusion applies to LTE downlink CA operation.

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<KDB 248227 D01, SAR Guidance for Wi-Fi Transmitters>

- (1) For handsets operating next to ear, hotspot mode or mini-tablet configurations, the initial test position procedures were applied. The test position with the highest extrapolated peak SAR will be used as the initial test position. When the reported SAR of initial test position is <= 0.4 W/kg, SAR testing for remaining test positions is not required. Otherwise, SAR is evaluated at the subsequent highest peak SAR positions until the reported SAR result is <= 0.8 W/kg or all test positions are measured.
- (2) For WLAN 2.4 GHz, the highest measured maximum output power channel for DSSS was selected for SAR measurement. When the reported SAR is <= 0.8 W/kg, no further SAR testing is required. Otherwise, SAR is evaluated at the next highest measured output power channel. When any reported SAR is >1.2 W/kg, SAR is required for the third channel. For OFDM modes (802.11g/n),SAR is not required when the highest reported SAR for DSSS is adjusted by the ratio of OFDM to DSSS specified maximum output power and it is <= 1.2 W/kg.
- (3) For WLAN 5GHz, the initial test configuration was selected according to the transmission mode with the highest maximum output power. When the reported SAR of initial test configuration is > 0.8 W/kg, SAR is required for the subsequent highest measured output power channel until the reported SAR result is <=1.2 W/kg or all required channels are measured. For other transmission modes, SAR is not required when the highest reported SAR for initial test configuration is adjusted by the ratio of subsequent test configuration to initial test configuration specified maximum output power and it is <= 1.2 W/kg.
- (4) For WLAN MIMO mode, the power-based standalone SAR test exclusion or the sum of SAR provision in KDB 447498to determine simultaneous transmission SAR test exclusion should be applied. Otherwise, SAR for MIMO mode will be measured with all applicable antennas transmitting simultaneously at the specified maximum output power of MIMO operation.

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4.7.2 SAR Results for Head Exposure Condition

Refer to Annex F.

4.7.3 SAR Results for Body-worn Exposure Condition

Refer to Annex F.

4.7.4 SAR Results for Hotspot Exposure Condition

Refer to Annex F.

4.7.5 SAR Results for Extremity Exposure Condition

Refer to Annex F.

4.7.6 SAR Measurement Variability

Refer to Annex G.

4.7.7 Simultaneous Multi-band Transmission Evaluation

<SAR Summation Analysis>

Refer to Annex H.

<SAR to Peak Location Separation Ratio Analysis>

Refer to Annex I.

Test Engineer : Danny Lai

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5. Calibration of Test Equipment

Refer to Annex J.

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6. Measurement Uncertainty

According to KDB 865664 D01, SAR measurement uncertainty analysis is required in SAR reports only when the highest measured SAR in a frequency band is ≥ 1.5 W/kg for 1-g SAR, and ≥ 3.75 W/kg for 10-g SAR. The procedures described in IEEE Std 1528-2013should be applied. The expanded SAR measurement uncertainty must be $\leq 30\%$, for a confidence interval of k = 2. When the highest measured SAR within a frequency band is < 1.5 W/kg for 1-g and < 3.75 W/kg for 10-g, the extensive SAR measurement uncertainty analysis described in IEEE Std 1528-2013 is not required in SAR reports submitted for equipment approval. Hence, the measurement uncertainty analysis is not required in this SAR report because the test result met the condition.

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7. Information of the Testing Laboratories

We, Bureau Veritas Consumer Products Services (H.K.) Ltd., Taoyuan Branch, were founded in 1988 to provide our best service in EMC, Radio, Telecom and Safety consultation. Our laboratories are accredited and approved according to ISO/IEC 17025.

If you have any comments, please feel free to contact us at the following:

Taiwan Huaya Lab:

Add: No. 19, Huaya 2nd Rd., Guishan Dist., Taoyuan City 333, Taiwan

Tel: +886-(0)3-318-3232 Fax: +886-(0)3-211-5834

Taiwan Linkou Lab:

Add: No. 47-2, Baodoucuokeng, Linkou Dist., New Taipei City 244, Taiwan

Tel: +886-(0)2-2605-2180 Fax: +886-(0)2-2605-2943

Taiwan Hsinchu Lab1:

Add: E-2, No. 1, Lixing 1st Rd., East Dist., Hsinchu City 300, Taiwan

Tel: +886-(0)3-666-8565 Fax: +886-(0)3-666-8323

Taiwan Hsinchu Lab2:

Add: No. 49, Ln. 206, Wende Rd., Qionglin Township, Hsinchu County 307, Taiwan

Tel: +886-(0)3-512-0595 Fax: +886-(0)3-512-0568

Taiwan Xindian Lab:

Add: B2F., No. 215, Sec. 3, Beixin Rd., Xindian Dist., New Taipei City 231, Taiwan

Tel: +886-(0)2-8914-5882 Fax: +886-(0)2-8914-5840

Email: service.adt@tw.bureauveritas.com

Web Site: https://ee.bureauveritas.com.tw/BVInternet/Default

The road map of all our labs can be found in our web site also.

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