

# **SAS-CBSD Test Report**

Report No.: RFBEDV-WTW-P24110383

FCC ID: VUIPR1450-48

Test Model: PR1450-48I

Series Model: PR1450-48E

Received Date: Nov. 15, 2024

**Test Date:** Dec. 30, 2024 ~ Jan. 9, 2025

Issued Date: Mar. 12, 2025

**Applicant: PEGATRON CORPORATION** 

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FCC Registration/

**Designation Number:** 788550 / TW0003





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### **Release Control Record**

Issue No.	Description	Date Issued
RFBEDV-WTW-P24110383	Original release	Mar. 12, 2025



#### 1 Certificate of Conformity

Product: 5G NR O-RU

**Brand: PEGATRON** 

Test Model: PR1450-481

Series Model: PR1450-48E

Sample Status: Engineering sample

**Applicant:** PEGATRON CORPORATION

**Test Date:** Dec. 30, 2024 ~ Jan. 9, 2025

Standards: WINNF-TS-0122 V1.0.2

ONGO-TS-9001 V1.3.0

The above equipment has been tested by **Bureau Veritas Consumer Products Services (H.K.) Ltd., Taoyuan Branch**, and found compliance with the requirement of the above standards. The test record, data evaluation & Equipment Under Test (EUT) configurations represented herein are true and accurate accounts of the measurements of the sample's RF characteristics under the conditions specified in this report.

Prepared by: , Date: Mar. 12, 2025

Pettie Chen / Senior Specialist

Approved by: , Date: Mar. 12, 2025

Jeremy Lin / Project Engineer



## 2 Summary of Test Results

WINNF-TS-0122			
Classes	Test Case Items	Pass Items	Pass Rate (%)
FT(CBSD, DP/CBSD)	33	33	100
PT(CBSD, DP/CBSD)	1	1	100
Total	34	34	100

#### Note:

- 1. Functional Test (FT): Test to validate the conformance of the Protocols and functionalities implemented in the CBSD/DP UUT to the requirements developed by WInnForum and supporting FCC/DoD requirements.
- 2. Field/Performance Test (PT): Test to check the capability of the CBSD/DP UUT to support various traffic models and actual operations in the field.

Supported Features in details:

Supported i eatures in details.				
	WINNF-TS-0122 Test Case			
Definitions	Test Case ID	Supported		
C1	WINNF.FT.D.REG.2	Yes		
C2	WINNF.FT.D.REG.4 WINNF.FT.C.REG.20	Yes		
C3	WINNF.FT.D.REG.6	Yes		
C4	WINNF.FT.D.MES.2	Yes		
C5	NA	No		
C6	NA	No		
0	WINNF.FT.C.HBT.11 WINNF.FT.D.RLQ.4 WINNF.FT.D.RLQ.6 WINNF.FT.D.DRG.4 WINNF.FT.D.DRG.5	Customer choice		



WINNF-TS-0122 Test Case			
Section Test Case ID		Test Case Title	Test Result
6.1.4.1.1 WINNF.FT.C.REG.1		Multi-Step registration	NA
6.1.4.1.2	WINNF.FT.D.REG.2	Domain Proxy Multi-Step registration	Pass
6.1.4.1.3	WINNF.FT.C.REG.3	Single-Step registration for Category A CBSD	NA
6.1.4.1.4	WINNF.FT.D.REG.4	Domain Proxy Single-Step registration for Cat A CBSD	Pass
6.1.4.1.5	WINNF.FT.C.REG.5	Single-Step registration for CBSD with CPI signed data	NA
6.1.4.1.6	WINNF.FT.D.REG.6	Domain Proxy Single-Step registration for CBSD with CPI signed data	Pass
6.1.4.1.7	WINNF.FT.C.REG.7	Registration due to change of an installation parameter	NA
6.1.4.2.1	WINNF.FT.C.REG.8	Missing Required parameters (responseCode 102)	NA
6.1.4.2.2	WINNF.FT.D.REG.9	Domain Proxy Missing Required parameters (responseCode 102)	Pass
6.1.4.2.3	WINNF.FT.C.REG.10	Pending registration (responseCode 200)	NA
6.1.4.2.4	WINNF.FT.D.REG.11	Domain Proxy Pending registration (responseCode 200)	Pass
6.1.4.2.5	WINNF.FT.C.REG.12	Invalid parameter (responseCode 103)	NA
6.1.4.2.6	WINNF.FT.D.REG.13	Domain Proxy Invalid parameters (responseCode 103)	Pass
6.1.4.2.7	WINNF.FT.C.REG.14	Blacklisted CBSD (responseCode 101)	NA
6.1.4.2.8	WINNF.FT.D.REG.15	Domain Proxy Blacklisted CBSD (responseCode 101)	Pass
6.1.4.2.9	WINNF.FT.C.REG.16	Unsupported SAS protocol version (responseCode 100)	NA
6.1.4.2.10	WINNF.FT.D.REG.17	Domain Proxy Unsupported SAS protocol version responseCode 100)	Pass
6.1.4.2.11	WINNF.FT.C.REG.18	Group Error (responseCode 201)	NA
6.1.4.2.12	WINNF.FT.D.REG.19	Domain Proxy Group Error (responseCode 201)	Pass
6.1.4.3.1	WINNF.FT.C.REG.20	Category A CBSD location update	Pass



	WINNF-TS-0122 Test Case				
Section Test Case ID		Test Case Title	Test Result		
6.3.4.2.1	WINNF.FT.D.GRA.1	Unsuccessful Grant responseCode=400 (INTERFERENCE)	Pass		
6.3.4.2.2	WINNF.FT.C.GRA.2	Unsuccessful Grant responseCode=401 (GRANT_CONFLICT)	Pass		
6.4.4.1.1	WINNF.FT.C.HBT.1	Heartbeat Success Case (first Heartbeat Response)	NA		
6.4.4.1.2	WINNF.FT.D.HBT.2	Domain Proxy Heartbeat Success Case (first Heartbeat Response)	Pass		
6.4.4.2.1	WINNF.FT.C.HBT.3	Heartbeat responseCode=105 (DEREGISTER)	Pass		
6.4.4.2.2	WINNF.FT.C.HBT.4	Heartbeat responseCode=500 (TERMINATED_GRANT)	NA		
6.4.4.2.3	WINNF.FT.C.HBT.5	Heartbeat responseCode=501 (SUSPENDED_GRANT) in First Heartbeat Response	Pass		
6.4.4.2.4	WINNF.FT.C.HBT.6	Heartbeat responseCode=501 (SUSPENDED_GRANT) in Subsequent Heartbeat Response	Pass		
6.4.4.2.5	WINNF.FT.C.HBT.7	Heartbeat responseCode=502 (UNSYNC_OP_PARAM)	Pass		
6.4.4.2.6	WINNF.FT.D.HBT.8	Domain Proxy Heartbeat responseCode=500 (TEMINATED_GRANT)	Pass		
6.4.4.3.1	WINNF.FT.C.HBT.9	Heartbeat Response Absent (First Heartbeat)	Pass		
6.4.4.3.2	WINNF.FT.C.HBT.10	Heartbeat Response Absent (Subsequent Heartbeat)	Pass		
6.4.4.4.1	WINNF.FT.C.HBT.11	Successful Grant Renewal in Heartbeat Test Case	Pass		
6.5.4.2.1	WINNF.FT.C.MES.1	Registration Response contains measReportConfig	NA		
6.5.4.2.2 WINNF.FT.D.MES.2		Domain Proxy Registration Response contains measReportConfig	Pass		
6.5.4.2.3	WINNF.FT.C.MES.3	Grant Response contains measReportConfig	NA		
6.5.4.2.4	WINNF.FT.C.MES.4	Heartbeat Response contains measReportConfig	NA		
6.5.4.2.5	WINNF.FT.D.MES.5	Domain Proxy Heartbeat Response contains measReportConfig	NA		



	WINNF-TS-0122 Test Case		
Section Test Case ID		Test Case Title	Test Result
6.6.4.1.1	WINNF.FT.C.RLQ.1	Successful Relinquishment	NA
6.6.4.1.2	WINNF.FT.D.RLQ.2	Domain Proxy Successful Relinquishment	Pass
6.6.4.2.1	WINNF.FT.C.RLQ.3	Unsuccessful Relinquishment, responseCode=102	NA
6.6.4.2.2	WINNF.FT.D.RLQ.4	Domain Proxy Unsuccessful Relinquishment, responseCode=102	Pass
6.6.4.3.1	WINNF.FT.C.RLQ.5	Unsuccessful Relinquishment, responseCode=103	NA
6.6.4.3.2	WINNF.FT.D.RLQ.6	Domain Proxy Unsuccessful Relinquishment, responseCode=103	Pass
6.7.4.1.1 WINNF.FT.C.DRG.1 6.7.4.1.2 WINNF.FT.D.DRG.2		Successful Deregistration	NA
		Domain Proxy Successful Deregistration	Pass
6.7.4.2.1	WINNF.FT.C.DRG.3	Deregistration responseCode=102	NA
6.7.4.2.2	WINNF.FT.D.DRG.4	Domain Proxy Deregistration responseCode=102	Pass
6.7.4.3.1	WINNF.FT.C.DRG.5	Deregistration responseCode=103	Pass
6.8.4.1.1	WINNF.FT.C.SCS.1	Successful TLS connection between UUT and SAS Test Harness	Pass
6.8.4.2.1	WINNF.FT.C.SCS.2	TLS failure due to revoked certificate	Pass
6.8.4.2.2	WINNF.FT.C.SCS.3	TLS failure due to expired server certificate	Pass
6.8.4.2.3	6.8.4.2.3 WINNF.FT.C.SCS.4 TLS failure when SAS Test Harness certificate is by unknown CA		Pass
6.8.4.2.4	WINNF.FT.C.SCS.5	TLS failure when certificate at the SAS Test Harness is corrupted	Pass
7.1.4.1.1 WINNF.PT.C.HBT UUT RF Transmit Power Measurement		Pass	

Note: Section as per WINNF-TS-0122 If the product as tested complies with the specification, the UUT is deemed to comply with the standard and is deemed a "Pass" grade. If not "Fail" grade is issued. Where "NA" is stated this means the test case is not applicable.

#### 2.1 Measurement Uncertainty

Where relevant, the following measurement uncertainty levels have been estimated for tests performed on the UUT as specified in CISPR 16-4-2:

Measurement	Frequency	Expanded Uncertainty (k=2) (±)
Conducted Emissions above 1 GHz	1GHz ~ 18GHz	1 dB

### 2.2 Modification Record

There were no modifications required for compliance.



### 3 General Information

## 3.1 General Description of EUT

Product	5G NR O-RU
Brand	PEGATRON
Test Model	PR1450-48I
Series Model	PR1450-48E
Hardware Version	1.1
Firmware Version	1.0.2.2p2
Status of EUT	Engineering sample
Accessory Device	NA
Data Cable Supplied	NA

#### Note:

### 1. All models are listed as below.

Model	Difference
PR1450-48I	Internal Antenna
PR1450-48E	External Antenna

2. The EUT uses the following support unit.

Item	Brand	Model	Specification
AC Adapter	ASIAN POWER DEVICES	DA-60Z12	AC Input: 100-240V~50-60Hz; 1.5A Max. DC Output: 12.0V; 5.0A; 60.0W DC Output Cable: 1.46m without core
POE	DELTA	MINH-65AR B	AC Input: 100-240V; 50-60 Hz; 2.0 A DC Output: 56.0V; 1.161A; 65.1W
10G LR SFP+ TRANSCEIVER	JESS-LINK	TNP58260BGIB10-A	_
LAN Cable	TUNG-LI	6U423-063	1.5m non-shielded cable without core
FIBER OPTICAL CABLE	JESS-LINK	PK3OPU00WW40-1	1.0m non-shielded cable without core

# **Test Condition:**

Test Item	Environmental Conditions	Input Power	Tested By
WINNF-TS-0122	25deg. C, 65%RH	120Vac, 60Hz	Matthew Yang



# 3.2 **General Description of Applied Standards** The UUT is a BTS-CBSD/DP product. According to the specifications of the manufacturer, it must comply with the requirements of the following standards and references: Test standard: FCC 47 CFR Part 96 All test items have been performed and recorded as per the above standards. **References Test Guidance:** KDB 940660 D01 Part 96 CBRS Eqpt v03 All test items have been performed as a reference to the above KDB test guidance.



#### 4 Measurement

#### 4.1 CBSD Measurement

The CBSD shall validate and ensure that the Conformance and Performance Test results from compliance with SAS functional requirements.

#### 4.2 CBSD Test Procedure

- a. Connect the UUT to SAS Test Harness system and RF Test instruments via the CBSD interface and RF components. The highest level is set to test configuration.
- b. UUT shall be UTC time synchronized
- c. The frequency band is granted and set as UUT supported Modulation and Channels, transmitted power of the UUT according to it granted parameters from the SAS Test Harness.
- d. Each test case results were recorded and validated by SAS Test Harness system and RF instruments test cases was recorded test results from SAS Test Harness system.

#### 4.3 Test Environment

Test Harness Version	V1.0.0.3
Operating System	Microsoft Windows 10
TLS Version	1.2
Python	2.7.13



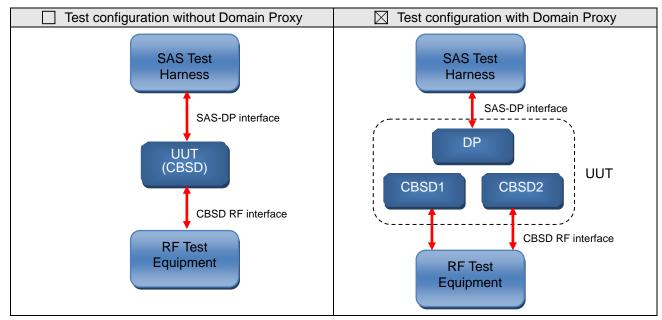
#### 4.4 Test Equipment

Description & Manufacturer	Model no.	Serial No.	Calibrated Date	Calibrated Until
ROHDE & SCHWARZ Signal Analyzer	FSV	E2-010642	May 29, 2024	May 28, 2025
Temperature & Humidity Chamber TERCHY	TFA 452019	E2-010883	Dec. 13, 2024	Dec. 12, 2025
Laptop Lenovo	P137G	P137G001	NA	NA

Note:

- 1. The test was performed in HY-OVEN Test Room.
- 2. The calibration interval of the above test instruments is 12 months and the calibrations are traceable to NML/ROC and NIST/USA.

### 4.5 Test Setup





### 4.6 Test Results

## 4.6.1 Successful registration (responseCode 0)

# 4.6.1.1 Domain Proxy Multi-Step registration

■Test Case ID : WINNF.FT.D.REG.2 □NA

#	Test Execution Steps	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness</li> <li>UUT is in the Unregistered state</li> </ul>		
2	<ul> <li>DP with two CBSD sends correct Registration request information, as specified in [n.5], in the form of one 2-element Array or as individual messages to the SAS Test Harness:</li> <li>The required userId, fccId and cbsdSerialNumber registration parameters shall be sent from the CBSD and conform to proper format and acceptable ranges.</li> <li>Any REG-conditional or optional registration parameters that may be included in the message shall be verified that they conform to proper format and are within acceptable ranges.</li> <li>Note: It is outside the scope of this document to test the Registration information that is supplied via another means.</li> </ul>	<b>■</b> Pass	□ Fail
3	<ul> <li>SAS Test Harness sends a CBSD Registration Response as follows:</li> <li>cbsdld = Ci</li> <li>measReportConfig shall not be included</li> <li>responseCode = 0 for each CBSD</li> </ul>		
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.		
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:  UUT shall not transmit RF	■ Pass	□ Fail



## 4.6.1.2 DOMAIN PROXY SINGLE-STEP REGISTRATION FOR CAT A CBSD

■Test Case ID : WINNF.FT.D.REG.4 □NA

#	Test Execution Steps	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness</li> <li>UUT is in the Unregistered state</li> </ul>	ŀ	
2	<ul> <li>The DP with two CBSDs sends Registration requests in the form of one 2-element Array or as individual messages to SAS Test Harness.</li> <li>The required userId, fccId and cbsdSerialNumber and REG- Conditional cbsdCategory, airInterface, installationParam, and measCapability registration parameters shall be sent from the CBSD and conform to proper format and acceptable ranges.</li> <li>Any optional registration parameters that may be included in the message shall be verified that they conform to proper format and are within acceptable ranges.</li> </ul>	■ Pass	□ Fail
3	<ul> <li>SAS Test Harness sends a CBSD Registration Response as follows:</li> <li>cbsdld = Ci</li> <li>measReportConfig for each CBSD shall not be included.</li> <li>responseCode = 0 for each CBSD</li> </ul>	-	
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.	ļ	
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:  UUT shall not transmit RF	■ Pass	□ Fail



## 4.6.1.3 DOMAIN PROXY SINGLE-STEP REGISTRATION FOR CBSD WITH CPI SIGNED DATA

#	Test Execution Steps	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>UUT is in the Unregistered state</li> <li>All of the required and REG-Conditional parameters shall be configured and CPI signature provided</li> </ul>		
2	<ul> <li>The DP with two CBSDs sends Registration requests in the form of one 2-element Array or as individual messages to SAS Test Harness.</li> <li>The required userId, fccId and cbsdSerialNumber and REG- Conditional cbsdCategory, airInterface, measCapability and cpiSignatureData registration parameters shall be sent from the CBSD and conform to proper format and acceptable ranges.</li> <li>Any optional registration parameters that may be included in the message shall be verified that they conform to proper format and are within acceptable ranges.</li> </ul>	Pass	□ Fail
3	<ul> <li>SAS Test Harness sends a CBSD Registration Response as follows:</li> <li>cbsdld = Ci</li> <li>measReportConfig for each CBSD shall not be included.</li> <li>responseCode = 0 for each CBSD</li> </ul>		
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.		
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:  UUT shall not transmit RF	■ Pass	□ Fail



# 4.6.1.4 DOMAIN PROXY MISSING REQUIRED PARAMETERS (RESPONSECODE 102)

■Test Case ID : WINNF.FT.D.REG.9 □NA

#	Test Execution Steps	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>UUT is in the Unregistered state</li> </ul>		
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.		
3	SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows:  SAS response does not include a cbsdld.  responseCode = Ri for CBSD1 and CBSD2		-1
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.		
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:  UUT shall not transmit RF	■ Pass	□ Fail

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# 4.6.1.5 Domain Proxy Pending registration (responseCode 200)

# ■Test Case ID : WINNF.FT.D.REG.11 □NA

#	Test Execution Steps	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>UUT is in the Unregistered state</li> </ul>		
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.	1	
3	SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows:  - SAS response does not include a <i>cbsdld</i> .  - <i>responseCode</i> = Ri for CBSD1 and CBSD2	1	-1
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=200) to further request messages from the UUT.		
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:  UUT shall not transmit RF	■ Pass	□ Fail



# 4.6.1.6 Domain Proxy Invalid parameters (responseCode 103)

■Test Case ID : WINNF.FT.D.REG.13 □NA

#	Test Execution Steps	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>UUT is in the Unregistered state</li> </ul>		
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.		
3	SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows:  - SAS response does not include a <i>cbsdld</i> .  - <i>responseCode</i> = Ri for CBSD1 and CBSD2		-1
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode R1 = 0 for CBSD1 and R2 = 103 for CBSD2) to further request messages from the UUT.		
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:  UUT shall not transmit RF	■ Pass	□ Fail



# 4.6.1.7 Domain Proxy Blacklisted CBSD (responseCode 101)

# ■Test Case ID : WINNF.FT.D.REG.15 NA

#	Test Execution Steps	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>UUT is in the Unregistered state</li> </ul>		
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.		
3	SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows:  - SAS response does not include a <i>cbsdld</i> .  - <i>responseCode</i> = Ri for CBSD1 and CBSD2		
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode R1 = 0 for CBSD1 and R2 = 101 for CBSD2) to further request messages from the UUT.		
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:  UUT shall not transmit RF	■ Pass	□ Fail



# 4.6.1.8 Domain Proxy Unsupported SAS protocol version (responseCode 100)

■Test Case ID : WINNF.FT.D.REG.17 □NA

#	Test Execution Steps	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>UUT is in the Unregistered state</li> </ul>		
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.		
3	SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows:  - SAS response does not include a <i>cbsdld</i> .  - <i>responseCode</i> = Ri for CBSD1 and CBSD2	1	
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode (Ri) = 100 for each CBS) to further request messages from the UUT.		
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:  UUT shall not transmit RF	■ Pass	□ Fail



# 4.6.1.9 Domain Proxy Group Error (responseCode 201)

■Test Case ID: WINNF.FT.D.REG.19 □NA

#	Test Execution Steps	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>UUT is in the Unregistered state</li> </ul>		
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.		
3	SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows:  - SAS response does not include a <i>cbsdld</i> .  - <i>responseCode</i> = Ri for CBSD1 and CBSD2		
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode R1 = 0 for CBSD1 and R2 = 201 for CBSD2.) to further request messages from the UUT.		
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:  UUT shall not transmit RF	Pass	□ Fail

1611N	CATEGORY A	CBSDI	CCATION	IIDDATE
4.0.1.10	CAIEGURIA	COOD L	ULAIIUN	UPDAID

Test Case ID: WINNF.FT.C.REG.20	□NA
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The test case ID is provided as a means to ensure that evidence is provided showing compliance to this requirement.



## 4.6.2 CBSD Spectrum Grant Process

## 4.6.2.1 Unsuccessful Grant responseCode=400 (INTERFERENCE)

1101211	Onodooooda Oran roopon	300040-100	(IIII EILEITOE)
Test Ca	ase ID : WINNF.FT.C.GRA.1	$\square$ NA	

#	Test Execution Steps	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>■ UUT has registered successfully with SAS Test Harness, with cbsdld = C</li> </ul>	ŀ	
2	UUT sends valid Grant Request.	1	
3	SAS Test Harness sends a Grant Response message, including  • cbsdld=C  • responseCode = R = 400	1	
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.	1	
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:  UUT shall not transmit RF	■ Pass	□ Fail



# 4.6.2.2 Unsuccessful Grant responseCode=401(GRANT\_CONFLICT)

■Test Case ID : WINNF.FT.C.GRA.2 □NA

#	Test Execution Steps	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>■ UUT has registered successfully with SAS Test Harness, with cbsdld = C</li> </ul>	I	
2	UUT sends valid Grant Request.	1	
3	SAS Test Harness sends a Grant Response message, including  • cbsdld=C  • responseCode = R = 401	1	
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=401) to further request messages from the UUT.		
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:  UUT shall not transmit RF	■ Pass	□ Fail



#### 4.6.3 **CBSD Heart Beat Process**

#### 4.6.3.1 **Domain Proxy Heartbeat Success Case (first Heartbeat Response)**

Test Case ID: WINNF.FT.C.HBT.2  $\square$ NA **Test Execution Steps** Results Ensure the following conditions are met for test entry: 1 DP has two CBSD registered successfully with SAS Test Harness, with cbsdld = Ci,  $i=\{1,2\}$ DP sends a message: 2 If message is a Spectrum Inquiry Request, go to step 3 If message is a Grant Request, go to step 5 DP sends a Spectrum Inquiry Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Spectrum Inquiry Request message is formatted correctly for each CBSD, 3 including for CBSDi, i={1,2}: **Pass** Fail cbsdld = Ci List of frequencyRange objects sent by DP are within the CBRS frequency range If a separate Spectrum Inquiry Request message was sent for each CBSD, the SAS Test Harness shall respond to each Spectrum Inquiry Request message with a separate Spectrum Inquiry Response message. If a single Spectrum Inquiry Request message was sent containing a 2- object array (one per CBSD), the SAS Test Harness shall respond with a single Spectrum Inquiry Response message containing a 2-object array. 4 Verify parameters for each CBSD within the Spectrum Inquiry Response message are as follows, for CBSDi, i={1,2}: cbsdld = Ci availableChannel is an array of availableChannel objects responseCode = 0DP sends a Grant Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Grant Request message is formatted correctly for each CBSD, including for CBSDi, i={1,2}: 5 **Pass** Fail cbsdld = CmaxEIRP is at or below the limit appropriate for CBSD category as defined by operationFrequencyRange, Fi, sent by UUT is a valid range within the CBRS band If a separate Grant Request message was sent for each CBSD, the SAS Test Harness shall respond to each Grant Request message with a separate Grant Response message. If a single Grant Request message was sent containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Grant Response message containing a 2-object array. 6 Verify parameters for each CBSD within the Grant Response message are as follows, for CBSDi, i={1,2}: cbsdld = Ci grantId = Gi = a valid grant ID grantExpireTime = UTC time greater than duration of the test responseCode = 0

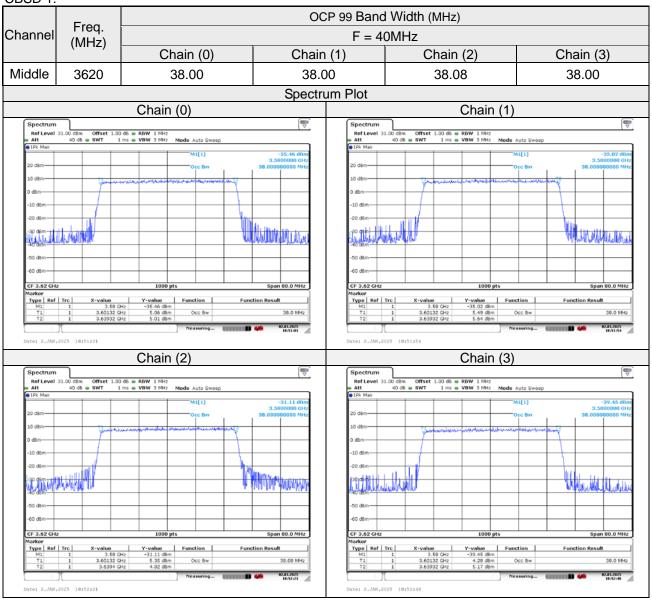


#	Test Execution Steps	Res	ults
7	Ensure DP sends first Heartbeat Request message for each CBSD.  This may occur in a separate message per CBSD, or together in a single message with array of 2.  Verify Heartbeat Request message is formatted correctly for each CBSD, including, for CBSDi i={1,2}:  • cbsdld = Ci, i={1,2} • grantld = Gi, i={1,2} • operationState = "GRANTED"	■ Pass	□ Fail
8	If a separate Heartbeat Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each Heartbeat Request message with a separate Heartbeat Response message.  If a single Heartbeat Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Heartbeat Response message containing a 2-object array.  Verify parameters for each CBSD within the Heartbeat Response message are as follows, for CBSD:  • cbsdld = Ci • grantld = Gi • transmitExpireTime = current UTC time + 200 seconds • responseCode = 0		
9	For further Heartbeat Request messages sent from DP after completion of step 8, validate message is sent within latest specified heartbeatInterval for CBSDi:  • cbsdld = Ci • grantld = Gi • operationState = "AUTHORIZED"  and SAS Test Harness responds with a Heartbeat Response message including the following parameters, for CBSDi • cbsdld = Ci • grantld = Gi • transmitExpireTime = current UTC time + 200 seconds • responseCode = 0	■ Pass	□ Fail
10	Monitor the RF output of the UUT from start of test until UUT transmission commences. Verify:  UUT does not transmit at any time prior to completion of the first heartbeat response  UUT transmits after step 8 is complete, and its transmission is limited to within the bandwidth range F.	■ Pass	□ Fail

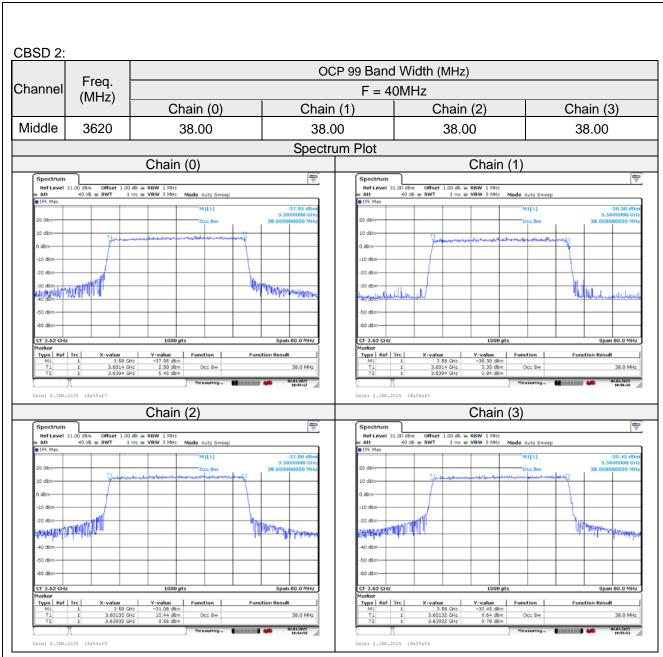


• UUT transmits after step 8 is complete, and its transmission is limited to within the bandwidth range F.

#### CBSD 1:









# 4.6.3.2 Heartbeat responseCode=105 (DEREGISTER)

■Test Case ID : WINNF.FT.C.HBT.3

#	Test Execution Steps	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has registered successfully with SAS Test Harness</li> <li>UUT has a valid single grant as follows:         <ul> <li>valid cbsdld = C</li> <li>valid grantld = G</li> <li>grant is for frequency range F, power P</li> <li>grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface</li> </ul>	1	1
2	UUT sends a Heartbeat Request message.  Ensure Heartbeat Request message is sent within Heartbeat Interval specified in the latest Heartbeat Response, and formatted correctly, including:  • cbsdld = C  • grantld = G  • operationState = "AUTHORIZED"		
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters:  • cbsdld = C  • grantld = G  • transmitExpireTime = T = Current UTC time  • responseCode = 105 (DEREGISTER)		
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.		
5	Monitor the RF output of the UUT. Verify:  ■ UUT shall stop transmission within (T + 60 seconds) of completion of step 3	Pass	☐ Fail



#### 4.6.3.3 Heartbeat responseCode=501 (SUSPENDED GRANT) in First Heartbeat Response

■Test Case ID: WINNF.FT.C.HBT.5  $\square$ NA Test Execution Steps Results Ensure the following conditions are met for test entry: UUT has registered successfully with SAS Test Harness UUT has a valid single grant as follows: valid cbsdld = C 0 0 valid arantld = G 1 0 grant is for frequency range F, power P grantExpireTime = UTC time greater than duration of the test UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: cbsdld = C2 **Pass** Fail grantId = GoperationState = "AUTHORIZED" SAS Test Harness sends a Heartbeat Response message, including the following parameters: cbsdld = C3 grantId = GtransmitExpireTime = T = current UTC time responseCode = 501 (SUSPENDED\_GRANT) After completion of step 3, SAS Test Harness shall not allow any further grants to the 4 UUT. Monitor the SAS-CBSD interface. Verify either A OR B occurs: UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters: cbsdld = CgrantId = GoperationState = "GRANTED" 5 UUT sends a Relinquishment request message. Ensure message is correctly Pass Fail formatted with parameters: cbdsId = CgrantId = GMonitor the RF output of the UUT. Verify: UUT does not transmit at any time



# 4.6.3.4 Heartbeat responseCode=501 (SUSPENDED\_GRANT) in Subsequent Heartbeat Response

■Te	est Case ID: WINNF.FT.C.HBT.6		
#	Test Execution Steps	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has registered successfully with SAS Test Harness</li> <li>UUT has a valid single grant as follows:         <ul> <li>valid cbsdld = C</li> <li>valid grantld = G</li> <li>grant is for frequency range F, power P</li> <li>grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface</li> </ul>		
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including:  • cbsdld = C  • grantId = G  • operationState = "AUTHORIZED"	■ Pass	□ Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters:  • cbsdld = C  • grantld = G  • transmitExpireTime = T = current UTC time  • responseCode = 501 (SUSPENDED_GRANT)		
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.		
5	<ul> <li>Monitor the SAS-CBSD interface. Verify either A OR B occurs: <ul> <li>A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters: <ul> <li>cbsdld = C</li> <li>grantId = G</li> <li>operationState = "GRANTED"</li> </ul> </li> <li>B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters: <ul> <li>cbdsld = C</li> <li>grantId = G</li> </ul> </li> <li>Monitor the RF output of the UUT. Verify: <ul> <li>UUT shall stop transmission within (T+60) seconds of completion of step 3</li> </ul> </li> </ul></li></ul>	■ Pass	□ Fail



# 4.6.3.5 Heartbeat responseCode=502 (UNSYNC\_OP\_PARAM)

■Test Case ID: WINNF.FT.C.HBT.7 □NA

16	est Case ID: WINNF.FT.C.HBT./		
#	Test Execution Steps	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has registered successfully with SAS Test Harness</li> <li>UUT has a valid single grant as follows:         <ul> <li>valid cbsdld = C</li> <li>valid grantld = G</li> <li>grant is for frequency range F, power P</li> <li>grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface</li> </ul>	1	ı
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including:  • cbsdld = C  • grantId = G  • operationState = "AUTHORIZED"	■ Pass	□ Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters:  • cbsdld = C  • grantld = G  • transmitExpireTime = T = current UTC time  • responseCode = 502 (UNSYNC_OP_PARAM)	1	1
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.		
5	Monitor the SAS-CBSD interface. Verify:  ■ UUT sends a Grant Relinquishment Request message. Verify message is correctly formatted with parameters:  □ cbsdld = C □ grantld = G  Monitor the RF output of the UUT. Verify:  ■ UUT shall stop transmission within (T+60) seconds of completion of step 3	■ Pass	□ Fail



# 4.6.3.6 Domain Proxy Heartbeat responseCode=500 (TERMINATED\_GRANT)

Toot Caco ID	: WINNF.FT.C.HBT.8	□NA
	. WHINING, ET. C. HD LO	

#	Test Execution Steps	Res	sults
	Ensure the following conditions are met for test entry:		
	DP has two CBSD registered successfully with SAS Test Harness		
	Each CBSD {1,2} has a valid single grant as follows:		
1	<ul> <li>valid cbsdld = Ci, i={1,2}</li> <li>valid grantld = Gi, i={1,2}</li> <li>grant is for frequency range Fi, power Pi</li> <li>grantExpireTime = UTC time greater than duration of the test</li> </ul>		
	Both CBSD are in AUTHORIZED state and transmitting within their granted bandwidth on RF interface		
	DP sends a Heartbeat Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of size 2.		
2	Verify Heartbeat Request message is sent within latest specified <i>heartbeatInterval</i> , and is formatted correctly for each CBSD, including, for CBSDi i={1,2}:	■ Pass	
	• <i>cbsdld</i> = Ci, i = {1,2}		Fail
	● grantId = Gi, i = {1,2}		
	operationState = "AUTHORIZED"		
	If separate Heartbeat Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each Heartbeat Request message with a separate Heartbeat Response message.		
	If a single Heartbeat Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Heartbeat Response message containing a 2-object array.		
	Parameters for each CBSD within the Heartbeat Response message should be as follows, for CBSDi:		
3	• cbsdld = Ci		
	• grantld = Gi		
	For CBSD1:		
	<ul> <li>transmitExpireTime = current UTC time + 200 seconds</li> <li>responseCode = 0</li> </ul>		
	For CBSD2:		
	<ul><li>transmitExpireTime = T = current UTC time</li><li>responseCode = 500 (TERMINATED_GRANT)</li></ul>		



#	Test Execution Steps	Res	sults
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.  If CBSD sends further Heartbeat Request messages for CBSD1, SAS Test Harness shall respond with a Heartbeat Response message with parameters:  • cbsdld = C1  • grantld = G1  • transmitExpireTime = current UTC time + 200 seconds  • responseCode = 0  • Heartbeat Request message is within heartbeatInterval of previous Heartbeat		
	Request message  Monitor the RF output of CBSD2. Verify:		
5	CBSD2 shall stop transmission within bandwidth F2 within (T + 60 seconds) of completion of step 3	Pass	☐ Fail



# 4.6.3.7 Heartbeat Response Absent (First Heartbeat)

■Test Case ID : WINNF.FT.C.HBT.9 □NA

#	Test Execution Steps	Results	
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has registered successfully with SAS Test Harness</li> <li>UUT has a valid single grant as follows:         <ul> <li>valid cbsdld = C</li> <li>valid grantld = G</li> <li>grant is for frequency range F, power P</li> <li>grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>UUT is in GRANTED, but not AUTHORIZED state(i.e. has not performed its first Heartbeat Request)</li> </ul>	1	ł
2	UUT sends a Heartbeat Request message.  Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including:  • cbsdld = C  • grantld = G  • operationState = "GRANTED"	■ Pass	□ Fail
3	After completion of step 2, SAS Test Harness does not respond to any further messages from UUT to simulate loss of network connection		
4	Monitor the RF output of the UUT from start of test to 60 seconds after step 3. Verify:  At any time during the test, UUT shall not transmit on RF interface	Pass	☐ Fail



# 4.6.3.8 Heartbeat Response Absent (Subsequent Heartbeat)

■Test Case ID: WINNF.FT.C.HBT.10 □NA

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry:  UUT has registered successfully with SAS Test Harness  UUT has a valid single grant as follows:  valid cbsdld = C  valid grantld = G  grant is for frequency range F, power P  grantExpireTime = UTC time greater than duration of the test  UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface		
2	UUT sends a Heartbeat Request message.  Verify Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including:  • cbsdld = C  • grantld = G  • operationState = "AUTHORIZED"	■ Pass	□ Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters:  • cbsdld = C  • grantld = G  • transmitExpireTime = T = current UTC time + 200 seconds  • responseCode = 0		
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.		
5	Monitor the RF output of the UUT. Verify:  ■ UUT shall stop all transmission on RF interface within (transmitExpireTime + 60 seconds), using the transmitExpireTime sent in Step 3.	■ Pass	☐ Fail



## 4.6.3.9 Successful Grant Renewal in Heartbeat Test Case

■Test Case ID : WINNF.FT.C.HBT.11 □NA

Te	est Case ID : WINNF.FT.C.HBT.11		
#	Test Execution Steps	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has registered successfully with SAS Test Harness</li> <li>UUT has a valid single grant as follows:         <ul> <li>valid cbsdld = C</li> <li>valid grantld = G</li> <li>grant is for frequency range F, power P</li> </ul> </li> <li>UT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface.</li> <li>Grant has the following parameters at the start of the test:         <ul> <li>grantExpireTime = UTC time equal to time at start of test + 300 seconds = Tgrant_expire</li> <li>transmitExpireTime = UTC time equal to time at start of test + 200 seconds</li> <li>heartbeatInterval = 60 seconds</li> </ul> </li> </ul>		
2	UUT sends a Heartbeat Request message.  If Heartbeat Request message contains grantRenew = TRUE, go to Step 6, else go to Step 3.		
3	Verify Heartbeat Request message is sent within the latest specified  heartbeatInterval, and is formatted correctly, including:  cbsdld = C grantId = G operationState = "AUTHORIZED"	■ Pass	□ Fail
4	SAS Test Harness sends a Heartbeat Response message, with the following parameters:  • cbsdld = C • grantld = G • transmitExpireTime = current UTC + 200 seconds • grantExpireTime = same as Step 1 • responseCode = 0		
5	Go to Step 2		
6	Verify Heartbeat Request message is sent within the latest specified heartbeatInterval, and is formatted correctly, including:  • cbsdld = C • grantld = G • operationState = "AUTHORIZED" • grantRenew = TRUE	■ Pass	□ Fail
7	SAS Test Harness sends a Heartbeat Response message, with the following parameters:  • cbsdld = C  • grantld = G  • grantExpireTime = UTC time set far in the future  • transmitExpireTime = current UTC time + 200 seconds  • responseCode = 0		
8	Continue to respond to any subsquentHeartbeat Request from CBSD with Heartbeat Response with the following parameters:  • cbsdld = C • grantld = G • transmitExpireTime = same as Step 7 • responseCode = 0		



#	Test Execution Steps	Res	sults
9	Monitor RF transmission of UUT from start of test until Tgrant_expire		
9	+ 60 seconds and ensure UUT continues to transmit throughout the time period.	Pass	Fail



# 4.6.4 CBSD Measurement Report

responseCode = 0

4.6.4	1.1 Domain Proxy Registration Response contains measReportConfig		
	est Case ID : WINNF.FT.D.MES.2		
#	Test Execution Steps	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>DP has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> </ul>		
2	DP sends a Registration Request message for each of two CBSD. This may occur in a separate Request message per CBSD, or together in a single Request message with array of 2.  Verify Registration Request message contains all required parameters properly formatted for CBSDi, i={1,2}, and specifically:  userId is present and correct fccId is present and correct cbsdSerialNumber is present and correct measCapability = "RECEIVED_POWER_WITHOUT_GRANT"	Pass	□ Fail
3	If a separate Registration Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each Registration Request message with a separate Registration Response message.  If a single Registration Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Registration Response message containing a 2-object array.  Parameters for each CBSD within the Registration Response message should be as follows, for CBSDi:  • cbsdld = Ci • measReportConfig= "RECEIVED_POWER_WITHOUT_GRANT" • responseCode = 0		
4	<ul> <li>UUT sends a message:</li> <li>If message is type Spectrum Inquiry Request, go to step 5, or</li> <li>If message is type Grant Request, go to step 7</li> </ul>		
5	UUT sends message type Spectrum Inquiry Request. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Spectrum Inquiry Request message contains all required parameters properly formatted for CBSDi, i= {1,2}, and specifically:  • cbsdld = Ci • measReport is present, and is a properly formatted rcvdPowerMeasReport.	■ Pass	□ Fail
6	If a separate Spectrum Inquiry Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each Spectrum Inquiry Request message with a separate Spectrum Inquiry Response message.  If a single Spectrum Inquiry Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Spectrum Inquiry Response message containing a 2-object array.  Parameters for each CBSD within the Spectrum Inquiry Response message should be as follows:  • cbsdld = Ci • availableChannel is an array of availableChannel objects		



#	Test Execution Steps	Results	
7	U UT sends message type Grant Request message. This may occur in a separate message per CBSD, or together in a single message with array of 2.  Verify the Grant Request message contains all required parameters properly formatted for CBSDi, i= {1,2}, and specifically:  • cbsdld = Ci • measReport is present, and is a properly formatted rcvdPowerMeasReport	Pass	□ Fail



# 4.6.5 CBSD Relinquishment Process

## 4.6.5.1 Domain Proxy Successful Relinquishment

■Test Case ID : WINNF.FT.D.RLQ.2 □NA

#	Test Execution Steps	Res	sults
	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>DP has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>DP has successfully registered 2 CBSD with SAS Test Harness, each with cbsdld=Ci, i={1,2}</li> </ul>		
1	<ul> <li>DP has received a valid grant with grantId = Gi, i={1,2} for each CBSD</li> <li>Both CBSD are in Grant State AUTHORIZED and actively transmitting within the bounds of their grants.</li> <li>Invoke trigger to relinquish each UUT Grant from the SAS Test Harness</li> </ul>		
2	Verify DP sends a Relinquishment Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2.  Verify Relinquishment Request message contains all required parameters properly formatted for each CBSD, specifically, for CBSDi:  • cbsdld = Ci • grantld = Gi	■ Pass	☐ Fail
3	If a separate Relinquishment Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each request message with a separate response message.  If a single Relinquishment Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Response message containing a 2-object array.  Parameters for each CBSD within the Relinquishment Response shall be as follows:  • cbsdld = Ci  • grantld = Gi  • responseCode = 0		
4	After completion of step 3, SAS Test Harness will not provide any additional positive response ( <i>responseCode</i> =0) to further request messages from the UUT.		
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:  UUT shall stop RF transmission at any time between triggering the relinquishments and UUT sending the relinquishment requests for each CBSD.	■ Pass	□ Fail



# 4.6.5.2 DOMAIN PROXY UNSUCCESSFUL RELINQUISHMENT, RESPONSECODE=102

■Test Case ID: WINNF.FT.D.RLQ.4 □NA

#	Test Execution Steps	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>DP has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>DP has successfully registered 2 CBSD with SAS Test Harness, each with cbsdld=Ci, i={1,2}</li> <li>DP has received a valid grant with grantld = Gi, i={1,2} for each CBSD</li> <li>Both CBSD are in Grant State AUTHORIZED and actively transmitting within the bounds of their grants</li> </ul>		
2	Invoke trigger on UUT to Relinquish Grant from the SAS Test Harness  DP with two CBSDs sends Relinquishment Request with two objects to the SAS Test Harness.  This may occur in a separate message per CBSD, or together in a single message with array of 2.  Verify DP sends a Relinquishment Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2.  Verify Relinquishment Request message contains all required parameters properly formatted for each CBSD, specifically, for CBSDi:  • cbsdld = Ci  • grantld = Gi		
3	If a separate Relinquishment Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each request message with a separate response message.  If a single Relinquishment Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Response message containing a 2-object array.  Parameters for each CBSD within the Relinquishment Response shall be as follows:  • cbsdld = Ci  • No grantld  • responseCode = 102		
4	After completion of step 3, SAS Test Harness will not provide any additional positive response ( <i>responseCode</i> =0) to further request messages from the UUT.		
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:  A. UUT stopped RF transmission at any time between triggering the relinquishment and UUT sending the relinquishment request	■ Pass	□ Fail



# 4.6.5.3 DOMAIN PROXY UNSUCCESSFUL RELINQUISHMENT, RESPONSECODE=103

■Test Case ID: WINNF.FT.D.RLQ.6 □NA

#	Test Execution Steps	Res	sults
	Ensure the following conditions are met for test entry:		
	<ul> <li>DP has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> </ul>		
	<ul> <li>DP has successfully registered 2 CBSD with SAS Test Harness, each with cbsdld=Ci, i={1,2}</li> </ul>		
1	<ul> <li>DP has received a valid grant with grantId = Gi, i={1,2} for each CBSD</li> </ul>		
	<ul> <li>Both CBSD are in Grant State AUTHORIZED and actively transmitting within the bounds of their grants</li> </ul>		
	Invoke trigger on UUT to Relinquish Grant from the SAS Test Harness		
	DP with two CBSDs sends Relinquishment Request with two objects to the SAS Test Harness.		
	This may occur in a separate message per CBSD, or together in a single message with array of 2.		
2	Verify DP sends a Relinquishment Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Relinquishment Request message contains all required parameters properly formatted for each CBSD, specifically, for CBSDi:		
	• cbsdld = Ci		
	• grantld = Gi		
3	If a separate Relinquishment Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each request message with a separate response message.  If a single Relinquishment Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Response message containing a 2-object array.  Parameters for each CBSD within the Relinquishment Response shall be as follows:		
	• cbsdld = Ci		
	No grantld		
	• responseCode = 103		
4	After completion of step 3, SAS Test Harness will not provide any additional positive response (responseCode=0) to further request messages from the UUT.		
	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:		
5	A. UUT stopped RF transmission at any time between triggering the	Pass	Fail
	relinquishment and UUT sending the relinquishment request		



# 4.6.6 CBSD Deregistration Process

## 4.6.6.1 Domain Proxy Successful Deregistration

■Test Case ID : WINNF.FT.D.DRG.2 □NA

#	Test Execution Steps	Res	sults
	Ensure the following conditions are met for test entry:		
	Each UUT has successfully registered with SAS Test Harness		
	Each UUT is in the authorized state		
	<ul> <li>DP has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> </ul>		
1	<ul> <li>DP has successfully registered 2 CBSD with SAS Test Harness, each with cbsdld=Ci, i={1,2}</li> </ul>		
	● DP has received a valid grant with <i>grantId</i> = Gi, i={1,2} for each CBSD		
	Both CBSD are in Grant State AUTHORIZED and actively transmitting within the bounds of their grants.		
	Invoke trigger to deregister each UUT from the SAS Test Harness		
2	UUT sends a Relinquishment request and receives Relinquishment response with responseCode=0		
3	Verify DP sends a Deregistration Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Deregistration Request message contains all required parameters properly formatted for each CBSD, specifically, for CBSDi:	■ Pass	☐ Fail
	• cbsdld = Ci		
	If a separate Deregistration Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each request message with a separate response message.		
4	If a single Deregistration Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Response message containing a 2-object array.		
	Parameters for each CBSD within the Deregistration Response shall be as follows:		
	• cbsdld = Ci		
	• responseCode = 0		
5	After completion of step 4, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.		



## 4.6.6.2 DOMAIN PROXY DEREGISTRATION RESPONSECODE=102

■Test Case ID: WINNF.FT.D.DRG.4 □NA

#	Test Execution Steps	Res	sults
	Ensure the following conditions are met for test entry:		
	<ul> <li>DP has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> </ul>		
1	<ul> <li>DP has successfully registered 2 CBSD with SAS Test Harness, each with cbsdld=Ci, i={1,2}</li> </ul>		
	<ul> <li>DP has received a valid grant with grantld = Gi, i={1,2} for each CBSD</li> </ul>		
	<ul> <li>Both CBSD are in Grant State AUTHORIZED and actively transmitting within the bounds of their grants.</li> </ul>		
	Invoke trigger to deregister each UUT from the SAS Test Harness		
2	UUT may send a Relinquishment request and receives Relinquishment response with responseCode=0 for each CBSD		
3	Verify DP sends a Deregistration Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Deregistration Request message contains all required parameters properly formatted for each CBSD, specifically, for CBSDi:		
	• cbsdld = Ci		
	If a separate Deregistration Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each request message with a separate response message.		
4	If a single Deregistration Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Response message containing a 2-object array.		
	Parameters for each CBSD within the Deregistration Response shall be as follows:		
	No cbsdld in either response		
	• responseCode = 102		
5	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.		
	Monitor the RF output of each UUT from start of test until 60 seconds after Step 4 is complete. This is the end of the test. Verify:		
6	UUT stopped RF transmission at any time between triggering the		
	deregistration and either A OR B occurs:  A. UUT sending a Registration Request message, as this is not	Pass	Fail
	mandatory		
	B. UUT sending a Deregistration Request message		



## 4.6.6.3 DEREGISTRATION RESPONSECODE=103

■Test Case ID : WINNF.FT.C.DRG.5 □NA

#	Test Execution Steps	Res	sults
	Ensure the following conditions are met for test entry:		
	<ul> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> </ul>		
	UUT has successfully registered with SAS Test Harness, with		
1	cbsdld=C		
	<ul> <li>UUT has received a valid grant with grantId = G</li> </ul>		
	<ul> <li>UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant.</li> </ul>		
	Invoke trigger to deregister each UUT from the SAS Test Harness		
2	UUT may send a Relinquishment request and receives Relinquishment response with responseCode=0		
3	UUT sends Deregistration Request to SAS Test Harness with <i>cbsdld</i> = C		
	The SAS Test Harness sends the Deregistration Response Message to UUT with:		
4	No cbsdld		
	• responseCode = 103		
5	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.		
	Monitor the RF output of each UUT from start of test until 60 seconds after Step 4 is complete. This is the end of the test. Verify:		
	UUT stopped RF transmission at any time between triggering the		
6	deregistration and either A OR B occurs:  A. UUT sending a Registration Request message, as this is not	Pass	Fail
	mandatory		
	B. UUT sending a Deregistration Request message		

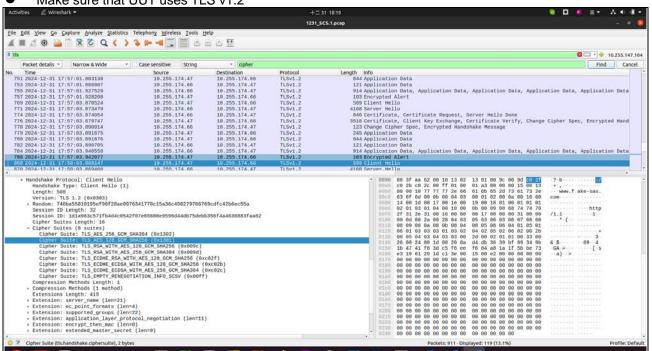


#### 4.6.7 CBSD Security Validation

#### 4.6.7.1 Successful TLS connection between UUT and SAS Test Harness

#	Test Execution Steps	Res	sults
1	<ul> <li>UUT shall start CBSD-SAS communication with the security procedure</li> <li>The UUT shall establish a TLS handshake with the SAS Test Harness using configured certificate.</li> <li>Configure the SAS Test Harness to accept the security procedure and establish the connection</li> </ul>	■ Pass	□ Fail
2	<ul> <li>Make sure that Mutual authentication happens between UUT and the SAS Test Harness.</li> <li>Make sure that UUT uses TLS v1.2</li> <li>Make sure that cipher suites from one of the following is selected,</li> <li>TLS_RSA_WITH_AES_128_GCM_SHA256</li> <li>TLS_RSA_WITH_AES_256_GCM_SHA384</li> <li>TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA256</li> <li>TLS_ECDHE_ECDSA_WITH_AES_256_GCM_SHA384</li> <li>TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256</li> </ul>	Pass	□ Fail
3	A successful registration is accomplished using one of the test cases described in section 6.1.4.1, depending on CBSD capability.  UUT sends a registration request to the SAS Test Harness and the SAS Test Harness sends a Registration Response with responseCode = 0 and cbsdld.	Pass	☐ Fail
4	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:  UUT shall not transmit RF	Pass	☐ Fail

#### Wireshark Capture Example for Test Case:

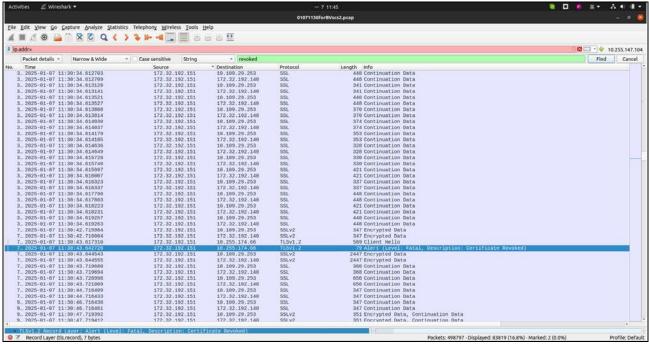




## 4.6.7.2 TLS failure due to revoked certificate

#	Test Execution Steps	Res	sults
4	<ul> <li>UUT shall start CBSD-SAS communication with the security procedures</li> </ul>		
<b>'</b>		Pass	Fail
2	<ul> <li>Make sure that UUT uses TLS v1.2 for security establishment.</li> <li>Make sure UUT selects the correct cipher suite.</li> <li>UUT shall use CRL or OCSP to verify the validity of the server certificate.</li> <li>Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.</li> </ul>	■ Pass	□ Fail
3	UUT may retry for the security procedure which shall fail.	■ Pass	☐ Fail
4	SAS Test-Harness shall not receive any Registration request or any application data.		
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:  UUT shall not transmit RF	Pass	☐ Fail

#### Wireshark Capture Example for Test Case:



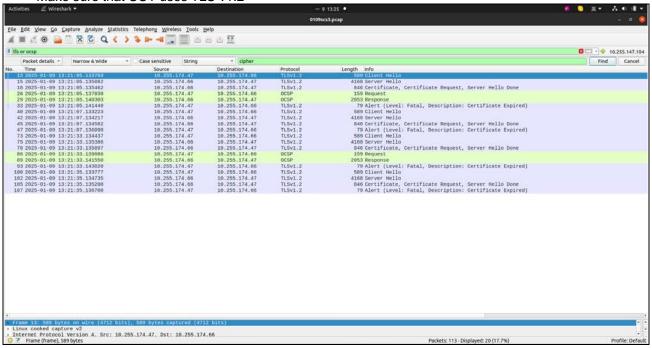


#### 4.6.7.3 TLS failure due to expired server certificate

■Test Case ID : WINNF.FT.C.SCS.3 □NA

#	Test Execution Steps	Results	
1	<ul> <li>UUT shall start CBSD-SAS communication with the security procedures</li> </ul>		
ı		Pass	Fail
2	<ul> <li>Make sure that UUT uses TLS v1.2 for security establishment.</li> <li>Make sure UUT selects the correct cipher suite.</li> <li>UUT shall use CRL or OCSP to verify the validity of the server certificate.</li> <li>Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.</li> </ul>	■ Pass	□ Fail
3	UUT may retry for the security procedure which shall fail.	Pass	_ Fail
4	SAS Test-Harness shall not receive any Registration request or any application data.		
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:  UUT shall not transmit RF	Pass	☐ Fail

#### Wireshark Capture Example for Test Case:

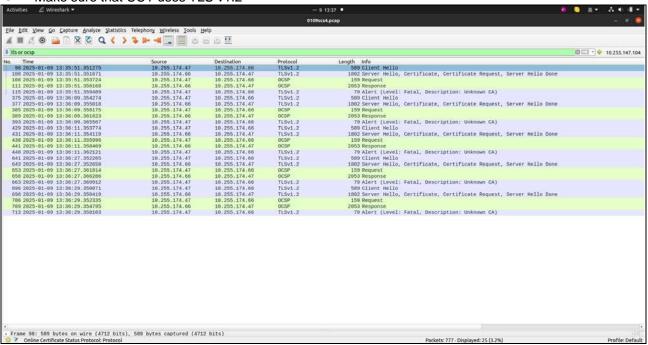




#### 4.6.7.4 TLS failure when SAS Test Harness certificate is issued by an unknown CA

#	Test Execution Steps	Results		
1	<ul> <li>UUT shall start CBSD-SAS communication with the security procedures</li> </ul>			
'		Pass	Fail	
2	<ul> <li>Make sure that UUT uses TLS v1.2 for security establishment.</li> <li>Make sure UUT selects the correct cipher suite.</li> <li>UUT shall use CRL or OCSP to verify the validity of the server certificate</li> <li>Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.</li> </ul>	■ Pass	□ Fail	
3	UUT may retry for the security procedure which shall fail.	■ Pass	☐ Fail	
4	SAS Test-Harness shall not receive any Registration request or any application data.			
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:  UUT shall not transmit RF	Pass	☐ Fail	

#### Wireshark Capture Example for Test Case:

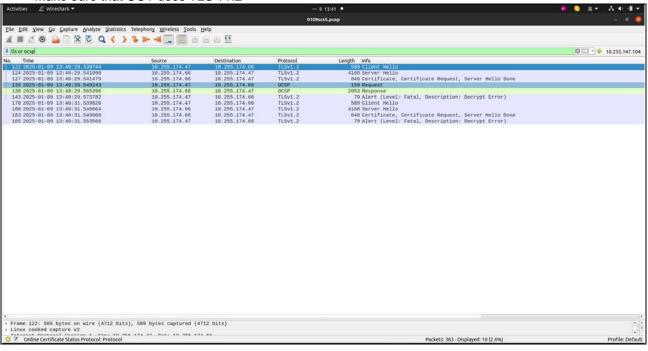




#### 4.6.7.5 TLS failure when certificate at the SAS Test Harness is corrupted

_			
#	Test Execution Steps	Results	
4	<ul> <li>UUT shall start CBSD-SAS communication with the security procedures</li> </ul>		
<b>'</b>		Pass	Fail
2	<ul> <li>Make sure that UUT uses TLS v1.2 for security establishment.</li> <li>Make sure UUT selects the correct cipher suite.</li> <li>UUT shall use CRL or OCSP to verify the validity of the server certificate</li> <li>Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.</li> </ul>	■ Pass	□ Fail
3	UUT may retry for the security procedure which shall fail.	Pass	 Fail
4	SAS Test-Harness shall not receive any Registration request or any application data.		
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:  UUT shall not transmit RF	Pass	□ Fail

#### Wireshark Capture Example for Test Case:





## 4.6.8 CBSD RF Power Measurement

## 4.6.8.1 WINNF.PT.C.HBT.1

Toct Caca	ID: WINNF.PT.C.HBT.1	
I LEST CASE	HU. WIINING FI.G. CIDI. I	IIIIA

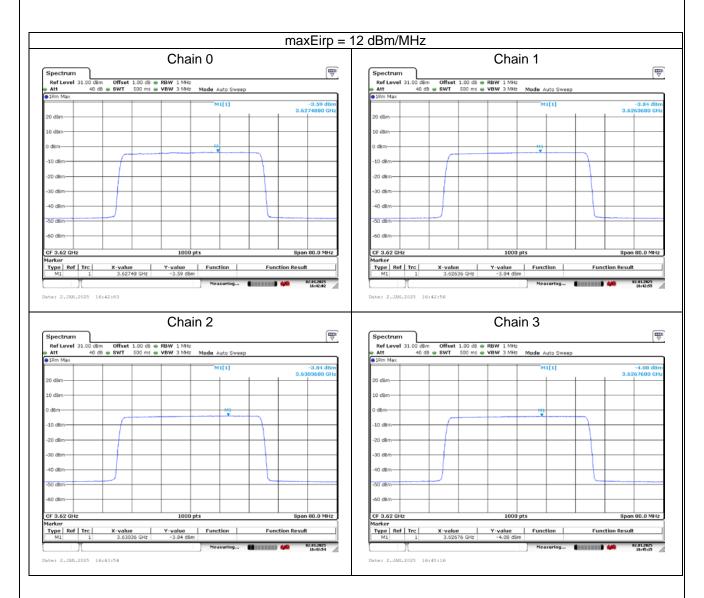
#	Test Execution Steps	Results	
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness</li> <li>UUT has registered with the SAS, with CBSD ID = C</li> <li>UUT has a single valid grant G with parameters {lowFrequency = FL, highFrequency = FH, maxEirp = Pi}, with grant in AUTHORIZED state, and grantExpireTime set to a value far past the duration of this test case</li> <li>Note: in order for the UUT to request a grant with the parameters {lowFrequency, highFrequency, maxEirp), the SAS Test Harness may need to provide appropriate guidance in the availableChannel object of the spectrumInquiry response message, and the operationParam object of the grant response message. Alternately, the UUT vendor may provide the ability to set those parameters on the UUT so that the UUT will request a grant with those parameters</li> </ul>	1	
2	UUT and SAS Test Harness perform a series of Heartbeat Request/Response cycles, which continues until the other test steps are complete. Messaging for each cycle is as follows:  ■ UUT sends Heartbeat Request, including:  ○ cbsdld = C  ○ grantld = G  ○ grantld = G  ○ transmitExpireTime = current UTC time + 200 seconds  ○ responseCode = 0	ł	
3	Tester performs power measurement on RF interface(s) of UUT, and verifies it complies with the maxEirp setting, Pi. The RF measurement method is out of scope of this document, but may include additional configuration of the UUT, as required, to fulfill the requirements of the power measurement method.  Note: it may be required for the vendor to provide a method or configuration to bring the UUT to a mode which is required by the measurement methodology. Any such mode is vendor-specific and depends upon UUT behavior and the measurement methodology.	■ Pass	□ Fail



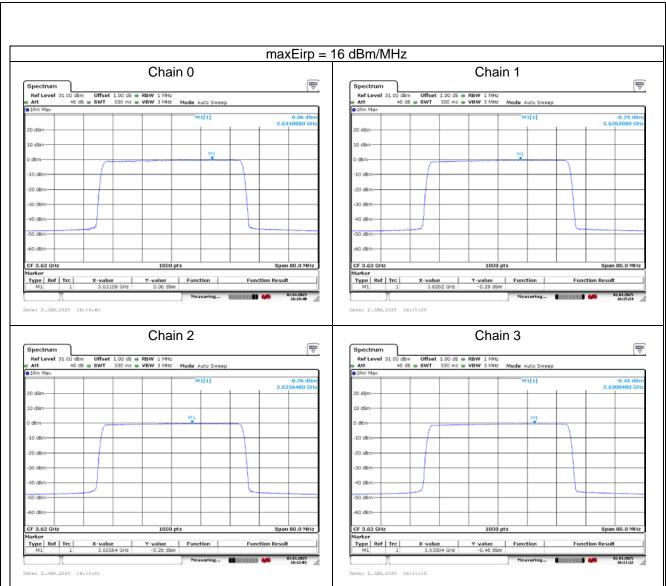
#### RF measurement plot for Test Case:

 Tester performs power measurement on RF interface(s) of UUT, and verifies it complies with the maxEirp setting, Pi. The RF measurement method is out of scope of this document, but may include additional configuration of the UUT, as required, to fulfill the requirements of the power measurement method.

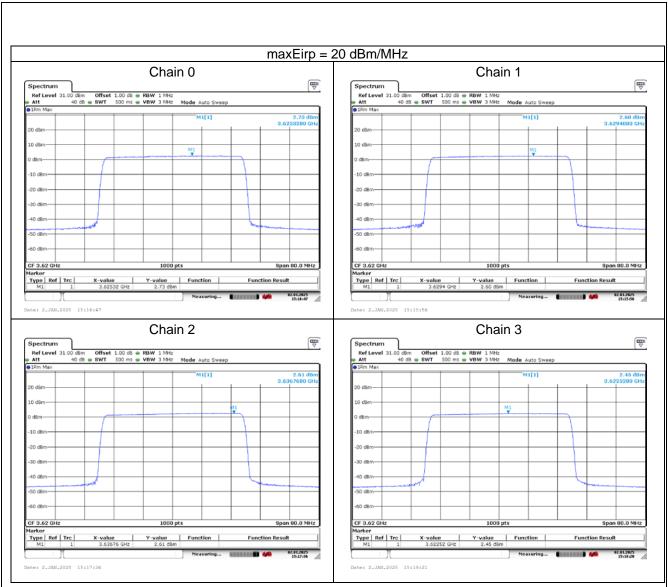
	Freq. (MHz)			40M	Limit	Pass / Fail			
Channel		Conducted Power Density (dBm/MHz)					Gain(dBi)	8.51	
		Chain 0	Chain 1	Chain 2	Chain 3	Power De	nsity	maxEirp(dBm)=Pi	
Middle	3620	-3.59	-3.84	-3.84	-4.08	2.19		12.0	Pass
Middle	3620	0.06	-0.29	-0.26	-0.45	5.79		16.0	Pass
Middle	3620	2.73	2.60	2.61	2.45	8.62		20.0	Pass













5 Pictures of Test Arrangements					
Please refer to the attached file (Test Setup Photo).					
6 WinnEgrum Logo					
6 WinnForum Logs Please refer to the attached file (Test Logs).					

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#### Appendix - Information of the Testing Laboratories

We, Bureau Veritas Consumer Products Services (H.K.) Ltd., Taoyuan Branch, were founded in 1988 to provide our best service in EMC, Radio, Telecom and Safety consultation. Our laboratories are FCC recognized accredited test firms and accredited according to ISO/IEC 17025.

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If you have any comments, please feel free to contact us at the following:

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Email: <a href="mailto:service.adt@bureauveritas.com">service.adt@bureauveritas.com</a>
Web Site: <a href="mailto:http://ee.bureauveritas.com.tw">http://ee.bureauveritas.com.tw</a>

The address and road map of all our labs can be found in our web site also.

--- END ---