

PCTEST 7185 Oakland Mills Road, Columbia, MD 21046 USA Tel. +1.410.290.6652 / Fax +1.410.290.6654 http://www.pctest.com



# COMPLIANCE SUMMARY REPORT

Applicant Name: LG Electronics U.S.A., Inc. 1000 Sylvan Avenue Englewood Cliffs, NJ 07632 United States Test Site/Location: PCTEST Lab, Columbia, MD, USA Document Serial No.: 1M1911250199-18-R1.ZNF

FCC ID:	ZNFV600VM
APPLICANT:	LG ELECTRONICS U.S.A., INC.
Report Type: DUT Type: Model: Additional Model:	Compliance Summary Portable Handset LM-V600VM LMV600VM, V600VM, LM-V600QM5, LMV600QM5, V600QM5, LM-V600QM6, LMV600QM6, V600QM6

Note: This revised Test Report (S/N: 1M1911250199-18-R1.ZNF) supersedes and replaces the previously issued test report on the same subject device for the same type of testing as indicated. Please discard or destroy the previously issued test report(s) and dispose of it accordingly.



FCC ID: ZNFV600VM	<u>PCTEST</u>	COMPLIANCE SUMMARY REPORT	🔁 LG	Approved by: Quality Manager
Document S/N: 1M1911250199-18-R1.ZNF	DUT Type: Portable Handset			Page 1 of 10
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REV 1.0 06/01/2019

# TABLE OF CONTENTS

1	STR	ATEGY FOR COMPLIANCE DEMONSTRATION	3
	1.1	RF Exposure Evaluation Strategy	3
	1.2	Nomenclature	4
	1.3	Bibliography	4
2	TIM	E AVERAGING ALGORITHM	5
	2.1	Algorithm Description	5
	2.2	Basic concept of the algorithm	6
	2.3	Configurable Parameters	8
3	DUT	T DESCRIPTION	9
	3.1	Device Overview	9
4	CO	MPLIANCE SUMMARY	10
	4.1	RF Exposure Compliance Summary	10

NCE SUMMARY REPORT	or
Page 2 of 10	
	Page 2 of 10

#### 1 STRATEGY FOR COMPLIANCE DEMONSTRATION

#### 1.1 **RF Exposure Evaluation Strategy**

The FCC RF exposure limits defined based on time-averaged RF exposure. The device under test (DUT) uses the Qualcomm Smart Transmit feature to control and manage transmitting power in real time and to ensure at all times the time-averaged RF exposure is in compliance with the FCC requirement for 2G/3G/4G/5G NR operations. Additionally, this device supports WLAN/BT/NFC technologies but the output power of these modems is not controlled by the smart transmit algorithm.

Demonstrating compliance of DUT enabled with Qualcomm Smart Transmit feature is completed in three parts:

#### 0. RF Exposure Compliance Test Report Part 0: SAR Characterization and PD Characterization

The SAR and PD Characterization, denoted as SAR Char and PD Char, determines the power limit that meets FCC exposure requirement after accounting for device design related uncertainties for each supported radio configuration and RF exposure usage scenario. The determined power limits will be loaded and stored in the EUT via the Embedded File System (EFS), and then used as inputs for Smart Transmit to operate.

For 2G/3G/4G/5G Sub6, SAR Char is derived from SAR test measurements and conducted power measurements to determine P<sub>Limit</sub> for each technology/band. For 5G mmW NR, PD Char is derived using simulation in combination with measurement as validation to determine the input.power.limit for each radio/antenna configuration (each beam). The PLimit and input.power.limit represents the maximum time-averaged power level for the corresponding radio/antenna configuration.

#### 1. RF Exposure Compliance Test Report Part 1: Test in Static Transmission Condition

Part 1 demonstrates that DUT meets FCC SAR and PD limits when transmitting at pre-determined maximum time-averaged power level: PLimit for 2G/3G/4G/5G Sub6 NR and input.power.limit for 5G mmW NR. The SAR and PD measurement in Part 1 is under static transmission condition.

The compliance for WLAN/BT radio is demonstrated at a fixed power level (fixed = maximum RF tune-up level or power-back off level).

The exposure from the simultaneous transmission of WWAN and WLAN/BT is evaluated in Part 1 report.

#### 2. RF Exposure Compliance Test Report Part 2: Test in Dynamic Transmission Condition

Part 2 demonstrates compliance in Tx varying transmission conditions and validates Qualcomm Smart Transmit algorithm. The test results reported in Part 2 demonstrates that DUT complies with FCC RF exposure requirement under Tx varying transmission scenarios, thereby validity of Qualcomm Smart Transmit algorithm.

FCC ID: ZNFV600VM	PCTEST	COMPLIANCE SUMMARY REPORT	🕕 LG	Approved by: Quality Manager
Document S/N:	DUT Type:			Page 3 of 10
1M1911250199-18-R1.ZNF	Portable Handset			
				REV/1

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06/01/2019

### 1.2 Nomenclature

Applicable Technologies	Term	Description
	P <sub>Limit</sub>	Power level that corresponds to the exposure design target (SAR_design_target) after accounting for all device design related uncertainties
2G/3G/4G/5G	P <sub>Max</sub>	Maximum tune up output power
Sub6	T <sub>SAR</sub>	Defined time averaging window for $f < 6$ GHz
	SAR_design_target	Target SAR level resulting in maximum time-averaged exposure optimized from total uncertainty
	SAR Char	Table containing Plimit for all technologies
	input.power.limit	Power level at antenna element for each beam corresponding to the exposure design target ( <i>PD_design_target</i> )
5G mmW NR	T <sub>PD</sub>	Defined time averaging window for $f > 6$ GHz
	PD_design_target	Target PD level resulting in maximum time-averaged exposure optimized from total uncertainty
	PD Char	Table containing input.power.limit for all beams
2G/3G/4G/5G Sub6/5G	regulatory body	Regulatory body that the algorithm is designed to comply. Algorithm's time averaging window is dependent on either FCC or ICNIRP requirements.
mmW NR	reserve_power_margin	Margin below P <sub>Limit</sub> reserved for future transmission
	Preserve	Minimum transmit power with a designated margin below <i>P</i> <sub>Limit</sub>

## 1.3 Bibliography

Report Type	Report Serial Number
SAR Evaluation Report (Part 1)	1M1911250199-01-R2.ZNF
PD Evaluation Report (Part 1)	1M1911250199-17-R3.ZNF
SAR & PD Evaluation Report (Part 0)	Rev. F
RF Exposure Part 2 Test Report	FA011602 v5
PD Simulation Report	RevA v2.0

FCC ID: ZNFV600VM	<u> PCTEST</u>	COMPLIANCE SUMMARY REPORT	🕒 LG	Approved by: Quality Manager
Document S/N:	DUT Type:			Page 4 of 10
1M1911250199-18-R1.ZNF © 2020 PCTEST	Portable Handset			REV 1.

## 2 TIME AVERAGING ALGORITHM

### 2.1 Algorithm Description

The FCC RF exposure limit is defined based on time-averaged RF exposure. When running in a wireless device, Qualcomm Smart Transmit algorithm enables more elegant power control mechanisms for RF exposure management. It ensures at all times the wireless device is in compliance with the FCC limit of RF exposure time-averaged over a defined time window, denoted as  $T_{SAR}$  and  $T_{PD}$  for specific absorption rate (SAR for transmit frequency < 6 GHz) and power density (PD for transmit frequency > 6 GHz) time windows, respectively.

The Smart Transmit algorithm not only ensures the wireless device complies with RF exposure requirement, but also improves the user experience and network performance.

For a given wireless device, RF exposure is proportional to the transmitting power.

- Once the SAR and PD of the wireless device is characterized at a transmit power level, RF exposure at a different power level for the characterized configurations can be scaled by the change in the corresponding power level.
- Therefore, for a characterized device, RF exposure compliance can be achieved through transmit power control and management.

The Smart Transmit algorithm embedded in Qualcomm modems reliably controls the transmit power of the wireless device in real time to maintain the time-averaged transmit power, in turn, time-averaged RF exposure, below the predefined time-averaged power limit for each characterized technology and band.

- This predefined time-averaged power limit is denoted as P<sub>Limit</sub> corresponding SAR limit (frequency < 6 GHz) and *input.power.limit* corresponding PD limit (frequency > 6 GHz) in this report.
- The wireless device continuously transmitting at *P*<sub>Limit</sub> level or *input.power.limit* level complies with the FCC RF exposure requirement.

In a simultaneous transmission scenario, the algorithm manages all active transmitters and make sure the total exposure ratio from each transmitter not exceeding to 1.

FCC ID: ZNFV600VM		COMPLIANCE SUMMARY REPORT	🔁 LG	Approved by: Quality Manager
Document S/N:	DUT Type:			Page 5 of 10
1M1911250199-18-R1.ZNF	Portable Handset			. ago o oi 10
2020 PCTEST				REV 1. 06/01/201

### 2.2 Basic concept of the algorithm

The Smart Transmit algorithm controls and manages the instantaneous transmit power (Tx) to maintain the timeaveraged Tx power and therefore, time-averaged RF exposure in compliance with FCC limits.

- If time-averaged transmit power approaches *P*<sub>Limit</sub> or *input.power.limit*, then the modem needs to limit instantaneous transmit power to ensure the time-averaged transmit power does not exceed *P*<sub>Limit</sub> or *input.power.limit* in any *T*<sub>SAR</sub> and *T*<sub>PD</sub> time windows since the time-averaged RF exposure is required to comply with the FCC RF exposure limit in any *T*<sub>SAR</sub> or *T*<sub>PD</sub> time window.
- The wireless device can instantaneously transmit at high transmit powers and exceed the P<sub>Limit</sub> or input.power.limit level for a short duration before limiting the power to maintain the time-averaged transmit power under P<sub>Limit</sub> or input.power.limit.
- If the wireless device transmits at high power for a long time, then the radio link needs to be dropped to be compliant with time-averaged Tx power requirement (see Figure 2-1).
- To avoid dropping the radio link, Smart Transmit algorithm starts the power limiting enforcement earlier in time to back off the Tx power to a reserve level (denoted as P<sub>reserve</sub>), so the wireless device can maintain the radio link at a minimum reserve power level for as long as needed, and at the same time ensure the time-averaged Tx power over any defined time window is less than P<sub>Limit</sub> at all times (see Figure 2-2). At all times, Smart Transmit meets the below equation:

time.avg.Tx power = 
$$\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^{t} inst.Tx power(t) dt \le P_{limit}$$

#### Equation 2-1

where, *time.avg.Tx power* is the transmit power averaged between *t*- $T_{SAR}$  and *t* time period;  $T_{SAR}$  is the time window defined by FCC for time-averaging RF exposure for Tx frequency less than 6GHz (sub6); *inst. Tx power (t)* is the instantaneous transmit power at *t* time instant;  $P_{Limit}$  is the predefined time-averaged power limit. Similarly, Smart Transmit meets the below equation for mmW transmission:

 $mmW\_time.avg.Tx\ power = \frac{1}{T_{PD}} \int_{t-T_{PD}}^{t} mmW\_Tx\ power(t)\ dt \le input.\ power.\ limit$ Equation 2-2

where,  $mmW\_time. avg. Tx \ power$  is the mmW transmit power averaged between  $t-T_{PD}$  and t time period;  $T_{PD}$  is the time window defined by FCC for time-averaging RF exposure for mmW bands;  $mmW\_Tx \ power \ (t)$  is the instantaneous mmW transmit power at t time instant;  $input. \ power. \ limit$  is the predefined time-averaged power limit for the beam under test.

FCC ID: ZNFV600VM	<u> PCTEST</u>	COMPLIANCE SUMMARY REPORT	🔁 LG	Approved by: Quality Manager
Document S/N:	DUT Type:			Page 6 of 10
1M1911250199-18-R1.ZNF	Portable Handset			
© 2020 PCTEST	•			REV 1.

REV 1.0 06/01/2019

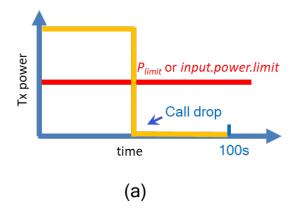


Figure 2-1 Transmit at high power when needed and permitted

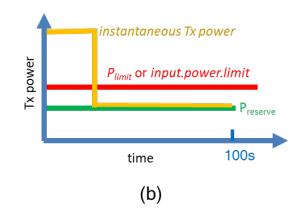


Figure 2-2 Transmit with reserve power to support continuous transmission at a minimum power level (*P*<sub>reserve</sub>)

 In the case of simultaneous transmission, Smart Transmit manages all active transmitters and make sure the total exposure ratio is less than 1

$$\sum \frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^{t} SAR(t) dt}{FCC SAR \ limit} + \sum \frac{\frac{1}{T_{PD}} \int_{t-T_{pSPD}}^{t} 4cm^2 psPD(t) dt}{FCC \ psPD \ limit} \le 1$$

### **Equation 2-3**

	CTEST		LG	Approved by:
FCC ID: ZNFV600VM	' V	COMPLIANCE SUMMARY REPORT	LG LG	Quality Manager
Document S/N:	DUT Type:			Page 7 of 10
1M1911250199-18-R1.ZNF	Portable Handset			i ago i oi io
© 2020 PCTEST				REV 1.0

REV 1.0 06/01/2019

## 2.3 Configurable Parameters

The following input parameters are required for functionality of Qualcomm Smart Transmit algorithm. These parameters cannot be accessed by the end user, because at the factory they are entered through the embedded file system (EFS) entries by the OEM

Input Parameter	Description
regulatory body	<ul> <li>Inputs of "0" and "1" corresponding to FCC and ICNIRP requirements for the averaging time windows.</li> <li>For FCC, algorithm uses an averaging window of 100 seconds for <i>f</i> &lt; 3 GHz, 60 seconds for 3 GHz &lt; <i>f</i> &lt; 6 GHz, and 4 seconds for 24 GHz &lt; <i>f</i> &lt; 42 GHz.</li> </ul>
Tx_power_at_SAR_design_target (P <sub>Limit</sub> in dBm) f < 6 GHz	The maximum time-averaged transmit power, in dBm, corresponding to the <i>SAR_design_targe</i> t.
	SAR_design_target is pre-determined for this DUT and it is less than regulatory SAR limit after accounting for all design related tolerances. The time-averaged SAR is assessed against this SAR_design_target in real time to determine the compliance.
	$P_{Limit}$ could vary with technology, band and Device State Index (DSI) and therefore, it has the unique value for each technology, band and DSI.
reserve_power_margin (P <sub>reserve</sub> in dBm)	The margin below $P_{Limit}$ reserved for future transmission with a minimum transmit power $P_{reserve}$
	$P_{reserve} (dBm) = P_{limit} (dBm) - Reserve_power_margin (dB)$
	When the <i>Reserve_power_margin</i> is set to 0 dB, Smart Transmit effectively limits the upper bound of the transmit power to $P_{limit}$ and the DUT transmits continuously at $P_{limit}$ without utilizing Smart Transmit dynamic control feature.
<i>input.power.limit</i> in <i>dBm</i> f ≥ 6 GHz	Maximum time-averaged power at the input of antenna element port at which each antenna configuration/beam meets <i>PD_design_target</i> .

FCC ID: ZNFV600VM	PCTEST	COMPLIANCE SUMMARY REPORT	🕕 LG	Approved by: Quality Manager
Document S/N:	DUT Type:			Page 8 of 10
1M1911250199-18-R1.ZNF	Portable Handset			Fage o of To
2020 PCTEST				REV 1. 06/01/201

#### **DUT DESCRIPTION** 3

#### 3.1 **Device Overview**

Band & Mode	Operating Modes	Tx Frequency	
Cell. CDMA/EVDO	Voice/Data	824.70 - 848.31 MHz	
GSM/GPRS/EDGE 850	Voice/Data	824.20 - 848.80 MHz	
UMTS 850	Voice/Data	826.40 - 846.60 MHz	
UMTS 1750	Voice/Data	1712.4 - 1752.6 MHz	
PCS CDMA/EVDO	Voice/Data	1851.25 - 1908.75 MHz	
GSM/GPRS/EDGE 1900	Voice/Data	1850.20 - 1909.80 MHz	
UMTS 1900	Voice/Data	1852.4 - 1907.6 MHz	
LTE Band 12	Voice/Data	699.7 - 715.3 MHz	
LTE Band 13	Voice/Data	779.5 - 784.5 MHz	
LTE Band 14	Voice/Data	790.5 - 795.5 MHz	
LTE Band 5 (Cell)	Voice/Data	824.7 - 848.3 MHz	
LTE Band 66 (AWS)	Voice/Data	1710.7 - 1779.3 MHz	
LTE Band 4 (AWS)	Voice/Data	1710.7 - 1754.3 MHz	
LTE Band 2 (PCS)	Voice/Data	1850.7 - 1909.3 MHz	
LTE Band 30	Voice/Data	2307.5 - 2312.5 MHz	
LTE Band 48	Voice/Data	3552.5 - 3697.5 MHz	
LTE Band 41	Voice/Data	2498.5 - 2687.5 MHz	
NR Band n5	Data	826.5 - 846.5 MHz	
NR Band n66	Data	1712.5 - 1777.5 MHz	
NR Band n2	Data	1852.5 - 1907.5 MHz	
2.4 GHz WLAN	Voice/Data	2412 - 2462 MHz	
U-NII-1	Voice/Data	5180 - 5240 MHz	
U-NII-2A	Voice/Data	5260 - 5320 MHz	
U-NII-2C	Voice/Data	5500 - 5720 MHz	
U-NII-3	Voice/Data	5745 - 5825 MHz	
Bluetooth	Data	2402 - 2480 MHz	
NFC	Data	13.56 MHz	
NR Band n261	Data	27500 - 28350 MHz	
NR band n260	Data	37000 - 40000 MHz	

This device uses the Qualcomm Smart Transmit feature to control and manage transmitting power in real time and to ensure at all times the time-averaged RF exposure is in compliance with the FCC requirement for 2G/3G/4G/5G operations. Additionally, this device supports WLAN/BT/NFC technologies but the output power of these modems is not controlled by the smart transmit algorithm.

FCC ID: ZNFV600VM	<u> PCTEST</u>	COMPLIANCE SUMMARY REPORT	🕒 LG	Approved by: Quality Manager
Document S/N:	DUT Type:			Page 9 of 10
1M1911250199-18-R1.ZNF	Portable Handset			Tage 3 01 10
© 2020 PCTEST				REV 1 06/01/201

## 4 COMPLIANCE SUMMARY

## 4.1 RF Exposure Compliance Summary

All transmission scenarios that the DUT supports comply with FCC time-averaged RF exposure requirements, as shown in Table 4-1.

Reported RF Exposure Levels						
	RFx Evaluation	Power Level	FCC Limit	<u>Reported</u> RF Exposure Level	Test Report	
	Standalone 1g SAR	Plimit	1.6	1.04		
SAR	Standalone 10g SAR	P <sub>limit</sub>	4.0	3.17	FCC SAR	
(W/kg)	Simultaneous Tx 1g SAR	P <sub>limit</sub>	1.6	1.53	Evaluation Report (Part 1)	
	Simultaneous Tx 10g SAR	Plimit	4.0	3.98		
psPD (W/m <sup>2</sup> )	4cm <sup>2</sup> psPD	input.power.limit	10.0	7.5	FCC PD Evaluation Report (Part 1)	
TER	Total Exposure Ratio	<i>P<sub>limit</sub></i> for SAR, <i>input.power.limit</i> for psPD	1.0	0.995	FCC PD Evaluation Report (Part 1)	

Table 4-1 Reported RF Exposure Levels

FCC ID: ZNFV600VM	<u>PCTEST</u>	COMPLIANCE SUMMARY REPORT	🔁 LG	Approved by: Quality Manager
Document S/N:	DUT Type:			Page 10 of 10
1M1911250199-18-R1.ZNF	Portable Handset			1 ago 10 01 10
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