

# FCC SAR REPORT

**Applicant:** SWAGTEK

**Address of Applicant:** 10205 NW 19th St. Suite 101, Miami, FL, 33172

**Equipment Under Test (EUT)**

Product Name: 5.0 inch 3G Smart Phone

Model No.: X5A, Mantra Plus, iSWAG Switch

Trade mark: LOGIC, UNONU, iSWAG

**FCC ID:** O55503617

**Applicable standards:** FCC 47 CFR Part 2.1093

**Date of Test:** 06 Dec., 2018 ~ 10 Dec., 2018

**Test Result:** Maximum Reported 1-g SAR (W/kg)

Head: 0.405                      Body: 0.817

Authorized Signature:



Bruce Zhang  
Laboratory Manager

This report details the results of the testing carried out on one sample. The results contained in this test report do not relate to other samples of the same product and does not permit the use of the CCIS product certification mark. The manufacturer should ensure that all products in series production are in conformity with the product sample detailed in this report.

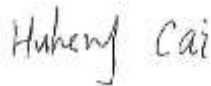
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## 2 Version

| Version No. | Date          | Description                                                                                                                                                                                                                                                |
|-------------|---------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 00          | 27 Dec., 2018 | <p><i>This report was amended on FCC ID: O55503617 follow FCC Class II Permissive Change.</i></p> <p><i>The differences between them only model name And screen.</i></p> <p><i>Base on the differences description, the worst mode were re-tested.</i></p> |
|             |               |                                                                                                                                                                                                                                                            |
|             |               |                                                                                                                                                                                                                                                            |
|             |               |                                                                                                                                                                                                                                                            |
|             |               |                                                                                                                                                                                                                                                            |

Prepared by:



Date:

27 Dec., 2018

Report Clerk

Reviewed by:



Date:

27 Dec., 2018

Project Engineer

### 3 Contents

|           |                                                                     |           |
|-----------|---------------------------------------------------------------------|-----------|
| <b>1</b>  | <b>COVER PAGE.....</b>                                              | <b>1</b>  |
| <b>2</b>  | <b>VERSION .....</b>                                                | <b>2</b>  |
| <b>3</b>  | <b>CONTENTS .....</b>                                               | <b>3</b>  |
| <b>4</b>  | <b>GENERAL INFORMATION.....</b>                                     | <b>4</b>  |
| 4.1       | CLIENT INFORMATION.....                                             | 4         |
| 4.2       | GENERAL DESCRIPTION OF EUT .....                                    | 4         |
| 4.3       | ENVIRONMENT OF TEST SITE .....                                      | 5         |
| 4.4       | TEST LOCATION .....                                                 | 5         |
| <b>5</b>  | <b>INTRODUCTION.....</b>                                            | <b>6</b>  |
| 5.1       | INTRODUCTION .....                                                  | 6         |
| 5.2       | SAR DEFINITION .....                                                | 6         |
| <b>6</b>  | <b>RF EXPOSURE LIMITS .....</b>                                     | <b>7</b>  |
| 6.1       | UNCONTROLLED ENVIRONMENT.....                                       | 7         |
| 6.2       | CONTROLLED ENVIRONMENT .....                                        | 7         |
| 6.3       | RF EXPOSURE LIMITS .....                                            | 7         |
| <b>7</b>  | <b>SAR MEASUREMENT SYSTEM.....</b>                                  | <b>8</b>  |
| 7.1       | E-FIELD PROBE.....                                                  | 9         |
| 7.2       | DATA ACQUISITION ELECTRONICS (DAE).....                             | 9         |
| 7.3       | ROBOT .....                                                         | 10        |
| 7.4       | MEASUREMENT SERVER .....                                            | 10        |
| 7.5       | LIGHT BEAM UNIT.....                                                | 10        |
| 7.6       | PHANTOM .....                                                       | 11        |
| 7.7       | DEVICE HOLDER.....                                                  | 12        |
| 7.8       | DATA STORAGE AND EVALUATION .....                                   | 13        |
| 7.9       | TEST EQUIPMENT LIST .....                                           | 15        |
| <b>8</b>  | <b>TISSUE SIMULATING LIQUIDS .....</b>                              | <b>16</b> |
| <b>9</b>  | <b>SAR SYSTEM VERIFICATION.....</b>                                 | <b>19</b> |
| <b>10</b> | <b>EUT TESTING POSITION.....</b>                                    | <b>21</b> |
| 10.1      | HANDSET REFERENCE POINTS .....                                      | 21        |
| 10.2      | POSITIONING FOR CHEEK / TOUCH .....                                 | 22        |
| 10.3      | POSITIONING FOR EAR / 15° TILT.....                                 | 22        |
| 10.4      | SAR EVALUATIONS NEAR THE MOUTH/JAW REGIONS OF THE SAM PHANTOM ..... | 23        |
| 10.5      | BODY WORN ACCESSORY CONFIGURATIONS .....                            | 23        |
| 10.6      | WIRELESS ROUTER (HOTSPOT) CONFIGURATIONS .....                      | 24        |
| <b>11</b> | <b>MEASUREMENT PROCEDURES .....</b>                                 | <b>25</b> |
| 11.1      | SPATIAL PEAK SAR EVALUATION .....                                   | 25        |
| 11.2      | POWER REFERENCE MEASUREMENT.....                                    | 26        |
| 11.3      | AREA & ZOOM SCAN PROCEDURES.....                                    | 26        |
| 11.4      | VOLUME SCAN PROCEDURES .....                                        | 27        |
| 11.5      | SAR AVERAGED METHODS .....                                          | 27        |
| 11.6      | POWER DRIFT MONITORING .....                                        | 27        |
| <b>12</b> | <b>SAR TEST RESULTS SUMMARY .....</b>                               | <b>28</b> |
| 12.1      | STANDALONE HEAD SAR DATA.....                                       | 28        |
| 12.2      | STANDALONE BODY SAR .....                                           | 28        |
| 12.3      | MEASUREMENT UNCERTAINTY .....                                       | 29        |
| 12.4      | MEASUREMENT CONCLUSION .....                                        | 31        |
| <b>13</b> | <b>REFERENCE.....</b>                                               | <b>32</b> |
|           | <b>APPENDIX A: PLOTS OF SAR SYSTEM CHECK .....</b>                  | <b>33</b> |
|           | <b>APPENDIX B: PLOTS OF SAR TEST DATA .....</b>                     | <b>36</b> |
|           | <b>APPENDIX C: SYSTEM CALIBRATION CERTIFICATE.....</b>              | <b>39</b> |

## 4 General Information

### 4.1 Client Information

|                          |                                               |
|--------------------------|-----------------------------------------------|
| Applicant:               | SWAGTEK                                       |
| Address of Applicant:    | 10205 NW 19th St. Suite 101, Miami, FL, 33172 |
| Manufacturer:            | SWAGTEK                                       |
| Address of Manufacturer: | 10205 NW 19th St. Suite 101, Miami, FL, 33172 |

### 4.2 General Description of EUT

|                          |                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                         |
|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|
| Product Name:            | 5.0 inch 3G Smart Phone                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                         |
| Model No.:               | X5A, Mantra Plus, iSWAG Switch                                                                                                                                                                                                                                                                                                                                                                                                              |                                                         |
| Category of device       | Portable device                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                         |
| Operation Frequency:     | GSM850: 824.2 ~ 848.8 MHz<br>PCS 1900: 1850.2 ~ 1909.8 MHz<br>WCDMA Band V: 826.4 ~ 846.6 MHz<br>WCDMA Band II: 1852.4 ~ 1907.6 MHz<br>Bluetooth: 2402 MHz ~ 2480 MHz<br>Wi-Fi: 802.11b/g/n-HT20: 2412MHz ~ 2462 MHz<br>802.11n-HT40 :2422MHz~2452MHz                                                                                                                                                                                       |                                                         |
| Modulation technology:   | GSM/GPRS:GMSK, WCDMA: QPSK<br>Bluetooth: GFSK/π/4DQPSK/8DPSK<br>Wi-Fi: 802.11b: DSSS, 802.11g/n: OFDM                                                                                                                                                                                                                                                                                                                                       |                                                         |
| Antenna Type:            | Internal Antenna                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                         |
| Release Version:         | R99 for GSM, R7 for WCDMA                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                         |
| GPRS Class:              | GPRS Class: 12                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                         |
| Dimensions (L*W*H):      | 145mm (L)× 74mm (W)× 10mm (H)                                                                                                                                                                                                                                                                                                                                                                                                               |                                                         |
| Accessories information: | Adapter:<br>Input: AC100-240V, 50/60Hz, 0.12A<br>Output: DC 5.0V, 1000mA                                                                                                                                                                                                                                                                                                                                                                    | Battery:<br>Rechargeable Li-ion Battery<br>3.7V/2000mAh |
| Remark:                  | Item No.: X5A, Mantra Plus, iSWAG Switch were identical inside, the electrical circuit design, layout, components used and internal wiring. The only difference is that one product has three models, each model corresponds to one brand, three The trademarks are LOGIC and UNONU and iSWAG, the X5A model corresponds to the trademark LOGIC and UNONU, the iSWAG Switch model and Mantra Plus model corresponds to the trademark iSWAG. |                                                         |

**4.3 Environment of Test Site**

|                              |            |
|------------------------------|------------|
| <b>Temperature:</b>          | 18°C ~25°C |
| <b>Humidity:</b>             | 35%~75% RH |
| <b>Atmospheric Pressure:</b> | 1010 mbar  |

**4.4 Test Location**

Shenzhen Zhongjian Nanfang Testing Co., Ltd.  
Address: No.B-C, 1/F., Building 2, Laodong No.2 Industrial Park, Xixiang Road,  
Bao'an District, Shenzhen, Guangdong, China  
Tel: +86-755-23118282  
Fax: +86-755-23116366, E-mail: info@ccis-cb.com

## 5 Introduction

### 5.1 Introduction

SAR is related to the rate at which energy is absorbed per unit mass in an object exposed to a radio field. The SAR distribution in a biological body is complicated and is usually carried out by experimental techniques or numerical modeling. The standard recommends limits for two tiers of groups, occupational/controlled and general population/uncontrolled, based on a person's awareness and ability to exercise control over his or her exposure. In general, occupational/controlled exposure limits are higher than the limits for general population/uncontrolled.

### 5.2 SAR Definition

The SAR definition is the time derivative (rate) of the incremental energy (dW) absorbed by (dissipated in) an incremental mass (dm) contained in a volume element (dv) of a given density ( $\rho$ ). The equation description is as below:

$$SAR = \frac{d}{dt} \left( \frac{dU}{dm} \right) = \frac{d}{dt} \left( \frac{dU}{\rho dv} \right)$$

SAR is expressed in units of Watts per kilogram (W/kg)

SAR measurement can be either related to the temperature elevation in tissue by

$$SAR = C \left( \frac{\delta T}{\delta t} \right)$$

Where: C is the specific heat capacity,  $\delta T$  is the temperature rise and  $\delta t$  is the exposure duration, or related to the electrical field in the tissue by

$$SAR = \frac{\sigma \cdot E^2}{\rho}$$

Where:  $\sigma$  is the conductivity of the tissue,  $\rho$  is the mass density of the tissue and E is the RMS electrical field strength. However for evaluating SAR of low power transmitter, electrical field measurement is typically applied.

## 6 RF Exposure Limits

### 6.1 Uncontrolled Environment

Uncontrolled Environments are defined as locations where there is the exposure of individuals who have no knowledge or control of their exposure. The general population/uncontrolled exposure limits are applicable to situations in which the general public may be exposed or in which persons who are exposed as a consequence of their employment may not be made fully aware of the potential for exposure or cannot exercise control over their exposure. Members of the general public would come under this category when exposure is not employment-related; for example, in the case of a wireless transmitter that exposes persons in its vicinity.

### 6.2 Controlled Environment

Controlled Environments are defined as locations where there is exposure that may be incurred by persons who are aware of the potential for exposure, (i.e. as a result of employment or occupation). In general, occupational/controlled exposure limits are applicable to situations in which persons are exposed as a consequence of their employment, who have been made fully aware of the potential for exposure and can exercise control over their exposure. This exposure category is also applicable when the exposure is of a transient nature due to incidental passage through a location where the exposure levels may be higher than the general population/uncontrolled limits, but the exposed person is fully aware of the potential for exposure and can exercise control over his or her exposure by leaving the area or by some other appropriate means.

### 6.3 RF Exposure Limits

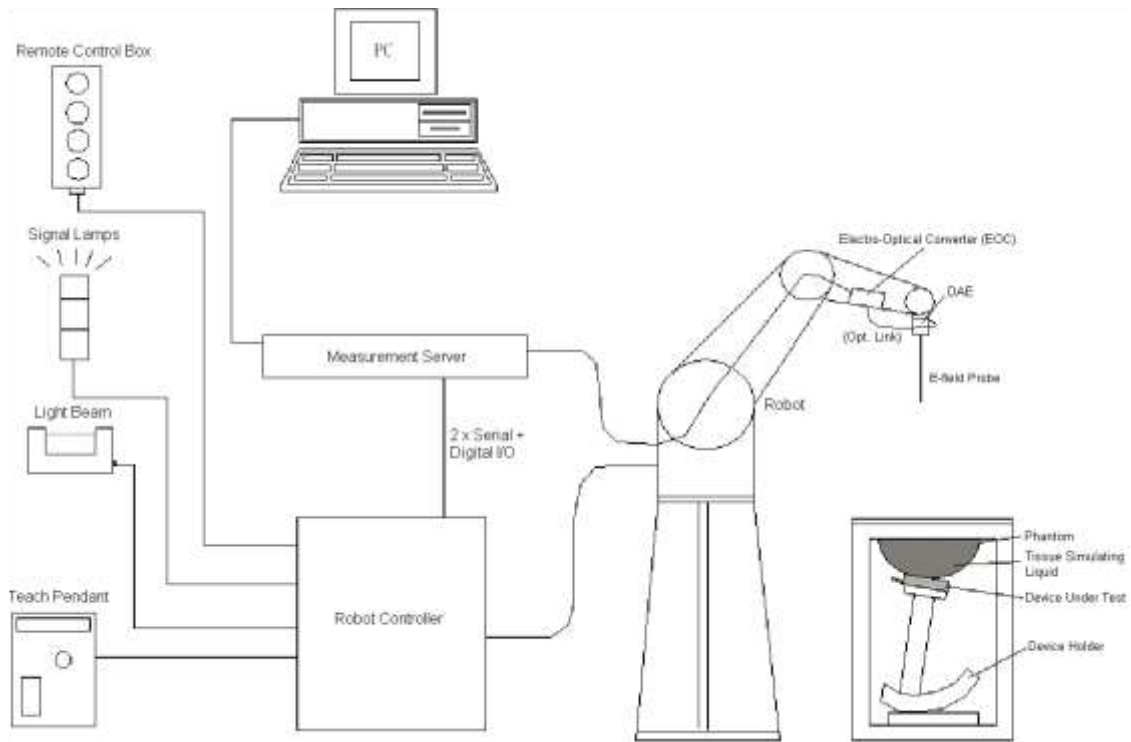
**SAR Human Exposure Specified in ANSI/IEEE C95.1-1992 and Health Canada Safety Code 6**

| HUMAN EXPOSURE LIMITS                           |                                                                           |                                                                   |
|-------------------------------------------------|---------------------------------------------------------------------------|-------------------------------------------------------------------|
|                                                 | UNCONTROLLED ENVIRONMENT<br><i>General Population</i><br>(W/kg) or (mW/g) | CONTROLLED ENVIRONMENT<br><i>Occupational</i><br>(W/kg) or (mW/g) |
| SPATIAL PEAK SAR<br>Brain                       | 1.6                                                                       | 8.0                                                               |
| SPATIAL AVERAGE SAR<br>Whole Body               | 0.08                                                                      | 0.4                                                               |
| SPATIAL PEAK SAR<br>Hands, Feet, Ankles, Wrists | 4.0                                                                       | 20                                                                |

**Note:**

1. The Spatial Peak value of the SAR averaged over any 1 gram of tissue (defined as a tissue volume in the shape of a cube) and over the appropriate averaging time.
2. The Spatial Average value of the SAR averaged over the whole body.
3. The Spatial Peak value of the SAR averaged over any 10 grams of tissue (defined as a tissue volume in the shape of a cube) and over the appropriate averaging time.

## 7 SAR Measurement System



**Fig.8.1 SPEAG DASY System Configurations**

The DASY system for performance compliance tests is illustrated above graphically. This system consists of the following items:

- A standard high precision 6-axis robot with controller, a teach pendant and software
- A data acquisition electronic (DAE) attached to the robot arm extension
- A dosimetric probe equipped with an optical surface detector system
- The electro-optical converter (EOC) performs the conversion between optical and electrical signals
- A measurement server performs the time critical tasks such as signal filtering, control of the robot operation and fast movement interrupts.
- A probe alignment unit which improves the accuracy of the probe positioning
- A computer operating Windows XP
- DASY software
- Remote control with teach pendant and additional circuitry for robot safety such as warning lamps, etc.
- The SAM twin phantom
- A device holder
- Tissue simulating liquid
- Dipole for evaluating the proper functioning of the system

Component details are described in the following sub-sections.

## 7.1 E-Field Probe

The SAR measurement is conducted with the dosimetric probe (manufactured by SPEAG). The probe is specially designed and calibrated for use in liquid with high permittivity. The dosimetric probe has special calibration in liquid at different frequency. This probe has a built in optical surface detection system to prevent from collision with phantom.

### ➤ E-Field Probe Specification <EX3DV4 Probe>

|                              |                                                                                                                                                                |
|------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Construction</b>          | Symmetrical design with triangular core Built-in shielding against static charges PEEK enclosure material (resistant to organic solvents, e.g., DGBE)          |
| <b>Frequency Directivity</b> | 10MHz to 6 GHz; Linearity: $\pm 0.2$ dB<br>$\pm 0.3$ dB in HSL (rotation around probe axis)<br>$\pm 0.5$ dB in tissue material (rotation normal to probe axis) |
| <b>Dynamic Range</b>         | 10 $\mu$ W/g to 100 mW/g; Linearity: $\pm 0.2$ dB<br>(noise: typically $< 1$ $\mu$ W/g)                                                                        |
| <b>Dimensions</b>            | Overall length: 330 mm (Tip: 20mm)<br>Tip diameter: 2.5 mm (Body: 12mm)<br>Typical distance from probe tip to dipole centers: 1 mm                             |



Fig.8.2 Photo of E-Field Probe

### ➤ E-Field Probe Calibration

Each probe needs to be calibrated according to a dosimetric assessment procedure with accuracy better than  $\pm 10\%$ . The spherical isotropy shall be evaluated and within  $\pm 0.25$  dB. The sensitivity parameters (Norm X, Norm Y and Norm Z), the diode compression parameter (DCP) and the conversion factor (ConvF) of the probe are tested. The calibration data can be referred to appendix E of this report.

## 7.2 Data Acquisition Electronics (DAE)

The Data acquisition electronics (DAE) consists of a highly sensitive electrometer-grade preamplifier with auto-zeroing, a channel and gain-switching multiplexer, a fast 16 bit AD-converter and a command decoder and control logic unit. Transmission to the measurement server is accomplished through an optical downlink for data and status information as well as an optical uplink for commands and the clock. The input impedance of the DAE is 200 MOhm; the inputs are symmetrical and floating. Common mode rejection is above 80 dB.



Fig. 8.3 Photo of DAE

## 7.3 Robot

The SPEAG DASY system uses the high precision robots (DASY5: TX60XL) type from Stäubli SA (France). For the 6-axis controller system, the robot controller version (DASY5: CS8c) from Stäubli is used. The Stäubli robot series have many features that are important for our application:

- High precision (repeatability 0.02 mm)
- High reliability (industrial design)
- Low maintenance costs (virtually maintenance free due to direct drive gears; nobelt drives)
- Jerk-free straight movements
- Low ELF interference (motor control fields shielded via the closed metallic construction shields)



Fig. 8.4 Photo of Robot

## 7.4 Measurement Server

The measurement server is based on a PC/104 CPU board with CPU (DASY 5: 400MHz, Intel Celeron), chip-disk (DASY5: 128 MB), RAM (DASY5: 128 MB). The necessary circuits for communication with the DAE electronic box, as well as the 16 bit AD converter system for optical detection and digital I/O interface are contained on the DASY I/O board, which is directly connected to the PC/104 bus of the CPU board. The measurement server performs all the real-time data evaluation for field measurements and surface detection, controls robot movements and handles safety operations.



Fig. 8.5 Photo of Server for DASY5

## 7.5 Light Beam Unit

The light beam switch allows automatic "tooling" of the probe. During the process, the actual position of the probe tip with respect to the robot arm is measured, as well as the probe length and the horizontal probe offset. The software then corrects all movements, such that the robot coordinates are valid for the probe tip. The repeatability of this process is better than 0.1 mm. If a position has been taught with an aligned probe, the same position will be reached with another aligned probe within 0.1 mm, even if the other probe has different dimensions. During probe rotations, the probe tip will keep its actual position.



Fig. 8.6 Photo of Light Beam

## 7.6 Phantom

### <SAM Twin Phantom>

|                              |                                                                               |
|------------------------------|-------------------------------------------------------------------------------|
| Shell Thickness              | 2 ± 0.2 mm;<br>Center ear point: 6 ± 0.2 mm                                   |
| Filling Volume<br>Dimensions | Approx. 25 liters<br>Length: 1000mm; Width: 500mm;<br>Height: adjustable feet |
| Measurement<br>Areas         | Left Head, Right Head, Flat phantom                                           |



Fig. 8.7 Photo of SAM Twin Phantom

The bottom plate contains three pair of bolts for locking the device holder. The device holder positions are adjusted to the standard measurement positions in the three sections. A white cover is provided to tap the phantom during off-periods to prevent water evaporation and changes in the liquid parameters. On the phantom top, three reference markers are provided to identify the phantom position with respect to the robot.

### <ELI4 Phantom>

The ELI4 phantom is intended for compliance testing of handheld and body-mounted wireless devices in the frequency range of 30MHz to 6 GHz. ELI4 is fully compatible with the latest draft of the standard IEC 62209-2 and all known tissue simulating liquids.

ELI4 has been optimized regarding its performance and can be integrated into a SPEAG standard phantom table. A cover prevents evaporation of the liquid. Reference markings on the phantom allow installation of the complete setup, including all predefined phantom positions and measurement grids, by teaching three points. The phantom can be used with the following tissue simulating liquids:

- Water-sugar based liquids can be left permanently in the phantom. Always cover the liquid if the system is not in use; otherwise the parameters will change due to water evaporation.
- DGBE based liquids should be used with care. As DGBE is a softener for most plastics, the liquid should be taken out of the phantom and the phantom should be dried when the system is not in use (desirable at least once a week).
- Do not use other organic solvents without previously testing the phantom resistiveness.

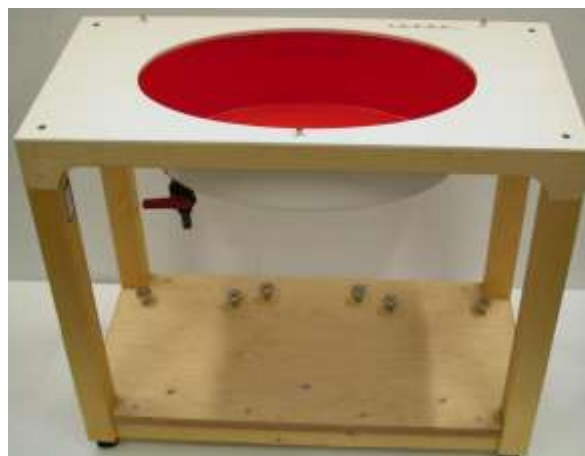


Fig.8.8 Photo of ELI4 Phantom

## 7.7 Device Holder

### <Device Holder for SAM Twin Phantom>

The SAR in the phantom is approximately inversely proportional to the square of the distance between the source and the liquid surface. For a source at 5 mm distance, a positioning uncertainty of  $\pm 0.5$  mm would produce a SAR uncertainty of  $\pm 20$  %. Accurate device positioning is therefore crucial for accurate and repeatable measurements. The positions in which the devices must be measured are defined by the standards. The DASY device holder is designed to cope with different positions given in the standard. It has two scales for the device rotation (with respect to the body axis) and the device inclination (with respect to the line between the ear reference points). The rotation center for both scales is the ear reference point (ERP).

Thus the device needs no repositioning when changing the angles.

The DASY device holder is constructed of low-low POM material having the following dielectric parameters: relative permittivity  $\epsilon = 3$  and loss tangent  $\delta = 0.02$ . The amount of dielectric material has been reduced in the closest vicinity of the device, since measurements have suggested that the influence of the clamp on the test results could thus be lowered.



Fig. 8.9 Photo of Device Holder

## 7.8 Data storage and Evaluation

### ➤ Data Storage

The DASY software stores the assessed data from the data acquisition electronics as raw data (in microvolt readings from the probe sensors), together with all the necessary software parameters for the data evaluation (probe calibration data, liquid parameters and device frequency and modulation data) in measurement files. The post-processing software evaluates the desired unit and format for output each time the data is visualized or exported. This allows verifications of the complete software setup even after the measurement and allows correction of erroneous parameter settings. For example, if a measurement has been performed with an incorrect crest factor parameter in the device setup, the parameter can be corrected afterwards and the data can be reevaluated.

The measured data can be visualized or exported in different units or formats, depending on the selected probe type (e.g., [V/m], [mW/g]). Some of these units are not available in certain situations or give meaningless results, e.g., a SAR-output in a non-lose media, will always be zero. Raw data can also be exported to perform the evaluation with other software packages.

### ➤ Data Evaluation

The DASY post-processing software (SEMCAD) automatically executes the following procedures to calculate the field units from the microvolt readings at the probe connector. The parameters used in the evaluation are stored in the configuration modules of the software:

|                           |                           |                                         |
|---------------------------|---------------------------|-----------------------------------------|
| <b>Probe Parameters:</b>  | - Sensitivity             | $\text{Norm}_i, a_{i0}, a_{i1}, a_{i2}$ |
|                           | - Conversion              | $\text{ConvF}_i$                        |
|                           | - Diode compression point | $\text{dcp}_i$                          |
| <b>Device Parameters:</b> | - Frequency               | $f$                                     |
|                           | - Crest                   | $cf$                                    |
| <b>Media Parameters:</b>  | - Conductivity            | $\sigma$                                |
|                           | - Density                 | $\rho$                                  |

These parameters must be set correctly in the software. They can be found in the component documents or they can be imported into the software from the configuration files issued for the DASY components. In the direct measuring mode of the multi-meter option, the parameters of the actual system setup are used. In the scan visualization and export modes, the parameters stored in the corresponding document files are used.

The first step of the evaluation is a linearization of the filtered input signal to account for the compression characteristics of the detector diode. The compensation depends on the input signal, the diode type and the DC-transmission factor from the diode to the evaluation electronics. If the exciting field is pulsed, the crest factor of the signal must be known to correctly compensate for peak power.

The formula for each channel can be given as:

$$V_i = U_i + U_i^2 \cdot \frac{cf}{dcp_i}$$

With  $V_i$  = compensated signal of channel  $i$ , ( $i = x, y, z$ )

$U_i$  = input signal of channel  $i$ , ( $i = x, y, z$ )

$cf$  = crest factor of exciting field (DASY parameter)

$dcp_i$  = diode compression point (DASY parameter)

From the compensated input signals, the primary field data for each channel can be evaluated:

$$\text{E- Field Probes: } E_i = \sqrt{\frac{V_i}{\text{Norm}_i \cdot \text{ConvF}}}$$

$$\text{H-Field Probes: } H_i = \sqrt{V_i} \cdot \frac{a_{i0} + a_{i1}f + a_{i2}f^2}{f}$$

With  $V_i$  = compensated signal of channel  $i$ , ( $i = x, y, z$ )

$\text{Norm}_i$  = sensor sensitivity of channel  $i$ , ( $i = x, y, z$ ),  $\mu\text{V}/(\text{V/m})^2$

$\text{ConvF}$  = sensitivity enhancement in solution

$a_{ij}$  = sensor sensitivity factors for H-field probes

$f$  = carrier frequency (GHz)

$E_i$  = electric field strength of channel  $i$  in V/m

$H_i$  = magnetic field strength of channel  $i$  in A/m

The RSS value of the field components gives the total field strength (Hermitian magnitude):

$$E_{\text{tot}} = \sqrt{E_x^2 + E_y^2 + E_z^2}$$

The primary field data are used to calculate the derived field units.

$$\text{SAR} = E_{\text{tot}}^2 \cdot \frac{\sigma}{\rho \cdot 1000}$$

With  $\text{SAR}$  = local specific absorption rate in mW/g

$E_{\text{tot}}$  = total field strength in V/m

$\sigma$  = conductivity in (mho/m) or (Siemens/m)

$\rho$  = equipment tissue density in  $\text{g}/\text{cm}^3$

Note that the density is set to 1, to account for actual head tissue density rather than the density of the tissue simulating liquid.

## 7.9 Test Equipment List

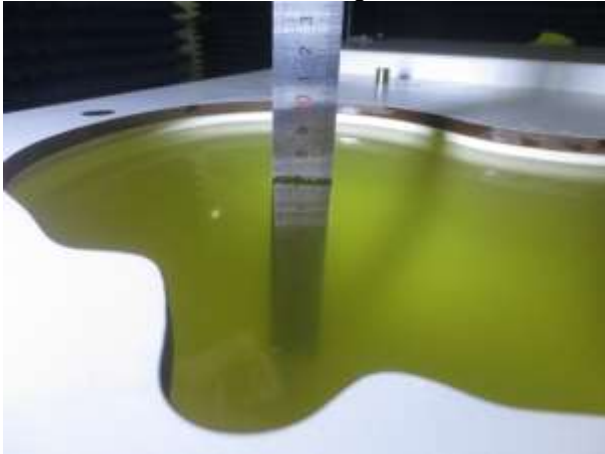
| Manufacturer  | Equipment Description                | Model        | S/N                        | Cal. Information |            |
|---------------|--------------------------------------|--------------|----------------------------|------------------|------------|
|               |                                      |              |                            | Last Cal.        | Due Date   |
| SPEAG         | 835MHz System Validation Kit         | D835V2       | 4d154                      | 06.16.2016       | 06.15.2019 |
| SPEAG         | 1900MHz System Validation Kit        | D1900V2      | 5d175                      | 06.15.2016       | 06.14.2019 |
| SPEAG         | Data Acquisition Electronics         | DAE4         | 1373                       | 03.22.2018       | 03.21.2019 |
| SPEAG         | Dosimetric E-Field Probe             | EX3DV4       | 3924                       | 07.19.2018       | 07.18.2019 |
| SPEAG         | DASY 52 Measurement Software         | DASY 52      | Version:<br>52.8.8.1222    | N.C.R            | N.C.R      |
| SPEAG         | DASY 52 File Conversion Software     | SEMCAD X     | Version: 14.6.10<br>(7331) | N.C.R            | N.C.R      |
| SPEAG         | Phantom                              | Twin Phantom | 1765                       | N.C.R            | N.C.R      |
| SPEAG         | Phantom                              | ELI V5.0     | 1208                       | N.C.R            | N.C.R      |
| SPEAG         | Phone Positioner                     | N/A          | N/A                        | N.C.R            | N.C.R      |
| Stäubli       | Robot                                | TX60L        | F13/5P6VB1/A/01            | N.C.R            | N.C.R      |
| R&S           | Universal Radio Communication Tester | CMU200       | 113097                     | 03.07.2018       | 03.06.2019 |
| HP            | Network Analyzer                     | 8753D        | 3410A06291                 | 03.19.2018       | 03.18.2019 |
| Agilent       | EPM Series Power Meter               | E4418B       | GB39512692                 | 03.07.2018       | 03.06.2019 |
| R&S           | Spectrum Analyzer                    | FSP30        | 101454                     | 03.07.2018       | 03.06.2019 |
| Agilent       | Power Sensor                         | 8481A        | MY41090341                 | 03.07.2018       | 03.06.2019 |
| R&S           | Power Sensor                         | URV5-Z2      | SEL0071                    | 03.07.2018       | 03.06.2019 |
| R&S           | Signal Generator                     | SMX          | 835457/016                 | 03.07.2018       | 03.06.2019 |
| R&S           | Signal Generator                     | SMR20        | 10080050                   | 03.07.2018       | 03.06.2019 |
| Huber Suhner  | RF Cable                             | SUCOFLEX     | 12341                      | See Note 3       |            |
| Huber Suhner  | RF Cable                             | SUCOFLEX     | 17268                      | See Note 3       |            |
| Huber Suhner  | RF Cable                             | SUCOFLEX     | 2080                       | See Note 3       |            |
| Weinschel     | Attenuator                           | 23-3-34      | BL5513                     | See Note 3       |            |
| Anritsu       | Directional Coupler                  | MP654A       | 100217491                  | See Note 3       |            |
| SPEAG         | Dielectric Assessment Kit            | 3.5 Probe    | 1119                       | See Note 4       |            |
| SPEAG         | DAK Measurement Software             | DAK          | Version: DAK 3.5           | N.C.R            |            |
| Mini-circuits | Power amplifier                      | ZHL-42W      | SC609401309                | See Note 5       |            |

### Note:

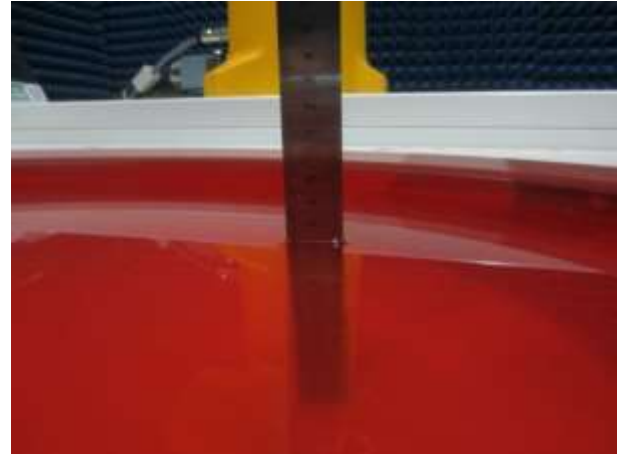
- The calibration certificate of DASY can be referred to appendix C of this report.
- Referring to KDB 865664 D01v01r04, the dipole calibration interval can be extended to 3 years with justification. The dipoles are also not physically damaged, or repaired during the interval.
- The Insertion Loss calibration of Dual Directional Coupler and Attenuator were characterized via the network analyzer and compensated during system check.
- The dielectric probe kit was calibrated via the network analyzer, with the specified procedure (calibrated in pure water) and calibration kit (standard) short circuit, before the dielectric measurement. The specific procedure and calibration kit are provided by Speag.
- In system check we need to monitor the level on the power meter, and adjust the power amplifier level to have precise power level to the dipole; the measured SAR will be normalized to 1W input power according to the ratio of 1W to the input power to the dipole. For system check, the calibration of the power amplifier is deemed not critically required for correct measurement; the power meter is critical and we do have calibration for it
- Attenuator insertion loss is calibrated by the network Analyzer, which the calibration is valid, before system check.
- N.C.R means No Calibration Requirement.

## 8 Tissue Simulating Liquids

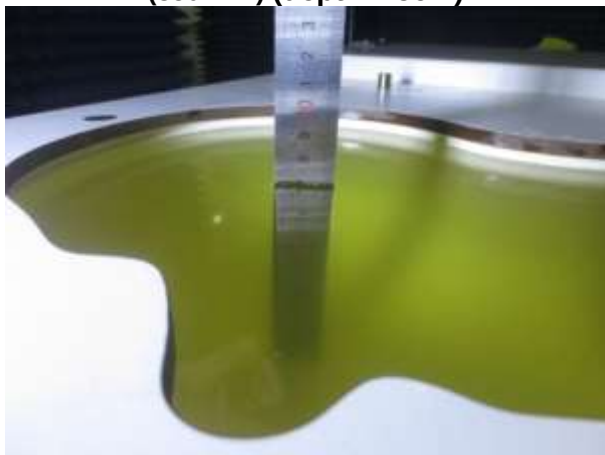
For the measurement of the field distribution inside the SAM phantom with DASY, the phantom must be filled with around 25 liters of homogeneous body tissue simulating liquid. For head SAR testing, the liquid height from the ear reference point (ERP) of the phantom to the liquid top surface is larger than 15 cm, which is shown in Fig. 9.1, for body SAR testing, the liquid height from the center of the flat phantom to liquid top surface is larger than 15 cm, which is shown in Fig. 9.2.



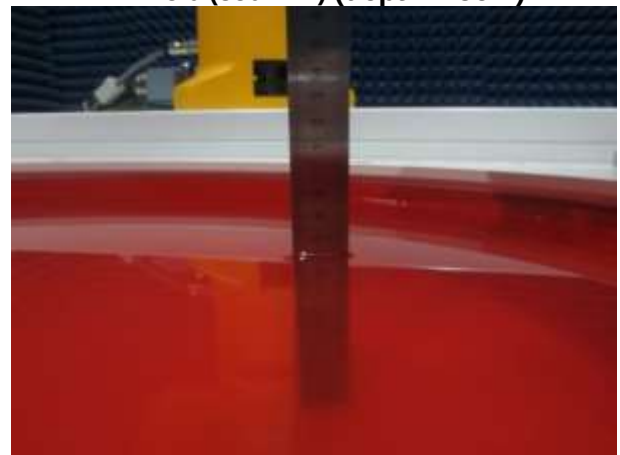
**Fig. 9.1 Photo of Liquid Height for Head SAR (850MHz) (depth>15cm)**



**Fig. 9.2 Photo of Liquid Height for Body SAR of ELI V5.0 (850MHz) (depth>15cm)**



**Fig. 9.3 Photo of Liquid Height for Head SAR (1900MHz) (depth>15cm)**



**Fig. 9.4 Photo of Liquid Height for Body SAR of ELI V5.0 (1900MHz) (depth>15cm)**

The relative permittivity and conductivity of the tissue material should be within  $\pm 5\%$  of the values given in the table below recommended by the FCC OET 65 supplement C and RSS 102 Issue 5.

| Target Frequency<br>(MHz) | Head         |                | Body         |                |
|---------------------------|--------------|----------------|--------------|----------------|
|                           | $\epsilon_r$ | $\sigma$ (S/m) | $\epsilon_r$ | $\sigma$ (S/m) |
| 150                       | 52.3         | 0.76           | 61.9         | 0.80           |
| 300                       | 45.3         | 0.87           | 58.2         | 0.92           |
| 450                       | 43.5         | 0.87           | 56.7         | 0.94           |
| 835                       | 41.5         | 0.90           | 55.2         | 0.97           |
| 900                       | 41.5         | 0.97           | 55.0         | 1.05           |
| 915                       | 41.5         | 0.98           | 55.0         | 1.06           |
| 1450                      | 40.5         | 1.20           | 54.0         | 1.30           |
| 1610                      | 40.3         | 1.29           | 53.8         | 1.40           |
| 1800-2000                 | 40.0         | 1.40           | 53.3         | 1.52           |
| 2450                      | 39.2         | 1.80           | 52.7         | 1.95           |
| 3000                      | 38.5         | 2.40           | 52.0         | 2.73           |
| 5800                      | 35.3         | 5.27           | 48.2         | 6.00           |

(  $\epsilon_r$  = relative permittivity,  $\sigma$  = conductivity and  $\rho = 1000 \text{ kg/m}^3$  )

The dielectric parameters of liquids were verified prior to the SAR evaluation using a Speag Dielectric Probe Kit and an Agilent Network Analyzer.

The following table shows the measuring results for simulating liquid.

| Frequency (MHz) | Liquid Type | Liquid Temp. (°C) | Conductivity ( $\sigma$ ) | Permittivity ( $\epsilon_r$ ) | Conductivity Target( $\sigma$ ) | Permittivity Target( $\epsilon_r$ ) | Delta ( $\sigma$ )% | Delta ( $\epsilon_r$ )% | Limit (%) | Date (mm/dd/yy) |
|-----------------|-------------|-------------------|---------------------------|-------------------------------|---------------------------------|-------------------------------------|---------------------|-------------------------|-----------|-----------------|
| 1900            | Head        | 22.7              | 1.42                      | 38.72                         | 1.40                            | 40.0                                | 1.43                | -3.20                   | ±5        | 12.06.2018      |
| 835             | Body        | 22.6              | 0.96                      | 54.52                         | 0.97                            | 55.2                                | -1.03               | -1.23                   | ±5        | 12.10.2018      |

## 9 SAR System Verification

Each DASY system is equipped with one or more system validation kits. These units, together with the predefined measurement procedures within the DASY software, enable the user to conduct the system performance check and system validation. System validation kit includes a dipole, tripod holder to fix it underneath the flat phantom and a corresponding distance holder.

### ➤ Purpose of System Performance check

The system performance check verifies that the system operates within its specifications. System and operator errors can be detected and corrected. It is recommended that the system performance check be performed prior to any usage of the system in order to guarantee reproducible results. The system performance check uses normal SAR measurements in a simplified setup with a well characterized source. This setup was selected to give a high sensitivity to all parameters that might fail or vary over time. The system check does not intend to replace the calibration of the components, but indicates situations where the system uncertainty is exceeded due to drift or failure.

### ➤ System Setup

In the simplified setup for system evaluation, the EUT is replaced by a calibrated dipole and the power source is replaced by a continuous wave that comes from a signal generator. The calibrated dipole must be placed beneath the flat phantom section of the SAM twin phantom with the correct distance holder. The distance holder should touch the phantom surface with a light pressure at the reference marking and be oriented parallel to the long side of the phantom. The equipment setup is shown below:

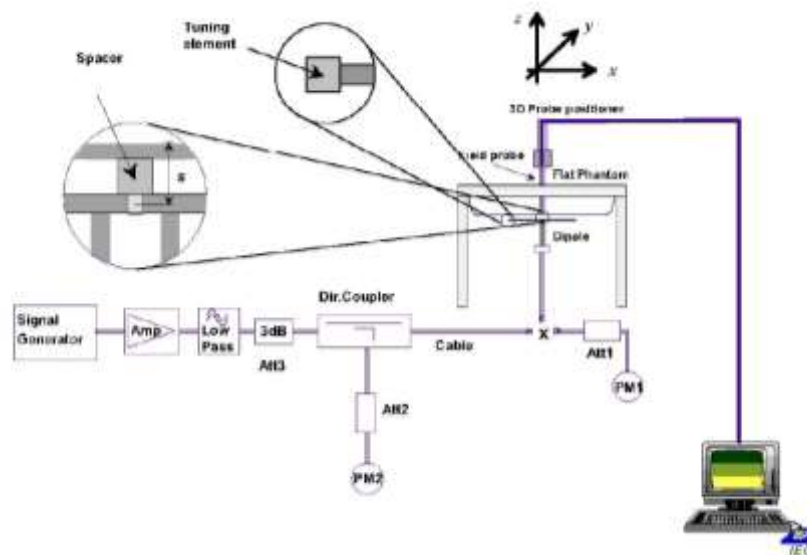


Fig.10.1 System Verification Setup Diagram



Fig.10.2 Photo of Dipole setup

➤ **System Verification Results**

Comparing to the original SAR value provided by SPEAG, the verification data should be within its specification of 10%. The table as below indicates the system performance check can meet the variation criterion and the plots can be referred to Appendix C of this report.

| Date<br>(mm/dd/yy) | Frequency<br>(MHz) | Liquid<br>Type | Power fed<br>onto dipole<br>(mW) | Measured 1g<br>SAR<br>(W/kg) | Normalized<br>to 1W 1g<br>SAR<br>(W/kg) | 1W<br>Target 1g<br>SAR<br>(W/kg) | Deviation<br>(%) |
|--------------------|--------------------|----------------|----------------------------------|------------------------------|-----------------------------------------|----------------------------------|------------------|
| 12.06.2018         | 1900               | Head           | 40                               | 1.66                         | 41.5                                    | 40.4                             | 2.72             |
| 12.10.2018         | 835                | Body           | 80                               | 0.789                        | 9.86                                    | 9.57                             | 3.03             |

## 10 EUT Testing Position

This EUT was tested in ten different positions. They are right cheek/right tilted/left cheek/left tilted for head, Front/Back/Right Side/Top Side/Bottom Side of the EUT with phantom 1 cm gap, as illustrated below, please refer to Appendix B for the test setup photos.

### 10.1 Handset Reference Points

- The vertical centreline passes through two points on the front side of the handset – the midpoint of the width  $w_t$  of the handset at the level of the acoustic output, and the midpoint of the width  $w_b$  of the bottom of the handset.
- The horizontal line is perpendicular to the vertical centreline and passes the center of the acoustic output. The horizontal line is also tangential to the handset at point A.
- The two lines intersect at point A. Note that for many handsets, point A coincides with the center of the acoustic output; however, the acoustic output may be located elsewhere on the horizontal line. Also note that the vertical centreline is not necessarily parallel to the front face of the handset, especially for clamshell handsets, handsets with flip covers, and other irregularly shaped handsets.



Fig.11.1 Illustration for Front, Back and Side of SAM Phantom

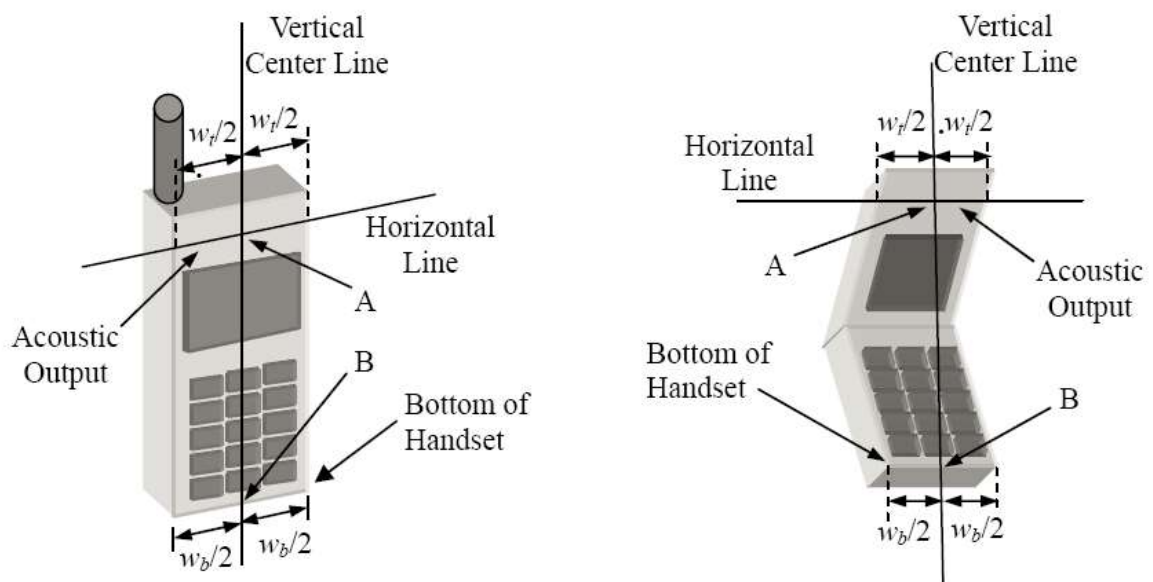


Fig. 11.2 Illustration for Handset Vertical and Horizontal Reference Lines

## 10.2 Positioning for Cheek / Touch

- To position the device with the vertical center line of the body of the device and the horizontal line crossing the center piece in a plane parallel to the sagittal plane of the phantom. While maintaining the device in this plane, align the vertical center line with the reference plane containing the three ear and mouth reference point (M: Mouth, RE: Right Ear and LE: Left Ear) and align the center of the ear piece with the line RE-LE.
- To move the device towards the phantom with the ear piece aligned with the line LE-RE until the phone touched the ear. While maintaining the device in the reference plane and maintaining the phone contact with the ear, move the bottom of the phone until any point on the front side is in contact with the cheek of the phantom or until contact with the ear is lost (see below figure)



Fig. 11.3 Illustration for Cheek Position

## 10.3 Positioning for Ear / 15° Tilt

- To position the device in the “cheek” position described above.
- While maintaining the device the reference plane described above and pivoting against the ear, moves it outward away from the mouth by an angle of 15 degrees or until contact with the ear is lost (see figure below).



Fig.11.4 Illustration for Tilted Position

#### 10.4 SAR Evaluations near the Mouth/Jaw Regions of the SAM Phantom

Antennas located near the bottom of a phone may require SAR measurements around the mouth and jaw regions of the SAM head phantom. This typically applies to clam-shell style phones that are generally longer in the unfolded normal use positions or to certain older style long rectangular phones.

Under these circumstances, the following procedures apply, adopted from the FCC guidance on SAR handsets document FCC KDB Publication 648474 D04v01r03. The SAR required in these regions of SAM should be measured using a flat phantom. The phone should be positioned with a separation distance of 4 mm between the ear reference point (ERP) and the outer surface of the flat phantom shell. While maintaining this distance at the ERP location, the low (bottom) edge of the phone should be lowered from the phantom to establish the same separation distance between the peak SAR locations identified by the truncated partial SAR distribution measured with the SAM phantom. The distance from the peak SAR location to the phone is determined by the straight line passing perpendicularly through the phantom surface. When it is not feasible to maintain 4 mm separation at the ERP while also establishing the required separation at the peak SAR location, the top edge of the phone will be allowed to touch the phantom with a separation < 4 mm at the ERP. The phone should not be tilted to the left or right while placed in this inclined position to the flat phantom.

#### 10.5 Body Worn Accessory Configurations

- To position the device parallel to the phantom surface with either keypad up or down.
- To adjust the device parallel to the flat phantom.
- To adjust the distance between the device surface and the flat phantom to 1.5 cm or holster surface and the flat phantom to 0 cm.

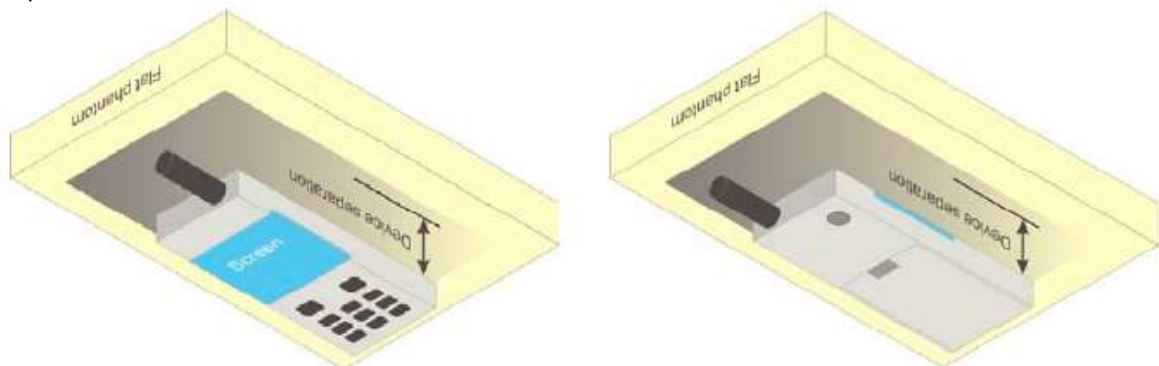


Fig.11.5 Illustration for Body Worn Position

## 10.6 Wireless Router (Hotspot) Configurations

Some battery-operated handsets have the capability to transmit and receive internet connectivity through simultaneous transmission of WIFI in conjunction with a separate licensed transmitter. The FCC has provided guidance in KDB Publication 941225 D06 where SAR test considerations for handsets ( $L \times W \geq 9 \text{ cm} \times 5 \text{ cm}$ ) are based on a composite test separation distance of 10 mm from the front, back and edges of the device with antennas 2.5 cm or closer to the edge of the device, determined from general mixed use conditions for this type of devices. Since the hotspot SAR results may overlap with the body-worn accessory SAR requirements, the more conservative configurations can be considered, thus excluding some body-worn accessory SAR tests.

When the user enables the personal wireless router functions for the handset, actual operations include simultaneous transmission of both the WIFI transmitter and another licensed transmitter. Both transmitters often do not transmit at the same transmitting frequency and thus cannot be evaluated for SAR under actual use conditions. Therefore, SAR must be evaluated for each frequency transmission and mode separately and summed with the WIFI transmitter according to KDB 648474 publication procedures. The "Portable Hotspot" feature on the handset was NOT activated, to ensure the SAR measurements were evaluated for a single transmission frequency RF signal.

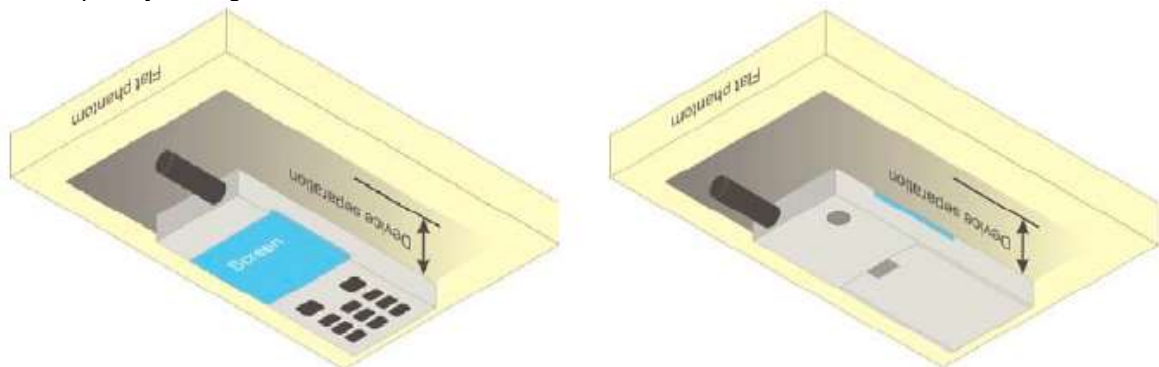


Fig.11.6 Illustration for Hotspot Position

## 11 Measurement Procedures

The measurement procedures are as bellows:

<Conducted power measurement>

- For WWAN power measurement, use base station simulator to configure EUT WWAN transition in conducted connection with RF cable, at maximum power in each supported wireless interface and frequency band.
- Read the WWAN RF power level from the base station simulator.
- For WLAN/BT power measurement, use engineering software to configure EUT WLAN/BT continuously transmission, at maximum RF power in each supported wireless interface and frequency band.
- Connect EUT RF port through RF cable to the power meter or spectrum analyzer, and measure WLAN/BT output power.

<Conducted power measurement>

- Use base station simulator to configure EUT WWAN transmission in radiated connection, and engineering software to configure EUT WLAN/BT continuously transmission, at maximum RF power, in the highest power channel.
- Place the EUT in positions as Appendix B demonstrates.
- Set scan area, grid size and other setting on the DASY software.
- Measure SAR results for the highest power channel on each testing position.
- Find out the largest SAR result on these testing positions of each band.
- Measure SAR results for other channels in worst SAR testing position if the Reported SAR or highest power channel is larger than 0.8 W/kg.

According to the test standard, the recommended procedure for assessing the peak spatial-average SAR value consists of the following steps:

- Power reference measurement
- Area scan
- Zoom scan
- Power drift measurement

### 11.1 Spatial Peak SAR Evaluation

The procedure for spatial peak SAR evaluation has been implemented according to the test standard. It can be conducted for 1g and 10g, as well as for user-specific masses. The DASY software includes all numerical procedures necessary to evaluate the spatial peak SAR value.

The base for the evaluation is a “cube” measurement. The measured volume must include the 1g and 10 g cubes with the highest averaged SAR values. For that purpose, the center of the measured volume is aligned to the interpolated peak SAR value of a previously performed area scan.

The entire evaluation of the spatial peak values is performed within the post-processing engine (SEMCAD). The system always gives the maximum values for 1g and 10g cubes. The algorithm to find the cube with highest averaged SAR is divided into the following stages:

- Extraction of the measured data (grid and values) from the Zoom Scan.
- Calculation of the SAR value at every measurement point based on all stored data (A/D values and measurement parameters).
- Generation of a high-resolution mesh within the measured volume.
- Interpolation of all measured values from the measurement grid to the high-resolution grid
- Extrapolation of the entire 3-D field distribution to the phantom surface over the distance from sensor to surface
- Calculation of the averaged SAR within masses of 1g and 10g.

### 11.2 Power Reference Measurement

The Power Reference Measurement and Power Drift Measurement are for monitoring the power drift of the device under test in the batch process. The minimum distance of probe sensors to surface determines the closest measurement point to phantom surface. This distance cannot be smaller than the distance of sensor calibration points to probe tip as defined in the probe properties.

### 11.3 Area & Zoom Scan Procedures

First Area Scan is used to locate the approximate location(s) of the local peak SAR value(s). The measurement grid within an Area Scan is defined by the grid extent, grid step size and grid offset. Next, in order to determine the EM field distribution in a three-dimensional spatial extension, Zoom Scan is required. The Zoom Scan is performed around the highest E-field value to determine the averaged SAR-distribution over 10g. Area scan and zoom scan resolution setting follows KDB 865664 D01v01r04 quoted below.

|                                                                                                                                                                                                                                                                                                             |                                    |                                                                                      | $\leq 3$ GHz                                                                                                                                                                                                                                                           | $> 3$ GHz                                                                     |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------|--------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|
| Maximum distance from closest measurement point (geometric center of probe sensors) to phantom surface                                                                                                                                                                                                      |                                    |                                                                                      | $5 \pm 1$ mm                                                                                                                                                                                                                                                           | $\frac{1}{2} \cdot \delta \cdot \ln(2) \pm 0.5$ mm                            |
| Maximum probe angle from probe axis to phantom surface normal at the measurement location                                                                                                                                                                                                                   |                                    |                                                                                      | $30^\circ \pm 1^\circ$                                                                                                                                                                                                                                                 | $20^\circ \pm 1^\circ$                                                        |
| Maximum area scan spatial resolution: $\Delta x_{Area}$ , $\Delta y_{Area}$                                                                                                                                                                                                                                 |                                    |                                                                                      | $\leq 2$ GHz: $\leq 15$ mm<br>2 – 3 GHz: $\leq 12$ mm                                                                                                                                                                                                                  | 3 – 4 GHz: $\leq 12$ mm<br>4 – 6 GHz: $\leq 10$ mm                            |
|                                                                                                                                                                                                                                                                                                             |                                    |                                                                                      | When the x or y dimension of the test device, in the measurement plane orientation, is smaller than the above, the measurement resolution must be $\leq$ the corresponding x or y dimension of the test device with at least one measurement point on the test device. |                                                                               |
| Maximum zoom scan spatial resolution: $\Delta x_{Zoom}$ , $\Delta y_{Zoom}$                                                                                                                                                                                                                                 |                                    |                                                                                      | $\leq 2$ GHz: $\leq 8$ mm<br>2 – 3 GHz: $\leq 5$ mm*                                                                                                                                                                                                                   | 3 – 4 GHz: $\leq 5$ mm*<br>4 – 6 GHz: $\leq 4$ mm*                            |
| Maximum zoom scan spatial resolution, normal to phantom surface                                                                                                                                                                                                                                             | uniform grid: $\Delta z_{Zoom}(n)$ |                                                                                      | $\leq 5$ mm                                                                                                                                                                                                                                                            | 3 – 4 GHz: $\leq 4$ mm<br>4 – 5 GHz: $\leq 3$ mm<br>5 – 6 GHz: $\leq 2$ mm    |
|                                                                                                                                                                                                                                                                                                             | graded grid                        | $\Delta z_{Zoom}(1)$ : between 1 <sup>st</sup> two points closest to phantom surface | $\leq 4$ mm                                                                                                                                                                                                                                                            | 3 – 4 GHz: $\leq 3$ mm<br>4 – 5 GHz: $\leq 2.5$ mm<br>5 – 6 GHz: $\leq 2$ mm  |
|                                                                                                                                                                                                                                                                                                             |                                    | $\Delta z_{Zoom}(n>1)$ : between subsequent points                                   | $\leq 1.5 \cdot \Delta z_{Zoom}(n-1)$                                                                                                                                                                                                                                  |                                                                               |
| Minimum zoom scan volume                                                                                                                                                                                                                                                                                    | x, y, z                            |                                                                                      | $\geq 30$ mm                                                                                                                                                                                                                                                           | 3 – 4 GHz: $\geq 28$ mm<br>4 – 5 GHz: $\geq 25$ mm<br>5 – 6 GHz: $\geq 22$ mm |
| Note: $\delta$ is the penetration depth of a plane-wave at normal incidence to the tissue medium; see draft standard IEEE P1528-2011 for details.                                                                                                                                                           |                                    |                                                                                      |                                                                                                                                                                                                                                                                        |                                                                               |
| * When zoom scan is required and the <u>reported</u> SAR from the <u>area scan based 1-g SAR estimation</u> procedures of KDB 447498 is $\leq 1.4$ W/kg, $\leq 8$ mm, $\leq 7$ mm and $\leq 5$ mm zoom scan resolution may be applied, respectively, for 2 GHz to 3 GHz, 3 GHz to 4 GHz and 4 GHz to 6 GHz. |                                    |                                                                                      |                                                                                                                                                                                                                                                                        |                                                                               |

#### **11.4 Volume Scan Procedures**

The volume scan is used for assess overlapping SAR distributions for antennas transmitting in different frequency bands. It is equivalent to an oversized zoom scan used in standalone measurements. The measurement volume will be used to enclose all the simultaneous transmitting antennas. For antennas transmitting simultaneously in different frequency bands, the volume scan is measured separately in each frequency band. In order to sum correctly to compute the 1g aggregate SAR, the EUT remain in the same test position for all measurements and all volume scan use the same spatial resolution and grid spacing. When all volume scan were completed, the software, SEMCAD post-processor scan combine and subsequently superpose these measurement data to calculating the multiband SAR.

#### **11.5 SAR Averaged Methods**

In DASY, the interpolation and extrapolation are both based on the modified Quadratic Shepard's method. The interpolation scheme combines a least-square fitted function method and a weighted average method which are the two basic types of computational interpolation and approximation.

Extrapolation routines are used to obtain SAR values between the lowest measurement points and the inner phantom surface. The extrapolation distance is determined by the surface detection distance and the probe sensor offset. The uncertainty increases with the extrapolation distance. To keep the uncertainty within 1% for the 1g and 10g cubes, the extrapolation distance should not be larger than 5 mm.

#### **11.6 Power Drift Monitoring**

All SAR testing is under the EUT install full charged battery and transmit maximum output power. In DASY measurement software, the power reference measurement and power drift measurement procedures are used for monitoring the power drift of EUT during SAR test. Both these procedures measure the field at a specified reference position before and after the SAR testing. The software will calculate the field difference in dB. If the power drifts more than 5%, the SAR will be retested.

## 12 SAR Test Results Summary

### 12.1 Standalone Head SAR Data

➤ Worst mode with different screen in Head SAR

| Plot No.                                                                                              | Band/Mode   | Test Position | CH.  | Freq. (MHz) | Ave. Power (dBm)                            | Power Drift (dB) | Tune-Up Limit (dBm) | Meas. SAR <sub>1g</sub> (W/kg) | Scaling Factor | Reported SAR <sub>1g</sub> (W/kg) |
|-------------------------------------------------------------------------------------------------------|-------------|---------------|------|-------------|---------------------------------------------|------------------|---------------------|--------------------------------|----------------|-----------------------------------|
| 1                                                                                                     | Band II/RMC | Left Cheek    | 9400 | 1880.0      | 22.02                                       | 0.11             | 22.8                | <b>0.338</b>                   | 1.197          | 0.405                             |
| <b>ANSI / IEEE C95.1 – SAFETY LIMIT<br/>Spatial Peak<br/>Uncontrolled Exposure/General Population</b> |             |               |      |             | <b>1.6 W/kg (mW/g)<br/>Averaged over 1g</b> |                  |                     |                                |                |                                   |

**Note:**

1. Per KDB 447498 D01v06, for each exposure position, if the highest output power channel Reported SAR  $\leq 0.8$ W/kg, other channels SAR testing is not necessary.
2. Per KDB 865664 D01v01r04, for each frequency band, repeated SAR measurement is required when the measured SAR is  $\geq 0.8$ W/kg.
3. Per KDB 248227 D01v02r02, for 802.11b DSSS, when the reported SAR of the highest measured maximum output power channel for the exposure configuration is  $\leq 0.8$  W/kg, no further SAR testing is required in that exposure configuration.

### 12.2 Standalone Body SAR

➤ Worst mode with different screen in Body SAR

| Plot No.                                                                                              | Band/Mode       | Test Position | CH. | Freq. (MHz) | Ave. Power (dBm)                            | Power Drift (dB) | Tune-Up Limit (dBm) | Meas. SAR <sub>1g</sub> (W/kg) | Scaling Factor | Reported SAR <sub>1g</sub> (W/kg) |
|-------------------------------------------------------------------------------------------------------|-----------------|---------------|-----|-------------|---------------------------------------------|------------------|---------------------|--------------------------------|----------------|-----------------------------------|
| 2                                                                                                     | GPRS850/4 slots | Back          | 128 | 824.2       | 29.39                                       | -0.17            | 29.8                | <b>0.743</b>                   | 1.099          | 0.817                             |
|                                                                                                       | GPRS850/4 slots | Back          | 190 | 836.6       | 29.56                                       | 0.22             | 29.8                | 0.604                          | 1.057          | 0.638                             |
|                                                                                                       | GPRS850/4 slots | Back          | 251 | 848.8       | 29.65                                       | 0.26             | 29.8                | 0.588                          | 1.035          | 0.609                             |
| <b>ANSI / IEEE C95.1 – SAFETY LIMIT<br/>Spatial Peak<br/>Uncontrolled Exposure/General Population</b> |                 |               |     |             | <b>1.6 W/kg (mW/g)<br/>Averaged over 1g</b> |                  |                     |                                |                |                                   |

**Note:**

1. Body-worn SAR testing was performed at 10mm separation, and this distance is determined by the handset manufacturer that there will be body-worn accessories that users may acquire at the time of equipment certification, to enable users to purchase aftermarket body-worn accessories with the required minimum separation.
2. Per KDB 941225 D06v02r01, when the same wireless modes and device transmission configurations are required for testing body-worn accessories and hotspot mode, it is not necessary to test body-worn accessory SAR for the same device orientation if the test separation distance for hotspot mode is more conservative than that used for body-worn accessories.
3. Per KDB 648474 D04v01r03, when the *Reported* SAR for a body-worn accessory measured without a headset connected to the handset is  $\leq 1.2$  W/kg, SAR testing with a headset connected to the handset is not required.
4. Per KDB 447498 D01v06, for each exposure position, if the highest output channel Reported SAR  $\leq 0.8$ W/kg, other channels SAR testing is not necessary.
5. Per KDB 865664 D01v01r04, for each frequency band, repeated SAR measurement is required when the measured SAR is  $\geq 0.8$ W/kg.

### 12.3 Measurement Uncertainty

The component of uncertainty may generally be categorized according to the methods used to evaluate them. The evaluation of uncertainty by the statistical analysis of a series of observations is termed a Type A evaluation of uncertainty. The evaluation of uncertainty by means other than the statistical analysis of a series of observation is termed a Type B evaluation of uncertainty. Each component of uncertainty, however evaluated, is represented by an estimated standard deviation, termed standard uncertainty, which is determined by the positive square root of the estimated variance.

A Type A evaluation of standard uncertainty may be based on any valid statistical method for treating data. This includes calculating the standard deviation of the mean of a series of independent observations; using the method of least squares to fit a curve to the data in order to estimate the parameter of the curve and their standard deviations; or carrying out an analysis of variance in order to identify and quantify random effects in certain kinds of measurement.

A Type B evaluation of standard uncertainty is typically based on scientific judgment using all of the relevant information available. These may include previous measurement data, experience, and knowledge of the behavior and properties of relevant materials and instruments, manufacture's specification, data provided in calibration reports and uncertainties assigned to reference data taken from handbooks. Broadly speaking, the uncertainty is either obtained from an outdoor source or obtained from an assumed distribution, such as the normal distribution, rectangular or triangular distributions indicated in below Table.

| Uncertainty Distributions | Normal   | Rectangular  | Triangular   | U-Shape      |
|---------------------------|----------|--------------|--------------|--------------|
| Multi-plying Factor       | $1/k(b)$ | $1/\sqrt{3}$ | $1/\sqrt{6}$ | $1/\sqrt{2}$ |

#### Standard Uncertainty for Assumed Distribution

The combined standard uncertainty of the measurement result represents the estimated standard deviation of the result. It is obtained by combining the individual standard uncertainties of both Type A and Type B evaluation using the usual "root-sum-squares" (RSS) methods of combining standard deviations by taking the positive square root of the estimated variances.

Expanded uncertainty is a measure of uncertainty that defines an interval about the measurement result within which the measured value is confidently believed to lie. It is obtained by multiplying the combined standard uncertainty by a coverage factor. Typically, the coverage factor ranges from 2 to 3. Using a coverage factor allows the true value of a measured quantity to be specified with a defined probability within the specified uncertainty range. For purpose of this document, a coverage factor two is used, which corresponds to confidence interval of about 95 %. The DASY uncertainty Budget is shown in the following tables.

| Uncertainty Component                                                            | Section | Uncert. Value | Prob. Dist. | Div.       | (C <sub>i</sub> ) (1 g) | (C <sub>i</sub> ) (10 g) | Std. Unc. (1 g) | Std. Unc. (10 g) | V <sub>i</sub> |
|----------------------------------------------------------------------------------|---------|---------------|-------------|------------|-------------------------|--------------------------|-----------------|------------------|----------------|
| Measurement System                                                               |         |               |             |            |                         |                          |                 |                  |                |
| Probe Calibration                                                                | E.2.1   | ±7.4%         | N           | 1          | 1                       | 1                        | ±7.4%           | ±7.4%            | ∞              |
| Axial Isotropy                                                                   | E.2.2   | ±1.2%         | R           | $\sqrt{3}$ | 0.7                     | 0.7                      | ±0.49%          | ±0.49%           | ∞              |
| Hemispherical Isotropy                                                           | E.2.2   | ±3.2%         | R           | $\sqrt{3}$ | 0.7                     | 0.7                      | ±1.29%          | ±1.29%           | ∞              |
| Boundary Effects                                                                 | E.2.3   | ±1.0%         | R           | $\sqrt{3}$ | 1                       | 1                        | ±0.58%          | ±0.58%           | ∞              |
| Linearity                                                                        | E.2.4   | ±0.9%         | R           | $\sqrt{3}$ | 1                       | 1                        | ±0.52%          | ±0.52%           | ∞              |
| System Detection Limits                                                          | E.2.5   | ±0.25%        | R           | $\sqrt{3}$ | 1                       | 1                        | ±0.14%          | ±0.14%           | ∞              |
| Readout Electronics                                                              | E.2.6   | ±0.3%         | N           | 1          | 1                       | 1                        | ±0.3%           | ±0.3%            | ∞              |
| Response Time                                                                    | E.2.7   | ±0.8%         | R           | $\sqrt{3}$ | 1                       | 1                        | ±0.46%          | ±0.46%           | ∞              |
| Integration Time                                                                 | E.2.8   | ±2.6%         | R           | $\sqrt{3}$ | 1                       | 1                        | ±1.5%           | ±1.5%            | ∞              |
| RF Ambient Noise                                                                 | E.6.1   | ±3.0%         | R           | $\sqrt{3}$ | 1                       | 1                        | ±1.73%          | ±1.73%           | ∞              |
| RF Ambient Reflections                                                           | E.6.1   | ±3.0%         | R           | $\sqrt{3}$ | 1                       | 1                        | ±1.73%          | ±1.73%           | ∞              |
| Probe positioner mechanical tolerances                                           | E.6.2   | ±0.4%         | R           | $\sqrt{3}$ | 1                       | 1                        | ±0.23%          | ±0.23%           | ∞              |
| Probe positioning tolerance with respect to the phantom shell surface            | E.6.3   | ±2.9%         | R           | $\sqrt{3}$ | 1                       | 1                        | ±1.67%          | ±1.67%           | ∞              |
| Interpolation, extrapolation, and integration algorithm For max. SAR Evaluation. | E.5     | ±1.0%         | R           | $\sqrt{3}$ | 1                       | 1                        | ±0.58%          | ±0.58%           | ∞              |
| Test Sample Related                                                              |         |               |             |            |                         |                          |                 |                  |                |
| Device Positioning                                                               | E.4.2   | ±4.6%         | N           | 1          | 1                       | 1                        | ±4.6%           | ±4.6%            | M-1            |
| Device Holder                                                                    | E.4.1   | ±5.2%         | N           | 1          | 1                       | 1                        | ±5.2%           | ±5.2%            | M-1            |
| Power Drift                                                                      | 6.6.2   | ±5.0%         | R           | $\sqrt{3}$ | 1                       | 1                        | ±2.89%          | ±2.89%           | ∞              |
| Phantom and Setup                                                                |         |               |             |            |                         |                          |                 |                  |                |
| Phantom Uncertainty                                                              | E.3.1   | ±4.0%         | R           | $\sqrt{3}$ | 1                       | 1                        | ±2.31%          | ±2.31%           | ∞              |
| Liquid conductivity (measured value)                                             | E.3.3   | ±3.51%        | N           | 1          | 0.78                    | 0.71                     | ±2.74%          | ±2.49%           | M              |
| Liquid dielectric constant (measured value)                                      | E.3.3   | ±3.4%         | N           | 1          | 0.23                    | 0.26                     | ±0.78%          | ±0.88%           | M              |
| Liquid Conductivity - Temperature Uncertainty                                    | E.3.4   | ±1.6%         | R           | $\sqrt{3}$ | 0.78                    | 0.71                     | ±0.72%          | ±0.66%           | ∞              |
| Liquid Dielectric Constant - Temperature Uncertainty                             | E.3.4   | ±0.9%         | R           | $\sqrt{3}$ | 0.23                    | 0.26                     | ±0.12%          | ±0.14%           | ∞              |
| Combined Standard Uncertainty (RSS)                                              |         |               |             |            |                         |                          | ±11.61%         | ±11.55%          |                |
| Expanded Uncertainty (95% Confidence Level, k = 2)                               |         |               |             |            |                         |                          | ±23.23%         | ±23.10%          |                |

### Uncertainty Budget for frequency range 300 MHz to 3 GHz according to IEEE1528-2013

## **12.4 Measurement Conclusion**

The SAR evaluation indicates that the EUT complies with the RF radiation exposure limits of the FCC and Industry Canada, with respect to all parameters subject to this test. These measurements were taken to simulate the RF effects of RF exposure under worst-case conditions. Precise laboratory measures were taken to assure repeatability of the tests. The results and statements relate only to the item(s) tested. Please note that the absorption and distribution of electromagnetic energy in the body are very complex phenomena that depend on the mass, shape, and size of the body, the orientation of the body with respect to the field vectors, and the electrical properties of both the body and the environment. Other variables that may play a substantial role in possible biological effects are those that characterize the environment (e.g. ambient temperature, air velocity, relative humidity, and body insulation) and those that characterize the individual (e.g. age, gender, activity level, debilitation, or disease). Because various factors may interact with one another to vary the specific biological outcome of an exposure to electromagnetic fields, any protection guide should consider maximal amplification of biological effects as a result of field-body interactions, environmental conditions, and physiological variables.

### **13 Reference**

- [1]. FCC 47 CFR Part 2 “Frequency Allocations and Radio Treaty Matters; General Rules and Regulations”
- [2]. ANSI/IEEE Std. C95.1-2005, “IEEE Standard for Safety Levels with Respect to Human Exposure to Radio Frequency Electromagnetic Fields, 3 kHz to 300 GHz”, September 1992
- [3]. IEEE Std. 1528-2013, “Recommended Practice for Determining the Peak Spatial-Average Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques”, September 2013
- [4]. SPEAG DASY52 System Handbook
- [5]. FCC KDB 248227 D01 v02r02, “SAR GUIDANCE FOR IEEE 802.11 (Wi-Fi) TRANSMITTERS”, October 2015
- [6]. FCC KDB 447498 D01 v06, “RF EXPOSURE PROCEDURES AND EQUIPMENT AUTHORIZATION POLICIES FOR MOBILE AND PORTABLE DEVICES”, October 2015
- [7]. FCC KDB 648474 D04 v01r03, “SAR EVALUATION CONSIDERATIONS FOR WIRELESS HANDSETS”, October 2015
- [8]. FCC KDB 941225 D01 v03r01, “3G SAR MEASUREMENT PROCEDURES”, October 2015
- [9]. FCC KDB 941225 D03 v01, “Recommended SAR Test Reduction Procedures for GSM / GPRS /EDGE”, December 2008
- [10]. FCC KDB 941225 D06 v02r01, “SAR EVALUATION PROCEDURES FOR PORTABLE DEVICES WITH WIRELESS ROUTER CAPABILITIES”, October 2015
- [11]. FCC KDB 865664 D01 v01r04, “SAR MEASUREMENT REQUIREMENTS FOR 100 MHz TO 6 GHz”, August 2015

## **Appendix A: Plots of SAR System Check**

Test Laboratory: CCIS

Date/Time: 12.06.2018 13:17:42

**DUT: Dipole 1900 MHz; Type: D1900V2; Serial: 5d175**

Communication System: UID 0, CW (0); Frequency: 1900 MHz; Duty Cycle: 1:1

Medium parameters used:  $f = 1900$  MHz;  $\sigma = 1.422$  S/m;  $\epsilon_r = 38.715$ ;  $\rho = 1000$  kg/m<sup>3</sup>

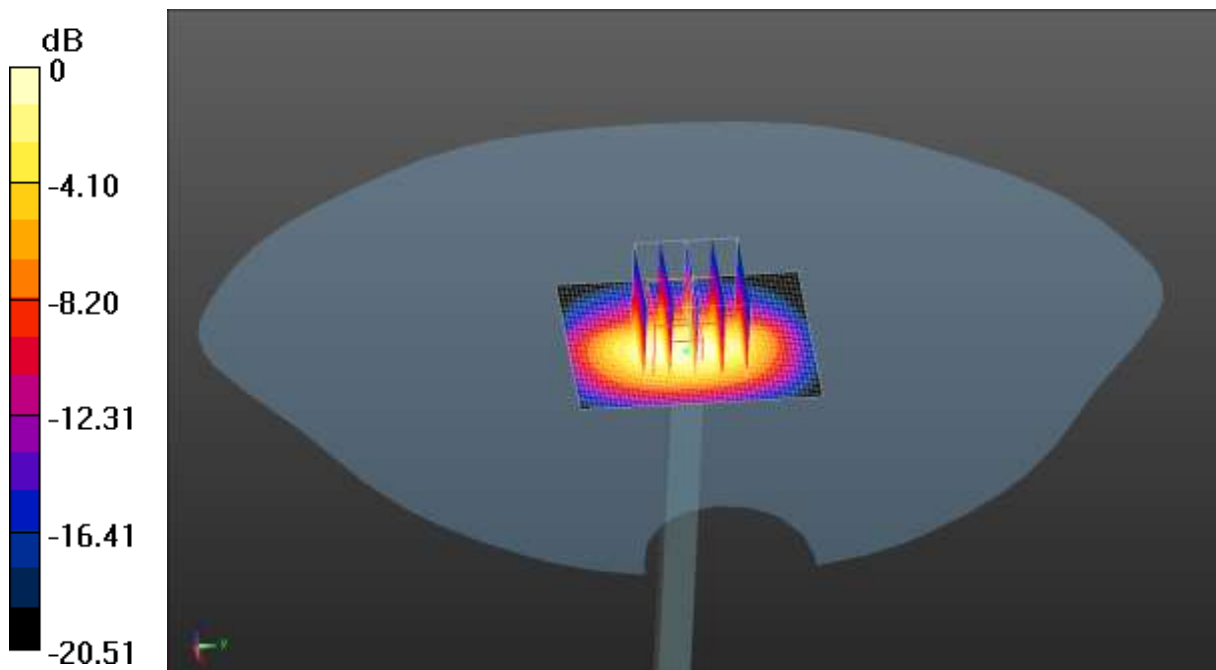
Phantom section: Flat Section

DASY5 Configuration:

- Probe: EX3DV4 - SN3924; ConvF(8.03, 8.03, 8.03); Calibrated: 07.19.2018;
- Sensor-Surface: 1.4mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1373; Calibrated: 03.22.2018
- Phantom: SAM 5.0; Type: QD000P40CD; Serial: TP:1765
- Measurement SW: DASY52, Version 52.8 (8); SEMCAD X Version 14.6.10 (7331)

**System Performance Check at Frequency 1900MHz Head Tissue/d=10mm, Pin=40 mW, dist=2.0mm (EX-Probe)/Area Scan (41x51x1):** Interpolated grid: dx=1.500 mm, dy=1.500 mm  
Maximum value of SAR (interpolated) = 2.66 W/kg

**System Performance Check at Frequency 1900MHz Head Tissue/d=10mm, Pin=40 mW, dist=2.0mm (EX-Probe)/Zoom Scan (7x7x7) (5x5x7)/Cube 0:**  
Measurement grid: dx=8mm, dy=8mm, dz=5mm  
Reference Value = 42.85 V/m; Power Drift = 0.02 dB  
Peak SAR (extrapolated) = 3.32 W/kg  
**SAR(1 g) = 1.66 W/kg; SAR(10 g) = 0.829 W/kg**  
Maximum value of SAR (measured) = 2.53 W/kg



0 dB = 2.53 W/kg = 4.03 dBW/kg

Test Laboratory: CCIS

Date/Time: 12.10.2018 07:56:55

**DUT: Dipole 835 MHz; Type: D835V2; Serial: SN:4d154**

Communication System: UID 0, CW (0); Frequency: 835 MHz; Duty Cycle: 1:1

Medium parameters used (interpolated):  $f = 835 \text{ MHz}$ ;  $\sigma = 0.961 \text{ S/m}$ ;  $\epsilon_r = 54.522$ ;  $\rho = 1000 \text{ kg/m}^3$

Phantom section: Flat Section

DASY5 Configuration:

- Probe: EX3DV4 - SN3924; ConvF(9.86, 9.86, 9.86); Calibrated: 07.19.2018;
- Sensor-Surface: 1.4mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1373; Calibrated: 03.22.2018
- Phantom: ELI v5.0; Type: QDOVA002AA; Serial: TP:1208
- Measurement SW: DASY52, Version 52.8 (8); SEMCAD X Version 14.6.10 (7331)

**System Performance Check at Frequency 835 MHz Body Tissue/d=15mm, Pin=80 mW, dist=2.0mm (EX-Probe)/Area Scan (41x131x1):** Interpolated grid: dx=1.500 mm, dy=1.500 mm

Maximum value of SAR (interpolated) = 0.971 W/kg

**System Performance Check at Frequency 835 MHz Body Tissue/d=15mm, Pin=80 mW, dist=2.0mm (EX-Probe)/Zoom Scan (7x7x7) (5x5x7)/Cube 0:**

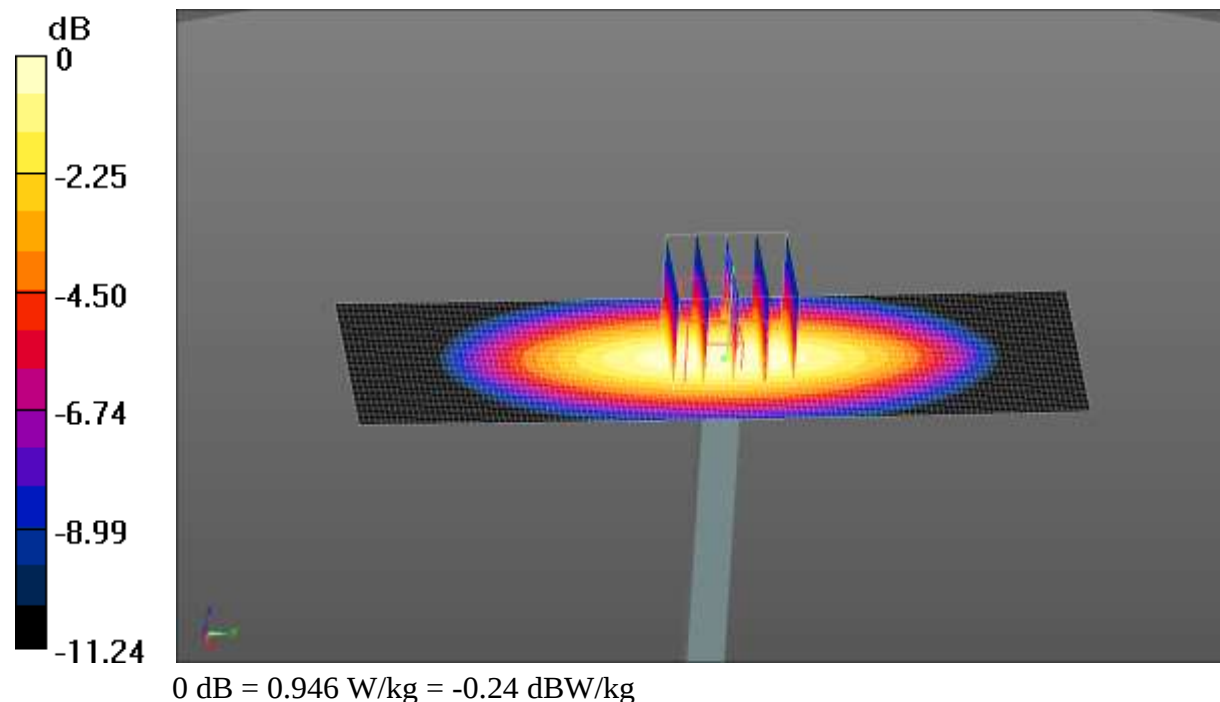
Measurement grid: dx=8mm, dy=8mm, dz=5mm

Reference Value = 32.51 V/m; Power Drift = -0.08 dB

Peak SAR (extrapolated) = 1.16 W/kg

**SAR(1 g) = 0.789 W/kg; SAR(10 g) = 0.502 W/kg**

Maximum value of SAR (measured) = 0.946 W/kg



## **Appendix B: Plots of SAR Test Data**

Test Laboratory: CCIS

Date/Time: 12.06.2018 14:29:56

**DUT: 5.0 inch 3G SmartPhone; Type: X5A; Serial: 1#**

Communication System: UID 0, UMTS-FDD(WCDMA) (0); Frequency: 1880 MHz; Duty Cycle: 1:1

Medium parameters used:  $f = 1880$  MHz;  $\sigma = 1.415$  S/m;  $\epsilon_r = 38.947$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Left Section

DASY5 Configuration:

- Probe: EX3DV4 - SN3924; ConvF(8.03, 8.03, 8.03); Calibrated: 07.19.2018;
- Sensor-Surface: 1.4mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1373; Calibrated: 03.22.2018
- Phantom: SAM 5.0; Type: QD000P40CD; Serial: TP:1765
- Measurement SW: DASY52, Version 52.8 (8); SEMCAD X Version 14.6.10 (7331)

**WCDMA 1900 Left Cheek/Middle Channel/Area Scan (41x61x1):** Interpolated grid: dx=1.500 mm, dy=1.500 mm

Maximum value of SAR (interpolated) = 0.683 W/kg

**WCDMA 1900 Left Cheek/Middle Channel/Zoom Scan (5x5x7)/Cube 0:**

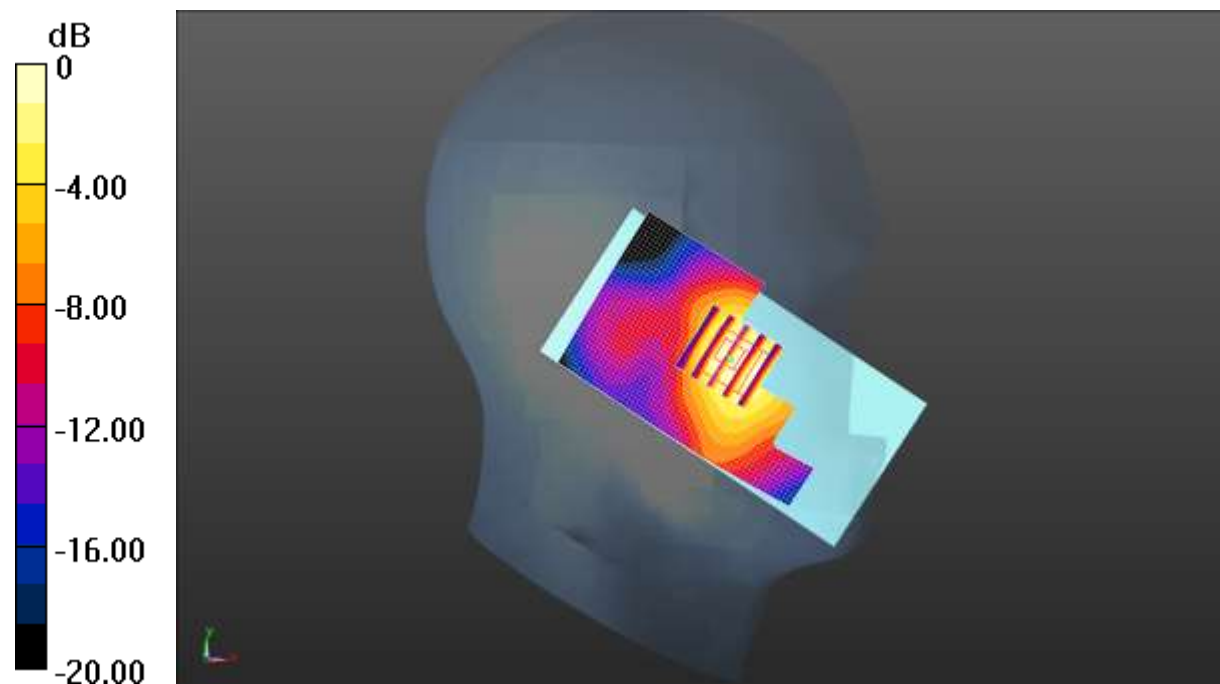
Measurement grid: dx=8mm, dy=8mm, dz=5mm

Reference Value = 4.376 V/m; Power Drift = 0.11 dB

Peak SAR (extrapolated) = 0.755 W/kg

**SAR(1 g) = 0.338 W/kg; SAR(10 g) = 0.186 W/kg**

Maximum value of SAR (measured) = 0.641 W/kg



0 dB = 0.641 W/kg = -1.93 dBW/kg

Test Laboratory: CCIS

Date/Time: 12.10.2018 09:56:55

**DUT: 5.0 inch 3G SmartPhone; Type: X5A; Serial: 1#**

Communication System: UID 0, GPRS(3 Slots) (0); Frequency: 824.2 MHz; Duty Cycle: 1:2.77971

Medium parameters used (interpolated):  $f = 824.2$  MHz;  $\sigma = 0.955$  S/m;  $\epsilon_r = 54.691$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Flat Section

DASY5 Configuration:

- Probe: EX3DV4 - SN3924; ConvF(9.86, 9.86, 9.86); Calibrated: 07.19.2018;
- Sensor-Surface: 1.4mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn1373; Calibrated: 03.22.2018
- Phantom: ELI v5.0; Type: QDOVA002AA; Serial: TP:1208
- Measurement SW: DASY52, Version 52.8 (8); SEMCAD X Version 14.6.10 (7331)

### **GPRS 850 3Slots Body Back/Low Channel/Zoom Scan (5x5x7)/Cube 0:**

Measurement grid: dx=8mm, dy=8mm, dz=5mm

Reference Value = 11.87 V/m; Power Drift = -0.17 dB

Peak SAR (extrapolated) = 1.39 W/kg

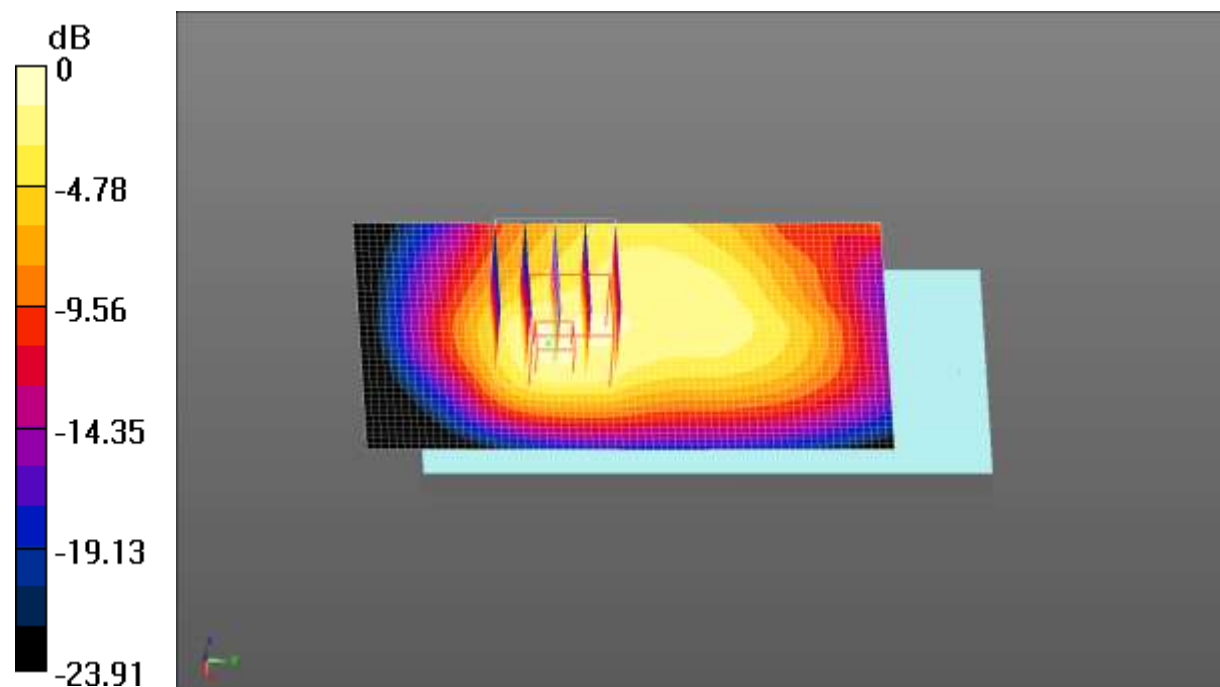
**SAR(1 g) = 0.743 W/kg; SAR(10 g) = 0.368 W/kg**

Maximum value of SAR (measured) = 1.21 W/kg

### **GPRS 850 3Slots Body Back/Low Channel/Area Scan (41x61x1): Interpolated**

grid: dx=1.500 mm, dy=1.500 mm

Maximum value of SAR (interpolated) = 1.17 W/kg



0 dB = 1.17 W/kg = 0.68 dBW/kg

## Appendix C: System Calibration Certificate

## Calibration information for E-field probes



In Collaboration with  
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Client

CCIS

Certificate No: Z18-60226

## CALIBRATION CERTIFICATE

Object EX3DV4 - SN:3924

Calibration Procedure(s) FF-Z11-004-01  
Calibration Procedures for Dosimetric E-field Probes

Calibration date: July 19, 2018

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3)°C and humidity<70%.

Calibration Equipment used (M&TE critical for calibration)

| Primary Standards       | ID #        | Cal Date(Calibrated by, Certificate No.) | Scheduled Calibration |
|-------------------------|-------------|------------------------------------------|-----------------------|
| Power Meter NRP2        | 101919      | 20-Jun-18 (CTTL, No.J18X05032)           | Jun-19                |
| Power sensor NRP-Z91    | 101547      | 20-Jun-18 (CTTL, No.J18X05032)           | Jun-19                |
| Power sensor NRP-Z91    | 101548      | 20-Jun-18 (CTTL, No.J18X05032)           | Jun-19                |
| Reference10dBAttenuator | 18N50W-10dB | 09-Feb-18(CTTL, No.J18X01133)            | Feb-20                |
| Reference20dBAttenuator | 18N50W-20dB | 09-Feb-18(CTTL, No.J18X01132)            | Feb-20                |
| Reference Probe EX3DV4  | SN 3846     | 25-Jan-18(SPEAG, No.EX3-3846_Jan18)      | Jan-19                |
| DAE4                    | SN 777      | 15-Dec-17(SPEAG, No.DAE4-777_Dec17)      | Dec -18               |
| Secondary Standards     | ID #        | Cal Date(Calibrated by, Certificate No.) | Scheduled Calibration |
| SignalGeneratorMG3700A  | 6201052605  | 21-Jun-18 (CTTL, No.J18X05033)           | Jun-19                |
| Network Analyzer E5071C | MY46110673  | 14-Jan-18 (CTTL, No.J18X00561)           | Jan -19               |

|                | Name        | Function           | Signature |
|----------------|-------------|--------------------|-----------|
| Calibrated by: | Yu Zongying | SAR Test Engineer  |           |
| Reviewed by:   | Lin Hao     | SAR Test Engineer  |           |
| Approved by:   | Qi Dianyuan | SAR Project Leader |           |

Issued: July 20, 2018

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

Certificate No: Z18-60226

Page 1 of 11



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### Glossary:

|                       |                                                                                                                                             |
|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------|
| TSL                   | tissue simulating liquid                                                                                                                    |
| NORM <sub>x,y,z</sub> | sensitivity in free space                                                                                                                   |
| ConvF                 | sensitivity in TSL / NORM <sub>x,y,z</sub>                                                                                                  |
| DCP                   | diode compression point                                                                                                                     |
| CF                    | crest factor (1/duty_cycle) of the RF signal                                                                                                |
| A,B,C,D               | modulation dependent linearization parameters                                                                                               |
| Polarization $\Phi$   | $\Phi$ rotation around probe axis                                                                                                           |
| Polarization $\theta$ | $\theta$ rotation around an axis that is in the plane normal to probe axis (at measurement center), i<br>$\theta=0$ is normal to probe axis |

Connector Angle information used in DASY system to align probe sensor X to the robot coordinate system

### Calibration is performed According to the Following Standards:

- IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- IEC 62209-1, "Measurement procedure for the assessment of Specific Absorption Rate (SAR) from hand-held and body-mounted devices used next to the ear (frequency range of 300 MHz to 6 GHz)", July 2016
- IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

### Methods Applied and Interpretation of Parameters:

- NORM<sub>x,y,z</sub>:** Assessed for E-field polarization  $\theta=0$  ( $f \leq 900\text{MHz}$  in TEM-cell;  $f > 1800\text{MHz}$ : waveguide). NORM<sub>x,y,z</sub> are only intermediate values, i.e., the uncertainties of NORM<sub>x,y,z</sub> does not effect the  $E^2$ -field uncertainty inside TSL (see below ConvF).
- NORM(f)<sub>x,y,z</sub> = NORM<sub>x,y,z</sub> \* frequency\_response** (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- DCP<sub>x,y,z</sub>:** DCP are numerical linearization parameters assessed based on the data of power sweep (no uncertainty required). DCP does not depend on frequency nor media.
- PAR:** PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics.
- A<sub>x,y,z</sub>; B<sub>x,y,z</sub>; C<sub>x,y,z</sub>; VR<sub>x,y,z</sub>; A,B,C** are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters:** Assessed in flat phantom using E-field (or Temperature Transfer Standard for  $f \leq 800\text{MHz}$ ) and inside waveguide using analytical field distributions based on power measurements for  $f > 800\text{MHz}$ . The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty valued are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORM<sub>x,y,z</sub> \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from  $\pm 50\text{MHz}$  to  $\pm 100\text{MHz}$ .
- Spherical isotropy (3D deviation from isotropy):** in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset:** The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle:** The angle is assessed using the information gained by determining the NORM<sub>x</sub> (no uncertainty required).



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## Probe EX3DV4

### SN: 3924

Calibrated: July 19, 2018

Calibrated for DASY/EASY Systems

(Note: non-compatible with DASY2 system!)



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## DASY/EASY – Parameters of Probe: EX3DV4 – SN: 3924

### Basic Calibration Parameters

|                                                          | Sensor X | Sensor Y | Sensor Z | Unc (k=2)    |
|----------------------------------------------------------|----------|----------|----------|--------------|
| Norm( $\mu\text{V}/(\text{V}/\text{m})^2$ ) <sup>A</sup> | 0.50     | 0.42     | 0.68     | $\pm 10.0\%$ |
| DCP(mV) <sup>B</sup>                                     | 101.1    | 100.2    | 99.9     |              |

### Modulation Calibration Parameters

| UID | Communication System Name |   | A dB | B dB $\sqrt{\mu\text{V}}$ | C   | D dB | VR mV | Unc <sup>E</sup> (k=2) |
|-----|---------------------------|---|------|---------------------------|-----|------|-------|------------------------|
| 0   | CW                        | X | 0.0  | 0.0                       | 1.0 | 0.00 | 172.2 | $\pm 2.2\%$            |
|     |                           | Y | 0.0  | 0.0                       | 1.0 |      | 153.7 |                        |
|     |                           | Z | 0.0  | 0.0                       | 1.0 |      | 202.8 |                        |

The reported uncertainty of measurement is stated as the standard uncertainty of Measurement multiplied by the coverage factor k=2, which for a normal distribution Corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of Norm X, Y, Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Page 5 and Page 6).

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

<sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.



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## DASY/EASY – Parameters of Probe: EX3DV4 – SN: 3924

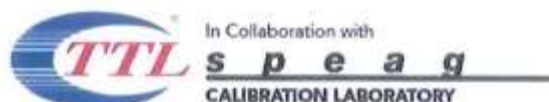
### Calibration Parameter Determined in Head Tissue Simulating Media

| f [MHz] <sup>C</sup> | Relative Permittivity <sup>F</sup> | Conductivity (S/m) <sup>F</sup> | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup> (mm) | Unct. (k=2) |
|----------------------|------------------------------------|---------------------------------|---------|---------|---------|--------------------|-------------------------|-------------|
| 750                  | 41.9                               | 0.89                            | 10.06   | 10.06   | 10.06   | 0.40               | 0.80                    | ± 12.1%     |
| 835                  | 41.5                               | 0.90                            | 9.66    | 9.66    | 9.66    | 0.17               | 1.33                    | ± 12.1%     |
| 900                  | 41.5                               | 0.97                            | 9.63    | 9.63    | 9.63    | 0.16               | 1.37                    | ± 12.1%     |
| 1750                 | 40.1                               | 1.37                            | 8.30    | 8.30    | 8.30    | 0.17               | 1.26                    | ± 12.1%     |
| 1900                 | 40.0                               | 1.40                            | 8.03    | 8.03    | 8.03    | 0.24               | 1.05                    | ± 12.1%     |
| 2300                 | 39.5                               | 1.67                            | 7.86    | 7.86    | 7.86    | 0.52               | 0.73                    | ± 12.1%     |
| 2450                 | 39.2                               | 1.80                            | 7.51    | 7.51    | 7.51    | 0.55               | 0.73                    | ± 12.1%     |
| 2600                 | 39.0                               | 1.96                            | 7.27    | 7.27    | 7.27    | 0.65               | 0.69                    | ± 12.1%     |

<sup>C</sup> Frequency validity above 300 MHz of ±100MHz only applies for DASY v4.4 and higher (Page 2), else it is restricted to ±50MHz. The uncertainty is the RSS of ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

<sup>F</sup> At frequency below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ±10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ±5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for the frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



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## DASY/EASY – Parameters of Probe: EX3DV4 – SN: 3924

### Calibration Parameter Determined in Body Tissue Simulating Media

| f [MHz] <sup>C</sup> | Relative Permittivity <sup>F</sup> | Conductivity (S/m) <sup>F</sup> | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup> (mm) | Unct. (k=2) |
|----------------------|------------------------------------|---------------------------------|---------|---------|---------|--------------------|-------------------------|-------------|
| 750                  | 55.5                               | 0.96                            | 10.23   | 10.23   | 10.23   | 0.40               | 0.80                    | ±12.1%      |
| 835                  | 55.2                               | 0.97                            | 9.86    | 9.86    | 9.86    | 0.17               | 1.44                    | ±12.1%      |
| 900                  | 55.0                               | 1.05                            | 9.83    | 9.83    | 9.83    | 0.24               | 1.18                    | ±12.1%      |
| 1750                 | 53.4                               | 1.49                            | 8.02    | 8.02    | 8.02    | 0.21               | 1.13                    | ±12.1%      |
| 1900                 | 53.3                               | 1.52                            | 7.72    | 7.72    | 7.72    | 0.21               | 1.15                    | ±12.1%      |
| 2300                 | 52.9                               | 1.81                            | 7.75    | 7.75    | 7.75    | 0.55               | 0.81                    | ±12.1%      |
| 2450                 | 52.7                               | 1.95                            | 7.49    | 7.49    | 7.49    | 0.50               | 0.89                    | ±12.1%      |
| 2600                 | 52.5                               | 2.16                            | 7.12    | 7.12    | 7.12    | 0.60               | 0.74                    | ±12.1%      |

<sup>C</sup> Frequency validity above 300 MHz of ±100MHz only applies for DASY v4.4 and higher (Page 2), else it is restricted to ±50MHz. The uncertainty is the RSS of ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ±10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ±110 MHz.

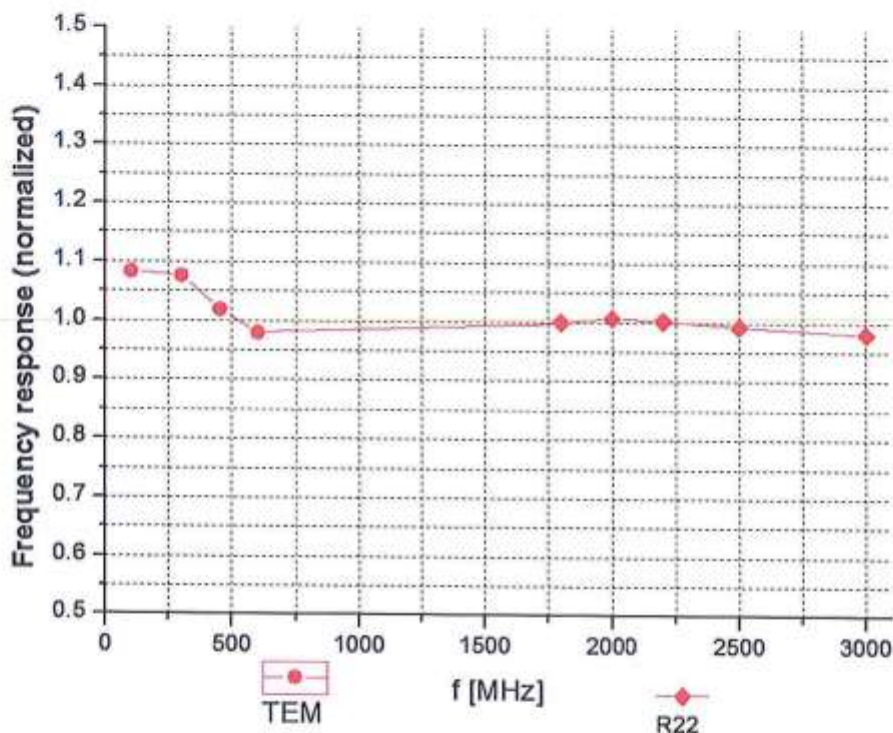
<sup>F</sup> At frequency below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ±10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ±5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ±1% for frequencies below 3 GHz and below ±2% for the frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



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## Frequency Response of E-Field (TEM-Cell: ifi110 EXX, Waveguide: R22)



Uncertainty of Frequency Response of E-field:  $\pm 7.4\%$  ( $k=2$ )

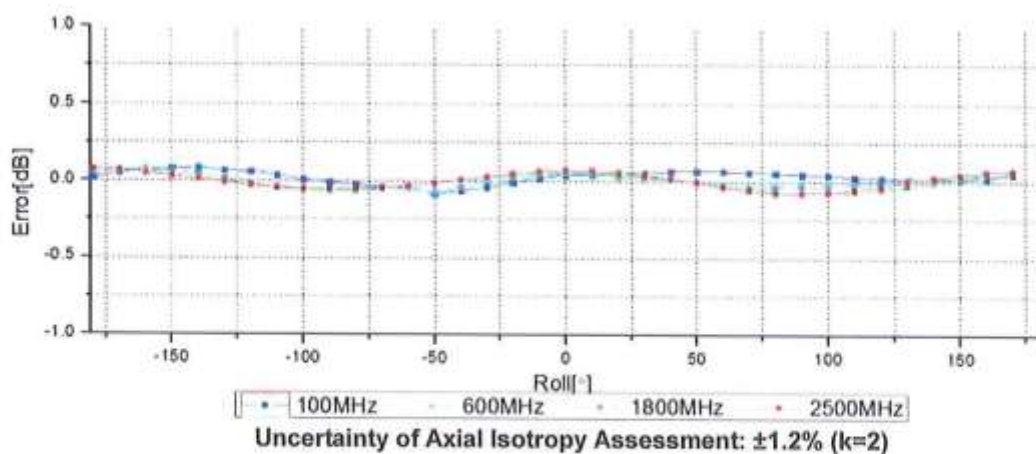
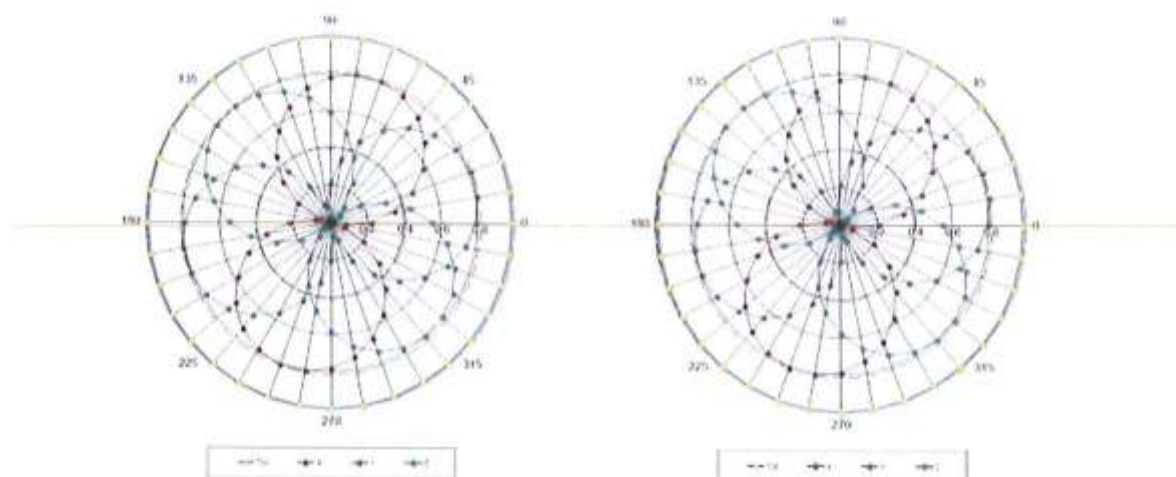


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## Receiving Pattern ( $\Phi$ ), $\theta=0^\circ$

**f=600 MHz, TEM**

**f=1800 MHz, R22**



Uncertainty of Axial Isotropy Assessment:  $\pm 1.2\%$  ( $k=2$ )

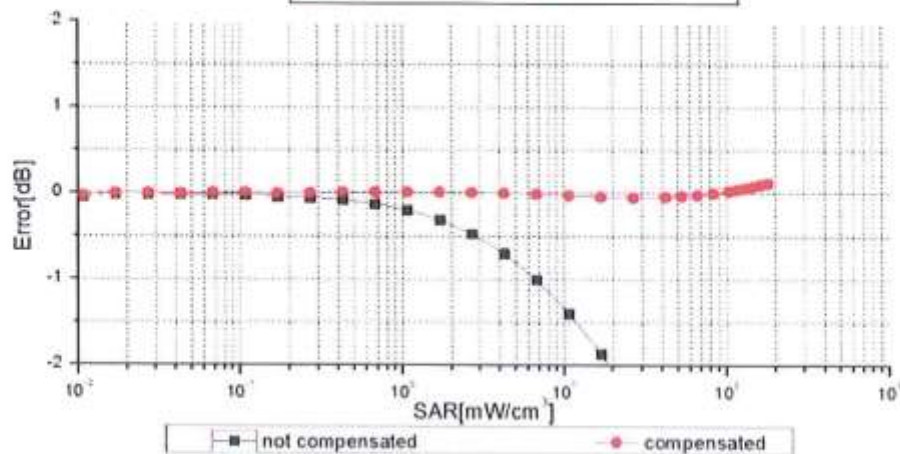
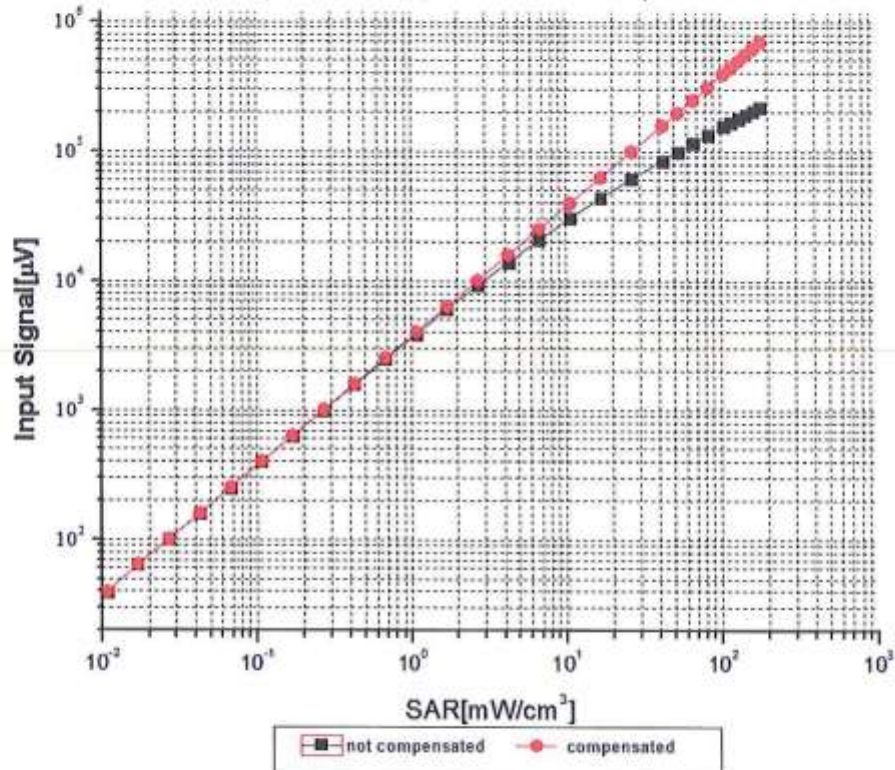
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Page 8 of 11



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## Dynamic Range $f(\text{SAR}_{\text{head}})$ (TEM cell, $f = 900 \text{ MHz}$ )



Uncertainty of Linearity Assessment:  $\pm 0.9\%$  ( $k=2$ )

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Page 9 of 11

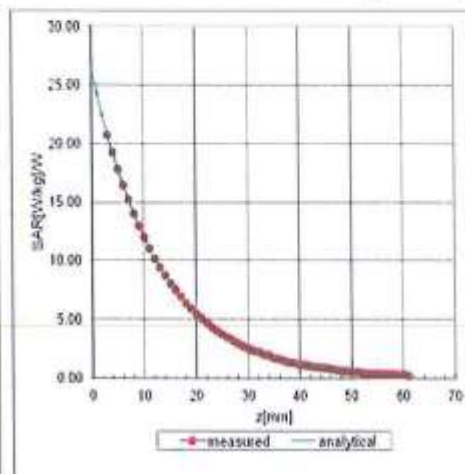
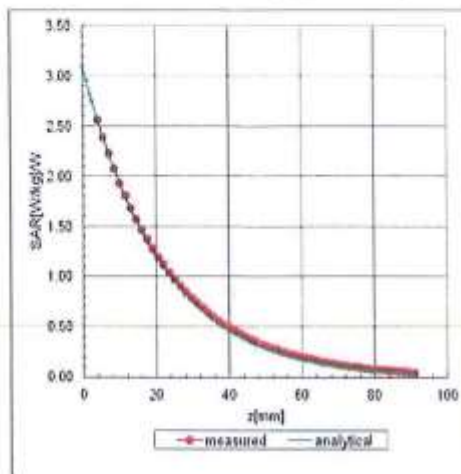


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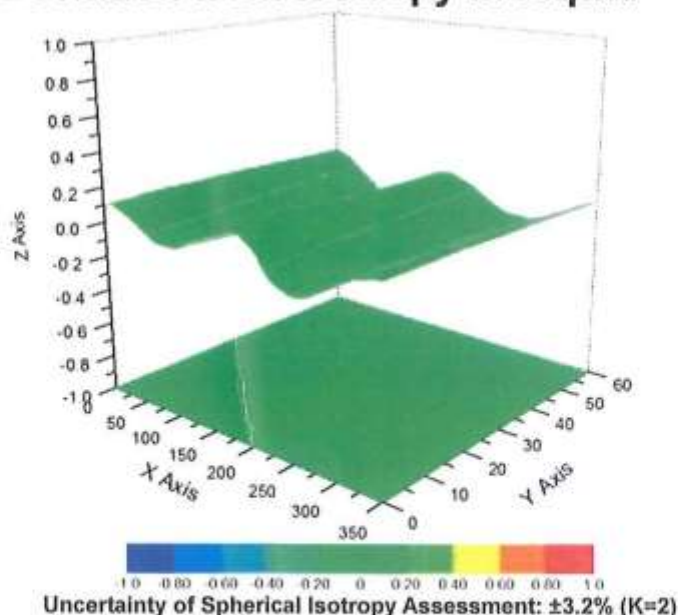
## Conversion Factor Assessment

f=750 MHz, WGLS R9(H\_convF)

f=1750 MHz, WGLS R22(H\_convF)



## Deviation from Isotropy in Liquid





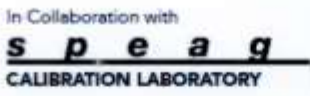
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## DASY/EASY – Parameters of Probe: EX3DV4 – SN: 3924

### Other Probe Parameters

|                                               |            |
|-----------------------------------------------|------------|
| Sensor Arrangement                            | Triangular |
| Connector Angle (°)                           | 159.7      |
| Mechanical Surface Detection Mode             | enabled    |
| Optical Surface Detection Mode                | disable    |
| Probe Overall Length                          | 337mm      |
| Probe Body Diameter                           | 10mm       |
| Tip Length                                    | 9mm        |
| Tip Diameter                                  | 2.5mm      |
| Probe Tip to Sensor X Calibration Point       | 1mm        |
| Probe Tip to Sensor Y Calibration Point       | 1mm        |
| Probe Tip to Sensor Z Calibration Point       | 1mm        |
| Recommended Measurement Distance from Surface | 1.4mm      |

## Calibration information for Dipole



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 CALIBRATION  
 CNAS L0570

Client **CCIS** Certificate No: **Z16-97089**

### CALIBRATION CERTIFICATE

Object **D835V2 - SN: 4d154**

Calibration Procedure(s) **FD-Z11-2-003-01**  
**Calibration Procedures for dipole validation kits**

Calibration date: **Jun 16, 2016**

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3)℃ and humidity<70%.

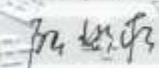
Calibration Equipment used (M&TE critical for calibration)

| Primary Standards      | ID #    | Cal Date(Calibrated by, Certificate No.) | Scheduled Calibration |
|------------------------|---------|------------------------------------------|-----------------------|
| Power Meter NRP2       | 101919  | 01-Jul-15 (CTTL, No.J15X04256)           | Jun-16                |
| Power sensor NRP-Z91   | 101547  | 01-Jul-15 (CTTL, No.J15X04256)           | Jun-16                |
| Reference Probe EX3DV4 | SN 7307 | 19-Feb-16(SPEAG,No.EX3-7307_Feb16)       | Feb-17                |
| DAE4                   | SN 771  | 02-Feb-16(CTTL-SPEAG,No.Z16-97011)       | Feb-17                |

| Secondary Standards     | ID #       | Cal Date(Calibrated by, Certificate No.) | Scheduled Calibration |
|-------------------------|------------|------------------------------------------|-----------------------|
| Signal Generator E4438C | MY49071430 | 01-Feb-16 (CTTL, No.J16X00893)           | Jan-17                |
| Network Analyzer E5071C | MY46110673 | 26-Jan-16 (CTTL, No.J16X00894)           | Jan-17                |

|                | Name        | Function                          | Signature                                                                             |
|----------------|-------------|-----------------------------------|---------------------------------------------------------------------------------------|
| Calibrated by: | Zhao Jing   | SAR Test Engineer                 |  |
| Reviewed by:   | Qi Dianyuan | SAR Project Leader                |  |
| Approved by:   | Lu Bingsong | Deputy Director of the laboratory |  |

Issued: Jun 17, 2016

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Certificate No: Z16-97089 Page 1 of 8



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**Glossary:**

|       |                                            |
|-------|--------------------------------------------|
| TSL   | tissue simulating liquid                   |
| ConvF | sensitivity in TSL / NORM <sub>x,y,z</sub> |
| N/A   | not applicable or not measured             |

**Calibration is Performed According to the Following Standards:**

- IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) For hand-held devices used in close proximity to the ear (frequency range of 300MHz to 3GHz)", February 2005
- IEC 62209-2, "Procedure to measure the Specific Absorption Rate (SAR) For wireless communication devices used in close proximity to the human body (frequency range of 30MHz to 6GHz)", March 2010
- KDB865664, SAR Measurement Requirements for 100 MHz to 6 GHz

**Additional Documentation:**

- DASY4/5 System Handbook

**Methods Applied and Interpretation of Parameters:**

- Measurement Conditions:** Further details are available from the Validation Report at the end of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- Antenna Parameters with TSL:** The dipole is mounted with the spacer to position its feed point exactly below the center marking of the flat phantom section, with the arms oriented parallel to the body axis.
- Feed Point Impedance and Return Loss:** These parameters are measured with the dipole positioned under the liquid filled phantom. The impedance stated is transformed from the measurement at the SMA connector to the feed point. The Return Loss ensures low reflected power. No uncertainty required.
- Electrical Delay:** One-way delay between the SMA connector and the antenna feed point. No uncertainty required.
- SAR measured:** SAR measured at the stated antenna input power.
- SAR normalized:** SAR as measured, normalized to an input power of 1 W at the antenna connector.
- SAR for nominal TSL parameters:** The measured TSL parameters are used to calculate the nominal SAR result.

The reported uncertainty of measurement is stated as the standard uncertainty of Measurement multiplied by the coverage factor  $k=2$ , which for a normal distribution Corresponds to a coverage probability of approximately 95%.



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### Measurement Conditions

DASY system configuration, as far as not given on page 1.

|                              |                          |             |
|------------------------------|--------------------------|-------------|
| DASY Version                 | DASY52                   | 52.8.8.1258 |
| Extrapolation                | Advanced Extrapolation   |             |
| Phantom                      | Triple Flat Phantom 5.1C |             |
| Distance Dipole Center - TSL | 15 mm                    | with Spacer |
| Zoom Scan Resolution         | dx, dy, dz = 5 mm        |             |
| Frequency                    | 835 MHz $\pm$ 1 MHz      |             |

### Head TSL parameters

The following parameters and calculations were applied.

|                                         | Temperature         | Permittivity   | Conductivity         |
|-----------------------------------------|---------------------|----------------|----------------------|
| Nominal Head TSL parameters             | 22.0 °C             | 41.5           | 0.90 mho/m           |
| Measured Head TSL parameters            | (22.0 $\pm$ 0.2) °C | 41.0 $\pm$ 6 % | 0.89 mho/m $\pm$ 6 % |
| Head TSL temperature change during test | <1.0 °C             | ----           | ----                 |

### SAR result with Head TSL

| SAR averaged over 1 $cm^3$ (1 g) of Head TSL   | Condition          |                               |
|------------------------------------------------|--------------------|-------------------------------|
| SAR measured                                   | 250 mW input power | 2.30 mW / g                   |
| SAR for nominal Head TSL parameters            | normalized to 1W   | 9.24 mW /g $\pm$ 20.8 % (k=2) |
| SAR averaged over 10 $cm^3$ (10 g) of Head TSL | Condition          |                               |
| SAR measured                                   | 250 mW input power | 1.50 mW / g                   |
| SAR for nominal Head TSL parameters            | normalized to 1W   | 6.02 mW /g $\pm$ 20.4 % (k=2) |

### Body TSL parameters

The following parameters and calculations were applied.

|                                         | Temperature         | Permittivity   | Conductivity         |
|-----------------------------------------|---------------------|----------------|----------------------|
| Nominal Body TSL parameters             | 22.0 °C             | 55.2           | 0.97 mho/m           |
| Measured Body TSL parameters            | (22.0 $\pm$ 0.2) °C | 55.4 $\pm$ 6 % | 0.99 mho/m $\pm$ 6 % |
| Body TSL temperature change during test | <1.0 °C             | ----           | ----                 |

### SAR result with Body TSL

| SAR averaged over 1 $cm^3$ (1 g) of Body TSL   | Condition          |                               |
|------------------------------------------------|--------------------|-------------------------------|
| SAR measured                                   | 250 mW input power | 2.43 mW / g                   |
| SAR for nominal Body TSL parameters            | normalized to 1W   | 9.57 mW /g $\pm$ 20.8 % (k=2) |
| SAR averaged over 10 $cm^3$ (10 g) of Body TSL | Condition          |                               |
| SAR measured                                   | 250 mW input power | 1.61 mW / g                   |
| SAR for nominal Body TSL parameters            | normalized to 1W   | 6.36 mW /g $\pm$ 20.4 % (k=2) |

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Page 3 of 8



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## Appendix

### Antenna Parameters with Head TSL

|                                      |               |
|--------------------------------------|---------------|
| Impedance, transformed to feed point | 49.2Ω- 3.11jΩ |
| Return Loss                          | - 29.8dB      |

### Antenna Parameters with Body TSL

|                                      |               |
|--------------------------------------|---------------|
| Impedance, transformed to feed point | 46.6Ω- 2.33jΩ |
| Return Loss                          | - 27.4dB      |

### General Antenna Parameters and Design

|                                  |          |
|----------------------------------|----------|
| Electrical Delay (one direction) | 1.508 ns |
|----------------------------------|----------|

After long term use with 100W radiated power, only a slight warming of the dipole near the feedpoint can be measured.

The dipole is made of standard semirigid coaxial cable. The center conductor of the feeding line is directly connected to the second arm of the dipole. The antenna is therefore short-circuited for DC-signals. On some of the dipoles, small end caps are added to the dipole arms in order to improve matching when loaded according to the position as explained in the "Measurement Conditions" paragraph. The SAR data are not affected by this change. The overall dipole length is still according to the Standard.

No excessive force must be applied to the dipole arms, because they might bend or the soldered connections near the feedpoint may be damaged.

### Additional EUT Data

|                 |       |
|-----------------|-------|
| Manufactured by | SPEAG |
|-----------------|-------|



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## DASY5 Validation Report for Head TSL

Date: 06.16.2016

Test Laboratory: CCTL, Beijing, China

**DUT: Dipole 835 MHz; Type: D835V2; Serial: D835V2 - SN: 4d154**

Communication System: UID 0, CW; Frequency: 835 MHz; Duty Cycle: 1:1

Medium parameters used:  $f = 835 \text{ MHz}$ ;  $\sigma = 0.891 \text{ S/m}$ ;  $\epsilon_r = 40.97$ ;  $\rho = 1000 \text{ kg/m}^3$

Phantom section: Right Section

Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2007)

### DASY5 Configuration:

- Probe: EX3DV4 - SN7307; ConvF(10.01, 10.01,10.01); Calibrated: 2/19/2016;
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn771; Calibrated: 2016-02-02
- Phantom: Triple Flat Phantom 5.1C; Type: QD 000 P51 CA; Serial: 1161/1
- Measurement SW: DASY52, Version 52.8 (8); SEMCAD X Version 14.6.10 (7372)

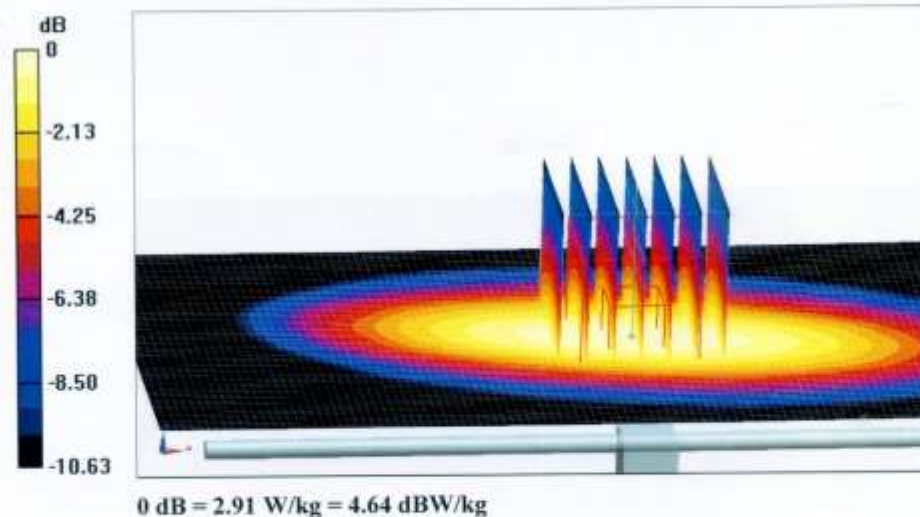
**Dipole Calibration/Zoom Scan (7x7x7) (7x7x7)/Cube 0:** Measurement grid:  $dx=5\text{mm}$ ,  $dy=5\text{mm}$ ,  $dz=5\text{mm}$

Reference Value = 58.14V/m; Power Drift = 0.02 dB

Peak SAR (extrapolated) = 3.41 W/kg

**SAR(1 g) = 2.3 W/kg; SAR(10 g) = 1.5 W/kg**

Maximum value of SAR (measured) = 2.91 W/kg



Certificate No: Z16-97089

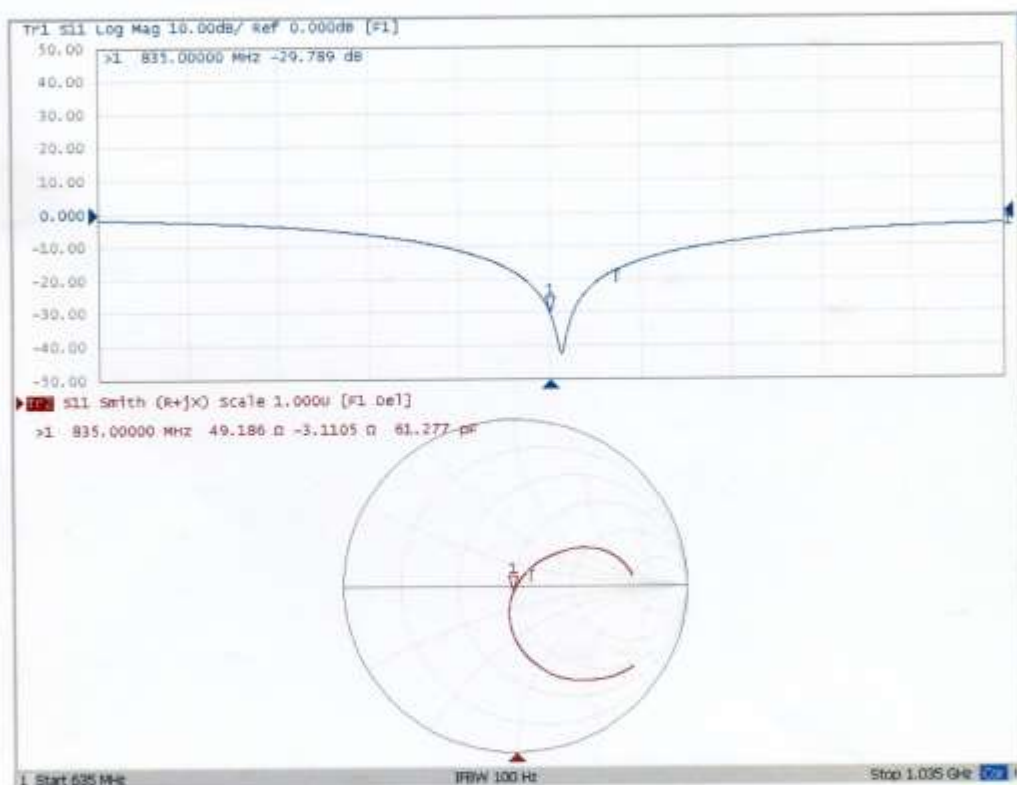
Page 5 of 8



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## Impedance Measurement Plot for Head TSL





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**DASY5 Validation Report for Body TSL**

Date: 06.16.2016

Test Laboratory: CTTL, Beijing, China

**DUT: Dipole 835 MHz; Type: D835V2; Serial: D835V2 - SN: 4d154**

Communication System: UID 0, CW; Frequency: 835 MHz; Duty Cycle: 1:1

Medium parameters used:  $f = 835 \text{ MHz}$ ;  $\sigma = 0.991 \text{ S/m}$ ;  $\epsilon_r = 55.41$ ;  $\rho = 1000 \text{ kg/m}^3$ 

Phantom section: Center Section

Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2007)

**DASY5 Configuration:**

- Probe: EX3DV4 - SN7307; ConvF(9.83,9.83, 9.83); Calibrated: 2/19/2016;
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn771; Calibrated: 2016-02-02
- Phantom: Triple Flat Phantom 5.1C; Type: QD 000 P51 CA; Serial: 1161/1
- Measurement SW: DASY52, Version 52.8 (8); SEMCAD X Version 14.6.10 (7372)

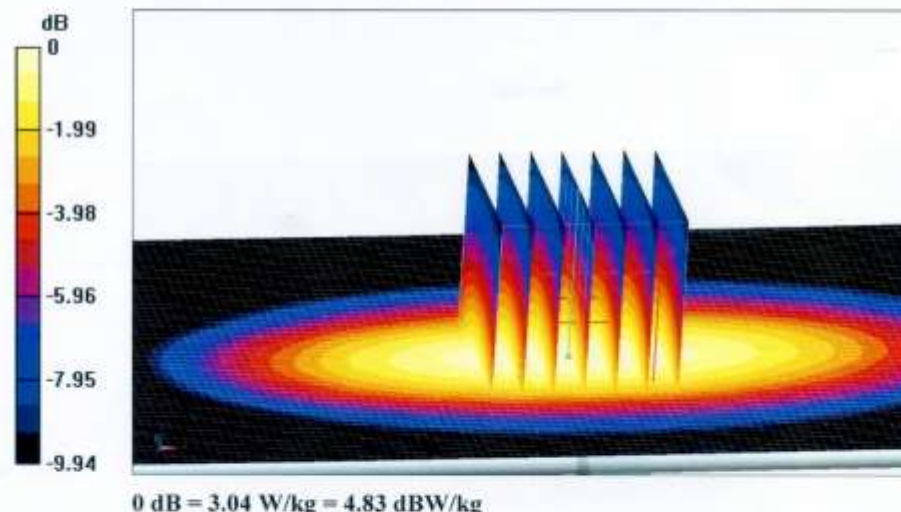
**Dipole Calibration/Zoom Scan (7x7x7) (7x7x7)/Cube 0:** Measurement grid:  $dx=5\text{mm}$ ,  
 $dy=5\text{mm}$ ,  $dz=5\text{mm}$

Reference Value = 54.01 V/m; Power Drift = 0.01 dB

Peak SAR (extrapolated) = 3.53 W/kg

**SAR(1 g) = 2.43 W/kg; SAR(10 g) = 1.61 W/kg**

Maximum value of SAR (measured) = 3.04 W/kg



Certificate No: Z16-97089

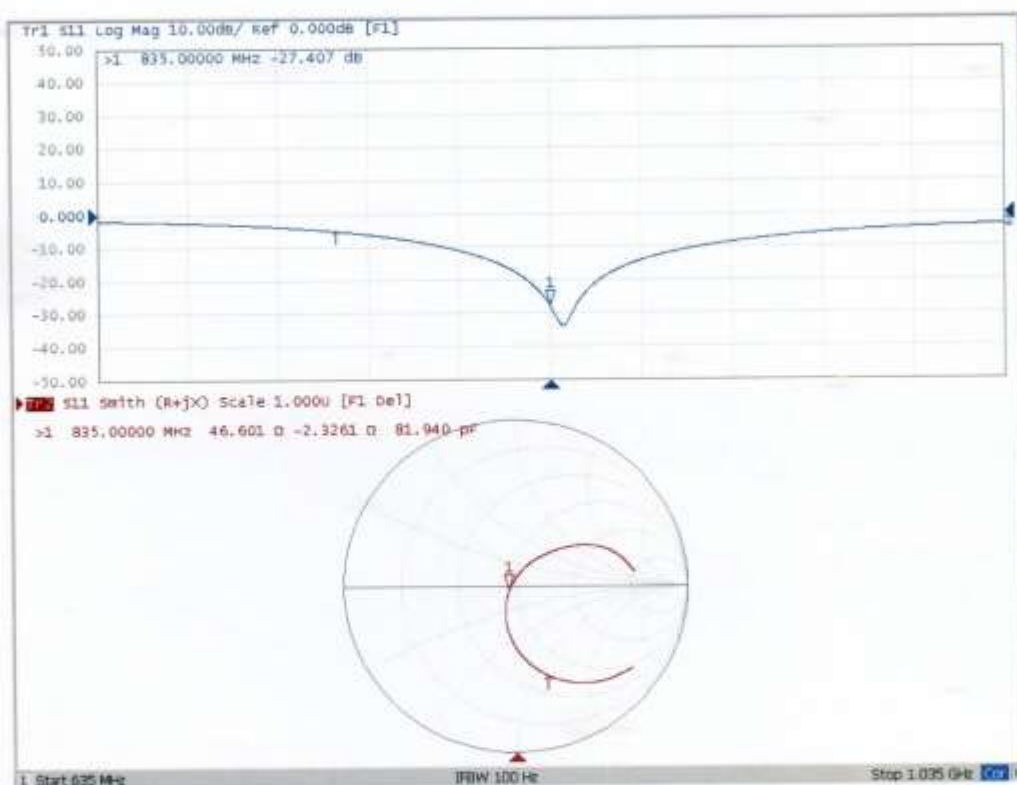
Page 7 of 8



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## Impedance Measurement Plot for Body TSL



## Dipole Impedance and Return Loss calibration Report

Object: D835V2 - SN: 4d154

Calibration Date: June 10, 2018

Calibration reference: IEEE Std 1528:2013, IEC 62209-1:2016, FCC KDB 865664 D01

Calibrated By: *Janet Wei* (Janet Wei, SAR project engineer)

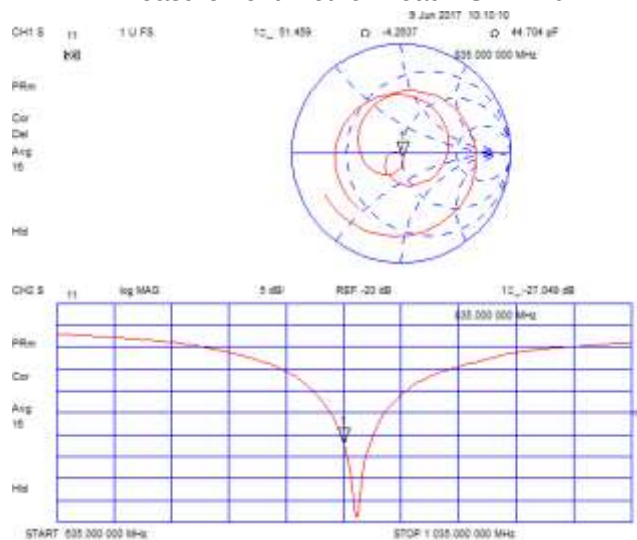
Reviewed By: *Bruce Zhang* (Bruce Zhang, Technical manager)

### Environment of Test Site

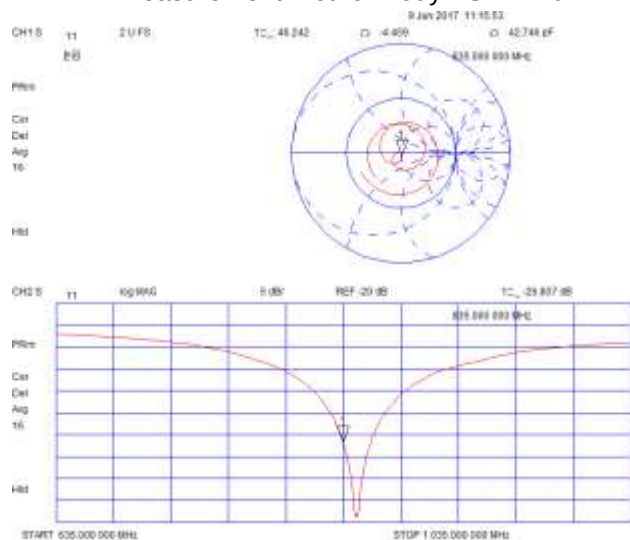
|                       |           |
|-----------------------|-----------|
| Temperature:          | 21 ~ 23°C |
| Humidity:             | 50~60% RH |
| Atmospheric Pressure: | 1011 mbar |

### Test Data

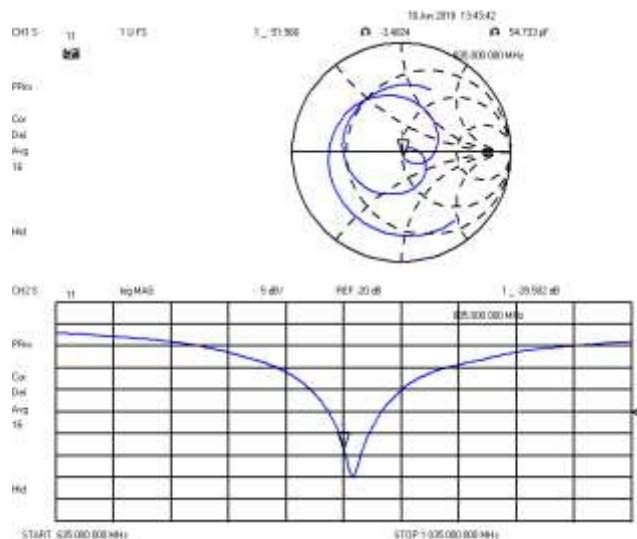
Measurement Plot for Head TSL In 2017



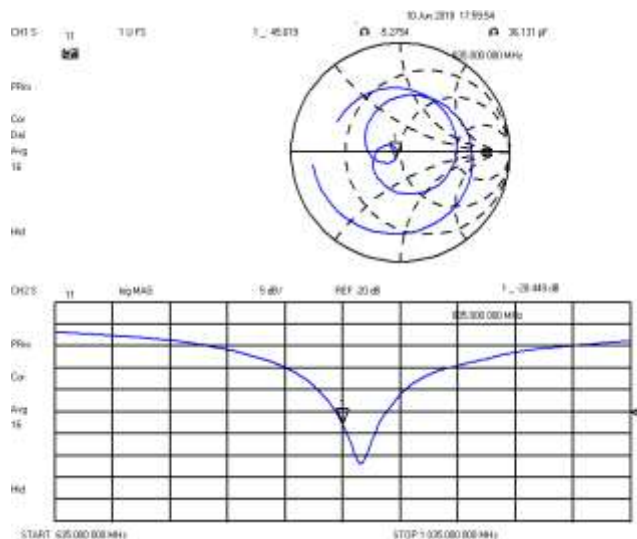
Measurement Plot for Body TSL In 2017



Measurement Plot for Head TSL In 2018



Measurement Plot for Body TSL In 2018



## Comparison with Original report

| Items                    | Calibrated By CCIS In 2017  | Calibrated By CCIS In 2018  | Deviation                   | Limit                           |
|--------------------------|-----------------------------|-----------------------------|-----------------------------|---------------------------------|
| Impedence for Head TSL   | $51.46\Omega - 4.26j\Omega$ | $51.57\Omega - 3.48j\Omega$ | $0.11\Omega + 0.78j\Omega$  | $\pm 5\Omega$                   |
| Return Loss for Head TSL | -27.05dB                    | -28.5dB                     | -9.2%                       | $\pm 20\%$ (No less than 20 dB) |
| Impedence for Body TSL   | $46.24\Omega - 4.46j\Omega$ | $45.62\Omega - 5.28j\Omega$ | $-0.62\Omega - 0.82j\Omega$ | $\pm 5\Omega$                   |
| Return Loss for Body TSL | -26.8dB                     | -28.5dB                     | 6.3%                        | $\pm 20\%$ (No less than 20 dB) |

## Result

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Client

CCIS

Certificate No: Z16-97090

## CALIBRATION CERTIFICATE

Object D1900V2 - SN: 5d175

Calibration Procedure(s) FD-Z11-2-003-01  
Calibration Procedures for dipole validation kits

Calibration date: Jun 15, 2016

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3)℃ and humidity<70%.

Calibration Equipment used (M&TE critical for calibration)

| Primary Standards       | ID #       | Cal Date(Calibrated by, Certificate No.) | Scheduled Calibration |
|-------------------------|------------|------------------------------------------|-----------------------|
| Power Meter NRP2        | 101919     | 01-Jul-15 (CTTL, No.J15X04256)           | Jun-16                |
| Power sensor NRP-Z91    | 101547     | 01-Jul-15 (CTTL, No.J15X04256)           | Jun-16                |
| Reference Probe EX3DV4  | SN 7307    | 19-Feb-16(SPEAG,No.EX3-7307_Feb16)       | Feb-17                |
| DAE4                    | SN 771     | 02-Feb-16(CTTL-SPEAG,No.Z16-97011)       | Feb-17                |
| Secondary Standards     | ID #       | Cal Date(Calibrated by, Certificate No.) | Scheduled Calibration |
| Signal Generator E4438C | MY49071430 | 01-Feb-16 (CTTL, No.J16X00893)           | Jan-17                |
| Network Analyzer E5071C | MY46110673 | 26-Jan-16 (CTTL, No.J16X00894)           | Jan-17                |

|                | Name        | Function                          | Signature |
|----------------|-------------|-----------------------------------|-----------|
| Calibrated by: | Zhao Jing   | SAR Test Engineer                 |           |
| Reviewed by:   | Qi Dianyuan | SAR Project Leader                |           |
| Approved by:   | Lu Bingsong | Deputy Director of the laboratory |           |

Issued: Jun 17, 2016

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Certificate No: Z16-97090

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#### Glossary:

|       |                                            |
|-------|--------------------------------------------|
| TSL   | tissue simulating liquid                   |
| ConvF | sensitivity in TSL / NORM <sub>x,y,z</sub> |
| N/A   | not applicable or not measured             |

#### Calibration is Performed According to the Following Standards:

- IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) For hand-held devices used in close proximity to the ear (frequency range of 300MHz to 3GHz)", February 2005
- IEC 62209-2, "Procedure to measure the Specific Absorption Rate (SAR) For wireless communication devices used in close proximity to the human body (frequency range of 30MHz to 6GHz)", March 2010
- KDB865664, SAR Measurement Requirements for 100 MHz to 6 GHz

#### Additional Documentation:

- DASY4/5 System Handbook

#### Methods Applied and Interpretation of Parameters:

- Measurement Conditions:** Further details are available from the Validation Report at the end of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- Antenna Parameters with TSL:** The dipole is mounted with the spacer to position its feed point exactly below the center marking of the flat phantom section, with the arms oriented parallel to the body axis.
- Feed Point Impedance and Return Loss:** These parameters are measured with the dipole positioned under the liquid filled phantom. The impedance stated is transformed from the measurement at the SMA connector to the feed point. The Return Loss ensures low reflected power. No uncertainty required.
- Electrical Delay:** One-way delay between the SMA connector and the antenna feed point. No uncertainty required.
- SAR measured:** SAR measured at the stated antenna input power.
- SAR normalized:** SAR as measured, normalized to an input power of 1 W at the antenna connector.
- SAR for nominal TSL parameters:** The measured TSL parameters are used to calculate the nominal SAR result.

The reported uncertainty of measurement is stated as the standard uncertainty of Measurement multiplied by the coverage factor  $k=2$ , which for a normal distribution Corresponds to a coverage probability of approximately 95%.



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### Measurement Conditions

DASY system configuration, as far as not given on page 1.

|                                     |                          |             |
|-------------------------------------|--------------------------|-------------|
| <b>DASY Version</b>                 | DASY52                   | 52.8.8.1258 |
| <b>Extrapolation</b>                | Advanced Extrapolation   |             |
| <b>Phantom</b>                      | Triple Flat Phantom 5.1C |             |
| <b>Distance Dipole Center - TSL</b> | 10 mm                    | with Spacer |
| <b>Zoom Scan Resolution</b>         | dx, dy, dz = 5 mm        |             |
| <b>Frequency</b>                    | 1900 MHz $\pm$ 1 MHz     |             |

### Head TSL parameters

The following parameters and calculations were applied.

|                                                | Temperature         | Permittivity   | Conductivity         |
|------------------------------------------------|---------------------|----------------|----------------------|
| <b>Nominal Head TSL parameters</b>             | 22.0 °C             | 40.0           | 1.40 mho/m           |
| <b>Measured Head TSL parameters</b>            | (22.0 $\pm$ 0.2) °C | 40.3 $\pm$ 6 % | 1.38 mho/m $\pm$ 6 % |
| <b>Head TSL temperature change during test</b> | <1.0 °C             | ----           | ----                 |

### SAR result with Head TSL

| SAR averaged over 1 cm <sup>3</sup> (1 g) of Head TSL   | Condition          |                                |
|---------------------------------------------------------|--------------------|--------------------------------|
| SAR measured                                            | 250 mW input power | 9.99 mW / g                    |
| SAR for nominal Head TSL parameters                     | normalized to 1W   | 40.4 mW / g $\pm$ 20.8 % (k=2) |
| SAR averaged over 10 cm <sup>3</sup> (10 g) of Head TSL | Condition          |                                |
| SAR measured                                            | 250 mW input power | 5.28 mW / g                    |
| SAR for nominal Head TSL parameters                     | normalized to 1W   | 21.3 mW / g $\pm$ 20.4 % (k=2) |

### Body TSL parameters

The following parameters and calculations were applied.

|                                                | Temperature         | Permittivity   | Conductivity         |
|------------------------------------------------|---------------------|----------------|----------------------|
| <b>Nominal Body TSL parameters</b>             | 22.0 °C             | 53.3           | 1.52 mho/m           |
| <b>Measured Body TSL parameters</b>            | (22.0 $\pm$ 0.2) °C | 53.3 $\pm$ 6 % | 1.54 mho/m $\pm$ 6 % |
| <b>Body TSL temperature change during test</b> | <1.0 °C             | ----           | ----                 |

### SAR result with Body TSL

| SAR averaged over 1 cm <sup>3</sup> (1 g) of Body TSL   | Condition          |                                |
|---------------------------------------------------------|--------------------|--------------------------------|
| SAR measured                                            | 250 mW input power | 10.1 mW / g                    |
| SAR for nominal Body TSL parameters                     | normalized to 1W   | 40.1 mW / g $\pm$ 20.8 % (k=2) |
| SAR averaged over 10 cm <sup>3</sup> (10 g) of Body TSL | Condition          |                                |
| SAR measured                                            | 250 mW input power | 5.39 mW / g                    |
| SAR for nominal Body TSL parameters                     | normalized to 1W   | 21.5 mW / g $\pm$ 20.4 % (k=2) |

Certificate No: Z16-97090

Page 3 of 8



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## Appendix

### Antenna Parameters with Head TSL

|                                      |               |
|--------------------------------------|---------------|
| Impedance, transformed to feed point | 53.2Ω+ 5.44jΩ |
| Return Loss                          | - 24.3dB      |

### Antenna Parameters with Body TSL

|                                      |               |
|--------------------------------------|---------------|
| Impedance, transformed to feed point | 48.9Ω+ 5.75jΩ |
| Return Loss                          | - 24.6dB      |

### General Antenna Parameters and Design

|                                  |          |
|----------------------------------|----------|
| Electrical Delay (one direction) | 1.304 ns |
|----------------------------------|----------|

After long term use with 100W radiated power, only a slight warming of the dipole near the feedpoint can be measured.

The dipole is made of standard semirigid coaxial cable. The center conductor of the feeding line is directly connected to the second arm of the dipole. The antenna is therefore short-circuited for DC-signals. On some of the dipoles, small end caps are added to the dipole arms in order to improve matching when loaded according to the position as explained in the "Measurement Conditions" paragraph. The SAR data are not affected by this change. The overall dipole length is still according to the Standard.  
No excessive force must be applied to the dipole arms, because they might bend or the soldered connections near the feedpoint may be damaged.

### Additional EUT Data

|                 |       |
|-----------------|-------|
| Manufactured by | SPEAG |
|-----------------|-------|



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## DASY5 Validation Report for Head TSL

Date: 06.15.2016

Test Laboratory: CCTL, Beijing, China

**DUT: Dipole 1900 MHz; Type: D1900V2; Serial: D1900V2 - SN: 5d175**

Communication System: UID 0, CW; Frequency: 1900 MHz; Duty Cycle: 1:1

Medium parameters used:  $f = 1900$  MHz;  $\sigma = 1.381$  S/m;  $\epsilon_r = 40.33$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Right Section

Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2007)

DASY5 Configuration:

- Probe: EX3DV4 - SN7307; ConvF(8.1, 8.1, 8.1); Calibrated: 2/19/2016;
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn771; Calibrated: 2/2/2016
- Phantom: Triple Flat Phantom 5.1C; Type: QD 000 P51 CA; Serial: 1161/1
- Measurement SW: DASY52, Version 52.8 (8); SEMCAD X Version 14.6.10 (7372)

**System Performance Check/Zoom Scan (7x7x7) (7x7x7)/Cube 0:** Measurement grid:

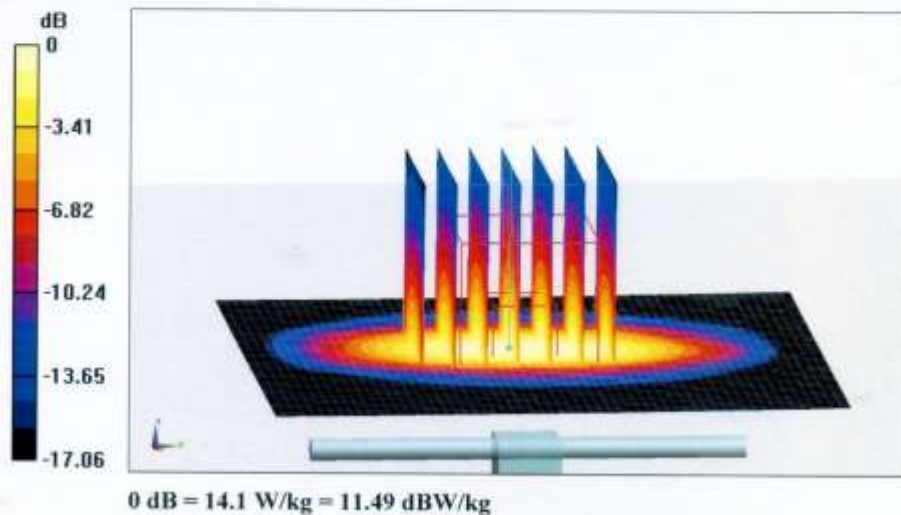
$dx=5$ mm,  $dy=5$ mm,  $dz=5$ mm

Reference Value = 103.5V/m; Power Drift = 0.00 dB

Peak SAR (extrapolated) = 18.0W/kg

**SAR(1 g) = 9.99 W/kg; SAR(10 g) = 5.28 W/kg**

Maximum value of SAR (measured) = 14.1 W/kg



Certificate No: Z16-97090

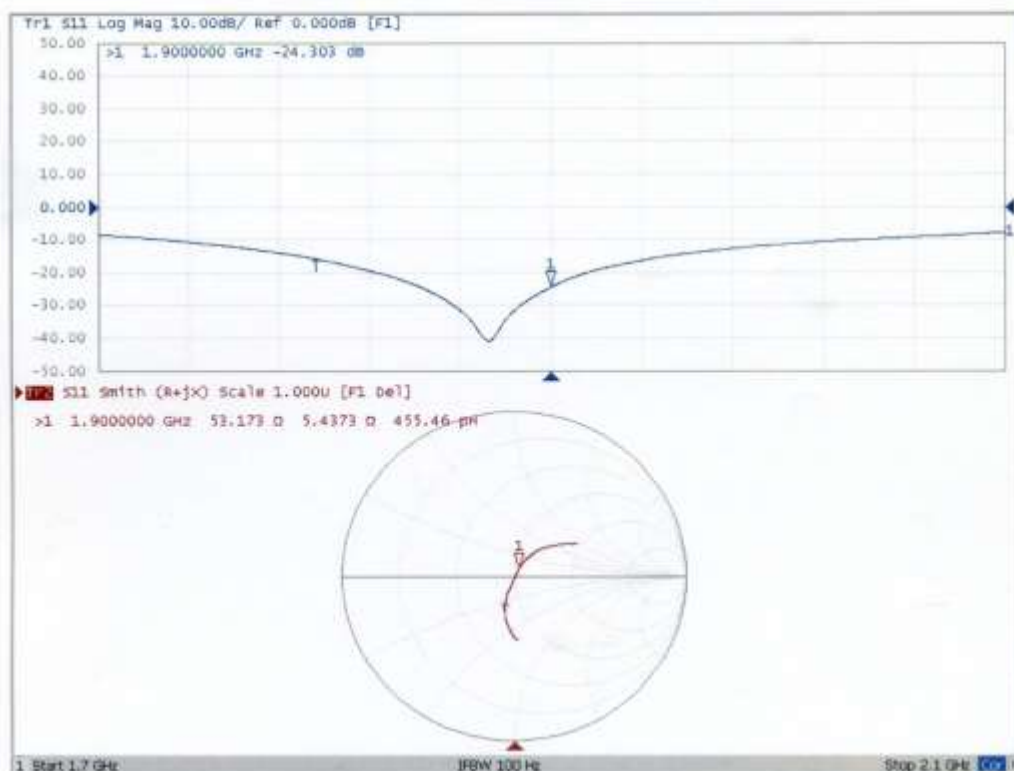
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## Impedance Measurement Plot for Head TSL





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#### DASY5 Validation Report for Body TSL

Date: 06.15.2016

Test Laboratory: CTTL, Beijing, China

**DUT: Dipole 1900 MHz; Type: D1900V2; Serial: D1900V2 - SN: 5d175**

Communication System: UID 0, CW; Frequency: 1900 MHz; Duty Cycle: 1:1

Medium parameters used:  $f = 1900$  MHz;  $\sigma = 1.537$  S/m;  $\epsilon_r = 53.34$ ;  $\rho = 1000$  kg/m<sup>3</sup>

Phantom section: Center Section

Measurement Standard: DASY5 (IEEE/IEC/ANSI C63.19-2007)

DASY5 Configuration:

- Probe: EX3DV4 - SN7307; ConvF(7.67, 7.67, 7.67); Calibrated: 2/19/2016;
- Sensor-Surface: 2mm (Mechanical Surface Detection)
- Electronics: DAE4 Sn771; Calibrated: 2/2/2016
- Phantom: Triple Flat Phantom 5.1C; Type: QD 000 P51 CA; Serial: 1161/1
- Measurement SW: DASY52, Version 52.8 (8); SEMCAD X Version 14.6.10 (7372)

**System Performance Check/Zoom Scan (7x7x7) (7x7x7)/Cube 0:** Measurement grid:

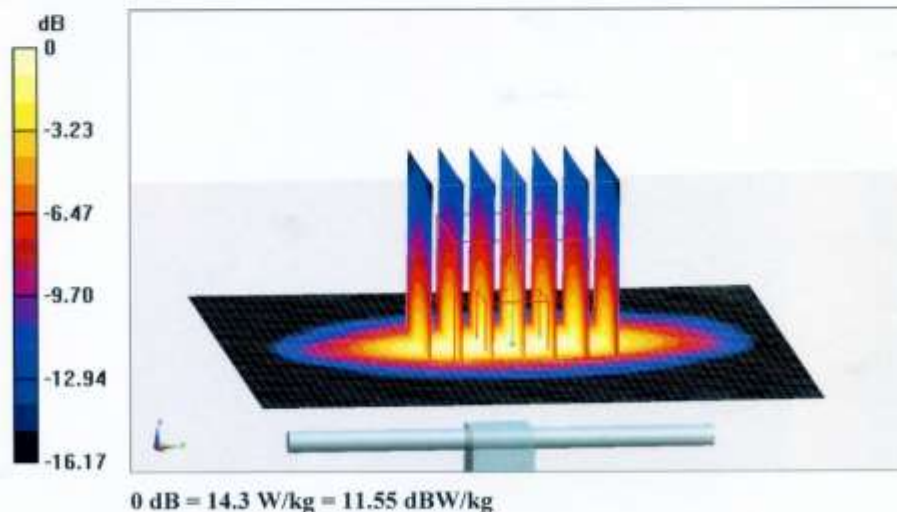
$dx=5$ mm,  $dy=5$ mm,  $dz=5$ mm

Reference Value = 96.11 V/m; Power Drift = -0.03 dB

Peak SAR (extrapolated) = 17.8 W/kg

**SAR(1 g) = 10.1 W/kg; SAR(10 g) = 5.39 W/kg**

Maximum value of SAR (measured) = 14.3 W/kg



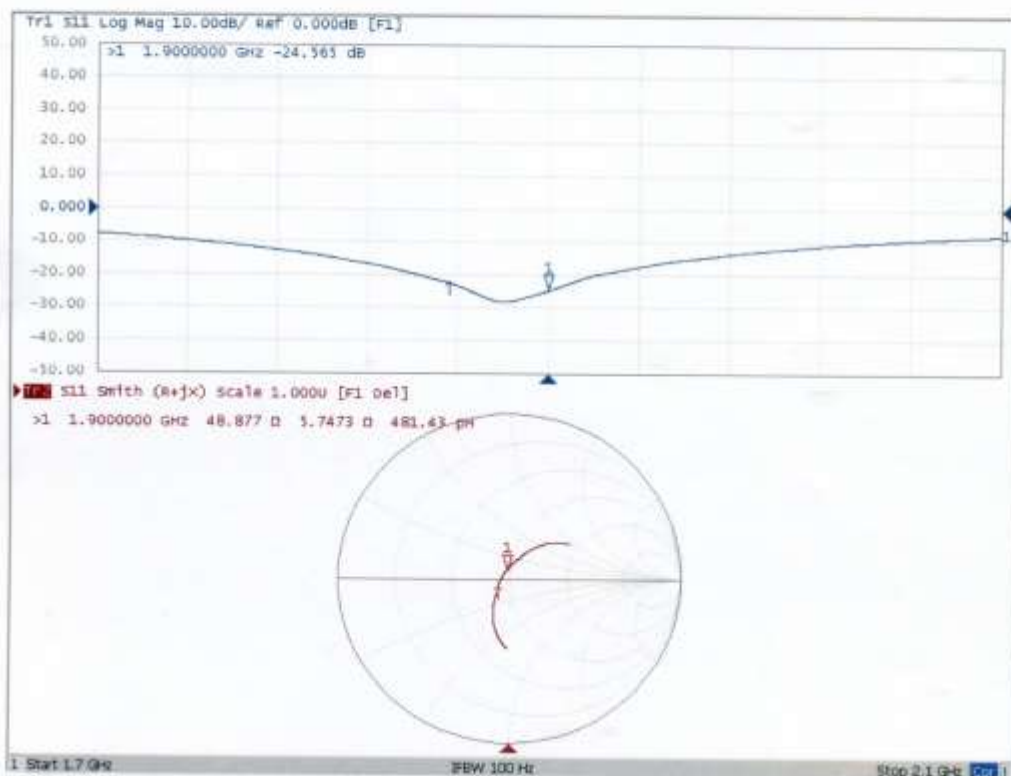
Certificate No: Z16-97090

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## Impedance Measurement Plot for Body TSL



## Dipole Impedance and Return Loss calibration Report

Object: D1900V2 - SN: 5d175

Calibration Date: June 10, 2018

Calibration reference: IEEE Std 1528:2013, IEC 62209-1:2016, FCC KDB 865664 D01

Calibrated By: *Janet Wei* (Janet Wei, SAR project engineer)

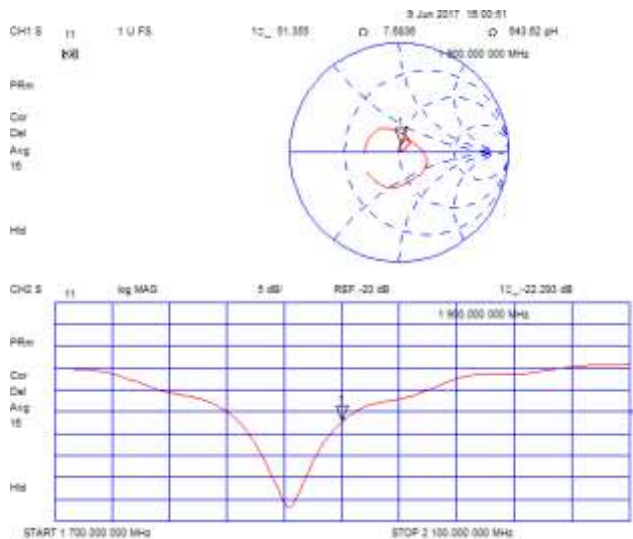
Reviewed By: *Bruce Zhang* (Bruce Zhang, Technical manager)

### Environment of Test Site

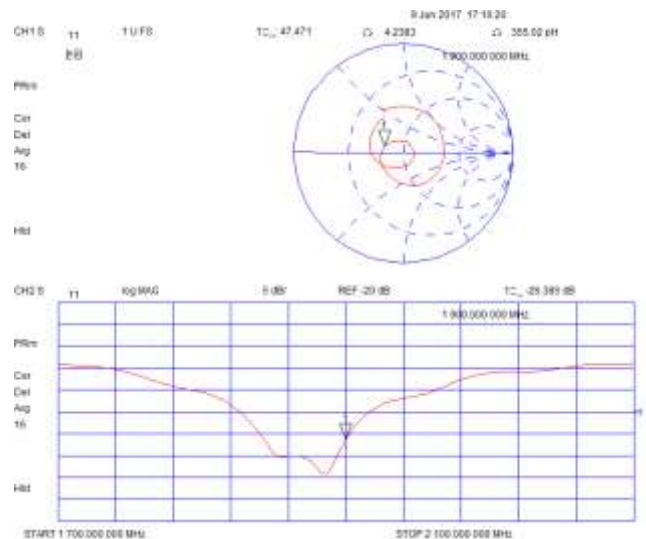
|                       |           |
|-----------------------|-----------|
| Temperature:          | 18 ~ 25°C |
| Humidity:             | 50~60% RH |
| Atmospheric Pressure: | 1011 mbar |

### Test Data

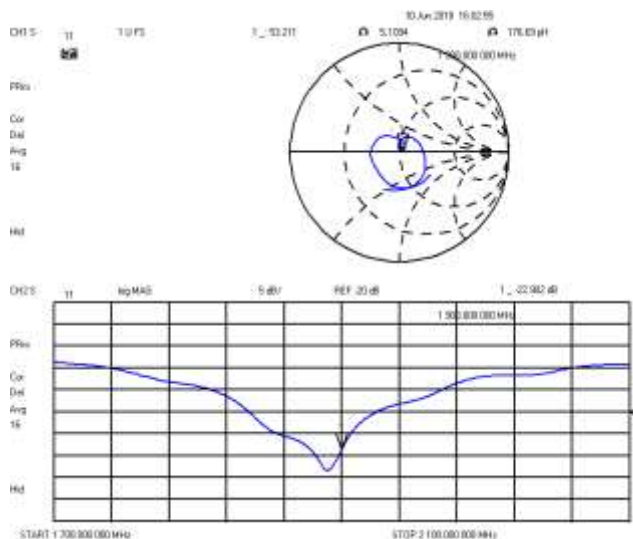
Measurement Plot for Head TSL In 2017



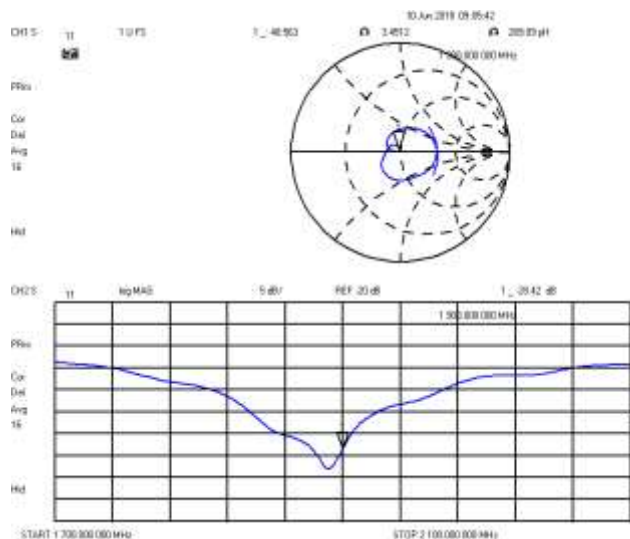
Measurement Plot for Body TSL In 2017



Measurement Plot for Head TSL In 2018



Measurement Plot for Body TSL In 2018



## Comparison with Original report

| Items                    | Calibrated By CCIS<br>In 2017 | Calibrated By CCIS<br>In 2018 | Deviation                  | Limit                           |
|--------------------------|-------------------------------|-------------------------------|----------------------------|---------------------------------|
| Impedence for Head TSL   | $51.36\Omega + 7.68j\Omega$   | $53.21\Omega + 5.11j\Omega$   | $1.85\Omega - 2.57j\Omega$ | $\pm 5\Omega$                   |
| Return Loss for Head TSL | -22.3dB                       | -22.9dB                       | 2.7%                       | $\pm 20\%$ (No less than 20 dB) |
| Impedence for Body TSL   | $47.47\Omega + 4.24j\Omega$   | $48.56\Omega + 3.45j\Omega$   | $1.09\Omega - 0.79j\Omega$ | $\pm 5\Omega$                   |
| Return Loss for Body TSL | -26.4dB                       | -28.4dB                       | 7.6%                       | $\pm 20\%$ (No less than 20 dB) |

## Result

Compliance

## Calibration information for DAE



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Client : **CCIS**

Certificate No: Z18-97054

### CALIBRATION CERTIFICATE

Object: DAE4 - SN: 1373

Calibration Procedure(s): FF-Z11-002-01  
Calibration Procedure for the Data Acquisition Electronics (DAEx)

Calibration date: March 22, 2018

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3)°C and humidity<70%.

Calibration Equipment used (M&TE critical for calibration)

| Primary Standards      | ID #    | Cal Date(Calibrated by, Certificate No.) | Scheduled Calibration |
|------------------------|---------|------------------------------------------|-----------------------|
| Process Calibrator 753 | 1971018 | 27-Jun-17 (CTTL, No.J17X05859)           | June-18               |

|                | Name        | Function           | Signature |
|----------------|-------------|--------------------|-----------|
| Calibrated by: | Yu Zongying | SAR Test Engineer  |           |
| Reviewed by:   | Lin Hao     | SAR Test Engineer  |           |
| Approved by:   | Qi Dianyuan | SAR Project Leader |           |

Issued: March 23, 2018

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## Glossary:

DAE data acquisition electronics  
 Connector angle information used in DASY system to align probe sensor X to the robot coordinate system.

## Methods Applied and Interpretation of Parameters:

- *DC Voltage Measurement:* Calibration Factor assessed for use in DASY system by comparison with a calibrated instrument traceable to national standards. The figure given corresponds to the full scale range of the voltmeter in the respective range.
- *Connector angle:* The angle of the connector is assessed measuring the angle mechanically by a tool inserted. Uncertainty is not required.
- The report provide only calibration results for DAE, it does not contain other performance test results.



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### DC Voltage Measurement

A/D - Converter Resolution nominal

High Range: 1LSB = 6.1μV, full range = -100...+300 mV  
Low Range: 1LSB = 61nV, full range = -1.....+3mV

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

| Calibration Factors | X                     | Y                     | Z                     |
|---------------------|-----------------------|-----------------------|-----------------------|
| High Range          | 403.902 ± 0.15% (k=2) | 403.864 ± 0.15% (k=2) | 404.160 ± 0.15% (k=2) |
| Low Range           | 3.98605 ± 0.7% (k=2)  | 4.00729 ± 0.7% (k=2)  | 4.01146 ± 0.7% (k=2)  |

### Connector Angle

|                                           |             |
|-------------------------------------------|-------------|
| Connector Angle to be used in DASY system | 219.5° ± 1° |
|-------------------------------------------|-------------|

-----End of Report-----