



Compliance Certification Services (Kunshan) Inc.

Report No.: KSCR230300031603

Page: 1 of 60

TEST REPORT

Application No.: KSCR2303000316AT
FCC ID: WBK-RU4370
Applicant: BTI Wireless
Address of Applicant: 11205 Knott Avenue – Suite A, Cypress, CA 90630 United States
Manufacturer: BTI Wireless
Address of Manufacturer: 11205 Knott Avenue – Suite A, Cypress, CA 90630 United States
Factory: Sunwave Communications Co., Ltd
Address of Factory: 581 Huoju Avenue, Binjiang District Hangzhou China
Equipment Under Test (EUT):
EUT Name: Remote Radio Unit
Model No.: RU4370
Trade Mark:



Standard(s): CBRSA-TS-9001-V1.2.1
 WINNF-TS-0122-V1.0.2
 FCC 47 CFR Part 96
 KDB 940660 D01 V03

Date of Receipt: 2023-02-21
Date of Test: 2023-02-21 to 2023-02-26
Date of Issue: 2023-02-27

Test Result:	Pass*
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* In the configuration tested, the EUT complied with the standards specified above.

Eric Lin
Laboratory Manager



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Revision Record			
Version	Description	Date	Remark
00	Original	2023-02-27	/

Authorized for issue by:			
		<i>cloudpeng</i>	
		Cloud_Peng/Project Engineer	
		<i>Eric Lin</i>	
		Eric Lin /Reviewer	



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2 Test Summary

Item	Standard	Test Case ID	Result
Multi-Step registration	WINNF-TS-0122-V1.0.2	WINNF.FT.C.REG.1	Pass
Single-Step registration for CBSD with CPI signed data	WINNF-TS-0122-V1.0.2	WINNF.FT.C.REG.5	Pass
Registration due to change of an installation parameter	WINNF-TS-0122-V1.0.2	WINNF.FT.C.REG.7	Pass
Missing Required parameters (responseCode 102)	WINNF-TS-0122-V1.0.2	WINNF.FT.C.REG.8	Pass
Pending registration (responseCode 200)	WINNF-TS-0122-V1.0.2	WINNF.FT.C.REG.10	Pass
Invalid parameter (responseCode 103)	WINNF-TS-0122-V1.0.2	WINNF.FT.C.REG.12	Pass
Blacklisted CBSD (responseCode 101)	WINNF-TS-0122-V1.0.2	WINNF.FT.C.REG.14	Pass
Unsupported SAS protocol version (responseCode 100)	WINNF-TS-0122-V1.0.2	WINNF.FT.C.REG.16	Pass
Group Error (responseCode 201)	WINNF-TS-0122-V1.0.2	WINNF.FT.C.REG.18	Pass
Unsuccessful Grant responseCode=400 (INTERFERENCE)	WINNF-TS-0122-V1.0.2	WINNF.FT.D.GRA.1	Pass
Unsuccessful Grant responseCode=401 (GRANT_CONFLICT)	WINNF-TS-0122-V1.0.2	WINNF.FT.C.GRA.2	Pass
Heartbeat Success Case (first Heartbeat Response)	WINNF-TS-0122-V1.0.2	WINNF.FT.C.HBT.1	Pass
Heartbeat responseCode=105 (DEREGISTER)	WINNF-TS-0122-V1.0.2	WINNF.FT.C.HBT.3	Pass
Heartbeat responseCode=500 (TERMINATED_GRANT)	WINNF-TS-0122-V1.0.2	WINNF.FT.C.HBT.4	Pass
Heartbeat responseCode=501 (SUSPENDED_GRANT) in First Heartbeat Response	WINNF-TS-0122-V1.0.2	WINNF.FT.C.HBT.5	Pass
Heartbeat responseCode=501 (SUSPENDED_GRANT) in Subsequent Heartbeat Response	WINNF-TS-0122-V1.0.2	WINNF.FT.C.HBT.6	Pass
Heartbeat responseCode=502 (UNSYNC_OP_PARAM)	WINNF-TS-0122-V1.0.2	WINNF.FT.C.HBT.7	Pass
Heartbeat Response Absent (First Heartbeat)	WINNF-TS-0122-V1.0.2	WINNF.FT.C.HBT.9	Pass



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Item	Standard	Test Case ID	Result
Heartbeat Response Absent (Subsequent Heartbeat)	WINNF-TS-0122-V1.0.2	WINNF.FT.C.HBT.10	Pass
Successful Grant Renewal in Heartbeat Test Case	WINNF-TS-0122-V1.0.2	WINNF.FT.C.HBT.11	Pass
Registration Response contains measReportConfig	WINNF-TS-0122-V1.0.2	WINNF.FT.C.MES.1	Pass
Grant Response contains measReportConfig	WINNF-TS-0122-V1.0.2	WINNF.FT.C.MES.3	Pass
Heartbeat Response contains measReportConfig	WINNF-TS-0122-V1.0.2	WINNF.FT.C.MES.4	Pass
Successful Relinquishment	WINNF-TS-0122-V1.0.2	WINNF.FT.C.RLQ.1	Pass
Deregistration responseCode=102	WINNF-TS-0122-V1.0.2	WINNF.FT.C.RLQ.3	Pass
Deregistration responseCode=103	WINNF-TS-0122-V1.0.2	WINNF.FT.C.RLQ.5	Pass
Successful Deregistration	WINNF-TS-0122-V1.0.2	WINNF.FT.C.DRG.1	Pass
Deregistration responseCode=102	WINNF-TS-0122-V1.0.2	WINNF.FT.C.DRG.3	Pass
Deregistration responseCode=103	WINNF-TS-0122-V1.0.2	WINNF.FT.C.DRG.5	Pass
Successful TLS connection between UUT and SAS Test Harness	WINNF-TS-0122-V1.0.2	WINNF.FT.C.SCS.1	Pass
TLS failure due to revoked certificate	WINNF-TS-0122-V1.0.2	WINNF.FT.C.SCS.2	Pass
TLS failure due to expired server certificate	WINNF-TS-0122-V1.0.2	WINNF.FT.C.SCS.3	Pass
TLS failure when SAS Test Harness certificate is issue by unknown CA	WINNF-TS-0122-V1.0.2	WINNF.FT.C.SCS.4	Pass
TLS failure when certificate at the SAS Test Harness is corrupted	WINNF-TS-0122-V1.0.2	WINNF.FT.C.SCS.5	Pass
UUT RF Transmit Power Measurement	WINNF-TS-0122-V1.0.2	WINNF.PT.C.HBT	Pass
SAS Version: 1.0.0.3			

The UUT is a CPE-CBSD product. According to the specifications of the manufacturer, it must comply with the requirements of the following standards:

Test standards:

CBRSA-TS-9001-V1.2.1

CBRS Alliance Certification Test Plan



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Compliance Certification Services (Kunshan) Inc.

Report No.: KSCR230300031603

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WINNF-TS-0122-V1.0.2

Test and Certification for Citizens Broadband Radio Service (CBRS); Conformance and Performance Test Technical Specification; CBSD/DP as Unit Under Test (UUT)

KDB 940660 D01 Part 96 CBRS Eqpt v03



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4 General Information

4.1 Details of E.U.T.

Description of EUT:	This is a Distributed base station systems which contains RRU and BBU. Model No. of RRU is RU4370 and BBU is sCELL-G52091NAX. To comply with CBRS SAS requirement RU4370 must works with sCELL-G52091NAX.
Power supply:	RRU: DC 36V~60V; BBU: DC 36V~60V;
Sample Type:	Fixed device
CBSD Class:	Category B CBSD (Base Station)
Transmitter Frequency Band:	5GNR: n48/n77/n78
Transmitter Frequency Range:	3550~3700MHz
RRU Hardware Version:	SWR78202106C
RRU Software Version:	V100R010C00
BBU Hardware Version:	1.0.0.0
BBU Software Version:	ax.tdd.fr1.2.2.3.800375
Test sample:	C0214921000004S (S/N)
Antenna Gain:	14dBi (Provided by the manufacturer)
MIMO Type:	4T4R MIMO
Note: The feederLoss between the RRU and the Antenna is 4dB, the Max antenna Gain is 18dBi, the final Gain is 14dBi.	



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4.2 Description of CBSD/DP Support Features

Condition	Feature Description	Supported
C1	Mandatory for UUT which supports multi-step registration message.	Y
C2	Mandatory for UUT which supports single-step registration with no CPI-signed data in the registration message. By definition, this is a subset of Category A devices which determine all registration information, including location, without CPI intervention.	N
C3	Mandatory for UUT which supports single-step registration containing CPI-signed data in the registration message.	Y
C4	Mandatory for UUT which supports RECEIVED_POWER_WITHOUT_GRANT measurement report type.	Y
C5	Mandatory for UUT which supports RECEIVED_POWER_WITH_GRANT measurement report type.	Y
C6	Mandatory for UUT which supports parameter change being made at the UUT and prior to sending a deregistration.	Y

Y: Supported

N: Not supported



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4.3 Summary of Test Results

WINNF-TS-0122			
Classes	Test Case Items	Pass Items	Pass Rate (%)
FT (CBSD, DP/CBSD)	34	34	100
PT (CBSD, DP/CBSD)	1	1	100
Total	35	35	100

Note:

1. Functional Test (FT): Test to validate the conformance of the Protocols and functionalities implemented in the CBSD/DP UUT to the requirements developed by WinnForum and supporting FCC/DoD requirements.
2. Field/Performance Test (PT): Test to check the capability of the CBSD/DP UUT to support various traffic models and actual operations in the field.

4.4 Measurement Uncertainty

No.	Item	Measurement Uncertainty
1	Radio Frequency	$\pm 7.25 \times 10^{-8}$
2	RF conducted power	$\pm 0.75\text{dB}$
3	Temperature test	$\pm 1^\circ\text{C}$
4	Humidity test	$\pm 3\%$
5	Supply voltages	$\pm 1.5\%$
6	Time	$\pm 3\%$

4.5 Description of Support Units

Description	Manufacturer	Model No.	Serial No.
5GC	astir	astir_5GC	/
Wireless Router	Smawave	CP860	/
Base Band Unit	BTI	sCELL- G52091NAX	/



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Report No.: KSCR230300031603

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4.6 Test Location

All tests were performed at:

Compliance Certification Services (Kunshan) Inc.

No.10 Weiye Rd, Innovation park, Eco&Tec, Development Zone, Kunshan City, Jiangsu, China.

Tel: +86 512 5735 5888 Fax: +86 512 5737 0818

No tests were sub-contracted.

Note:

- 1.SGS is not responsible for wrong test results due to incorrect information (e.g., max. internal working frequency, antenna gain, cable loss, etc) is provided by the applicant. (If applicable).
- 2.SGS is not responsible for the authenticity, integrity and the validity of the conclusion based on results of the data provided by applicant. (If applicable).

4.7 Test Facility

The test facility is recognized, certified, or accredited by the following organizations:

• A2LA

Compliance Certification Services (Kunshan) Inc. is accredited by the American Association for Laboratory Accreditation (A2LA). Certificate No. 2541.01.

• FCC

Compliance Certification Services (Kunshan) Inc. has been recognized as an accredited testing laboratory. Designation Number: CN1172.

• ISED

Compliance Certification Services (Kunshan) Inc. has been recognized by Innovation, Science and Economic Development Canada (ISED) as an accredited testing laboratory. Company Number: 2324E

• VCCI

The 3m and 10m Semi-anechoic chamber and Shielded Room of Compliance Certification Services (Kunshan) Inc. has been registered in accordance with the Regulations for Voluntary Control Measures with Registration No.: R-20134, R-11600, C-11707, T-11499, G-10216 respectively.

4.8 Deviation from Standards

None

4.9 Abnormalities from Standard Conditions

None



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5 Equipment List

Test Equipment	Manufacturer	Model No.	Inventory No.	Cal. Date (yyyy-mm-dd)	Cal. Due date (yyyy-mm-dd)
Laptop	Lenovo	Y510P	HFL000026	N/A	N/A
Spectrum Analyzer	KEYSIGHT	N9020A	KUS2001M00 1-2	2022/8/22	2023/8/21
Shield Room	YanChuang	N/A	KS301115-2	N/A	N/A
Coaxial Cable	Thermax	N/A	13	2022/9/16	2023/9/15
Attenuator	Mini-Circuits	NAT-6-2W	15542-1	N.C.R.	N.C.R.
Humidity / Temperature Indicator	Anymetre	RS-WS-N01-6J	1032844	2022/4/1	2023/3/31



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6 Test Method and Environment

6.1 CBSD/DP Conformance and Performance

Test Requirement: CBRS CBSD Test Specification WINNF-TS-0122-V1.0.2
Test Method: CBRS CBSD Test Specification WINNF-TS-0122-V1.0.2
 WINNF-IN-0156_WInnForum_SAS_Test_Harness_CBSD_UUT_Tutorial_
 v1_0_0_1

6.2 CBSD Test Procedure

- a. Connect the UUT to SAS Test Harness system and RF Test instruments via the CBSD interface and RF components. The highest level is set to test configuration.
- b. UUT shall be UTC time synchronized
- c. The frequency band is granted and set as UUT supported Modulation and Channels, transmitted power of the UUT according to it granted parameters from the SAS TestHarness.
- d. Each test case results were recorded and validated by SAS Test Harness system and RF instruments test cases was recorded test results from SAS Test Harness system.



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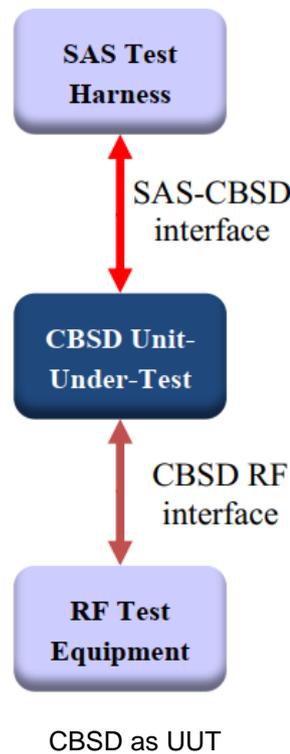
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6.3 Test Environment

Test Harness Version:	V1.0.0.3
Operating System:	Microsoft Windows 10
TLS Version:	1.2
Python Version:	2.7.13
Environmental Conditions:	25deg. C, 65%RH

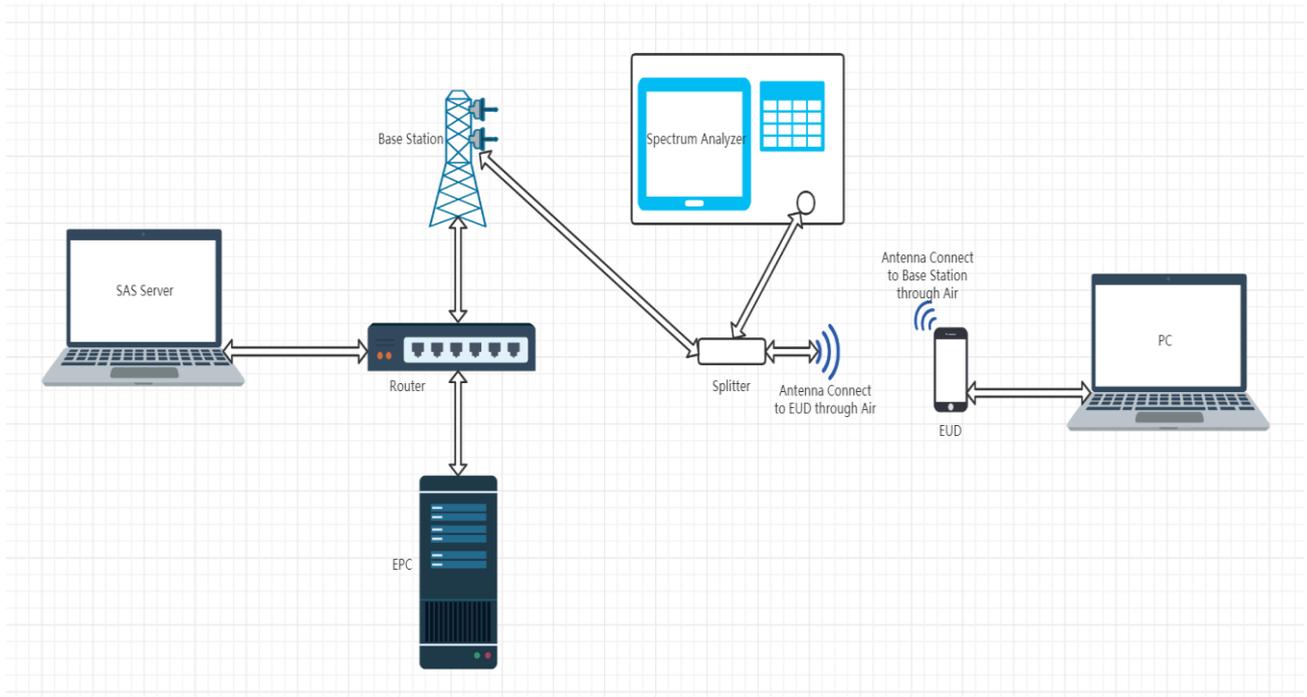
6.4 Test Setup



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Test setup diagram for WINNF.PT.C.HBT Test case



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7 Test Data

7.1 CBSD Registration Process

7.1.1 WINNF.FT.C.REG.1

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness UUT is in the Unregistered state 	--	--
2	<p>CBSD sends correct Registration request information, as specified in [n.5], to the SAS Test Harness:</p> <ul style="list-style-type: none"> The required userId, fcld and cbsdSerialNumber registration parameters shall be sent from the CBSD and conform to proper format and acceptable ranges. Any REG-conditional or optional registration parameters that may be included in the message shall be verified that they conform to proper format and are within acceptable ranges. <p>Note: It is outside the scope of this document to test the Registration information that is supplied via another means.</p>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>SAS Test Harness sends a CBSD Registration Response as follows:</p> <ul style="list-style-type: none"> cbsdId = C measReportConfig shall not be included responseCode = 0 	--	--
4	<p>After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.</p>	--	--
5	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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7.1.2 WINNF.FT.C.REG.5

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state ● All of the required and REG-Conditional parameters shall be configured and CPI signature provided 	--	--
2	<p>CBSD sends Registration request to the SAS Test Harness:</p> <ul style="list-style-type: none"> ● The required userId, fcld and cbsdSerialNumber and REG-Conditional cbsdCategory, airInterface, measCapability and cpiSignatureData registration parameters shall be sent from the CBSD and conform to proper format and acceptable ranges. ● Any optional registration parameters that may be included in the message shall be verified that they conform to proper format and are within acceptable ranges. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>SAS Test Harness sends a CBSD Registration Response as follows:</p> <ul style="list-style-type: none"> - cbsdId = C - measReportConfig shall not be included. - responseCode = 0 	--	--
4	<p>After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.</p>	--	--
5	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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7.1.3 WINNF.FT.C.REG.7

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness 	--	--
2	UUT has successfully registered with SAS Test Harness	--	--
3	Change an installation parameters at the UUT (time T) Tester needs to record the current time at which the parameter change is executed.	--	--
4	Monitor the SAS-CBSD interface. UUT sends a deregistrationRequest to the SAS Test Harness The deregistration request shall be sent within (T + 60 seconds) from step 3.	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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7.1.4 WINNF.FT.C.REG.8

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state 	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> - SAS response does not include cbsdId - responseCode = 102 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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7.1.5 WINNF.FT.C.REG.10

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state 	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> - SAS response does not include cbsdId - responseCode = 200 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=200) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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7.1.6 WINNF.FT.C.REG.12

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness UUT is in the Unregistered state 	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> SAS response does not include cbsdId responseCode = 103 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=103) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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7.1.7 WINNF.FT.C.REG.14

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness UUT is in the Unregistered state 	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> SAS response does not include cbsdId responseCode = 101 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=101) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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7.1.8 WINNF.FT.C.REG.16

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness UUT is in the Unregistered state 	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> SAS response does not include cbsdId responseCode = 100 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=100) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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7.1.9 WINNF.FT.C.REG.18

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state 	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> - SAS response does not include cbsdId - responseCode = 201 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=201) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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7.2 CBSD Spectrum Grant Process

7.2.1 WINNF.FT.C.GRA.1

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> UUT has registered successfully with SAS Test Harness, with cbsdId = C 	--	--
2	UUT sends valid Grant Request.	■ Pass	□ Fail
3	SAS Test Harness sends a Grant Response message, including <ul style="list-style-type: none"> cbsdId=C responseCode = 400 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> UUT shall not transmit RF 	■ Pass	□ Fail



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7.2.2 WINNF.FT.C.GRA.2

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> UUT has registered successfully with SAS Test Harness, with cbsdId = C 	--	--
2	UUT sends valid Grant Request.	■ Pass	□ Fail
3	SAS Test Harness sends a Grant Response message, including <ul style="list-style-type: none"> cbsdId=C responseCode = 401 	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=401) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> UUT shall not transmit RF 	■ Pass	□ Fail



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7.3 CBSD HeartBeat Process

7.3.1 WINNF.FT.C.HBT.1

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has registered successfully with SAS Test Harness, with cbsdId = C	--	--
2	UUT sends a message: ● If message is type Spectrum Inquiry Request, go to step 3, or ● If message is type Grant Request, go to step 5	--	--
3	UUT sends Spectrum Inquiry Request. Validate: ● cbsdId = C ● List of frequencyRange objects sent by UUT are within the CBRS frequency range	■ Pass	□ Fail
4	SAS Test Harness sends a Spectrum Inquiry Response message, including the following parameters: ● cbsdId = C ● availableChannel is an array of availableChannel objects ● responseCode = 0	--	--
5	UUT sends Grant Request message. Validate: ● cbsdId = C ● maxEIRP is at or below the limit appropriate for CBSD category as defined by Part 96 ● operationFrequencyRange, F, sent by UUT is a valid range within the CBRS band	■ Pass	□ Fail
6	SAS Test Harness sends a Grant Response message, including the parameters: ● cbsdId = C ● grantId = G = a valid grant ID ● grantExpireTime = UTC time greater than duration of the test ● responseCode = 0	--	--
7	UUT sends a first Heartbeat Request message. Verify Heartbeat Request message is formatted correctly, including: ● cbsdId = C ● grantId = G ● operationState = "GRANTED"	■ Pass	□ Fail
8	SAS Test Harness sends a Heartbeat Response message, with the following parameters: ● cbsdId = C ● grantId = G ● transmitExpireTime = current UTC time + 200 seconds ● responseCode = 0	--	--

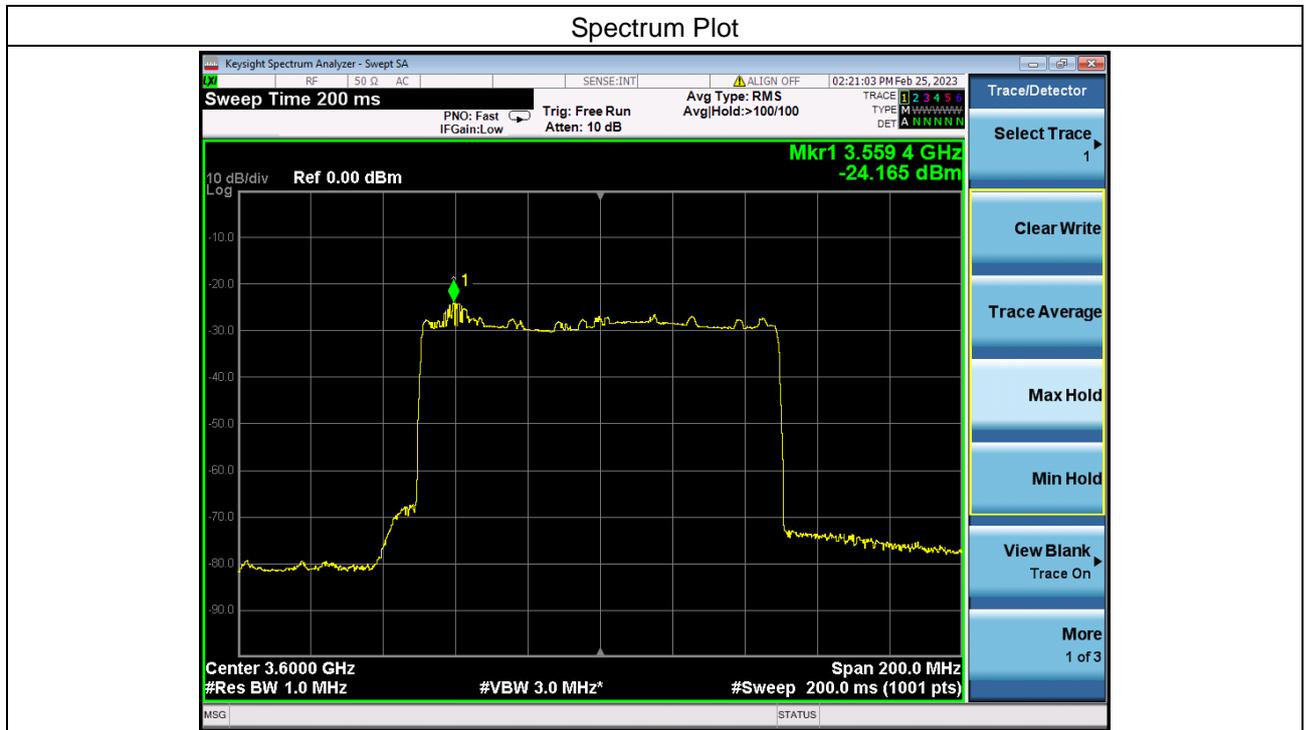


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9	<p>For further Heartbeat Request messages sent from UUT after completion of step 8, validate message is sent within latest specified heartbeatInterval, and:</p> <ul style="list-style-type: none"> ● cbsdId = C ● grantId = G ● operationState = "AUTHORIZED" <p>and SAS Test Harness responds with a Heartbeat Response message including the following parameters:</p> <ul style="list-style-type: none"> ● cbsdId = C ● grantId = G ● transmitExpireTime = current UTC time + 200 seconds ● responseCode = 0 	<p><input checked="" type="checkbox"/> Pass</p>	<p><input type="checkbox"/> Fail</p>
10	<p>Monitor the RF output of the UUT from start of test until UUT transmission commences. Verify:</p> <ul style="list-style-type: none"> ● UUT does not transmit at any time prior to completion of the first heartbeat response ● UUT transmits after step 8 is complete, and its transmission is limited to within the bandwidth range F. 	<p><input checked="" type="checkbox"/> Pass</p>	<p><input type="checkbox"/> Fail</p>



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7.3.2 WINNF.FT.C.HBT.3

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid cbsId = C ○ valid grantId = G ○ grant is for frequency range F, power P ○ grantExpireTime = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	--	--
2	<p>UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within Heartbeat Interval specified in the latest Heartbeat Response, and formatted correctly, including:</p> <ul style="list-style-type: none"> ● cbsId = C ● grantId = G ● operationState = "AUTHORIZED" 	--	--
3	<p>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</p> <ul style="list-style-type: none"> ● cbsId = C ● grantId = G ● transmitExpireTime = T = Current UTC time ● responseCode = 105 (DEREGISTER) 	--	--
4	<p>After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.</p>	--	--
5	<p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> ● UUT shall stop transmission within (T + 60 seconds) of completion of step 3 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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7.3.3 WINNF.FT.C.HBT.4

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid cbsdId = C ○ valid grantId = G ○ grant is for frequency range F, power P ○ grantExpireTime = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	--	--
2	<p>UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including:</p> <ul style="list-style-type: none"> ● cbsdId = C ● grantId = G ● operationState = "AUTHORIZED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</p> <ul style="list-style-type: none"> ● cbsdId = C ● grantId = G ● transmitExpireTime = T = current UTC time ● responseCode = 500 (TERMINATED_GRANT) 	--	--
4	<p>After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.</p>	--	--
5	<p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> ● UUT shall stop transmission within (T + 60 seconds) of completion of step 3 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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7.3.4 WINNF.FT.C.HBT.5

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid cbsdId = C ○ valid grantId = G ○ grant is for frequency range F, power P ○ grantExpireTime = UTC time greater than duration of the test ● UUT is in GRANTED, but not AUTHORIZED state (i.e. has not performed its first Heartbeat Request) 	--	--
2	<p>UUT sends a Heartbeat Request message. Verify Heartbeat Request message is formatted correctly, including:</p> <ul style="list-style-type: none"> ● cbsdId = C ● grantId = G ● operationState = "GRANTED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</p> <ul style="list-style-type: none"> ● cbsdId = C ● grantId = G ● transmitExpireTime = T = current UTC time ● ?responseCode = 501 (SUSPENDED_GRANT) 	--	--
4	<p>After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.</p>	--	--
5	<p>Monitor the SAS-CBSD interface. Verify either A OR B occurs:</p> <p>A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters:</p> <ul style="list-style-type: none"> ● cbsdId = C ● grantId = G ● operationState = "GRANTED" <p>B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters:</p> <ul style="list-style-type: none"> ● cbsdId = C ● grantId = G <p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> ● UUT does not transmit at any time 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



7.3.5 WINNF.FT.C.HBT.6

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid cbsdId = C ○ valid grantId = G ○ grant is for frequency range F, power P ○ grantExpireTime = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	--	--
2	<p>UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including:</p> <ul style="list-style-type: none"> ● cbsdId = C ● grantId = G ● operationState = "AUTHORIZED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</p> <ul style="list-style-type: none"> ● cbsdId = C ● grantId = G ● transmitExpireTime = T = current UTC time ● responseCode = 501 (SUSPENDED_GRANT) 	--	--
4	<p>After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.</p>	--	--
5	<p>Monitor the SAS-CBSD interface. Verify either A OR B occurs:</p> <p>A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters:</p> <ul style="list-style-type: none"> ● cbsdId = C ● grantId = G ● operationState = "GRANTED" <p>B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters:</p> <ul style="list-style-type: none"> ● cbsdId = C ● grantId = G <p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> ● UUT shall stop transmission within (T+60) seconds of completion of step 3 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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7.3.6 WINNF.FT.C.HBT.7

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid cbsdId = C ○ valid grantId = G ○ grant is for frequency range F, power P ○ grantExpireTime = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	--	--
2	<p>UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including:</p> <ul style="list-style-type: none"> ● cbsdId = C ● grantId = G ● operationState = "AUTHORIZED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</p> <ul style="list-style-type: none"> ○ cbsdId = C ○ grantId = G ○ transmitExpireTime = T = current UTC time ● responseCode = 502 (UNSYNC_OP_PARAM) 	--	--
4	<p>After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.</p>	--	--
5	<p>Monitor the SAS-CBSD interface. Verify:</p> <ul style="list-style-type: none"> ● UUT sends a Grant Relinquishment Request message. Verify message is correctly formatted with parameters: <ul style="list-style-type: none"> ○ cbsdId = C ○ grantId = G <p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> ● UUT shall stop transmission within (T+60) seconds of completion of step 3 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



7.3.7 WINNF.FT.C.HBT.9

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid cbsdId = C ○ valid grantId = G ○ grant is for frequency range F, power P ○ grantExpireTime = UTC time greater than duration of the test ● UUT is in GRANTED, but not AUTHORIZED state(i.e. has not performed its first Heartbeat Request) 	--	--
2	<p>UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including:</p> <ul style="list-style-type: none"> ● cbsdId = C ● grantId = G ● operationState = "GRANTED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>After completion of step 2, SAS Test Harness does not respond to any further</p> <ul style="list-style-type: none"> ● messages from UUT to simulate loss of network connection 	--	--
4	<p>Monitor the RF output of the UUT from start of test to 60 seconds after step 3. Verify: At any time during the test, UUT shall not transmit on RF interface</p>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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7.3.8 WINNF.FT.C.HBT.10

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid cbsdId = C ○ valid grantId = G ○ grant is for frequency range F, power P ○ grantExpireTime = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	--	--
2	<p>UUT sends a Heartbeat Request message. Verify Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including:</p> <ul style="list-style-type: none"> ● cbsdId = C ● grantId = G ● operationState = "AUTHORIZED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</p> <ul style="list-style-type: none"> cbsdId = C grantId = G transmitExpireTime = T = current UTC time + 200 seconds ● responseCode = 0 	--	--
4	<p>After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.</p>	--	--
5	<p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> ● UUT shall stop all transmission on RF interface within (transmitExpireTime + 60 seconds), using the transmitExpireTime sent in Step 3. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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7.3.9 WINNF.FT.C.HBT.11

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: <ul style="list-style-type: none"> ○ valid cbsdId = C ○ valid grantId = G ○ grant is for frequency range F, power P ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface. ● Grant has the following parameters at the start of the test: <ul style="list-style-type: none"> ○ grantExpireTime = UTC time equal to time at start of test + 300 seconds = Tgrant_expire ○ transmitExpireTime = UTC time equal to time at start of test + 200 seconds ○ heartbeatInterval = 60 seconds 	--	--
2	<p>UUT sends a Heartbeat Request message. If Heartbeat Request message contains grantRenew = TRUE, go to Step 6, else go to Step 3.</p>	--	--
3	<p>Verify Heartbeat Request message is sent within the latest specified heartbeatInterval, and is formatted correctly, including:</p> <ul style="list-style-type: none"> ● cbsdId = C ● grantId = G ● operationState = "AUTHORIZED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	<p>SAS Test Harness sends a Heartbeat Response message, with the following parameters:</p> <ul style="list-style-type: none"> ● cbsdId = C ● grantId = G ● transmitExpireTime = current UTC + 200 seconds ● grantExpireTime = same as Step 1 ● responseCode = 0 	--	--
5	Go to Step 2	--	--
6	<p>Verify Heartbeat Request message is sent within the latest specified heartbeatInterval, and is formatted correctly, including:</p> <ul style="list-style-type: none"> ● cbsdId = C ● grantId = G ● operationState = "AUTHORIZED" ● grantRenew = TRUE 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
7	<p>SAS Test Harness sends a Heartbeat Response message, with the following parameters:</p> <ul style="list-style-type: none"> ● cbsdId = C ● grantId = G ● grantExpireTime = UTC time set far in the future ● transmitExpireTime = current UTC time + 200 seconds ● responseCode = 0 	--	--
8	Continue to respond to any subsequentHeartbeat Request from CBSD with Heartbeat Response with the following parameters:	--	--



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	<ul style="list-style-type: none"> ● cbsId = C ● grantId = G ● transmitExpireTime = same as Step 7 ● responseCode = 0 		
9	Monitor RF transmission of UUT from start of test until Tgrant_expire + 60 seconds and ensure UUT continues to transmit throughout the time period.	<input checked="" type="checkbox"/>	<input type="checkbox"/>



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7.4 CBSD Measurement Report

7.4.1 WINNF.FT.C.MES.1

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness 	--	--
2	UUT sends a Registration Request message. Validate the Registration Request message is formatted correctly, including: <ul style="list-style-type: none"> userid is present and correct fcld is present and correct cbsdSerialNumber is present and correct measCapability = "RECEIVED_POWER_WITHOUT_GRANT" 	■ Pass	□ Fail
3	SAS Test Harness sends a Registration Response message, with the following parameters: <ul style="list-style-type: none"> cbsdId = C = valid cbsdId for this UUT measReportConfig= "RECEIVED_POWER_WITHOUT_GRANT" responseCode = 0 	--	--
4	SAS Test Harness sends a Registration Response message, with the following parameters: <ul style="list-style-type: none"> cbsdId = C = valid cbsdId for this UUT measReportConfig= "RECEIVED_POWER_WITHOUT_GRANT" responseCode = 0 	--	--
5	UUT sends message type Spectrum Inquiry Request. Verify message contains all required parameters properly formatted, and specifically: <ul style="list-style-type: none"> cbsdId = C measReport is present, and is a properly formatted rcvdPowerMeasReport 	■ Pass	□ Fail
6	SAS Test Harness sends a Spectrum Inquiry Response, with the following parameters: <ul style="list-style-type: none"> cbsdId = C availableChannel is an array of availableChannel objects responseCode = 0 	--	--
7	UUT sends message type Grant Request message. Verify message contains all required parameters properly formatted, and specifically: <ul style="list-style-type: none"> cbsdId = C measReport is present, and is a properly formatted rcvdPowerMeasReport. 	■ Pass	□ Fail



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7.4.2 WINNF.FT.C.MES.3

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness UUT has successfully registered with SAS Test Harness, with cbsdId=C and measCapability = "RECEIVED_POWER_WITH_GRANT" 	--	--
2	UUT sends a Grant Request message. Verify Grant Request message contains all required parameters properly formatted, and specifically: <ul style="list-style-type: none"> cbsdId = C operationParam is present and format is valid 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	SAS Test Harness sends a Grant Response message, with the following parameters: <ul style="list-style-type: none"> cbsdId = C grantId = G = valid grant ID grantExpireTime = UTC time in the future heartbeatInterval = 60 seconds measReportConfig= "RECEIVED_POWER_WITH_GRANT" operationParam is set to valid operating parameters channelType = "GAA" responseCode = 0 	--	--
4	UUT sends a Heartbeat Request message. Verify message contains all required parameters properly formatted, and specifically: <ul style="list-style-type: none"> cbsdId = C grantId = G operationState = "GRANTED" 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
5	If Heartbeat Request message (step 4) contains measReport object, then: <ul style="list-style-type: none"> verify measReport is properly formatted as object rcvdPowerMeasReport end test, with PASS result else, if Heartbeat Request message (step 4) does not contain measReport object, then: <ul style="list-style-type: none"> If number of Heartbeat Requests sent by UUT after Step 3 is =5, then stop test with result of FAIL 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
6	SAS Test Harness sends a Heartbeat Response message, containing all required parameters properly formatted, and specifically: <ul style="list-style-type: none"> cbsdId = C grantId = G transmitExpireTime = current UTC time + 200 seconds responseCode = 0 Go to Step 4, above	--	--



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7.4.3 WINNF.FT.C.MES.4

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT has successfully registered with SAS Test Harness, with cbsdId=C and measCapability = "RECEIVED_POWER_WITH_GRANT" ● UUT has received a valid grant with grantId = G ● UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant. ● Grant has heartbeatInterval = 60 seconds 	--	--
2	<p>UUT sends a Heartbeat Request message. Verify Heartbeat Request message contains all required parameters properly formatted, and specifically:</p> <ul style="list-style-type: none"> ● cbsdId = C ● grantId = G ● operationState = "AUTHORIZED" 	■ Pass	□ Fail
3	<p>SAS Test Harness sends a Heartbeat Response message, containing all required parameters properly formatted, and specifically:</p> <ul style="list-style-type: none"> ● cbsdId = C ● grantId = G ● measReportConfig= "RECEIVED_POWER_WITH_GRANT" ● responseCode = 0 	--	--
4	<p>UUT sends a Heartbeat Request message. Verify message contains all required parameters properly formatted, and specifically:</p> <ul style="list-style-type: none"> ● cbsdId = C ● grantId = G ● operationState = "AUTHORIZED" 	■ Pass	□ Fail
5	<p>If Heartbeat Request message (step 4) contains measReport object, then:</p> <ul style="list-style-type: none"> ● verify measReport is properly formatted as object ● rcvdPowerMeasReport ● end test, with PASS result <p>else, if Heartbeat Request message (step 4) does not contain measReport object, then:</p> <ul style="list-style-type: none"> ● If number of Heartbeat Requests sent by UUT after Step 3 is = 5, then stop test with result of FAIL 	■ Pass	□ Fail
6	<p>SAS Test Harness sends a Heartbeat Response message, containing all required parameters properly formatted, and specifically:</p> <ul style="list-style-type: none"> ● cbsdId = C ● grantId = G ● responseCode = 0 <p>Go to Step 4, above</p>	--	--



7.5 CBSD Relinquishment Process

7.5.1 WINNF.FT.C.RLQ.1

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT has successfully registered with SAS Test Harness, with cbsdId=C ● UUT has received a valid grant with grantId = G ● UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant. <p>Invoke trigger to relinquish UUT Grant from the SAS Test Harness</p>	--	--
2	<p>UUT sends a Relinquishment Request message. Verify message contains all required parameters properly formatted, and specifically:</p> <ul style="list-style-type: none"> ● cbsdId = C ● grantId = G 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>SAS Test Harness shall approve the request with a Relinquishment Response message with parameters:</p> <ul style="list-style-type: none"> - cbsdId = C - grantId = G ● responseCode = 0 	--	--
4	<p>After completion of step 3, SAS Test Harness will not provide any additional positive</p> <ul style="list-style-type: none"> ● response (responseCode=0) to further request messages from the UUT 	--	--
5	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> ● UUT shall stop RF transmission at any time between triggering the relinquishment and UUT sending the relinquishment request 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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7.5.2 WINNF.FT.C.RLQ.3

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT has successfully registered with SAS Test Harness, with cbsdId=C ● UUT has received a valid grant with grantId = G ● UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant. <p>Invoke trigger to relinquish UUT Grant from the SAS Test Harness</p>	--	--
2	<p>UUT sends a Relinquishment Request message. Verify message contains all required parameters properly formatted, and specifically:</p> <ul style="list-style-type: none"> ● cbsdId = C ● grantId = G 	--	--
3	<p>SAS Test Harness shall approve the request with a Relinquishment Response message with parameters:</p> <ul style="list-style-type: none"> - cbsdId = C - grantId = G ● responseCode = R 	--	--
4	<p>After completion of step 3, SAS Test Harness will not provide any additional positive</p> <ul style="list-style-type: none"> ● response (responseCode=0) to further request messages from the UUT 	--	--
5	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> ● UUT shall stop RF transmission at any time between triggering the relinquishment and UUT sending the relinquishment request 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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7.5.3 WINNF.FT.C.RLQ.5

The same steps provided for WINNF.FT.C.RLQ.3 shall be executed for this test, with the exception that the Relinquishment response contains responseCode (R) = 103 and responseData = "grantId".



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7.6 CBSD Deregistration Process

7.6.1 WINNF.FT.C.DRG.1

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT has successfully registered with SAS Test Harness, with cbsdId=C ● UUT has received a valid grant with grantId = G ● UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant. <p>Invoke trigger to deregister UUT from the SAS Test Harness</p>	--	--
2	<p>UUT sends a Relinquishment request and receives Relinquishment response with</p> <ul style="list-style-type: none"> ● responseCode=0 	--	--
3	<ul style="list-style-type: none"> ● UUT sends Deregistration Request to SAS Test Harness with cbsdId = C. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	<p>SAS Test Harness shall approve the request with a Deregistration Response message with parameters:</p> <ul style="list-style-type: none"> ● cbsdId = C ● responseCode = 0 	--	--
5	<p>After completion of step 3, SAS Test Harness will not provide any additional positive</p> <ul style="list-style-type: none"> ● response (responseCode=0) to further request messages from the UUT. 	--	--
6	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 4 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> ● UUT stopped RF transmission at any time between triggering the deregistration and either A OR B occurs: <ol style="list-style-type: none"> UUT sending a Registration Request message, as this is not mandatory UUT sending a Deregistration Request message 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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7.6.2 WINNF.FT.C.DRG.3

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT has successfully registered with SAS Test Harness, with cbsdId=C ● UUT has received a valid grant with grantId = G ● UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant. Invoke trigger to deregister UUT from the SAS Test Harness	--	--
2	UUT sends a Relinquishment request and receives Relinquishment response with responseCode=0	--	--
3	<ul style="list-style-type: none"> ● UUT sends Deregistration Request to SAS Test Harness with cbsdId = C. 	--	--
4	The SAS Test Harness sends the Deregistration Response Message to UUT with: <ul style="list-style-type: none"> ● No cbsdId ● responseCode = 102 	--	--
5	After completion of step 3, SAS Test Harness will not provide any additional positive response (responseCode=0) to further request messages from the UUT.	--	--
6	Monitor the RF output of the UUT from start of test until 60 seconds after Step 4 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> ● UUT stopped RF transmission at any time between triggering the deregistration and either A OR B occurs: <ul style="list-style-type: none"> A. UUT sending a Registration Request message, as this is not mandatory B. UUT sending a Deregistration Request message 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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7.6.3 WINNF.FT.C.DRG.5

The same steps provided for WINNF.FT.C.DRG.3 shall be executed for this test, with the exception that the Deregistration response contains responseCode (R) = 103 and responseData = "cbsldId".



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7.7 CBSD Security Validation

7.7.1 WINNF.FT.C.SCS.1

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> UUT shall start CBSD-SAS communication with the security procedure The UUT shall establish a TLS handshake with the SAS Test Harness using configured certificate. Configure the SAS Test Harness to accept the security procedure and establish the connection 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
2	<ul style="list-style-type: none"> Make sure that Mutual authentication happens between UUT and the SAS Test Harness. Make sure that UUT uses TLS v1.2 Make sure that cipher suites from one of the following is selected, <ul style="list-style-type: none"> TLS_RSA_WITH_AES_128_GCM_SHA256 TLS_RSA_WITH_AES_256_GCM_SHA384 TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA256 TLS_ECDHE_ECDSA_WITH_AES_256_GCM_SHA384 TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>A successful registration is accomplished using one of the test cases described in section 6.1.4.1, depending on CBSD capability.</p> <p>C. UUT sends a registration request to the SAS Test Harness and the SAS Test Harness sends a Registration Response with responseCode = 0 and cbsdId.</p>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

7.7.2 WINNF.FT.C.SCS.2

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> UUT shall start CBSD-SAS communication with the security procedures 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
2	<ul style="list-style-type: none"> Make sure that UUT uses TLS v1.2 for security establishment. Make sure UUT selects the correct cipher suite. UUT shall use CRL or OCSP to verify the validity of the server certificate. Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>D. UUT may retry for the security procedure which shall fail.</p>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	<ul style="list-style-type: none"> SAS Test-Harness shall not receive any Registration request or any application data. 	--	--
5	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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7.7.3 WINNF.FT.C.SCS.3

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> UUT shall start CBSD-SAS communication with the security procedures 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
2	<ul style="list-style-type: none"> Make sure that UUT uses TLS v1.2 for security establishment. Make sure UUT selects the correct cipher suite. UUT shall use CRL or OCSP to verify the validity of the server certificate. Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	E. UUT may retry for the security procedure which shall fail.	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	<ul style="list-style-type: none"> SAS Test-Harness shall not receive any Registration request or any application data. 	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

7.7.4 WINNF.FT.C.SCS.4

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> UUT shall start CBSD-SAS communication with the security procedures 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
2	<ul style="list-style-type: none"> Make sure that UUT uses TLS v1.2 for security establishment. Make sure UUT selects the correct cipher suite. UUT shall use CRL or OCSP to verify the validity of the server certificate Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	F. UUT may retry for the security procedure which shall fail.	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	<ul style="list-style-type: none"> SAS Test-Harness shall not receive any Registration request or any application data. 	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> UUT shall not transmit RF 	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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7.7.5 WINNF.FT.C.SCS.5

#	Test Execution Steps	Results	
		<input checked="" type="checkbox"/>	<input type="checkbox"/>
1	<ul style="list-style-type: none"> UUT shall start CBSD-SAS communication with the security procedures 	Pass	Fail
2	<ul style="list-style-type: none"> Make sure that UUT uses TLS v1.2 for security establishment. Make sure UUT selects the correct cipher suite. UUT shall use CRL or OCSP to verify the validity of the server certificate Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	Pass	Fail
3	G. UUT may retry for the security procedure which shall fail.	Pass	Fail
4	<ul style="list-style-type: none"> SAS Test-Harness shall not receive any Registration request or any application data. 	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> UUT shall not transmit RF 	Pass	Fail



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7.8 CBSD RF Power Measurement

7.8.1 WINNF.PT.C.HBT

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness UUT has registered with the SAS, with CBSD ID = C UUT has a single valid grant G with parameters {lowFrequency = FL, highFrequency = FH, maxEirp = Pi}, with grant in AUTHORIZED state, and grantExpireTime set to a value far past the duration of this test case <p>Note: in order for the UUT to request a grant with the parameters {lowFrequency, highFrequency, maxEirp), the SAS Test Harness may need to provide appropriate guidance in the availableChannel object of the spectrumInquiry response message, and the operationParam object of the grant response message. Alternately, the UUT vendor may provide the ability to set those parameters on the UUT so that the UUT will request a grant with those parameters</p>	--	--
2	<p>UUT and SAS Test Harness perform a series of Heartbeat Request/Response cycles, which continues until the other test steps are complete. Messaging for each cycle is as follows:</p> <ul style="list-style-type: none"> UUT sends Heartbeat Request, including: <ul style="list-style-type: none"> cbsdId = C grantId = G SAS Test Harness responds with Heartbeat Response, including: <ul style="list-style-type: none"> cbsdId = C grantId = G transmitExpireTime = current UTC time + 200 seconds responseCode = 0 	--	--
3	<p>Tester performs power measurement on RF interface(s) of UUT, and verifies it complies with the maxEirp setting, Pi. The RF measurement method is out of scope of this document, but may include additional configuration of the UUT, as required, to fulfill the requirements of the power measurement method.</p> <p>H. Note: it may be required for the vendor to provide a method or configuration to bring the UUT to a mode which is required by the measurement methodology. Any such mode is vendor-specific and depends upon UUT behavior and the measurement methodology.</p>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



RF measurement plot for Test Case:

- Tester performs power measurement on RF interface(s) of UUT, and verifies it complies with the maxEirp setting, Pi. The RF measurement method is out of scope of this document, but may include additional configuration of the UUT, as required, to fulfill the requirements of the power measurement method.

Frequency (MHz)	Channel Bandwidth (MHz)	Granted maxEIRP (dBm/MHz)	Conducted PSD (dBm/MHz)	Antenna Gain (dBi)	MIMO Factor (dB)	Cable Loss (dB)	maxEIRP (dBm/MHz)
			Ant. 0				
3600	100	37	-24.165	14	6.02	36	31.855
3600	100	30	-28.091	14	6.02	36	27.929
3600	100	25	-32.522	14	6.02	36	23.498
3600	100	15	-42.291	14	6.02	36	13.729

Note:

- maxEIRP= Conducted PSD+ Antenna Gain+ MIMO Factor+ Cable loss
- MIMO Factor=10log(n), n=4 for this test case.

Target Power: 37 dBm/MHz



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Target Power: 30 dBm/MHz



Target Power: 25 dBm/MHz



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Target Power: 15 dBm/MHz



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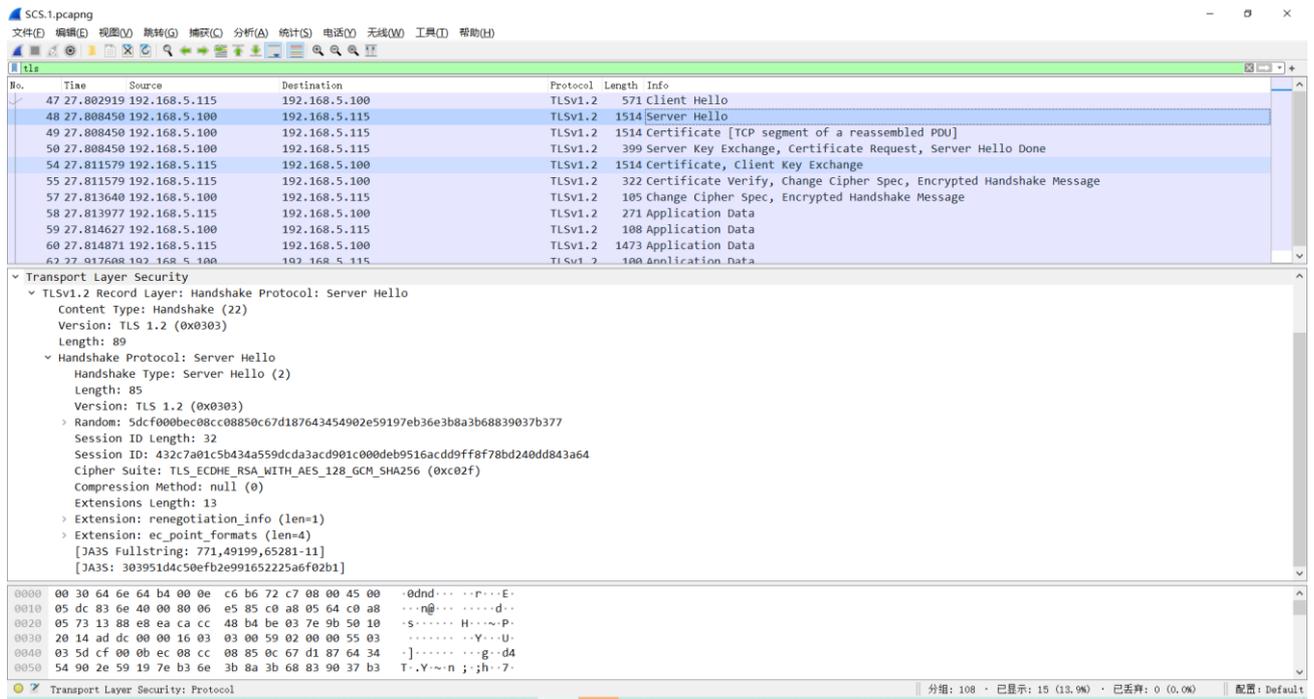
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8 Test Data Log

Test data log refer to log files (Log files appendix) except for security test cases which shows below.

8.1 WINNF.FT.C.SCS.1



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8.2 WINNF.FT.C.SCS.2

The image displays two screenshots of a network traffic analysis tool, likely Wireshark, showing captured data for a specific session.

Top Screenshot: Shows an HTTP 200 OK response. The packet list pane shows a packet of 1090 bytes from 192.168.1.100 to 192.168.5.115. The packet details pane shows the following information:

- Frame 510: 1090 bytes on wire (8720 bits), 1090 bytes captured (8720 bits) on interface \Device\NPF_{6F280C65-02C1-4712-...}
- Ethernet II, Src: AsixElec_b6:72:c7 (00:0e:c6:b6:72:c7), Dst: AdlinkTe_6e:64:b4 (00:30:64:6e:64:b4)
- Internet Protocol Version 4, Src: 192.168.5.100, Dst: 192.168.5.115
- Transmission Control Protocol, Src Port: 80, Dst Port: 48066, Seq: 332, Ack: 97, Len: 1036
- [2 Reassembled TCP Segments (1367 bytes): #509(331), #510(1036)]
- Hypertext Transfer Protocol
 - HTTP/1.1 200 OK\r\n
 - Content-Type: application/octet-stream\r\n
 - Content-Length: 1036\r\n
 - Accept-Ranges: bytes\r\n
 - Server: HFS 2.3m\r\n
 - Set-Cookie: HFS_SID_0=0.150839681504294; path=/; HttpOnly\r\n
 - ETag: 69818B288BE45310FA805D6ADF0614A06\r\n
 - Last-Modified: Fri, 24 Feb 2023 02:09:22 GMT\r\n
 - Content-Disposition: attachment; filename="cbstdo_crserver.cr1";\r\n
 - \r\n
 - [HTTP response 1/1]
 - [Time since request: 0.013911000 seconds]
 - [Request in frame: 503]

Bottom Screenshot: Shows a TLSv1.2 Alert (Level: Fatal, Description: Certificate Revoked) from 192.168.5.100 to 192.168.5.115. The packet list pane shows a packet of 61 bytes. The packet details pane shows the following information:

- Frame 53: 61 bytes on wire (488 bits), 61 bytes captured (488 bits) on interface \Device\NPF_{6F280C65-02C1-4712-9A62-5E8...}
- Ethernet II, Src: AdlinkTe_6e:64:b4 (00:30:64:6e:64:b4), Dst: AsixElec_b6:72:c7 (00:0e:c6:b6:72:c7)
- Internet Protocol Version 4, Src: 192.168.5.115, Dst: 192.168.5.100
- Transmission Control Protocol, Src Port: 41232, Dst Port: 5000, Seq: 518, Ack: 3399, Len: 7
- Transport Layer Security
 - TLSv1.2 Record Layer: Alert (Level: Fatal, Description: Certificate Revoked)
 - Content Type: Alert (21)
 - Version: TLS 1.2 (0x0303)
 - Length: 2
 - Alert Message
 - Level: Fatal (2)
 - Description: Certificate Revoked (44)



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8.3 WINNF.FT.C.SCS.3

The screenshot shows a network traffic capture in Wireshark. The main pane displays a list of packets, with packet 132 highlighted. This packet is a TLS alert (Level: Fatal, Description: Certificate Expired) sent from 192.168.5.115 to 192.168.5.100. The details pane on the right shows the structure of the alert message, including the content type (Alert), version (TLS 1.2), length (2), and the alert message itself (Level: Fatal, Description: Certificate Expired).

No.	Time	Source	Destination	Protocol	Length	Info
126	23.965383	192.168.5.115	192.168.5.100	TLSv1.2	571	Client Hello
127	23.968030	192.168.5.100	192.168.5.115	TLSv1.2	1514	Server Hello
128	23.968030	192.168.5.100	192.168.5.115	TLSv1.2	1514	Certificate
129	23.968030	192.168.5.100	192.168.5.115	TLSv1.2	399	Server Key Exchange, Certificate Request, Server Hello Done
132	23.968772	192.168.5.115	192.168.5.100	TLSv1.2	61	Alert (Level: Fatal, Description: Certificate Expired)
137	23.974445	192.168.5.115	192.168.5.100	TLSv1.2	571	Client Hello
138	23.976992	192.168.5.100	192.168.5.115	TLSv1.2	1514	Server Hello
139	23.976992	192.168.5.100	192.168.5.115	TLSv1.2	1514	Certificate
140	23.976992	192.168.5.100	192.168.5.115	TLSv1.2	399	Server Key Exchange, Certificate Request, Server Hello Done
143	23.977751	192.168.5.115	192.168.5.100	TLSv1.2	61	Alert (Level: Fatal, Description: Certificate Expired)
148	23.994526	192.168.5.115	192.168.5.100	TLSv1.2	571	Client Hello
149	23.997713	192.168.5.100	192.168.5.115	TLSv1.2	1514	Server Hello
150	23.997713	192.168.5.100	192.168.5.115	TLSv1.2	1514	Certificate
151	23.997713	192.168.5.100	192.168.5.115	TLSv1.2	399	Server Key Exchange, Certificate Request, Server Hello Done
154	23.998688	192.168.5.115	192.168.5.100	TLSv1.2	61	Alert (Level: Fatal, Description: Certificate Expired)



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8.4 WINNF.FT.C.SCS.4

The image displays two screenshots of a network traffic analysis tool, likely Wireshark, showing details of a TLSv1.2 alert and handshake.

Top Screenshot: TLSv1.2 Alert

No.	Time	Source	Destination	Protocol	Length	Info
193	28.657220	192.168.5.115	192.168.5.100	TLSv1.2	571	Client Hello
194	28.659695	192.168.5.100	192.168.5.115	TLSv1.2	1514	Server Hello
195	28.659695	192.168.5.100	192.168.5.115	TLSv1.2	1514	Certificate
196	28.659695	192.168.5.100	192.168.5.115	TLSv1.2	399	Server Key Exchange, Certificate Request, Server Hello Done
199	28.660079	192.168.5.115	192.168.5.100	TLSv1.2	61	Alert (Level: Fatal, Description: Unknown CA)
204	28.681158	192.168.5.115	192.168.5.100	TLSv1.2	571	Client Hello
205	28.683339	192.168.5.100	192.168.5.115	TLSv1.2	1514	Server Hello
206	28.683339	192.168.5.100	192.168.5.115	TLSv1.2	1514	Certificate
207	28.683339	192.168.5.100	192.168.5.115	TLSv1.2	399	Server Key Exchange, Certificate Request, Server Hello Done
210	28.683789	192.168.5.115	192.168.5.100	TLSv1.2	61	Alert (Level: Fatal, Description: Unknown CA)
215	28.701148	192.168.5.115	192.168.5.100	TLSv1.2	571	Client Hello
216	28.703709	192.168.5.100	192.168.5.115	TLSv1.2	1514	Server Hello
217	28.703709	192.168.5.100	192.168.5.115	TLSv1.2	1514	Certificate
218	28.703709	192.168.5.100	192.168.5.115	TLSv1.2	399	Server Key Exchange, Certificate Request, Server Hello Done
221	28.704148	192.168.5.115	192.168.5.100	TLSv1.2	61	Alert (Level: Fatal, Description: Unknown CA)

Bottom Screenshot: TLSv1.2 Handshake

No.	Time	Source	Destination	Protocol	Length	Info
193	28.657220	192.168.5.115	192.168.5.100	TLSv1.2	571	Client Hello
194	28.659695	192.168.5.100	192.168.5.115	TLSv1.2	1514	Server Hello
195	28.659695	192.168.5.100	192.168.5.115	TLSv1.2	1514	Certificate
196	28.659695	192.168.5.100	192.168.5.115	TLSv1.2	399	Server Key Exchange, Certificate Request, Server Hello Done
199	28.660079	192.168.5.115	192.168.5.100	TLSv1.2	61	Alert (Level: Fatal, Description: Unknown CA)
204	28.681158	192.168.5.115	192.168.5.100	TLSv1.2	571	Client Hello
205	28.683339	192.168.5.100	192.168.5.115	TLSv1.2	1514	Server Hello
206	28.683339	192.168.5.100	192.168.5.115	TLSv1.2	1514	Certificate
207	28.683339	192.168.5.100	192.168.5.115	TLSv1.2	399	Server Key Exchange, Certificate Request, Server Hello Done
210	28.683789	192.168.5.115	192.168.5.100	TLSv1.2	61	Alert (Level: Fatal, Description: Unknown CA)
215	28.701148	192.168.5.115	192.168.5.100	TLSv1.2	571	Client Hello
216	28.703709	192.168.5.100	192.168.5.115	TLSv1.2	1514	Server Hello
217	28.703709	192.168.5.100	192.168.5.115	TLSv1.2	1514	Certificate
218	28.703709	192.168.5.100	192.168.5.115	TLSv1.2	399	Server Key Exchange, Certificate Request, Server Hello Done
221	28.704148	192.168.5.115	192.168.5.100	TLSv1.2	61	Alert (Level: Fatal, Description: Unknown CA)



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8.5 WINNF.FT.C.SCS.5

The screenshot displays a network traffic capture in Wireshark. The main pane shows a list of packets, with packet 22 highlighted. The packet details pane shows the following information:

- Frame 22: 61 bytes on wire (488 bits), 61 bytes captured (488 bits) on interface \Device\NPF_{6F280C65-02C1-4712-9A62-5E0F30BC6F99}, id 0
- Ethernet II, Src: AdlinkTe_6e:64:b4 (00:30:64:6e:64:b4), Dst: Asixelec_b6:72:c7 (00:0e:c6:b6:72:c7)
- Internet Protocol Version 4, Src: 192.168.5.115, Dst: 192.168.5.100
- Transmission Control Protocol, Src Port: 35142, Dst Port: 5000, Seq: 518, Ack: 3266, Len: 7
- Transport Layer Security
 - TLSv1.2 Record Layer: Alert (Level: Fatal, Description: Decrypt Error)
 - content Type: Alert (21)
 - Version: TLS 1.2 (0x0303)
 - Length: 2
 - Alert Message
 - Level: Fatal (2)
 - Description: Decrypt Error (51)

The packet bytes pane shows the raw data in hexadecimal and ASCII:

```

0000  00 0e c6 b6 72 c7 00 30 64 6e 64 b4 08 00 45 00  ....r..0 dnd...E-
0010  00 2f 4f 5b 40 00 40 06 5f 46 c0 a8 05 73 c0 a8  -/0[@_F...s..
0020  05 64 89 46 13 88 89 34 54 ed 1d e8 5a 5c 50 18  -d:F...4 T...Z\p.
0030  00 0d e3 56 00 00 15 03 03 00 02 02 00 00 00 00  -..V.... 0
    
```



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9 Photographs

9.1 EUT Constructional Details (EUT Photos)



- End of the Report -



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