

EX3DV4- SN:3866 May 28, 2019

10728	AAA	IEEE 802.11ax (80MHz, MCS9, 90pc duty cycle)	WLAN	8.65	± 9.6 %
10729	AAA	IEEE 802.11ax (80MHz, MCS10, 90pc duty cycle)	WLAN	8.64	± 9.6 %
10730	AAA	IEEE 802.11ax (80MHz, MCS11, 90pc duty cycle)	WLAN	8.67	± 9.6 %
10731	AAA	IEEE 802.11ax (80MHz, MCS0, 99pc duty cycle)	WLAN	8.42	± 9.6 %
10732	AAA	IEEE 802.11ax (80MHz, MCS1, 99pc duty cycle)	WLAN	8.46	± 9.6 %
10733	AAA	IEEE 802.11ax (80MHz, MCS2, 99pc duty cycle)	WLAN	8.40	± 9.6 %
10734	AAA	IEEE 802.11ax (80MHz, MCS3, 99pc duty cycle)	WLAN	8.25	± 9.6 %
10735	AAA	IEEE 802.11ax (80MHz, MCS4, 99pc duty cycle)	WLAN	8.33	± 9.6 %
10736	AAA	IEEE 802.11ax (80MHz, MCS5, 99pc duty cycle)	WLAN	8.27	± 9.6 %
10737	AAA	IEEE 802.11ax (80MHz, MCS6, 99pc duty cycle)	WLAN	8.36	± 9.6 %
10738	AAA	IEEE 802.11ax (80MHz, MCS7, 99pc duty cycle)	WLAN	8.42	± 9.6 %
10739	AAA	IEEE 802.11ax (80MHz, MCS8, 99pc duty cycle)	WLAN	8.29	± 9.6 %
10740	AAA	IEEE 802.11ax (80MHz, MCS9, 99pc duty cycle)	WLAN	8.48	± 9.6 %
10741	AAA	IEEE 802.11ax (80MHz, MCS10, 99pc duty cycle)	WLAN	8.40	± 9.6 %
10742	AAA	IEEE 802.11ax (80MHz, MCS11, 99pc duty cycle)	WLAN	8.43	± 9.6 %
10743	AAA	IEEE 802.11ax (160MHz, MCS0, 90pc duty cycle)	WLAN	8.94	± 9.6 %
10744	AAA	IEEE 802.11ax (160MHz, MCS1, 90pc duty cycle)	WLAN	9.16	± 9.6 %
10745	AAA	IEEE 802.11ax (160MHz, MCS2, 90pc duty cycle)	WLAN	8.93	± 9.6 %
10746	AAA	IEEE 802.11ax (160MHz, MCS3, 90pc duty cycle)	WLAN	9.11	± 9.6 %
10747	AAA	IEEE 802.11ax (160MHz, MCS4, 90pc duty cycle)	WLAN	9.04	± 9.6 %
10748	AAA	IEEE 802.11ax (160MHz, MCS5, 90pc duty cycle)	WLAN	8.93	± 9.6 %
10749	AAA	IEEE 802.11ax (160MHz, MCS6, 90pc duty cycle)	WLAN	8.90	± 9.6 %
10750	AAA	IEEE 802.11ax (160MHz, MCS7, 90pc duty cycle)	WLAN	8.79	± 9.6 %
10751	AAA	IEEE 802.11ax (160MHz, MCS8, 90pc duty cycle)	WLAN	8.82	± 9.6 %
10752	AAA	IEEE 802.11ax (160MHz, MCS9, 90pc duty cycle)	WLAN	8.81	± 9.6 %
10753	AAA	IEEE 802.11ax (160MHz, MCS10, 90pc duty cycle)	WLAN	9.00	± 9.6 %
10754	AAA	IEEE 802.11ax (160MHz, MCS11, 90pc duty cycle)	WLAN	8.94	± 9.6 %
10755	AAA	IEEE 802.11ax (160MHz, MCS0, 99pc duty cycle)	WLAN	8.64	± 9.6 %
10756	AAA	IEEE 802.11ax (160MHz, MCS1, 99pc duty cycle)	WLAN	8.77	± 9.6 %
10757	AAA	IEEE 802.11ax (160MHz, MCS2, 99pc duty cycle)	WLAN	8.77	± 9.6 %
10758	AAA	IEEE 802.11ax (160MHz, MCS3, 99pc duty cycle)	WLAN	8.69	± 9.6 %
10759	AAA	IEEE 802.11ax (160MHz, MCS4, 99pc duty cycle)	WLAN	8.58	± 9.6 %
10760	AAA	IEEE 802.11ax (160MHz, MCS5, 99pc duty cycle)	WLAN	8.49	± 9.6 %
10761	AAA	IEEE 802.11ax (160MHz, MCS6, 99pc duty cycle)	WLAN	8.58	± 9.6 %
10762	AAA	IEEE 802.11ax (160MHz, MCS7, 99pc duty cycle)	WLAN	8.49	± 9.6 %
10763	AAA	IEEE 802.11ax (160MHz, MCS8, 99pc duty cycle)	WLAN	8.53	± 9.6 %
10764	AAA	IEEE 802.11ax (160MHz, MCS9, 99pc duty cycle)	WLAN	8.54	± 9.6 %
10765	AAA	IEEE 802.11ax (160MHz, MCS10, 99pc duty cycle)	WLAN	8.54	± 9.6 %
10766	AAA	IEEE 802.11ax (160MHz, MCS11, 99pc duty cycle)	WLAN	8.51	± 9.6 %

^E Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

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Calibration Laboratory of Schmid & Partner Engineering AG Zeughausstrasse 43, 8004 Zurich, Switzerland





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DT&C (Dymstec)

Certificate No: EX3-3916_Apr19

CALIBRATION CERTIFICATE

Object

EX3DV4 - SN:3916

Calibration procedure(s)

QA CAL-01.v9, QA CAL-14.v5, QA CAL-23.v5, QA CAL-25.v7

Calibration procedure for dosimetric E-field probes

Calibration date:

April 25, 2019

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature (22 ± 3)°C and humidity < 70%.

Calibration Equipment used (M&TE critical for calibration)

Primary Standards	ID	Cal Date (Certificate No.)	Scheduled Calibration
Power meter NRP	SN: 104778	03-Apr-19 (No. 217-02892/02893)	Apr-20
Power sensor NRP-Z91	SN: 103244	03-Apr-19 (No. 217-02892)	Apr-20
Power sensor NRP-Z91	SN: 103245	03-Apr-19 (No. 217-02893)	Apr-20
Reference 20 dB Attenuator	SN: S5277 (20x)	04-Apr-19 (No. 217-02894)	Apr-20
DAE4	SN: 660	19-Dec-18 (No. DAE4-660_Dec18)	Dec-19
Reference Probe ES3DV2	SN: 3013	31-Dec-18 (No. ES3-3013_Dec18)	Dec-19
Secondary Standards	ID	Check Date (in house)	Scheduled Check
Power meter E4419B	SN: GB41293874	06-Apr-16 (in house check Jun-18)	In house check: Jun-20
Power sensor E4412A	SN: MY41498087	06-Apr-16 (in house check Jun-18)	In house check: Jun-20
Power sensor E4412A	SN: 000110210	06-Apr-16 (in house check Jun-18)	In house check: Jun-20
RF generator HP 8648C	SN: US3642U01700	04-Aug-99 (in house check Jun-18)	In house check: Jun-20
Network Analyzer E8358A	SN: US41080477	31-Mar-14 (in house check Oct-18)	In house check: Oct-19

	Name	Function	Signature
Calibrated by:	Leif Klysner	Laboratory Technician	Lef My
Approved by:	Katja Pokovic	Technical Manager	Melly
			Issued: April 27, 2019

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

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Zeughausstrasse 43, 8004 Zurich, Switzerland





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Glossary:

TSL tissue simulating liquid
NORMx,y,z sensitivity in free space
ConvF sensitivity in TSL / NORMx,y,z
DCP diode compression point

CF crest factor (1/duty_cycle) of the RF signal modulation dependent linearization parameters

Polarization ϕ ϕ rotation around probe axis

Polarization 9 9 rotation around an axis that is in the plane normal to probe axis (at measurement center),

i.e., 9 = 0 is normal to probe axis

Connector Angle information used in DASY system to align probe sensor X to the robot coordinate system

Calibration is Performed According to the Following Standards:

- IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- IEC 62209-1, ", "Measurement procedure for the assessment of Specific Absorption Rate (SAR) from handheld and body-mounted devices used next to the ear (frequency range of 300 MHz to 6 GHz)", July 2016
- c) IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

Methods Applied and Interpretation of Parameters:

- NORMx,y,z: Assessed for E-field polarization 9 = 0 (f ≤ 900 MHz in TEM-cell; f > 1800 MHz: R22 waveguide).
 NORMx,y,z are only intermediate values, i.e., the uncertainties of NORMx,y,z does not affect the E²-field uncertainty inside TSL (see below ConvF).
- NORM(f)x,y,z = NORMx,y,z * frequency_response (see Frequency Response Chart). This linearization is
 implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included
 in the stated uncertainty of ConvF.
- DCPx,y,z: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- Ax,y,z; Bx,y,z; Cx,y,z; Dx,y,z; VRx,y,z: A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f ≤ 800 MHz) and inside waveguide using analytical field distributions based on power measurements for f > 800 MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z * ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from ± 50 MHz to ± 100 MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom
 exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

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DASY/EASY - Parameters of Probe: EX3DV4 - SN:3916

Basic Calibration Parameters

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm $(\mu V/(V/m)^2)^A$	0.56	0.48	0.52	± 10.1 %
DCP (mV) ^B	101.7	96.9	104.5	

Calibration Results for Modulation Response

UID	Communication System Name		A dB	B dB√μV	С	D dB	VR mV	Max dev.	Unc ^E (k=2)
0	CW	X	0.0	0.0	1.0	0.00	146.1	±3.8 %	± 4.7 %
		Y	0.0	0.0	1.0		139.8		
		Y	0.0	0.0	1.0		143.5		

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

[^] The uncertainties of Norm X,Y,Z do not affect the E²-field uncertainty inside TSL (see Pages 5 and 6).

Rumerical linearization parameter: uncertainty not required.

Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

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DASY/EASY - Parameters of Probe: EX3DV4 - SN:3916

Other Probe Parameters

Sensor Arrangement	Triangular
Connector Angle (°)	90.9
Mechanical Surface Detection Mode	enabled
Optical Surface Detection Mode	disabled
Probe Overall Length	337 mm
Probe Body Diameter	10 mm
Tip Length	9 mm
Tip Diameter	2.5 mm
Probe Tip to Sensor X Calibration Point	1 mm
Probe Tip to Sensor Y Calibration Point	1 mm
Probe Tip to Sensor Z Calibration Point	1 mm
Recommended Measurement Distance from Surface	1.4 mm

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DASY/EASY - Parameters of Probe: EX3DV4 - SN:3916

Calibration Parameter Determined in Head Tissue Simulating Media

f (MHz) ^C	Relative Permittivity ^F	Conductivity (S/m) ^F	ConvF X	ConvF Y	ConvF Z	Alpha ^G	Depth ^G (mm)	Unc (k=2)
2450	39.2	1.80	7.66	7.66	7.66	0.39	0.85	± 12.0 %
2600	39.0	1.96	7.46	7.46	7.46	0.36	0.86	± 12.0 %
5200	36.0	4.66	5.14	5.14	5.14	0.40	1.80	± 13.1 %
5300	35.9	4.76	4.94	4.94	4.94	0.40	1.80	± 13.1 %
5500	35.6	4.96	4.89	4.89	4.89	0.40	1.80	± 13.1 %
5600	35.5	5.07	4.75	4.75	4.75	0.40	1.80	± 13.1 %
5800	35.3	5.27	4.82	4.82	4.82	0.40	1.80	± 13.1 %

^C Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Validity of ConvF assessed at 6 MHz is 4-9 MHz, and ConvF assessed at 13 MHz is 9-19 MHz. Above 5 GHz frequency validity can be extended to ± 110 MHz.

FAt frequencies below 3 GHz, the validity of tissue parameters (ε and σ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (ε and σ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

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The Sark values. All requencies above 3 GHz, the validity of tissue parameters (ε and σ) is restricted to ± 5%. The uncertainty is the RSS of the ConvP uncertainty for indicated target tissue parameters.

Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

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DASY/EASY - Parameters of Probe: EX3DV4 - SN:3916

Calibration Parameter Determined in Body Tissue Simulating Media

f (MHz) ^C	Relative Permittivity ^F	Conductivity (S/m) F	ConvF X	ConvF Y	ConvF Z	Alpha ^G	Depth ^G (mm)	Unc (k=2)
2450	52.7	1.95	7.62	7.62	7.62	0.34	0.85	± 12.0 %
2600	52.5	2.16	7.42	7.42	7.42	0.22	1.03	± 12.0 %
5200	49.0	5.30	4.56	4.56	4.56	0.50	1.90	± 13.1 %
5300	48.9	5.42	4.37	4.37	4.37	0.50	1.90	± 13.1 %
5500	48.6	5.65	4.14	4.14	4.14	0.50	1.90	± 13.1 %
5600	48.5	5.77	4.00	4.00	4.00	0.50	1.90	± 13.1 %
5800	48.2	6.00	4.23	4.23	4.23	0.50	1.90	± 13.1 %

^C Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Validity of ConvF assessed at 6 MHz is 4-9 MHz, and ConvF assessed at 13 MHz is 9-19 MHz. Above 5 GHz frequency validity can be extended to ± 110 MHz.

FAt frequencies below 3 GHz, the validity of tissue parameters (ε and σ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters (ε and σ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

The ConvP uncertainty for indicated target tissue parameters.

Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.

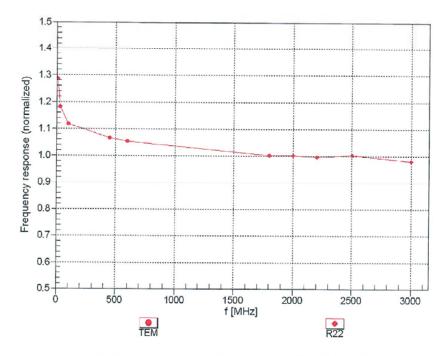
Certificate No: EX3-3916_Apr19



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April 25, 2019

Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)



Uncertainty of Frequency Response of E-field: ± 6.3% (k=2)

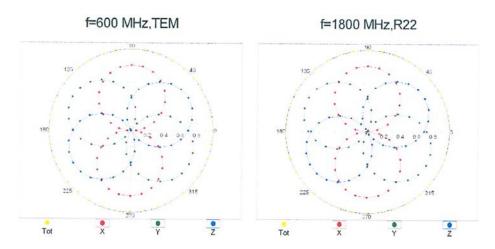
Certificate No: EX3-3916_Apr19

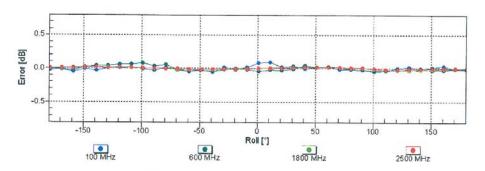
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Receiving Pattern (ϕ), $\vartheta = 0^{\circ}$



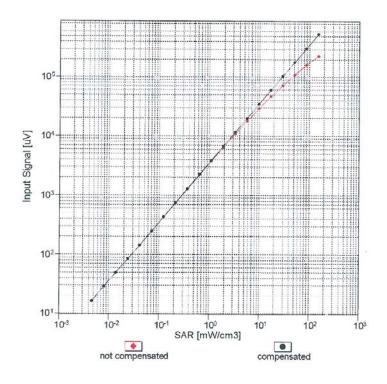


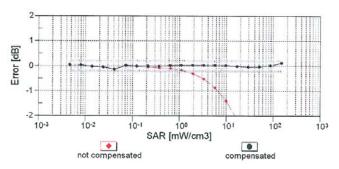
Uncertainty of Axial Isotropy Assessment: ± 0.5% (k=2)



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Dynamic Range f(SAR_{head}) (TEM cell , f_{eval}= 1900 MHz)





Uncertainty of Linearity Assessment: ± 0.6% (k=2)

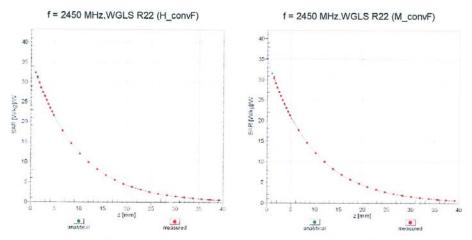
Certificate No: EX3-3916_Apr19

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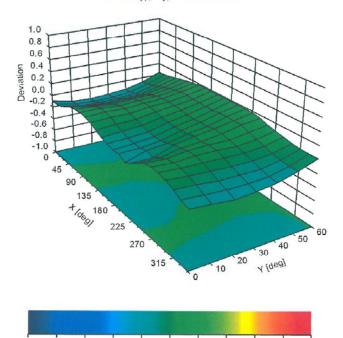
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Conversion Factor Assessment



Deviation from Isotropy in Liquid

Error (φ, θ), f = 900 MHz



-1.0 -0.8 -0.6 -0.4 -0.2 0.0 0.2 0.4 0.6 0.8 1
Uncertainty of Spherical Isotropy Assessment: ± 2.6% (k=2)

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APPENDIX C. – SAR Tissue Specifications

The brain and muscle mixtures consist of a viscous gel using hydrox-ethylcellulose (HEC) gelling agent and saline solution (see Table C.1). Preservation with a bactericide is added and visual inspection is made to make sure air bubbles are not trapped during the mixing process. The mixture is calibrated to obtain proper dielectric constant (permittivity) and conductivity of the desired tissue. The mixture characterizations used for the brain and muscle tissue simulating liquids are according to the data by C. Gabriel and G. Harts grove.



Figure C.1 Simulated Tissue

Table C.1 Composition of the Tissue Equivalent Matter

Ingredients		Frequency (MHz)								
(% by weight)	83	35	1900		2450		5200 ~ 5800			
Tissue Type	Head	Body	Head	Body	Head	Body	Head	Body		
Water	40.19	50.75	55.24	70.23	71.88	73.40	65.52	80.00		
Salt (NaCl)	1.480	0.940	0.310	0.290	0.160	0.060	-	-		
Sugar	57.90	48.21	-	-	-	-	-	-		
HEC	0.250	-	-	-	-	-	-	-		
Bactericide	0.180	0.100	-	-	-	-	-	-		
Triton X-100	-	-	-	-	19.97	-	17.24	-		
DGBE	-	-	44.45	29.48	7.990	26.54	-	-		
Diethylene glycol hexyl ether	-	-	-	-	-	-	17.24	-		
Polysorbate (Tween) 80	-	-	-	-	-	-		20.00		
Target for Dielectric Constant	41.5	55.2	40.0	53.3	39.2	52.7	-	-		
Target for Conductivity (S/m)	0.90	0.97	1.40	1.52	1.80	1.95	-	-		

Salt: 99 % Pure Sodium Chloride Sugar: 98 % Pure Sucrose

Water: De-ionized, 16M resistivity HEC: Hydroxyethyl Cellulose

DGBE: 99 % Di(ethylene glycol) butyl ether,[2-(2-butoxyethoxy) ethanol]

Triton X-100(ultra pure): Polyethylene glycol mono[4-(1,1,3,3-tetramethylbutyl)phenyl] ether

Table C.2 HSL/MSL750 (Head and Body liquids for 700 - 800 MHz)

la	Head Tissue Simulation Liquids HSL750					
ltem	Muscle (body) Tissue Simulation Liquids MSL750					
Type No	SL AAH 075, SL AAM 075					
Manufacturer	SPEAG					
The item is composed of the following ingredients:						
H ² O	Water, 35 – 58%					
Sucrose	Sucrose, 40 – 60%					
NaCl	Sodium Chloride, 0 – 6%					
Hydroxyethyl-cellulose	Medium Viscosity (CAS# 9004-62-0), < 0.3%					
Preventol-D7	Preservative: aqueous preparation, (CAS# 55965-84-9), containing 5-chloro-2-methyl-3(2H)-isothiazolone and 2-methyyl-3(2H)-isothiazolone, 0.1 – 0.6%					

Table C.3 HSL/MSL1750 (Head and Body liquids for 1700 – 1800 MHz)

Item	Head Tissue Simulation Liquids HSL1750
item	Muscle (body) Tissue Simulation Liquids MSL1750
Type No	SL AAH 175, SL AAM 175
Manufacturer	SPEAG
The item is composed of the following ingredients:	
H ² O	Water, 52 – 75%
C8H18O3	Diethylene glycol monobutyl ether (DGBE), 25 – 48%
NaCl	Sodium Chloride, < 1.0%

APPENDIX D. - SAR SYSTEM VALIDATION

SAR System Validation

Per FCC KDB 865664 D02v01r02, SAR system validation status should be documented to confirm measurement accuracy. The SAR systems (including SAR probes, system components and software versions) used for this device were validated against its performance specifications prior to the SAR measurements. Reference dipoles were used with the required tissue- equivalent media for system validation, according to the procedures outlined in FCC KDB 865664 D01v01r04 and IEEE 1528-2013. Since SAR probe calibrations are frequency dependent, each probe calibration point was validated at a frequency within the valid frequency range of the probe calibration point, using the system that normally operates with the probe for routine SAR measurements and according to the required tissue-equivalent media.

Report No.: DRRFCC1907-0063

A tabulated summary of the system validation status including the validation date(s), measurement frequencies, SAR probes and tissue dielectric parameters has been included.

PERM. COND. **CW Validation** MOD. Validation SAR Probe Frea. Probe Date Probe CAL, Point [MHz] Sensi-Probe System Type Probe MOD. Type PAR (er) (σ) tivity Linearity Isortopy Factor С 2019.06.18 3866 EX3DV4 Head 42.172 0.891 **PASS** PASS **PASS** N/A N/A С 835 2019.06.19 3866 EX3DV4 835 40.982 0.921 PASS PASS PASS GMSK PASS N/A Head С 2019.06.20 3866 EX3DV4 1900 Head 39.568 1.363 PASS PASS PASS GMSK PASS D 2450 2019.05.20 3916 EX3DV4 2450 PASS PASS PASS OFDM/TDD PASS PASS Head 38.923 1.816 D 5300 2019.05.21 3916 EX3DV4 5300 Head 34.822 4.832 PASS PASS PASS OFDM N/A PASS D 5500 2019.05.22 3916 EX3DV4 5500 Head 34.613 5.124 PASS PASS PASS OFDM N/A PASS D 2019.05.22 5600 3916 EX3DV4 5600 Head 34.248 5 226 PASS PASS PASS OFDM N/A PASS

Table D.1 SAR System Validation Summary

NOTE: While the probes have been calibrated for both a CW and modulated signals, all measurements were performed using communication systems calibrated for CW signals only. Modulations in the table above represent test configurations for which the measurement system has been validated per FCC KDB Publication 865664 D01v01r04 for scenarios when CW probe calibrations are used with other signal types. SAR systems were validated for modulated signals with a periodic duty cycle, such as GMSK, or with a high peak to average ratio (>5 dB), such as OFDM according to KDB 865664.

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APPENDIX F. – Description of Test Equipment

F.1 SAR Measurement Setup

Measurements are performed using the DASY5 automated dosimetric assessment system. The DASY5 is made by Schmid & Partner Engineering AG (SPEAG) in Zurich, Switzerland and consists of high precision robotics system (Staubli), robot controller, desktop computer, near-field probe, probe alignment sensor, and the generic twin phantom containing the brain equivalent material. The robot is a six-axis industrial robot performing precise movements to position the probe to the location (points) of maximum electromagnetic field (EMF) (see Fig. F.1.1).

A cell controller system contains the power supply, robot controller each pendant (Joystick), and a remote control used to drive the robot motors. The PC consists of the Intel Core i7-3770 3.40 GHz desktop computer with Windows 7 system and SAR Measurement Software DASY5,A/D interface card, monitor, mouse, and keyboard. The Staubli Robotis connected to the cell controller to allow software manipulation of the robot. A data acquisition electronic (DAE) circuit that performs the signal amplification, signal multiplexing, AD-conversion, offset measurements, mechanical surface detection, collision detection, etc. is connected to the Electro-optical coupler (EOC). The EOC performs the conversion from the optical into digital electric signal of the DAE and transfers data to the PC plug-in card.

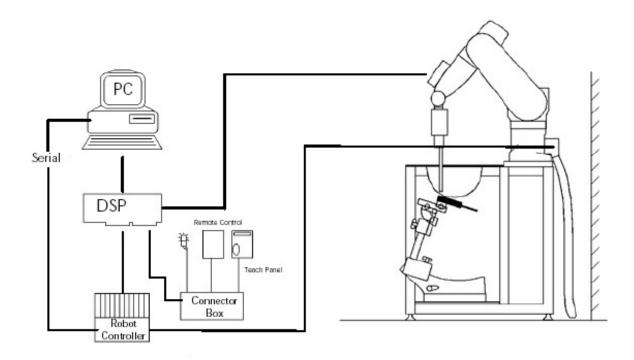


Figure F.1.1 SAR Measurement System Setup

The DAE4 consists of a highly sensitive electrometer-grade preamplifier with auto-zeroing, a channel and gain-switching multiplexer, a fast 16 bit AD-converter and a command decoder and control logic unit. Transmission to the PC-card is accomplished through an optical downlink for data and status information and an optical uplink for commands and clock lines. The mechanical probe mounting device includes two different sensor systems for frontal and sidewise probe contacts. They are also used for mechanical surface detection and probe collision detection. The robot uses its own controller with a built in VME-bus computer. The system is described in detail.

F.2 Probe Specification

Calibration In air from 10 MHz to 6 GHz

In brain and muscle simulating tissue at Frequencies of

750 MHz, 835 MHz, 900 MHz, 1750 MHz, 1900 MHz, 2300 MHz, 2450 MHz, 2600 MHz, 3500 MHz, 3700 MHz, 5200 MHz, 5300 MHz, 5500 MHz, 5600 MHz, 5800 MHz / 2450 MHz, 2600 MHz, 5200 MHz, 5300 MHz, 5500 MHz, 5600 MHz, 5800 MHz /

2450 MHz, 2600 MHz, 3500 MHz, 3700 MHz, 5200 MHz, 5300 MHz, 5500 MHz, 5600 MHz, 5800 MHz

Frequency 10 MHz to 6 GHz

Linearity ± 0.2 dB(30 MHz to 6 GHz)

Dynamic 10 μ W/g to > 100 mW/g

Range Linearity: ±0.2dB

Dimensions Overall length: 337 mm

Tip length 20 mm

Body diameter 12 mm

Tip diameter 2.5 mm

Distance from probe tip to sensor center 1.0 mm

Application SAR Dosimetry Testing

Compliance tests of mobile phones

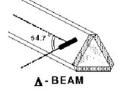


Figure F.2.1 Triangular Probe Configurations



Figure F.2.2 Probe Thick-Film Technique



DAE System

The SAR measurements were conducted with the dosimetric probe EX3DV4 designed in the classical triangular configuration(see F.2.1) and optimized for dosimetric evaluation. The probe is constructed using the thick film technique; with printed resistive lines on ceramic substrates. The probe is equipped with an optical multitier line ending at the front of the probe tip. It is connected to the EOC box on the robot arm and provides an automatic detection of the phantom surface. Half of the fibers are connected to a pulsed infrared transmitter, the other half to a synchronized receiver. As the probe approaches the surface, the reflection from the surface produces a coupling from the transmitting to the receiving fibers. This reflection increases first during the approach, reaches maximum and then decreases. If the probe is flatly touching the surface, the coupling is zero. The distance of the coupling maximum to the surface is independent of the surface reflectivity and largely independent of the surface to probe angle. The DASY5 software reads the reflection during a software approach and looks for the maximum using a 2nd order fitting. The approach is stopped at reaching the maximum.

F.3 E-Probe Calibration Process

Dosimetric Assessment Procedure

Each probe is calibrated according to a dosimetric assessment procedure with accuracy better than +/- 10%. The spherical isotropy was evaluated with the procedure and found to be better than +/-0.25dB. The sensitivity parameters (Norm X, Norm Y, Norm Z), the diode compression parameter (DCP) and the conversion factor (Conv F) of the probe is tested.

Free Space Assessment

The free space E-field from amplified probe outputs is determined in a test chamber. This is performed in a TEM cell for frequencies below 1 GHz, and in a waveguide above 1GHz for free space. For the free space calibration, the probe is placed in the volumetric center of the cavity at the proper orientation with the field. The probe is then rotated 360 degrees.

Temperature Assessment *

E-field temperature correlation calibration is performed in a flat phantom filled with the appropriate simulated brain tissue. The measured free space E-field in the medium, correlates to temperature rise in a dielectric medium. For temperature correlation calibration a RF transparent the remits or based temperature probe is used in conjunction with the E-field probe.

$$SAR = C \frac{\Delta T}{\Delta t}$$

 $SAR = \frac{\left|E\right|^2 \cdot \sigma}{\rho}$

where: where:

 Δt = exposure time (30 seconds),

C = heat capacity of tissue (brain or muscle),

 ΔT = temperature increase due to RF exposure.

 σ = simulated tissue conductivity,

= Tissue density (1.25 g/cm³ for brain tissue)

SAR is proportional to $\Delta T \, / \, \Delta t$, the initial rate of tissue heating, before thermal diffusion takes place. Now it's possible to quantify the electric field in the simulated tissue by equating the thermally derived SAR to the E- field;

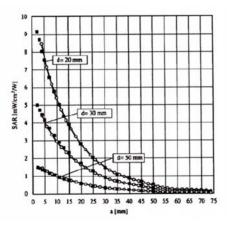


Figure F.3.1 E-Field and Temperature Measurements at 900MHz

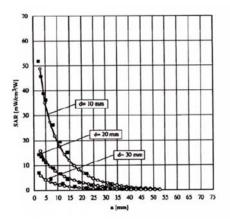


Figure F.3.2 E-Field and Temperature Measurements at 1800MHz



F.4 Data Extrapolation

The DASY5 software automatically executes the following procedures to calculate the field units from the microvolt readings at the probe connector. The first step of the evaluation is a linearization of the filtered input signal to account for the compression characteristics of the detector diode. The compensation depends on the input signal, the diode type and the DC-transmission factor from the diode to the evaluation electronics. If the exciting field is pulsed, the crest factor of the signal must be known to correctly compensate for peak power. The formula for each channel can be given like below;

with
$$V_i = \text{compensated signal of channel i}$$
 $(i=x,y,z)$

$$U_i = \text{input signal of channel i}$$
 $(i=x,y,z)$

$$Cf = \text{crest factor of exciting field}$$
 $(DASY parameter)$

$$CDASY parameter)$$

$$CDASY parameter)$$

From the compensated input signals the primary field data for each channel can be evaluated:

E-field probes: with
$$V_i$$
 = compensated signal of channel i (i = x,y,z)
Norm_i = sensor sensitivity of channel i (i = x,y,z)
 $\mu V/(V/m)^2$ for E-field probes
ConvF = sensitivity of enhancement in solution
 E_i = electric field strength of channel i in V/m

The RSS value of the field components gives the total field strength (Hermetian magnitude):

$$E_{tot} = \sqrt{E_x^2 + E_y^2 + E_z^2}$$

The primary field data are used to calculate the derived field units.

$$SAR = E_{tot}^2 \cdot \frac{\sigma}{\rho \cdot 1000}$$
 with $SAR = local specific absorption rate in W/g = total field strength in V/m = conductivity in [mho/m] or [Siemens/m] ρ = equivalent tissue density in g/cm³$

The power flow density is calculated assuming the excitation field to be a free space field.

$$P_{proc} = \frac{E_{tot}^2}{3770}$$
 with $P_{pwe} = \text{equivalent power density of a plane wave in W/cm}^2$ = total electric field strength in V/m

F.5 SAM Twin Phantom

The SAM Twin Phantom V5.0 is constructed of a fiberglass shell integrated in a wooden table. The shape of the shell is based on data from an anatomical study designed to determine the maximum exposure in at least 90% of all users. It enables the dosimetric evaluation of left and right hand phone usage as well as body mounted usage at the flat phantom region. A cover prevents the evaporation of the liquid.

Reference markings on the Phantom allow the complete setup of all predefined phantom positions and measurement grids by manually teaching three points in the robot. (see Fig. F.5.1)



Figure F.5.1 SAM Twin Phantom

SAM Twin Phantom Specification:

Construction

The shell corresponds to the specifications of the Specific Anthropomorphic Mannequin (SAM) phantom defined in IEEE 1528 and IEC 62209-1. It enables the dosimetric evaluation of left and right hand phone usage as well as body mounted usage at the flat phantom region. A cover prevents evaporation of the liquid. Reference markings on the phantom allow the complete setup of all predefined phantom positions and measurement grids by teaching three points with the robot.

Twin SAM V5.0 has the same shell geometry and is manufactured from the same material as Twin SAM V4.0, but has reinforced top structure.

Shell Thickness 2 ± 0.2 mm

Filling Volume Approx. 25 liters

Dimensions Length: 1000 mm

Width: 500 mm

Height: adjustable feet

Specific Anthropomorphic Mannequin (SAM) Specifications:

The phantom for handset SAR assessment testing is a low-loss dielectric shell, with shape and dimensions derived from the anthropometric data of the 90th percentile adult male head dimensions as tabulated by the US Army. The SAM Twin Phantom shell is bisected along the mid-sagittal plane into right and left halves (see Fig. F.5.2). The perimeter sidewalls of each phantom halves are extended to allow filling with liquid to a depth that is sufficient to minimized reflections from the upper surface. The liquid depth is maintained at a minimum depth of 15cm to minimize reflections from the upper surface.



Figure F.5.2 Sam Twin Phantom shell

F.6 Device Holder for Transmitters

In combination with the Twin SAM Phantom V4.0/V4.0c, V5.0 or ELI4, the Mounting Device enables the rotation of the mounted transmitter device in spherical coordinates. Rotation point is the ear opening point. Transmitter devices can be easily and accurately positioned according to IEC, IEEE, FCC or other specifications. The device holder can be locked for positioning at different phantom sections (left head, right head, flat).

Note: A simulating human hand is not used due to the complex anatomical and geometrical structure of the hand that may produce infinite number of configurations. To produce the worst-case condition (the hand absorbs antenna output power), the hand is omitted during the tests.



Figure F.6.1 Mounting Device



F.7 Automated Test System Specifications

Positioner

Robot Stäubli Unimation Corp. Robot Model: TX90XL

Repeatability 0.02 mm

No. of axis 6

Data Acquisition Electronic (DAE) System

Cell Controller

Processor Intel Core i7-2600/ Intel Core i7-3770/Intel Core i7-4770

Clock Speed 3.40 GHz

Operating System Windows 7 Professional DASY5 PC-Board

Data Converter

Features Signal, multiplexer, A/D converter. & control logic

Software DASY5

Connecting Lines Optical downlink for data and status info

Optical uplink for commands and clock

PC Interface Card

Function 24 bit (64 MHz) DSP for real time processing

Link to DAE 4

16 bit A/D converter for surface detection system

serial link to robot

direct emergency stop output for robot

E-Field Probes

Model EX3DV4 S/N: 3933, 3916, 3930

Construction Triangular core fiber optic detection system

Frequency 10 MHz to 6 GHz

Linearity ± 0.2 dB (30 MHz to 6 GHz)

Phantom

Phantom SAM Twin Phantom (V5.0)

Shell MaterialCompositeThickness $2.0 \pm 0.2 \text{ mm}$



Figure F.7.1 DASY5 Test System