



TEST REPORT

No. I22Z62158-WMD01

for

Baicells Technologies Co., Ltd.

Product Name: 5G NR Base Station

Model Name: sBSC7048A1

FCC ID: 2AG32SBSC7048A1

with

Hardware Version: CBSD: Ver.A, DP: X86 6133

Software Version: CBSD: BaiBBU_QSS_1.1.7, DP: BaiOMC_8.2.4

Issued Date: 2022-11-16

Note:

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The report must not be used by the client to claim product certification, approval, or endorsement by NVLAP, NIST, or any agency of the U.S. Government.

Test Laboratory:

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REPORT HISTORY

Report Number	Revision	Description	Issue Date
I22Z62158-WMD01	Rev.0	1 st edition	2022-11-16

Note: the latest revision of the test report supersedes all previous version.

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1. Test Laboratory

1.1. Introduction & Accreditation

Telecommunication Technology Labs, CAICT is an ISO/IEC 17025:2017 accredited test laboratory under NATIONAL VOLUNTARY LABORATORY ACCREDITATION PROGRAM (NVLAP) with lab code 600118-0 and is also an FCC accredited test laboratory (CN5017), ISED accredited test laboratory (CN0066), and OnGo alliance/WInnForum authorized test lab. The detail accreditation scope can be found on NVLAP website.

1.2. Testing Location

Location 1: CTTL(Huayuan North Road)

Address: No. 52, Huayuan North Road, Haidian District, Beijing, P. R. China
100191.

1.3. Testing Environment

Normal Temperature: 15-35°C
Relative Humidity: 20-75%

1.4. Project data

Testing Start Date: 2022-10-10
Testing End Date: 2022-11-01

1.5. Signature



Dong Yuan
(Prepared this test report)



Zhou Yu
(Reviewed this test report)



Zhao Hui Lin
Deputy Director of the laboratory
(Approved this test report)



2. Client Information

2.1. Applicant Information

Company Name: Baicells Technologies Co., Ltd.
Address: 9-10F, 1stBldg., No.81 Beiqing Road, Haidian District, Beijing, China
City: Beijing
Postal Code: 100094
Country: China
Telephone: 010-62607100
Fax: 010-62607100

2.2. Manufacturer Information

Company Name: Baicells Technologies Co., Ltd.
Address: 9-10F, 1stBldg., No.81 Beiqing Road, Haidian District, Beijing, China
City: Beijing
Postal Code: 100094
Country: China
Telephone: 010-62607100
Fax: 010-62607100

3. Equipment Under Test (EUT) and Ancillary Equipment (AE)

3.1. About EUT

Description	5G NR base station
Model Name	sBSC7048A1
FCC ID	2AG32SBSC7048A1
CBSD Category	Category B
EUT in Test	CBSD with Domain Proxy
CBSD HW Version	Ver.A
CBSD SW Version	BaiBBU_QSS_1.1.7
Domain Proxy HW Version	X86 6133
Domain Proxy SW Version	BaiOMC_8.2.4
Antenna Gain	13dBi
Supported Channel bandwidth	NR:10/20/30/40 MHz
Output Power	Conducted maximum 0.25W/MHz, maximum 10W
Number of Antenna ports	2
Frequency range	n48 3550MHz-3700MHz
Type of modulation	QPSK, 64QAM, 256QAM
Extreme Temperature	-40/+50°C
Normal Voltage	48V DC

Note: This is a BTS-CBSD communication with Domain Proxy. Domain Proxy information show as below:
Model No. of Domain Proxy:

3.2. Internal Identification of EUT used during the test

EUT ID*	SN or IMEI	HW Version	SW Version	Date of receipt
UT01a	12020005382283B0006	VER.A	BaiBBU_QSS_1.1.7	2022.10.10
UT02a	12020005382283B0022	VER.A	BaiBBU_QSS_1.1.7	2022.10.10

*EUT ID: is used to identify the test sample in the lab internally.

4. Reference Documents

4.1. Documents supplied by applicant

Supported features, referring to Annex A for detailed information, are supplied by the client or manufacturer, which is the basis of testing. CAICT is not responsible for the accuracy of customer supplied technical information that may affect the test results (for example, antenna gain and loss of customer supplied cable).

4.2. Reference Documents for testing

The following documents listed in this section are referred for testing.

Reference	Title	Version
WINNF-TS-0122	Test and Certification for Citizens Broadband Radio Service (CBRS); Conformance and Performance Test Technical Specification; CBSD/DP as Unit Under Test (UUT)	V1.0.2
ONGO-TS-9001	OnGo Release 1 Certification Test Plan	V1.2.1
FCC 47 CFR Part 96	Citizens Broadband Radio Service	10-1-21 Edition
KDB 940660 D01	Certification And Test Procedures For Citizens Broadband Radio Service Devices Authorized Under Part 96	Eqpt v03 October 29 2018

5. Test Results

5.1. Summary of Test Results

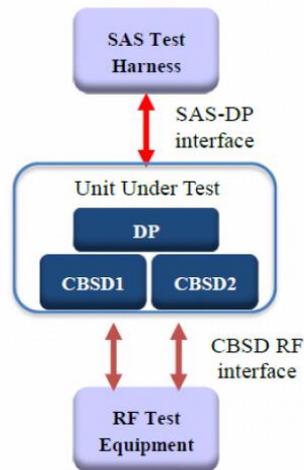
Test Case Name	Description	Verdict
WINNF.FT.D.REG.2	Domain Proxy Multi-Step registration	Pass
WINNF.FT.D.REG.6	Domain Proxy Single-Step registration for CBSD with CPI signed data	Pass
WINNF.FT.D.REG.9	Domain Proxy Missing Required parameters (responseCode 102)	Pass
WINNF.FT.D.REG.11	Domain Proxy Pending registration (responseCode 200)	Pass
WINNF.FT.D.REG.13	Domain Proxy Invalid parameters (responseCode 103)	Pass
WINNF.FT.D.REG.15	Domain Proxy Blacklisted CBSD (responseCode 101)	Pass
WINNF.FT.D.REG.17	Domain Proxy Unsupported SAS protocol version responseCode 100)	Pass
WINNF.FT.D.REG.19	Domain Proxy Group Error (responseCode 201)	Pass
WINNF.FT.C.GRA.1	Unsuccessful Grant responseCode=400 (INTERFERENCE)	Pass
WINNF.FT.C.GRA.2	Unsuccessful Grant responseCode=401 (GRANT CONFLICT)	Pass
WINNF.FT.D.HBT.2	Domain Proxy Heartbeat Success Case (first Heartbeat Response)	Pass
WINNF.FT.C.HBT.3	Heartbeat responseCode=105 (DEREGISTER)	Pass
WINNF.FT.C.HBT.5	Heartbeat responseCode=501 (SUSPENDED_GRANT) in First Heartbeat Response	Pass
WINNF.FT.C.HBT.6	Heartbeat responseCode=501 (SUSPENDED_GRANT) in Subsequent Heartbeat Response	Pass
WINNF.FT.C.HBT.7	Heartbeat responseCode=502 (UNSYNC OP PARAM)	Pass
WINNF.FT.D.HBT.8	Domain Proxy Heartbeat responseCode=500 (TEMIN/ATED_GRANT)	Pass
WINNF.FT.C.HBT.9	Heartbeat Response Absent (First Heartbeat)	Pass
WINNF.FT.C.HBT.10	Heartbeat Response Absent (Subsequent Heartbeat)	Pass
WINNF.FT.D.MES.2	Domain Proxy Registration Response contains measReportConfig	Pass
WINNF.FT.C.MES.3	Grant Response contains measReportConfig	Pass
WINNF.FT.D.MES.5	Domain Proxy Heartbeat Response contains measReportConfig	Pass
WINNF.FT.D.RLQ.2	Domain Proxy Successful Relinquishment	Pass
WINNF.FT.D.DRG.2	Domain Proxy Successful Deregistration	Pass
WINNF.FT.C.SCS.1	Successful TLS connection between UUT and SAS Test Harness	Pass
WINNF.FT.C.SCS.2	TLS failure due to revoked certificate	Pass
WINNF.FT.C.SCS.3	TLS failure due to expired server certificate	Pass
WINNF.FT.C.SCS.4	TLS failure when SAS Test Harness certificate is issue by unknown CA	Pass
WINNF.FT.C.SCS.5	TLS failure when certificate at the SAS Test Harness is corrupted	Pass
WINNF.PT.C.HBT.1	UUT RF Transmit Power Measurement	Pass

Note: please refer to Annex B in this test report for the detailed test results.

The following terms are used in the above table.

Pass	Amount of testcases with pass results in the given frequency band.
Fail	Amount of testcases with fail results in the given frequency band.
Inc	Amount of testcases with ambiguous results in the given frequency band.
Declare	Amount of testcases with conformity declaration from the client in the given frequency band.

5.2. Test Setup Diagram



5.3. Statements

5G NR Base Station, Model sBSC7048A1, manufactured by Baicells Technologies Co., Ltd. is an initial model for the test.

The CBSD1, CBSD2, OMC, EPC and the Laptop with SAS test harness were connected to a switch. DP software is deployed on the OMC. The RF antenna port on UUT was connected to spectrum analyzer with RF cable. UUT and the SAS Test Harnesses were UTC time synchronized. The WInnForum Test Harness Test Harness (V1.0.0.3) was used. The RF measurement was performed by conducted method.

CTTL has verified that the compliance of the tested device specified in section 3 of this test report is evaluated according to the procedure and test methods as defined in type certification requirement listed in section 4 of this test report.

6. Test Facilities Utilized

Test Equipment List

Description	Type	Series Number	Manufacture	Cal Due Date	Calibration Interval
Signal Analyzer	FSV	101576	rohde&schwarz	2023-5-5	1 year
Signal Analyzer	9030B	MY57142378	Keysight	2023-3-1	1 year

Description of Support Units

Description	Model No.	Series Number	Manufacture
5GC	KEY-8201SAS-AB00E	GSD7238780	Advantech
OMC	1501000101	AD60B45FB07D181E7F20FE705C05	Baicells
Laptop(with SAS Test Harness)	Thinkpad E480	PF-136YPP	Lenovo
Switch	S6520-16S-SI	210235A3J5H203000170	H3C
Hub	TL-SG1008D	1199C50004642	TP-Link

7. MEASUREMENT UNCERTAINTY

For a 95% confidence level, the measurement uncertainties for defined systems are:

Test Discipline	Measurement Uncertainty
Conducted RF power	0.75dB
Temperature	1°C
Humidity	3%

ANNEX A: Supported Features

Condition	Feature Description	Supported
C1	Mandatory for UUT which supports multi-step registration message	Y
C2	Mandatory for UUT which supports single-step registration with no CPI-signed data in the registration message. By definition, this is a subset of Category A devices which determine all registration information, including location, without CPI intervention.	N
C3	Mandatory for UUT which supports single-step registration containing CPI-signed data in the registration message.	Y
C4	Mandatory for UUT which supports RECEIVED_POWER_WITHOUT_GRANT measurement report type.	Y
C5	Mandatory for UUT which supports RECEIVED_POWER_WITH_GRANT measurement report type.	Y
C6	Mandatory for UUT which supports parameter change being made at the UUT and prior to sending a deregistration.	N

Y: Supported

N: Not supported

ANNEX B: Detailed Test Results

Annex B.1 Terms used in Results column

Pass	This testcase has been tested, and EUT is conformant to the applied standards in the given frequency band.
Fail	This testcase has been tested, but EUT is not conformant to the applied standards in the given frequency band.
n/a	This test case is either not required/not applicable in the specified band or is not applicable according to the specific PICS/PIXIT for the EUT.
Inc	Test case result is ambiguous in the given frequency band.
Decl	Declaration is received from the client to demonstrate the conformity to the relevant specification in the given frequency band.
BR	This testcase is not tested in the given frequency band, but this testcase was tested with pass result for the initial model in the given frequency band.

Annex B.2 Testcases Results

1) [WINNF.FT.D.REG.2] Domain Proxy Multi-Step registration

This test is mandatory for the Domain proxy that is controlling CBSDs which support multi-step registration. This test validates that each of the required parameters appear within the registration request message. This test case applies to Domain Proxy supervising two CBSDs. The following are the test execution steps:

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT is in the Unregistered state 	PASS
2	DP with two CBSD sends correct Registration request information, as specified in [n.5], in the form of one 2-element Array or as individual messages to the SAS Test Harness: <ul style="list-style-type: none"> • The required <i>userId</i>, <i>fccId</i> and <i>cbSDSerialNumber</i> registration parameters shall be sent for each CBSD and conform to proper format and acceptable ranges. • Any REG-conditional or optional registration parameters that may be included in the message shall be verified that they conform to proper format and are within acceptable ranges. Note: It is outside the scope of this document to test the Registration information that is supplied via another means.	PASS
3	<ul style="list-style-type: none"> • SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or individual messages as follows: <ul style="list-style-type: none"> – <i>cbSDId</i> = Ci – <i>measReportConfig</i> shall not be included – <i>responseCode</i> = 0 for each CBSD 	PASS
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	PASS
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> • UUT shall not transmit RF 	PASS

2) [WINNF.FT.D.REG.6] Domain Proxy Single-Step registration for CBSD with CPI signed data

The following are the test execution steps:

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT is in the Unregistered state • All of the required and REG-Conditional parameters shall be configured and CPI signature provided 	PASS
2	The DP with two CBSDs sends Registration requests in the form of one 2-element Array or as individual messages to the SAS Test Harness: <ul style="list-style-type: none"> • The required <code>userId</code>, <code>fccId</code> and <code>cbdsSerialNumber</code> and REG-Conditional <code>cbdsCategory</code>, <code>airInterface</code>, <code>measCapability</code> and <code>cpiSignatureData</code> registration parameters shall be sent from the CBSD and conform to proper format and acceptable ranges. • Any optional registration parameters that may be included in the message shall be verified that they conform to proper format and are within acceptable ranges. 	PASS
3	<ul style="list-style-type: none"> • SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows: <ul style="list-style-type: none"> – <code>cbdsId</code> = C_i – <code>measReportConfig</code> for each CBSD shall not be included. – <code>responseCode</code> = 0 for each CBSD 	PASS
4	After completion of step 3, SAS Test Harness will not provide any positive response (<code>responseCode=0</code>) to further request messages from the UUT.	PASS
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> • UUT shall not transmit RF 	PASS

If a waiver for the measurement capability has been obtained from the FCC for the CBSD, the WINNF.FT.D.REG.6_waiver test case shall be executed which is the same as above, but where `measCapability` is not required in the request message.

3) [WINNF.FT.D.REG.9] Domain Proxy Missing Required parameters(responseCode 102)

This test case applies to Domain Proxy supervising two CBSDs. The following are the test execution steps where the Registration response contains *responseCode* (Ri) = 102 for each CBSD:

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT is in the Unregistered state 	PASS
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.	PASS
3	<ul style="list-style-type: none"> • SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows: <ul style="list-style-type: none"> – SAS response does not include a <i>cbstdId</i>. – <i>responseCode</i> = Ri for CBSD1 and CBSD2 	PASS
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	PASS
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> • UUT shall not transmit RF 	PASS

4) [WINNF.FT.D.REG.11] Domain Proxy Pending registration (responseCode 200)

The same steps provided for WINNF.FT.D.REG.9 shall be executed for this test, with the exception that the Registration response contains *responseCode* (Ri) = 200 for each CBSD.

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT is in the Unregistered state 	PASS
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.	PASS
3	<ul style="list-style-type: none"> • SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows: <ul style="list-style-type: none"> – SAS response does not include a <i>cbstdId</i>. – <i>responseCode</i> = Ri for CBSD1 and CBSD2 	PASS
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	PASS
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> • UUT shall not transmit RF 	PASS

5) [WINNF.FT.D.REG.13] Domain Proxy Invalid parameters (responseCode 103)

The same steps provided for WINNF.FT.D.REG.9 shall be executed for this test, with the exception that the Registration response contains *responseCode* R1 = 0 for CBSD1 and R2 = 103 for CBSD2

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT is in the Unregistered state 	PASS
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.	PASS
3	<ul style="list-style-type: none"> • SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows: <ul style="list-style-type: none"> – SAS response does not include a <i>cbstdId</i>. – <i>responseCode</i> = Ri for CBSD1 and CBSD2 	PASS
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	PASS
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> • UUT shall not transmit RF 	PASS

6) [WINNF.FT.D.REG.15] Domain Proxy Blacklisted CBSD (responseCode 101)

The same steps provided for WINNF.FT.D.REG.9 shall be executed for this test, with the exception that the Registration response contains *responseCode* R1 = 0 for CBSD1 and R2 = 101 for CBSD2.

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT is in the Unregistered state 	PASS
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.	PASS
3	<ul style="list-style-type: none"> • SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows: <ul style="list-style-type: none"> – SAS response does not include a <i>cbstdId</i>. – <i>responseCode</i> = Ri for CBSD1 and CBSD2 	PASS
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	PASS
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> • UUT shall not transmit RF 	PASS

7) [WINNF.FT.D.REG.17] Domain Proxy Unsupported SAS protocol version (responseCode 100)

The same steps provided for WINNF.FT.D.REG.9 shall be executed for this test, with the exception that the Registration response contains *responseCode* (Ri) = 100 for each CBSD.

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT is in the Unregistered state 	PASS
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.	PASS
3	<ul style="list-style-type: none"> • SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows: <ul style="list-style-type: none"> – SAS response does not include a <i>cbstdId</i>. – <i>responseCode</i> = Ri for CBSD1 and CBSD2 	PASS
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	PASS
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> • UUT shall not transmit RF 	PASS

8) [WINNF.FT.D.REG.19] Domain Proxy Group Error (responseCode 201)

The same steps provided for WINNF.FT.D.REG.9 shall be executed for this test, with the exception that the Registration response contains *responseCode* R1 = 0 for CBSD1 and R2 = 201 for CBSD2.

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT is in the Unregistered state 	PASS
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.	PASS
3	<ul style="list-style-type: none"> • SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows: <ul style="list-style-type: none"> – SAS response does not include a <i>cbstdId</i>. – <i>responseCode</i> = Ri for CBSD1 and CBSD2 	PASS
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode</i> =0) to further request messages from the UUT.	PASS
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> • UUT shall not transmit RF 	PASS

9) [WINNF.FT.C.GRA.1] Unsuccessful Grant responseCode=400 (INTERFERENCE)

The following steps describe the test execution where the Grant response contains responseCode (R) = 400.

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • UUT has registered successfully with SAS Test Harness, with <i>cbsdId = C</i> 	PASS
2	UUT sends valid Grant Request.	PASS
3	SAS Test Harness sends a Grant Response message, including <ul style="list-style-type: none"> • <i>cbsdId=C</i> • <i>responseCode = R</i> 	PASS
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode=0</i>) to further request messages from the UUT.	PASS
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> • UUT shall not transmit RF 	PASS

10) [WINNF.FT.C.GRA.2] Unsuccessful Grant responseCode=401 (GRANT_CONFLICT)

 The same steps provided for WINNF.FT.C.GRA.1 shall be executed for this test, with the exception that the Grant response contains *responseCode (R) = 401*.

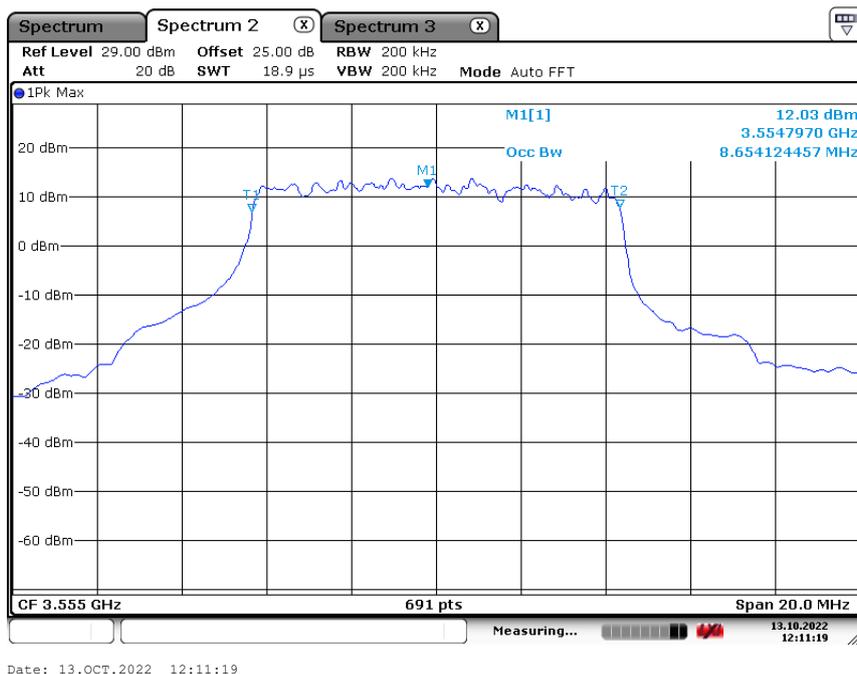
#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • UUT has registered successfully with SAS Test Harness, with • <i>cbsdId = C</i> 	PASS
2	UUT sends valid Grant Request.	PASS
3	SAS Test Harness sends a Grant Response message, including <ul style="list-style-type: none"> • <i>cbsdId=C</i> • <i>responseCode = R</i> 	PASS
4	After completion of step 3, SAS Test Harness will not provide any positive response (<i>responseCode=0</i>) to further request messages from the UUT.	PASS
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> • UUT shall not transmit RF 	PASS

11) [WINNF.FT.D.HBT.2] Domain Proxy Heartbeat Success Case (first Heartbeat Response)

This test case applies to Domain Proxy supervising two CBSDs. The following are the test execution steps.

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> DP has two CBSD registered successfully with SAS Test Harness, with <i>cbsdId</i> = Ci, i={1,2} 	PASS
2	DP sends a message: <ul style="list-style-type: none"> If message is a Spectrum Inquiry Request, go to step 3 If message is a Grant Request, go to step 5 	PASS
3	DP sends a Spectrum Inquiry Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Spectrum Inquiry Request message is formatted correctly for each CBSD, including for CBSDi, i={1,2}: <ul style="list-style-type: none"> <i>cbsdId</i> = Ci List of frequencyRange objects sent by DP are within the CBRS frequency range 	PASS
4	If a separate Spectrum Inquiry Request message was sent for each CBSD, the SAS Test Harness shall respond to each Spectrum Inquiry Request message with a separate Spectrum Inquiry Response message. If a single Spectrum Inquiry Request message was sent containing a 2- object array (one per CBSD), the SAS Test Harness shall respond with a single Spectrum Inquiry Response message containing a 2-object array. Verify parameters for each CBSD within the Spectrum Inquiry Response message are as follows, for CBSDi, i={1,2}: <ul style="list-style-type: none"> <i>cbsdId</i> = Ci <i>availableChannel</i> is an array of availableChannel objects <i>responseCode</i> = 0 	/
5	DP sends a Grant Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Grant Request message is formatted correctly for each CBSD, including for CBSDi, i={1,2}: <ul style="list-style-type: none"> <i>cbsdId</i> = C <i>maxEIRP</i> is at or below the limit appropriate for CBSD category as defined by Part 96 <i>operationFrequencyRange</i>, Fi, sent by UUT is a valid range within the CBRS band 	PASS
6	If a separate Grant Request message was sent for each CBSD, the SAS Test Harness shall respond to each Grant Request message with a separate Grant Response message.	/
7	Ensure DP sends first Heartbeat Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Heartbeat Request message is formatted correctly for each CBSD, including, for CBSDi i={1,2}: <ul style="list-style-type: none"> <i>cbsdId</i> = Ci, i={1,2} <i>grantId</i> = Gi, i={1,2} <i>operationState</i> = "GRANTED" 	PASS
8	If a separate Heartbeat Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each Heartbeat Request message with a separate Heartbeat Response message.	/

	<p>If a single Heartbeat Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Heartbeat Response message containing a 2-object array.</p> <p>Verify parameters for each CBSD within the Heartbeat Response message are as follows, for CBSDi:</p> <ul style="list-style-type: none"> • <i>cbsdId</i> = Ci • <i>grantId</i> = Gi • <i>transmitExpireTime</i> = current UTC time + 200 seconds • <i>responseCode</i> = 0 	
9	<p>For further Heartbeat Request messages sent from DP after completion of step 8, validate message is sent within latest specified heartbeatInterval for CBSDi:</p> <ul style="list-style-type: none"> • <i>cbsdId</i> = Ci • <i>grantId</i> = Gi • <i>operationState</i> = "AUTHORIZED" <p>and SAS Test Harness responds with a Heartbeat Response message including the following parameters, for CBSDi</p> <ul style="list-style-type: none"> • <i>cbsdId</i> = Ci • <i>grantId</i> = Gi • <i>transmitExpireTime</i> = current UTC time + 200 seconds • <i>responseCode</i> = 0 	PASS
10	<p>Monitor the RF output of the UUT from start of test until UUT transmission commences. Monitor the RF output of the UUT from start of test until RF transmission commences.</p> <p>Verify:</p> <ul style="list-style-type: none"> • UUT does not transmit at any time prior to completion of the first heartbeat response • UUT transmits after step 8 is complete, and its transmission is limited to within the bandwidth range Fi. 	PASS



Date: 13.OCT.2022 12:11:19

12) [WINNF.FT.C.HBT.3] Heartbeat responseCode=105 (DEREGISTER)

The following are the test execution steps.

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • UUT has registered successfully with SAS Test Harness • UUT has a valid single grant as follows: <ul style="list-style-type: none"> ◦ valid <i>cbsdId</i> = C ◦ valid <i>grantId</i> = G ◦ grant is for frequency range F, power P ◦ <i>grantExpireTime</i> = UTC time greater than duration of the test • UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	PASS
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within Heartbeat Interval specified in the latest Heartbeat Response, and formatted correctly, including: <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>operationState</i> = "AUTHORIZED" 	PASS
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>transmitExpireTime</i> = T = Current UTC time • <i>responseCode</i> = 105 (DEREGISTER) 	PASS
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	PASS
5	Monitor the RF output of the UUT. Verify: <ul style="list-style-type: none"> • UUT shall stop transmission within (T + 60 seconds) of completion of step 3 	PASS

13) [WINNF.FT.C.HBT.5] Heartbeat responseCode=501 (SUSPENDED_GRANT) in First Heartbeat Response

The following are the test execution steps.

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • UUT has registered successfully with SAS Test Harness • UUT has a valid single grant as follows: <ul style="list-style-type: none"> o valid <i>cbsdId</i> = C o valid <i>grantId</i> = G o grant is for frequency range F, power P o <i>grantExpireTime</i> = UTC time greater than duration of the test • UUT is in GRANTED, but not AUTHORIZED state (i.e. has not performed its first Heartbeat Request) 	PASS
2	UUT sends a Heartbeat Request message. Verify Heartbeat Request message is formatted correctly, including: <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>operationState</i> = “GRANTED” 	PASS
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>transmitExpireTime</i> = T = current UTC time • <i>responseCode</i> = 501 (SUSPENDED_GRANT) 	PASS
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	PASS
5	Monitor the SAS-CBSD interface. Verify either A OR B occurs: <p>A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified <i>heartbeatInterval</i>, and is correctly formatted with parameters:</p> <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>operationState</i> = “GRANTED” <p>B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters:</p> <ul style="list-style-type: none"> • <i>cbdsId</i> = C • <i>grantId</i> = G <p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> • UUT does not transmit at any time 	PASS

14) [WINNF.FT.C.HBT.6] Heartbeat responseCode=501 (SUSPENDED_GRANT) in Subsequent Heartbeat Response
 The following are the test execution steps.

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • UUT has registered successfully with SAS Test Harness • UUT has a valid single grant as follows: <ul style="list-style-type: none"> o valid <i>cbsdId</i> = C o valid <i>grantId</i> = G o grant is for frequency range F, power P o <i>grantExpireTime</i> = UTC time greater than duration of the test • UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	PASS
2	UUT sends a Heartbeat Request message. Verify Heartbeat Request message is sent within latest specified <i>heartbeatInterval</i> , and is formatted correctly, including: <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>operationState</i> = "AUTHORIZED" 	PASS
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>transmitExpireTime</i> = T = current UTC time • <i>responseCode</i> = 501 (SUSPENDED_GRANT) 	PASS
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	PASS
5	Monitor the SAS-CBSD interface. Verify either A OR B occurs: <p>A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified <i>heartbeatInterval</i>, and is correctly formatted with parameters:</p> <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>operationState</i> = "GRANTED" <p>B. UUT sends a Relinquishment Request message. Ensure message is correctly formatted with parameters:</p> <ul style="list-style-type: none"> • <i>cbdsId</i> = C • <i>grantId</i> = G <p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> • UUT shall stop transmission within (<i>T</i> + 60 seconds) of completion of step 3 	PASS

15) [WINNF.FT.C.HBT.7] Heartbeat responseCode=502 (UNSYNC_OP_PARAM)

The following are the test execution steps.

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • UUT has registered successfully with SAS Test Harness • UUT has a valid single grant as follows: <ul style="list-style-type: none"> o valid <i>cbsdId</i> = C o valid <i>grantId</i> = G o grant is for frequency range F, power P o <i>grantExpireTime</i> = UTC time greater than duration of the test • UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	PASS
2	UUT sends a Heartbeat Request message. Verify Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>operationState</i> = "AUTHORIZED" 	PASS
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>transmitExpireTime</i> = T = current UTC time • <i>responseCode</i> = 501 (SUSPENDED_GRANT) 	PASS
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	PASS
5	Monitor the SAS-CBSD interface. Verify: <ul style="list-style-type: none"> • UUT sends a Grant Relinquishment Request message. Verify message is correctly formatted with parameters: <ul style="list-style-type: none"> o <i>cbdsId</i> = C o <i>grantId</i> = G Monitor the RF output of the UUT. Verify: <ul style="list-style-type: none"> • UUT shall stop transmission within (T+60) seconds of completion of step 3. 	PASS

16) [WINNF.FT.D.HBT.8] Domain Proxy Heartbeat responseCode=500(TERMINATED_GRANT)

This test case applies to Domain Proxy supervising two CBSDs. The following are the test execution steps.

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • DP has two CBSD registered successfully with SAS Test Harness • Each CBSD {1,2} has a valid single grant as follows: <ul style="list-style-type: none"> o valid <i>cbsdId</i> = Ci, i={1,2} o valid <i>grantId</i> = Gi, i={1,2} o grant is for frequency range Fi, power Pi o <i>grantExpireTime</i> = UTC time greater than duration of the test • Both CBSD are in AUTHORIZED state and transmitting within their granted bandwidth on RF interface 	PASS
2	DP sends a Heartbeat Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of size 2. Verify Heartbeat Request message is sent within latest specified <i>heartbeatInterval</i> , and is formatted correctly for each CBSD, including, for CBSDi i={1,2}: <ul style="list-style-type: none"> • <i>cbsdId</i> = Ci, i = {1,2} • <i>grantId</i> = Gi, i = {1,2} • <i>operationState</i> = "AUTHORIZED" 	PASS
3	If separate Heartbeat Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each Heartbeat Request message with a separate Heartbeat Response message. If a single Heartbeat Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Heartbeat Response message containing a 2-object array. Parameters for each CBSD within the Heartbeat Response message should be as follows, for CBSDi: <ul style="list-style-type: none"> • <i>cbsdId</i> = Ci • <i>grantId</i> = Gi • For CBSD1: <ul style="list-style-type: none"> o <i>transmitExpireTime</i> = current UTC time + 200 seconds o <i>responseCode</i> = 0 • For CBSD2: <ul style="list-style-type: none"> o <i>transmitExpireTime</i> = T = current UTC time o <i>responseCode</i> = 500 (TERMINATED_GRANT) 	PASS
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT. If CBSD sends further Heartbeat Request messages for CBSD1, SAS Test Harness shall respond with a Heartbeat Response message with parameters: <ul style="list-style-type: none"> • <i>cbsdId</i> = C1 • <i>grantId</i> = G1 • <i>transmitExpireTime</i> = current UTC time + 200 seconds • <i>responseCode</i> = 0 	PASS

	<ul style="list-style-type: none"> Heartbeat Request message is within <i>heartbeatInterval</i> of previous Heartbeat Request message 	
5	Monitor the RF output of CBSD2. Verify: <ul style="list-style-type: none"> CBSD2 shall stop transmission within bandwidth F2 within (T + 60 seconds) of completion of step 3 	PASS

17) [WINNF.FT.C.HBT.9] Heartbeat Response Absent (First Heartbeat)

The following are the test execution steps.

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> UUT has registered successfully with SAS Test Harness UUT has a valid single grant as follows: <ul style="list-style-type: none"> o valid <i>cbsdId</i> = C o valid <i>grantId</i> = G o grant is for frequency range F, power P o <i>grantExpireTime</i> = UTC time greater than duration of the test UUT is in GRANTED, but not AUTHORIZED state (i.e. has not performed its first Heartbeat Request) 	PASS
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified <i>heartbeatInterval</i> , and is formatted correctly, including: <ul style="list-style-type: none"> <i>cbsdId</i> = C <i>grantId</i> = G <i>operationState</i> = "GRANTED" 	PASS
3	After completion of Step 2, SAS Test Harness does not respond to any further messages from UUT to simulate loss of network connection	PASS
4	Monitor the RF output of the UUT from start of test to 60 seconds after step 3. Verify: <ul style="list-style-type: none"> At any time during the test, UUT shall not transmit on RF interface 	PASS

18) [WINNF.FT.C.HBT.10] Heartbeat Response Absent (Subsequent Heartbeat)

The following are the test execution steps.

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • UUT has registered successfully with SAS Test Harness • UUT has a valid single grant as follows: <ul style="list-style-type: none"> o valid <i>cbsdId</i> = C o valid <i>grantId</i> = G o grant is for frequency range F, power P o <i>grantExpireTime</i> = UTC time greater than duration of the test • UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface 	PASS
2	UUT sends a Heartbeat Request message. Verify Heartbeat Request message is sent within the latest specified <i>heartbeatInterval</i> , and is formatted correctly, including: <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>operationState</i> = "AUTHORIZED" 	PASS
3	SAS Test Harness sends a Heartbeat Response message, with the following parameters: <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>transmitExpireTime</i> = current UTC time + 200 seconds • <i>responseCode</i> = 0 	PASS
4	After completion of Step 3, SAS Test Harness does not respond to any further messages from UUT	PASS
5	Monitor the RF output of the UUT. Verify: <ul style="list-style-type: none"> • UUT shall stop all transmission on RF interface within (<i>transmitExpireTime</i> + 60 seconds), using the <i>transmitExpireTime</i> sent in Step 3. 	PASS

19) [WINNF.FT.D.MES.2] Domain Proxy Registration Response contains *measReportConfig*

This test case is mandatory for Domain Proxy supervising CBSD which support RECEIVED_POWER_WITHOUT_GRANT.

The following steps describes the test execution steps:

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • DP has successfully completed SAS Discovery and Authentication with SAS Test Harness 	PASS
2	DP sends a Registration Request message for each of two CBSD. This may occur in a separate Request message per CBSD, or together in a single Request message with array of 2. Verify Registration Request message contains all required parameters properly formatted for CBSDi, i={1,2}, and specifically: <ul style="list-style-type: none"> • <i>userId</i> is present and correct • <i>fcld</i> is present and correct • <i>cbsdSerialNumber</i> is present and correct • <i>measCapability</i> = "RECEIVED_POWER_WITHOUT_GRANT" 	PASS
3	If a separate Registration Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each Registration Request message with a separate Registration Response message. If a single Registration Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Registration Response message containing a 2-object array. Parameters for each CBSD within the Registration Response message should be as follows, for CBSDi: <ul style="list-style-type: none"> • <i>cbsdId</i> = Ci • <i>measReportConfig</i>= "RECEIVED_POWER_WITHOUT_GRANT" • <i>responseCode</i> = 0 	PASS
4	UUT sends a message: <ul style="list-style-type: none"> • If message is type Spectrum Inquiry Request, go to step 5, or • If message is type Grant Request, go to step 7 	PASS
5	UUT sends message type Spectrum Inquiry Request. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Spectrum Inquiry Request message contains all required parameters properly formatted for CBSDi, i= {1,2}, and specifically: <ul style="list-style-type: none"> • <i>cbsdId</i> = Ci • <i>measReport</i> is present, and is a properly formatted <i>rcvdPowerMeasReport</i>. 	PASS
6	If a separate Spectrum Inquiry Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each Spectrum Inquiry Request message with a separate Spectrum Inquiry Response message. If a single Spectrum Inquiry Request message was sent by the DP containing a 2-object	PASS

	<p>array (one per CBSD), the SAS Test Harness shall respond with a single Spectrum Inquiry Response message containing a 2-object array.</p> <p>Parameters for each CBSD within the Spectrum Inquiry Response message should be as follows:</p> <ul style="list-style-type: none"> • <i>cbsdId</i> = Ci • <i>availableChannel</i> is an array of <i>availableChannel</i> objects • <i>responseCode</i> = 0 	
7	<p>UUT sends message type Grant Request message. This may occur in a separate message per CBSD, or together in a single message with array of 2.</p> <p>Verify the Grant Request message contains all required parameters properly formatted for CBSD_i, i= {1,2}, and specifically:</p> <ul style="list-style-type: none"> • <i>cbsdId</i> = Ci • <i>measReport</i> is present, and is a properly formatted <i>rcvdPowerMeasReport</i>. 	PASS

20) [WINNF.FT.C.MES.3] Grant Response contains *measReportConfig*

This test case is mandatory for UUT supporting RECEIVED_POWER_WITH_GRANT measurement reports.

The following steps describes the test execution steps:

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness • UUT has successfully registered with SAS Test Harness, with <i>cbsdId</i>=C and <i>measCapability</i> = "RECEIVED_POWER_WITH_GRANT" 	PASS
2	UUT sends a Grant Request message. Verify Grant Request message contains all required parameters properly formatted, and specifically: <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>operationParam</i> is present and format is valid 	PASS
3	SAS Test Harness sends a Grant Response message, with the following parameters: <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G = valid grant ID • <i>grantExpireTime</i> = UTC time in the future • <i>heartbeatInterval</i> = 60 seconds • <i>measReportConfig</i>= "RECEIVED_POWER_WITH_GRANT" • <i>channelType</i> = "GAA" • <i>responseCode</i> = 0 	PASS
4	UUT sends a Heartbeat Request message. Verify message contains all required parameters properly formatted, and specifically: <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>operationState</i> = "GRANTED" 	PASS
5	If Heartbeat Request message (step 4) contains <i>measReport</i> object, then: <ul style="list-style-type: none"> • verify <i>measReport</i> is properly formatted as object <i>rcvdPowerMeasReport</i> • end test, with PASS result else, if Heartbeat Request message (step 4) does not contain <i>measReport</i> object, then: If number of Heartbeat Requests sent by UUT after Step 3 is = 5, then stop test with result of FAIL	PASS
6	SAS Test Harness sends a Heartbeat Response message, containing all required parameters properly formatted, and specifically: <ul style="list-style-type: none"> • <i>cbsdId</i> = C • <i>grantId</i> = G • <i>transmitExpireTime</i> = current UTC time + 200 seconds • <i>responseCode</i> = 0 Go to Step 4, above	/

21) [WINNF.FT.D.MES.5] Domain Proxy Heartbeat Response contains *measReportConfig*

This test case is mandatory for Domain Proxy supervising CBSD which support RECEIVED_POWER_WITH_GRANT measurement reports.

The following steps describes the test execution steps:

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • DP has successfully completed SAS Discovery and Authentication with SAS Test Harness • DP has successfully registered 2 CBSD with SAS Test Harness, each with <i>cbsdId</i>=Ci, <i>i</i>={1,2} and <i>measCapability</i> = "RECEIVED_POWER_WITH_GRANT" • DP has received a valid grant with <i>grantId</i> = Gi, <i>i</i>={1,2} for each CBSD • Both CBSD are in Grant State AUTHORIZED and actively transmitting within the bounds of their grants. • Grants have <i>heartbeatInterval</i> =60 seconds 	PASS
2	Verify DP sends a Heartbeat Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Heartbeat Request message contains all required parameters properly formatted for each CBSD, specifically, for CBSDi: <ul style="list-style-type: none"> • <i>cbsdId</i> = Ci • <i>grantId</i> = Gi • <i>operationState</i> = "AUTHORIZED" 	PASS
3	If a separate Heartbeat Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each Heartbeat Request message with a separate Heartbeat Response message. If a single Heartbeat Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Heartbeat Response message containing a 2-object array. Parameters for each CBSD within the Heartbeat Response message containing all required parameters properly formatted, and specifically: <ul style="list-style-type: none"> • <i>cbsdId</i> = Ci • <i>grantId</i> = Gi • <i>measReportConfig</i>= "RECEIVED_POWER_WITH_GRANT" • <i>responseCode</i> = 0 	PASS
4	Verify DP sends a Heartbeat Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Heartbeat Request message contains all required parameters properly formatted for each CBSD, and specifically, for CBSDi, <i>i</i> = {1,2}: <ul style="list-style-type: none"> • <i>cbsdId</i> = Ci • <i>grantId</i> = Gi • <i>operationState</i> = "AUTHORIZED" • Check whether <i>measReport</i> is present, and if present, ensure it is a properly formatted <i>rcvdPowerMeasReport</i> object, and record its reception for each CBSDi, <i>i</i> = {1,2}. 	PASS

5	<p>If Heartbeat Request message (step 4) contains <i>measReport</i> object, then:</p> <ul style="list-style-type: none"> • Verify <i>measReport</i> is properly formatted as object <i>rcvdPowerMeasReport</i> • record which CBSD have successfully sent a <i>measReport</i> object <p>If all CBSD_i, $i = \{1,2\}$ have successfully sent a <i>measReport</i> object, then</p> <ul style="list-style-type: none"> • end test, with PASS result <p>else, if the number of Heartbeat Requests sent per CBSD is 5 or more, then stop test with result of FAIL</p>	PASS
6	<p>If a separate Heartbeat Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each Heartbeat Request message with a separate Heartbeat Response message.</p> <p>If a single Heartbeat Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Heartbeat Response message containing a 2-object array.</p> <p>Parameters for each CBSD within the Heartbeat Response message containing all required parameters properly formatted, and specifically:</p> <ul style="list-style-type: none"> • <i>cbsdId</i> = C_i • <i>grantId</i> = G_i • <i>responseCode</i> = 0 <p>Go to Step 4, above.</p>	PASS

22) [WINNF.FT.D.RLQ.2] Domain Proxy Successful Relinquishment

The following are the test execution steps.

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • DP has successfully completed SAS Discovery and Authentication with SAS Test Harness • DP has successfully registered 2 CBSD with SAS Test Harness, each with <i>cbsdId</i>=Ci, i={1,2} • DP has received a valid grant with <i>grantId</i> = Gi, i={1,2} for each CBSD • Both CBSD are in Grant State AUTHORIZED and actively transmitting within the bounds of their grants. Invoke trigger to relinquish each UUT Grant from the SAS Test Harness	PASS
2	Verify DP sends a Relinquishment Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Relinquishment Request message contains all required parameters properly formatted for each CBSD, specifically, for CBSDi: <ul style="list-style-type: none"> • <i>cbsdId</i> = Ci • <i>grantId</i> = Gi 	PASS
3	If a separate Relinquishment Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each request message with a separate response message. If a single Relinquishment Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Response message containing a 2-object array. Parameters for each CBSD within the Relinquishment Response shall be as follows: <ul style="list-style-type: none"> • <i>cbsdId</i> = Ci • <i>grantId</i> = Gi • <i>responseCode</i> = 0 	PASS
4	After completion of step 3, SAS Test Harness will not provide any additional positive response (<i>responseCode</i> =0) to further request messages from the UUT.	PASS
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> • UUT shall stop RF transmission at any time between triggering the relinquishments and UUT sending the relinquishment requests for each CBSD. 	PASS

23) [WINNF.FT.D.DRG.2] Domain Proxy Successful Deregistration

The following are the test execution steps.

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> • Each UUT has successfully registered with SAS Test Harness • Each UUT is in the authorized state • DP has successfully completed SAS Discovery and Authentication with SAS Test Harness • DP has successfully registered 2 CBSD with SAS Test Harness, each with <i>cbsdId=Ci</i>, $i=\{1,2\}$ • DP has received a valid grant with <i>grantId = Gi</i>, $i=\{1,2\}$ for each CBSD • Both CBSD are in Grant State AUTHORIZED and actively transmitting within the bounds of their grants. Invoke trigger to deregister each UUT from the SAS Test Harness	PASS
2	UUT may send a Relinquishment request and receives Relinquishment response with <i>responseCode=0</i>	/
3	Verify DP sends a Deregistration Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Deregistration Request message contains all required parameters properly formatted for each CBSD, specifically, for CBSDi <ul style="list-style-type: none"> • <i>cbsdId = Ci</i> 	PASS
4	If a separate Deregistration Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each request message with a separate response message. If a single Deregistration Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Response message containing a 2-object array. Parameters for each CBSD within the Deregistration Response shall be as follows: <ul style="list-style-type: none"> • <i>cbsdId = Ci</i> • <i>responseCode = 0</i> 	PASS
5	After completion of step 4, SAS Test Harness will not provide any positive response (<i>responseCode=0</i>) to further request messages from the UUT.	PASS
6	Monitor the RF output of each UUT from start of test until 60 seconds after Step 4 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> • UUT stopped RF transmission at any time between triggering the deregistration and either A OR B occurs: <ul style="list-style-type: none"> A. UUT sending a Registration Request message, as this is not mandatory B. UUT sending a Deregistration Request message 	PASS

24) [WINNF.FT.C.SCS.1] Successful TLS connection between UUT and SAS Test Harness

The following are the test execution steps.

#	Test Execution Steps	Results
1	<ul style="list-style-type: none"> • UUT shall start CBSD-SAS communication with the security procedure • The UUT shall establish a TLS handshake with the SAS Test Harness using configured certificate. • Configure the SAS Test Harness to accept the security procedure and establish the connection 	PASS
2	<ul style="list-style-type: none"> • Make sure that Mutual authentication happens between UUT and the SAS Test Harness. • Make sure that UUT uses TLS v1.2 • Make sure that cipher suites from one of the following is selected, <ul style="list-style-type: none"> • TLS_RSA_WITH_AES_128_GCM_SHA256 • TLS_RSA_WITH_AES_256_GCM_SHA384 • TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA256 • TLS_ECDHE_ECDSA_WITH_AES_256_GCM_SHA384 • TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256 	PASS
3	<p>A successful registration is accomplished using one of the test cases described in section 6.1.4.1, depending on CBSD capability.</p> <ul style="list-style-type: none"> • UUT sends a registration request to the SAS Test Harness and the SAS Test Harness sends a Registration Response with <i>responseCode</i> = 0 and <i>cbstdId</i>. 	PASS
4	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete.</p> <p>This is the end of the test. Verify:</p> <ul style="list-style-type: none"> • UUT shall not transmit RF 	PASS

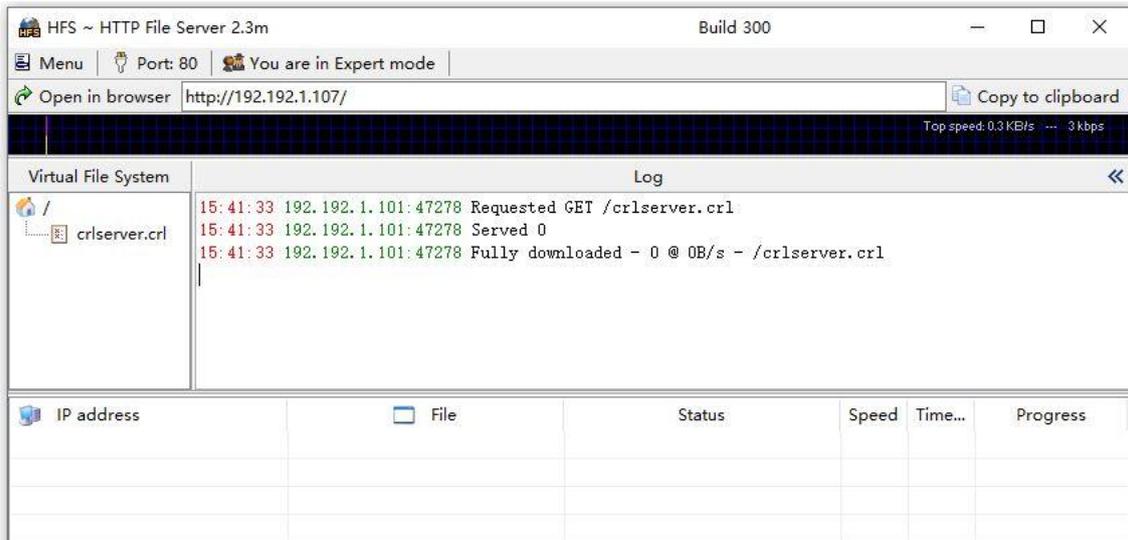
Packet capture sequence

25) [WINNF.FT.C.SCS.2] TLS failure due to revoked certificate Test prerequisite:

The certificate at the SAS Test Harness shall be marked as revoked.

The following are the test execution steps.

#	Test Execution Steps	Results
1	• UUT shall start CBSD-SAS communication with the security procedures	PASS
2	• Make sure that UUT uses TLS v1.2 for security establishment. • Make sure UUT selects the correct cipher suite. • UUT shall use CRL or OCSP to verify the validity of the server certificate. • Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.	PASS
3	UUT may retry for the security procedure which shall fail	/
4	SAS Test-Harness shall not receive any Registration request or any application data.	PASS
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: • UUT shall not transmit RF	PASS



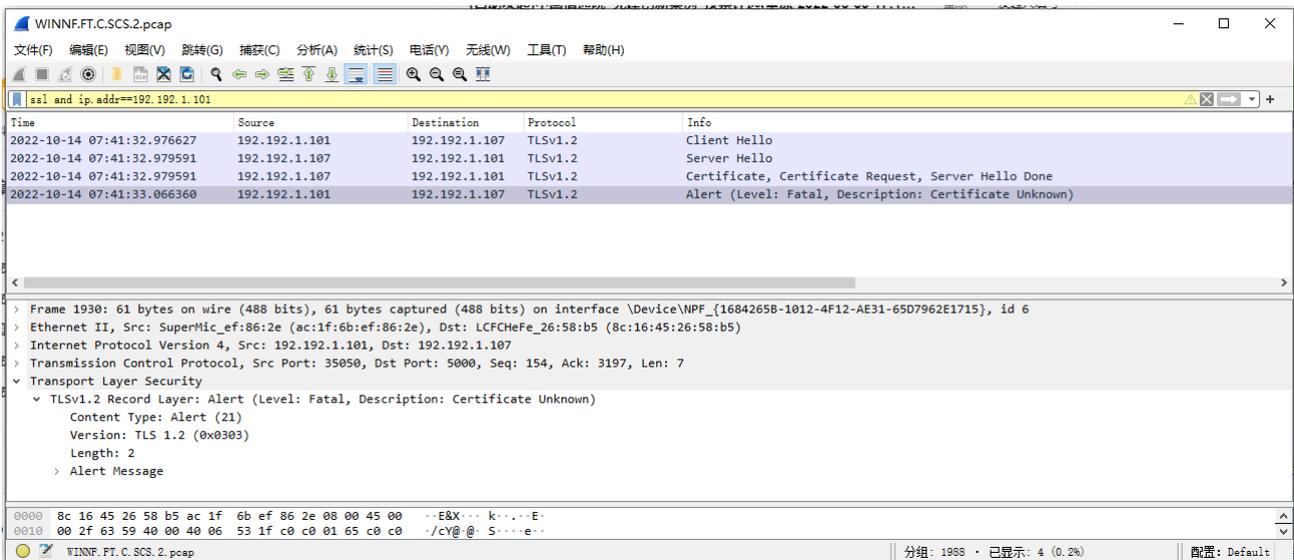
The screenshot shows the HFS HTTP File Server 2.3m interface. The browser address bar displays `http://192.192.1.107/`. The interface includes a 'Virtual File System' pane on the left showing a directory structure with a file named `crlserver.crl`. The 'Log' pane on the right contains the following entries:

```
15:41:33 192.192.1.101:47278 Requested GET /crlserver.crl
15:41:33 192.192.1.101:47278 Served 0
15:41:33 192.192.1.101:47278 Fully downloaded - 0 @ 0B/s - /crlserver.crl
```

Below the log, there is a table with the following headers:

IP address	File	Status	Speed	Time...	Progress

UUT CRL file download



Packet capture sequence

26) [WINNF.FT.C.SCS.3] TLS failure due to expired server certificate

Test case pre-requisite:

Configure the SAS Test Harness such that server certificate is valid but expired.

The following are the test execution steps.

#	Test Execution Steps	Results
1	• UUT shall start CBSD-SAS communication with the security procedures	PASS
2	<ul style="list-style-type: none"> • Make sure that UUT uses TLS v1.2 for security establishment. • Make sure UUT selects the correct cipher suite. • UUT shall use CRL or OCSP to verify the validity of the server certificate. • Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	PASS
3	UUT may retry for the security procedure which shall fail	/
4	SAS Test-Harness shall not receive any Registration request or any application data.	PASS
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> • UUT shall not transmit RF 	PASS



WINNF.FT.C.SCS.3.pcap

文件(F) 编辑(E) 视图(V) 跳转(G) 捕获(C) 分析(A) 统计(S) 电话(Y) 无线(W) 工具(T) 帮助(H)

ssl and ip.addr==192.192.1.101

Time	Source	Destination	Protocol	Info
2022-10-14 07:30:39.540082	192.192.1.101	192.192.1.107	TLSv1.2	Client Hello
2022-10-14 07:30:39.543775	192.192.1.107	192.192.1.101	TLSv1.2	Server Hello
2022-10-14 07:30:39.543775	192.192.1.107	192.192.1.101	TLSv1.2	Certificate, Certificate Request, Server Hello Done
2022-10-14 07:30:39.544282	192.192.1.101	192.192.1.107	TLSv1.2	Alert (Level: Fatal, Description: Certificate Expired)

> Ethernet II, Src: SuperMic_ef:86:2e (ac:1f:6b:ef:86:2e), Dst: LCFChEFe_26:58:b5 (8c:16:45:26:58:b5)
> Internet Protocol Version 4, Src: 192.192.1.101, Dst: 192.192.1.107
> Transmission Control Protocol, Src Port: 33060, Dst Port: 5000, Seq: 1, Ack: 1, Len: 153
▼ Transport Layer Security
▼ TLSv1.2 Record Layer: Handshake Protocol: Client Hello
Content Type: Handshake (22)
Version: TLS 1.2 (0x0303)
Length: 148
▼ Handshake Protocol: Client Hello
Handshake Type: Client Hello (1)
Length: 144
Version: TLS 1.2 (0x0303)
> Random: 63490f9fcc66c534a9481e2ccb50527510fb314314320341a496512a1b0407e2
Session ID Length: 0
Cipher Suites Length: 6
▼ Cipher Suites (3 suites)
Cipher Suite: TLS_RSA_WITH_AES_128_GCM_SHA256 (0x009c)
Cipher Suite: TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA256 (0xc02b)
Cipher Suite: TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256 (0xc02f)
Compression Methods Length: 1
> Compression Methods (1 method)
Extensions Length: 97
> Extension: supported_groups (len=52)

0000 8c 16 45 26 58 b5 ac 1f 6b ef 86 2e 08 00 45 00 ..E&X...k...E.

WINNF.FT.C.SCS.3.pcap 分组: 857 · 已显示: 4 (0.5%) 配置: Default

WINNF.FT.C.SCS.3.pcap

文件(F) 编辑(E) 视图(V) 跳转(G) 捕获(C) 分析(A) 统计(S) 电话(Y) 无线(W) 工具(T) 帮助(H)

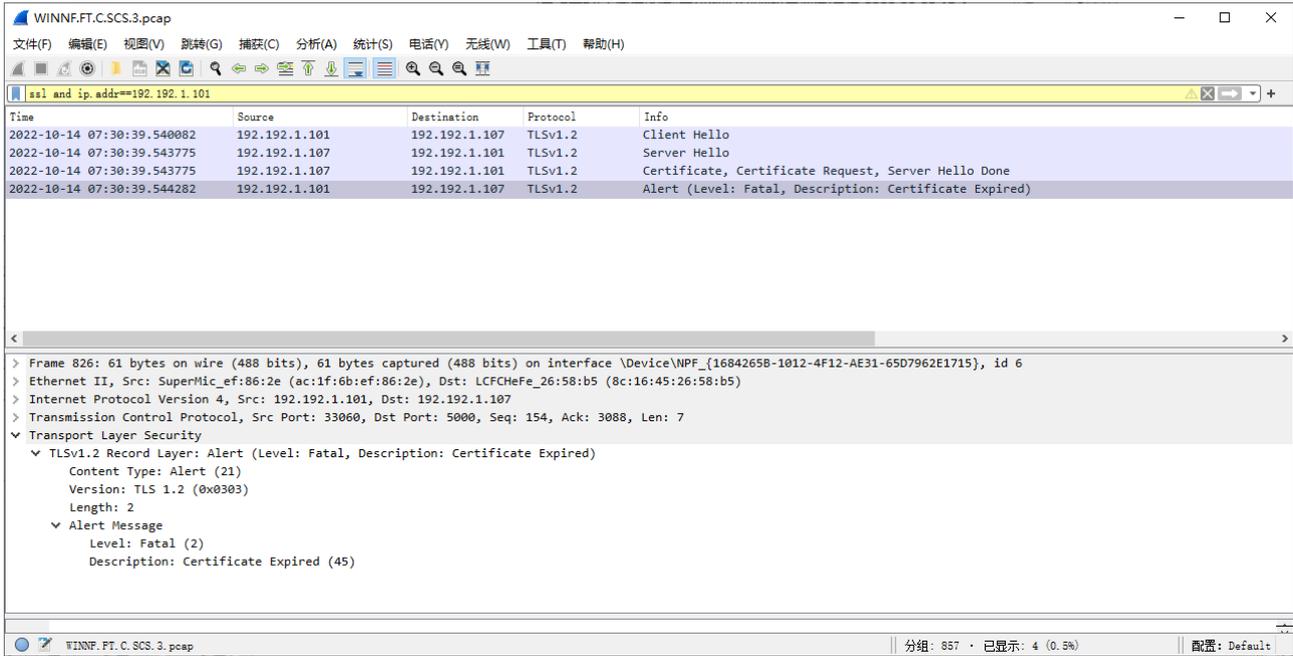
ssl and ip.addr==192.192.1.101

Time	Source	Destination	Protocol	Info
2022-10-14 07:30:39.540082	192.192.1.101	192.192.1.107	TLSv1.2	Client Hello
2022-10-14 07:30:39.543775	192.192.1.107	192.192.1.101	TLSv1.2	Server Hello
2022-10-14 07:30:39.543775	192.192.1.107	192.192.1.101	TLSv1.2	Certificate, Certificate Request, Server Hello Done
2022-10-14 07:30:39.544282	192.192.1.101	192.192.1.107	TLSv1.2	Alert (Level: Fatal, Description: Certificate Expired)

> Frame 821: 1514 bytes on wire (12112 bits), 1514 bytes captured (12112 bits) on interface \Device\NPF_{16842658-1012-4F12-AE31-65D7962E1715}, id 6
> Ethernet II, Src: LCFChEFe_26:58:b5 (8c:16:45:26:58:b5), Dst: SuperMic_ef:86:2e (ac:1f:6b:ef:86:2e)
> Internet Protocol Version 4, Src: 192.192.1.107, Dst: 192.192.1.101
> Transmission Control Protocol, Src Port: 5000, Dst Port: 33060, Seq: 1, Ack: 154, Len: 1460
▼ Transport Layer Security
▼ TLSv1.2 Record Layer: Handshake Protocol: Server Hello
Content Type: Handshake (22)
Version: TLS 1.2 (0x0303)
Length: 81
▼ Handshake Protocol: Server Hello
Handshake Type: Server Hello (2)
Length: 77
Version: TLS 1.2 (0x0303)
> Random: 12618127afe355c70338ec199631ba82736fa6bb112ecff0e8c343d804c1cd9a
Session ID Length: 32
Session ID: c95c350a6858499a3e8aedaa13ebb1586d88e59a65c9a13000571a20361628e5
Cipher Suite: TLS_RSA_WITH_AES_128_GCM_SHA256 (0x009c)
Compression Method: null (0)
Extensions Length: 5
> Extension: renegotiation_info (len=1)

0000 28 e5 00 9c 00 00 05 ff 01 00 01 00 16 03 03 0b (.....)

Cipher Suite (tls.handshake.ciphersuite), 2 byte(s) 分组: 857 · 已显示: 4 (0.5%) 配置: Default



27) [WINNF.FT.C.SCS.4] TLS failure when SAS Test Harness certificate is issued by an unknown CA

Test case pre-requisite:

Equip the SAS Test Harness with certificate signed by an unknown CA to the CBSD.

The following are the test execution steps.

#	Test Execution Steps	Results
1	• UUT shall start CBSD-SAS communication with the security procedures	PASS
2	<ul style="list-style-type: none"> • Make sure that UUT uses TLS v1.2 for security establishment. • Make sure UUT selects the correct cipher suite. • UUT shall use CRL or OCSP to verify the validity of the server certificate. • Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	PASS
3	UUT may retry for the security procedure which shall fail	/
4	SAS Test-Harness shall not receive any Registration request or any application data.	PASS
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> • UUT shall not transmit RF 	PASS

WINNF.FT.CSCS.4.pcap

文件(F) 编辑(E) 视图(V) 跳转(G) 捕获(C) 分析(A) 统计(S) 电话(V) 无线(W) 工具(T) 帮助(H)

ssl and ip.addr==192.192.1.101

Time	Source	Destination	Protocol	Info
2022-10-14 07:20:25.716381	192.192.1.101	192.192.1.107	TLSv1.2	Client Hello
2022-10-14 07:20:25.721436	192.192.1.107	192.192.1.101	TLSv1.2	Server Hello
2022-10-14 07:20:25.721436	192.192.1.107	192.192.1.101	TLSv1.2	Certificate, Certificate Request, Server Hello Done
2022-10-14 07:20:25.722813	192.192.1.101	192.192.1.107	TLSv1.2	Alert (Level: Fatal, Description: Unknown CA)

< >

> Frame 133: 61 bytes on wire (488 bits), 61 bytes captured (488 bits) on interface \Device\NPF_{16842658-1012-4F12-AE31-6507962E1715}, id 6
 > Ethernet II, Src: SuperMic_ef:86:2e (ac:1f:6b:ef:86:2e), Dst: LCFCHeFe_26:58:b5 (8c:16:45:26:58:b5)
 > Internet Protocol Version 4, Src: 192.192.1.101, Dst: 192.192.1.107
 > Transmission Control Protocol, Src Port: 59080, Dst Port: 5000, Seq: 154, Ack: 3090, Len: 7
 > Transport Layer Security
 > TLSv1.2 Record Layer: Alert (Level: Fatal, Description: Unknown CA)
 > Content Type: Alert (21)
 > Version: TLS 1.2 (0x0303)
 > Length: 2
 > Alert Message
 > Level: Fatal (2)
 > Description: Unknown CA (48)

```

0020 01 6b e6 c8 13 88 55 15 e1 42 d3 1c af 5c 50 18  .k...U..B...P.
0030 01 15 2d 38 00 00 15 03 00 02 02 30          ...8.....0
  
```

Alert Message (tls.alert_message), 2 byte(s) | 分组: 162 · 已显示: 4 (2.5%) | 配置: Default

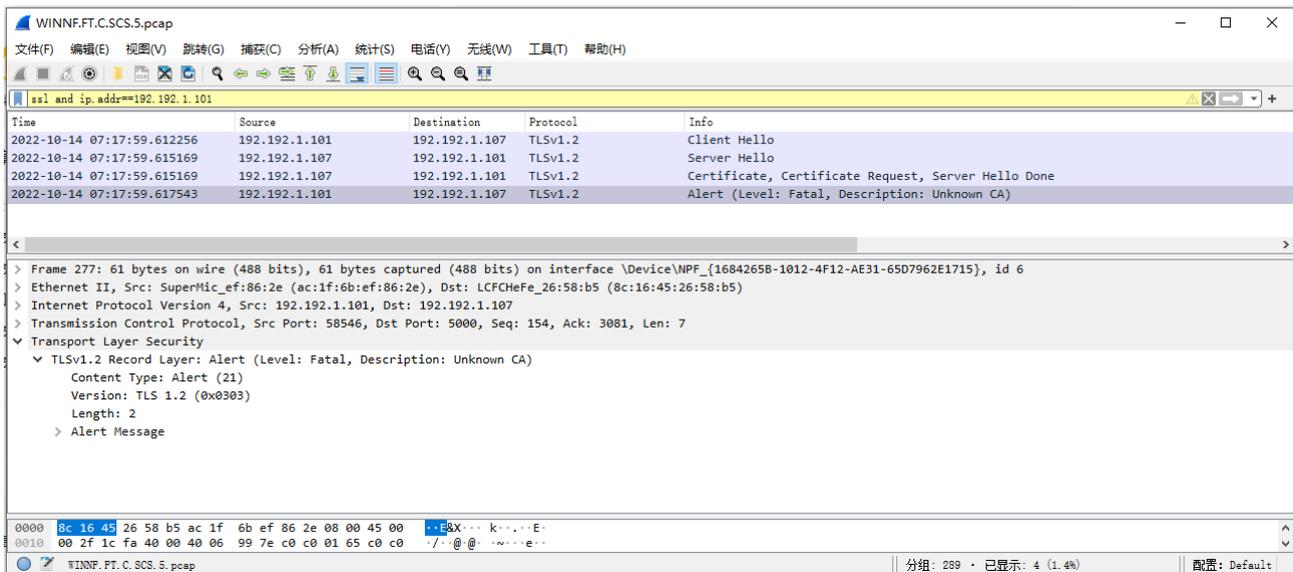
28) [WINNF.FT.C.SCS.5] TLS failure when certificate at the SAS Test Harness is corrupted

Test case pre-requisite:

- The end-entity certificate at the SAS Test Harness shall be corrupted.

The following steps describe the test execution.

#	Test Execution Steps	Results
1	• UUT shall start CBSD-SAS communication with the security procedures	PASS
2	<ul style="list-style-type: none"> • Make sure that UUT uses TLS v1.2 for security establishment. • Make sure UUT selects the correct cipher suite. • UUT shall use CRL or OCSP to verify the validity of the server certificate. • Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness. 	PASS
3	UUT may retry for the security procedure which shall fail	/
4	SAS Test-Harness shall not receive any Registration request or any application data.	PASS
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> • UUT shall not transmit RF 	PASS



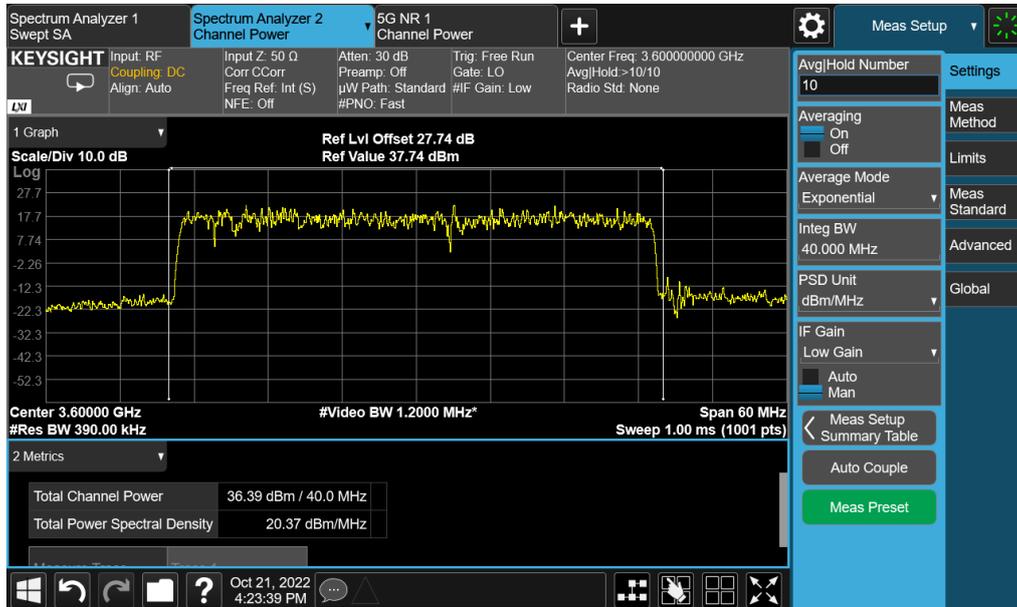
29) [WINNF.PT.C.HBT.1] UUT RF Transmit Power Measurement

#	Test Execution Steps	Results
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> • UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness • UUT has registered with the SAS, with CBSID ID = C • UUT has a single valid grant G with parameters {lowFrequency = FL, highFrequency = FH, maxEirp = Pi}, with grant in AUTHORIZED state, and grantExpireTime set to a value far past the duration of this test case <p><i>Note: in order for the UUT to request a grant with the parameters {lowFrequency, highFrequency, maxEirp}, the SAS Test Harness may need to provide appropriate guidance in the availableChannel object of the spectrumInquiry response message, and the operationParam object of the grant response message. Alternately, the UUT vendor may provide the ability to set those parameters on the UUT so that the UUT will request a grant with those parameters.</i></p>	PASS
2	<p>UUT and SAS Test Harness perform a series of Heartbeat Request/Response cycles, which continues until the other test steps are complete. Messaging for each cycle is as follows:</p> <ul style="list-style-type: none"> • UUT sends Heartbeat Request, including: <ul style="list-style-type: none"> ○ cbsdId = C ○ grantId = G • SAS Test Harness responds with Heartbeat Response, including: <ul style="list-style-type: none"> ○ cbsdId = C ○ grantId = G ○ transmitExpireTime = current UTC time + 200 seconds ○ responseCode = 0 	PASS
3	<p>Tester performs power measurement on RF interface(s) of UUT, and verifies it complies with the maxEirp setting, Pi. The RF measurement method is out of scope of this document, but may include additional configuration of the UUT, as required, to fulfil the requirements of the power measurement method.</p> <p><i>Note: it may be required for the vendor to provide a method or configuration to bring the UUT to a mode which is required by the measurement methodology. Any such mode is vendor-specific and depends upon UUT behavior and the measurement methodology.</i></p>	PASS

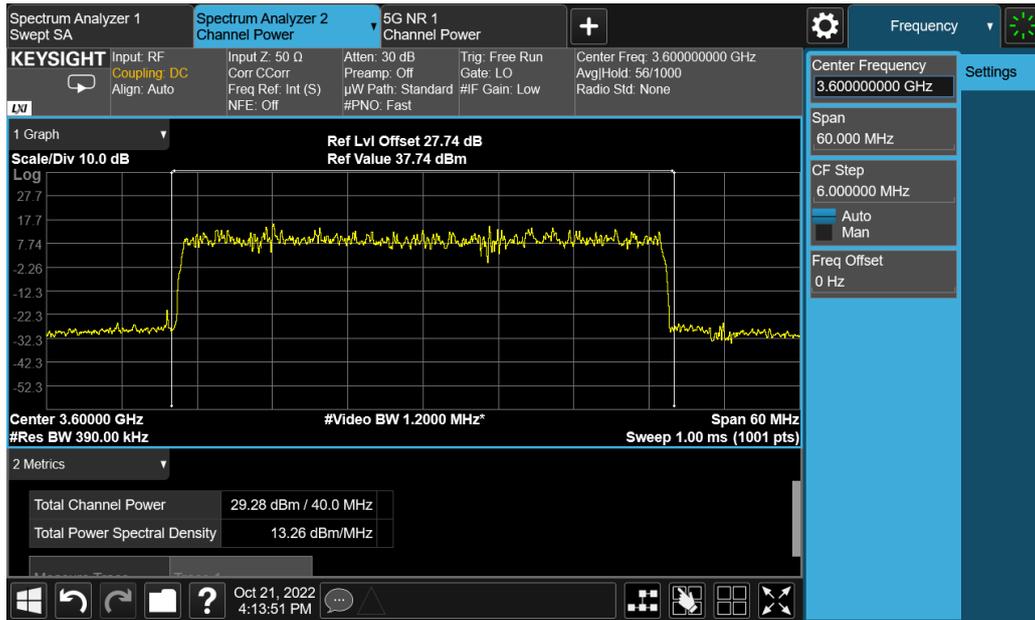
Frequency [MHz]	Bandwidth [MHz]	Granted maxEIRP [dBm/MHz]	Tx1 Conducted PSD [dBm/MHz]	Array Gain [dB]	Antenna Gain [dBi]	maxEIRP PSD [dBm/MHz]	verdict
3600	40	37	20.37	3.01	13	36.38	PASS
3600	40	30	13.26	3.01	13	29.27	PASS
3600	40	15	-6.30	3.01	13	9.71	PASS

Note:

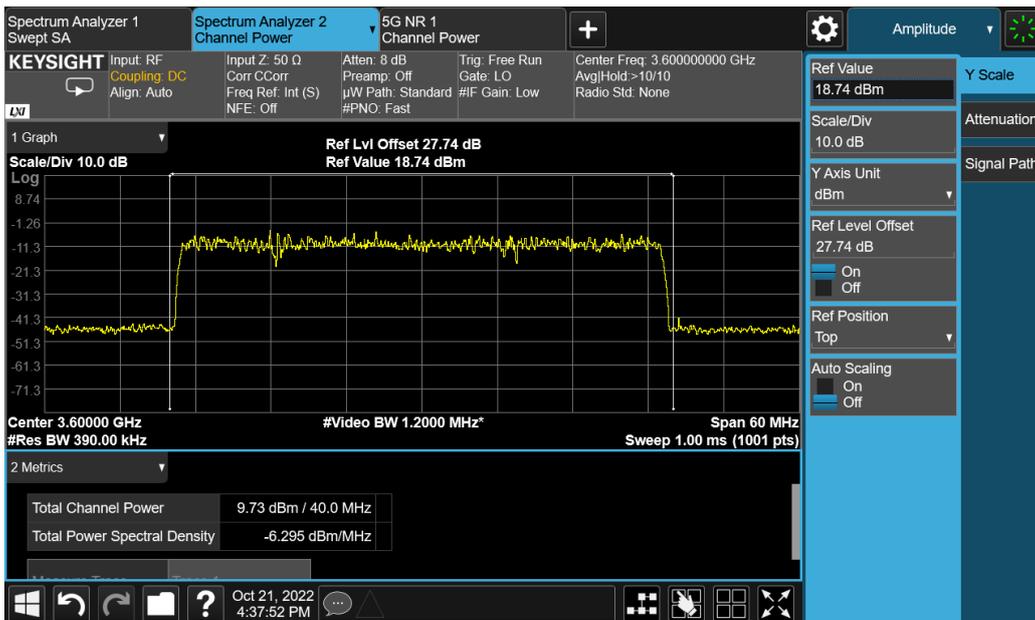
1. Array Gain=10log(n), n is the antenna number, for this CBSD the n=2
2. From output power pretest results, the Tx1 is the maximum output power antenna port.
3. maxEIRP PSD = worst port Tx1 Conducted PSD + Array Gain + Antenna Gain
4. The conducted PSD test results include a correction factor for cable loss. The antenna gain is provided by the customer.



Target Power: 37dBm/MHz



Target Power: 30dBm/MHz



Target Power: 15dBm/MHz

Annex C: Accreditation Certificate

<p>United States Department of Commerce National Institute of Standards and Technology</p> <p>NVLAP </p> <hr/> <p>Certificate of Accreditation to ISO/IEC 17025:2017</p> <hr/> <p>NVLAP LAB CODE: 600118-0</p> <p>Telecommunication Technology Labs, CAICT Beijing China</p> <p><i>is accredited by the National Voluntary Laboratory Accreditation Program for specific services, listed on the Scope of Accreditation, for:</i></p> <p>Electromagnetic Compatibility & Telecommunications</p> <p><i>This laboratory is accredited in accordance with the recognized International Standard ISO/IEC 17025:2017. This accreditation demonstrates technical competence for a defined scope and the operation of a laboratory quality management system (refer to joint ISO-ILAC-IAF Communique dated January 2009).</i></p> <hr/> <p>2022-10-01 through 2023-09-30 <i>Effective Dates</i></p> <p style="text-align: center;"></p> <p style="text-align: right;"> <i>For the National Voluntary Laboratory Accreditation Program</i></p>	
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END OF REPORT